## A Theory of Merge-and-Shrink for Stochastic Shortest Path Problems

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## Overview of this Paper

Based on compositional theory of M\&S in classical planning (Sievers and Helmert 2021)

## Contributions

- Formalization of transformations of probabilistic TSs with desirable properties
- Contribution of a suitable factored representation for M\&S
- Formalization of Merge-and-Shrink transformations on this representation


## Background: Probabilistic Planning Tasks

Compact representation of TS as prob. planning task: $\Pi=\langle V, O, I, G\rangle$

- Finitely many variables $V$ with finite domains $\mathcal{D}(v), v \in V$
- Finitely many operators $O$ where each $o \in O$ :
- has a non-negative cost cost(o)
- has a precondition pre(o) (partial variable assignment)
- has finitely many effects $\operatorname{eff}_{\mathrm{i}}(o)$ (partial variable assignments) with associated probability $p_{i}$
- Initial state $I$ is a variable assignment
- Goal state $G$ is a partial variable assignment


## Background: Probabilistic Transition Systems



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Cost: $\frac{23}{12}$


## Transformations




Transformed TS $\Theta^{\prime}$

Original TS $\Theta$

+ State Mapping $\sigma+$ Label Mapping $\lambda$


## Transformations



Transformed TS $\Theta^{\prime}$

Original TS $\Theta$

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## Transformation Classes

CONS $_{s} \sigma$ is total on $S$
CONS $_{\mathbf{L}} \lambda$ is total on $L$
CONS $_{c} \quad \forall \ell \in \operatorname{dom}(\lambda) \cdot c^{\prime}(\lambda(\ell)) \leq c(\ell)$
$\operatorname{CONS}_{T} \operatorname{ind}_{\tau}(T) \subseteq T^{\prime}$
CONS $_{G} \sigma\left(S_{\star}\right) \subseteq S_{\star}^{\prime}$
IND $_{\mathbf{S}} \quad \sigma$ is surjective on $S^{\prime}$
IND $_{\mathrm{L}} \quad \lambda$ is surjective on $L^{\prime}$
IND $_{\mathrm{C}} \quad \forall \ell^{\prime} \in L^{\prime} \cdot \exists \ell \in \lambda^{-1}\left(\ell^{\prime}\right) \cdot c(\ell)=c^{\prime}\left(\ell^{\prime}\right)$
$\operatorname{IND}_{\mathbf{T}} \quad \operatorname{ind}_{\tau}(T) \supseteq T^{\prime}$
IND $_{\mathrm{G}} \quad \sigma\left(S_{\star}\right) \supseteq S_{\star}^{\prime}$
REF $_{C} \quad \forall \ell^{\prime} \in L^{\prime} . \forall \ell \in \lambda^{-1}\left(\ell^{\prime}\right) \cdot c(\ell)=c^{\prime}\left(\ell^{\prime}\right)$
$\mathrm{REF}_{\mathbf{T}} \quad \forall s^{\prime} \in S^{\prime} . T^{\prime}\left(s^{\prime}\right) \subseteq \bigcap_{s \in \sigma^{-1}\left(s^{\prime}\right)} \operatorname{ind}_{\tau}(T(s))$
REF $_{G} \quad \sigma^{-1}\left(S_{\star}^{\prime}\right) \subseteq S_{\star}$

Conservative Transformations (aka Abstractions)

Induced Transformations

Refinable Transformations

## From Syntactic Properties to Heuristic Guarantees

## Heuristic Guarantees

- Conservative transformations (aka abstractions) result in admissible heuristics
- Refinable transformations result in pessimistic heuristics
- Exact (conservative + refinable) transformations result in perfect heuristics


## Composability



Merge-and-Shrink - Factored Representation


## Merge-and-Shrink - Factored Representation

- Classical Planning: Synchronize on operators
- Probabilistic Planning: Synchronize on operators and probabilistic outcomes


## Merge-and-Shrink - Factored Representation



## Merge-and-Shrink - Factored Representation



## Merge-and-Shrink - Factored Representation



## Merge-and-Shrink - Factored Representation



## Merge-and-Shrink - Factored Representation



## Merging



## Shrinking





## Shrinking: Properties

Properties of Shrink Transformations

- conservative and induced transformation
- exact if based on extension of bisimulation








## Label Reduction



## Label Reduction: Properties

## Properties of Label Reduction

- conservative transformation
- exact iff induced/refinable and only labels with same cost are merged
- atomic label reduction exact if based on extension of $\Theta$-combinability


## Conclusion

- Purely theoretic paper on the foundations of merge-and-shrink for SSPs
- Introduces a new factored representation suitable for merge-and-shrink
- Generalizes many results from the classical theory
- Not covered in this theory yet: Pruning transformations


## References I

Sievers, S.; and Helmert, M. 2021. Merge-and-Shrink: A Compositional Theory of Transformations of Factored Transition Systems. 71: 781-883.

