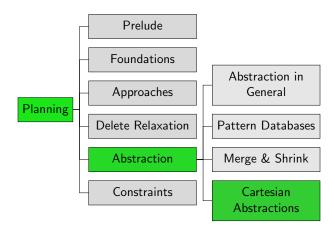
Planning and Optimization E14. Cartesian Abstractions: CEGAR

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November 24, 2025

Content of the Course



CEGAR

CEGAR

Counterexample-Guided Abstraction Refinement

Counterexample-guided abstraction refinement (CEGAR) is an approach to compute a tailored abstraction for a task (or to solve it).

- Start with a very coarse abstraction.
- Iteratively compute an (optimal) abstract solution and check whether it works for the concrete tasks.
 - If yes, the task is solved.
 - If not, refine the abstraction so that the same flaw will not be encountered in future iterations.

CEGAR Algorithm

CEGAR

Generic CEGAR algorithm for planning task Π

```
\mathcal{T} := TrivialAbstractTransitionSystem(\Pi)
while not TerminationCondition():
       \tau := \mathsf{FindOptimalTrace}(\mathcal{T})
       if \tau is "no trace" then return \Pi unsolvable
       F := \mathsf{FindFlaw}(\tau, \Pi, \mathcal{T})
       if F is "no flaw" then
              return label sequence of \tau as plan for \Pi
      \mathcal{T} := \mathsf{Refine}(\mathcal{T}, F)
return \mathcal{T}
```

CEGAR

Generic CEGAR algorithm for planning task Π

```
\mathcal{T} := TrivialAbstractTransitionSystem(\Pi) \leftarrow one abstract state
while not TerminationCondition(): ← e.g. time/memory limit
       \tau := \mathsf{FindOptimalTrace}(\mathcal{T}) \leftarrow \mathsf{abstract\ solution\ (path\ in\ \mathcal{T})}
       if \tau is "no trace" then return \Pi unsolvable
       F := \mathsf{FindFlaw}(\tau, \Pi, \mathcal{T})
       if F is "no flaw" then
              return label sequence of \tau as plan for \Pi
      \mathcal{T} := \mathsf{Refine}(\mathcal{T}, F)
return \mathcal{T}
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CEGAR

Generic CEGAR algorithm for planning task Π

```
 \begin{split} \mathcal{T} &:= \mathsf{TrivialAbstractTransitionSystem}(\Pi) \\ \textbf{while} \  \, \mathsf{not} \  \, \mathsf{TerminationCondition}(); \\ \tau &:= \mathsf{FindOptimalTrace}(\mathcal{T}) \\ \textbf{if} \  \, \tau \  \, \mathsf{is} \  \, \text{"no trace" then } \textbf{return } \Pi \text{ unsolvable} \\ F &:= \mathsf{FindFlaw}(\tau, \Pi, \mathcal{T}) \\ \textbf{if} \  \, F \  \, \mathsf{is} \  \, \text{"no flaw" then} \\ \textbf{return label sequence of } \tau \text{ as plan for } \Pi \\ \mathcal{T} &:= \mathsf{Refine}(\mathcal{T}, F) \\ \textbf{return } \mathcal{T} \end{split}
```

Open questions:

- What are flaws (and how to find them)? → next
- How do we refine the system?

A flaw is a reason why (the label sequence of) τ does not solve Π the way it solves the abstract system \mathcal{T} (with abstraction α).

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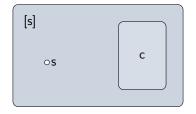
- Precondition flaw: o is not applicable in the current state s.
- Goal flaw: the final state is not a goal state.

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Start from the initial state of Π and iteratively apply the next operator (label) o from τ .

- Precondition flaw: o is not applicable in the current state s.
- Goal flaw: the final state is not a goal state.
- Deviation flaw: the next abstract transition is $a \xrightarrow{\circ} a'$, the current concrete state is s with $\alpha(s) = a$ but for successor state s' = s[o] we have $\alpha(s') \neq a'$ (deviating from the abstract path).

Extracting Flaws



For the refinement, we represent flaws in the form $\langle s, c \rangle$, where

- s is a concrete state,
- $c \subseteq [s]$ is a non-empty Cartesian set,
- the abstract plan relied on "being in c" but $s \notin c$.

 $\langle s, c \rangle$ will define the split for the refinement step.

■ Precondition flaw: if o is not applicabe in state s, use $\langle s, c \rangle$, where c is the set of concrete states in [s] in which o is applicable.

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Easy for Cartesian abstractions, using the results from Ch. E13.

Refinement

CEGAR Algorithm

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Generic CEGAR algorithm for planning task \Pi
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 while not TerminationCondition():
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Open questions:

■ How do we refine the system?

Refinement

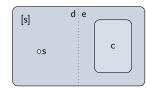
Refinement splits abstract state [s] and maintains the transition system induced by the underlying abstraction.

```
Refine(\langle S', L', c', T', s'_0, S'_{\star} \rangle, \langle s, c \rangle)
  \langle d, e \rangle := \mathsf{Split}([s], s, c)
 S'' := S' \setminus \{[s]\} \cup \{d, e\}
  T'' := RewireTransitions(T', [s], d, e)
 if [s] = s'_0 then s''_0 := d else s''_0 := s'_0
 if [s] \in S'_+ then S''_+ := (S''_+ \setminus \{[s]\}) \cup \{e\} else S''_+ := S'_+
 return \langle S'', L', c', T'', s''_0, S''_+ \rangle
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 if [s] = s'_0 then s''_0 := d else s''_0 := s'_0
 if [s] \in S'_{+} then S''_{+} := (S''_{+} \setminus \{[s]\}) \cup \{e\} else S''_{+} := S'_{+}
 return \langle S'', L', c', T'', s_0'', S_*'' \rangle
```

Split [s] into d and e.



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 return \langle S'', L', c', T'', s_0'', S_+'' \rangle
```

Update incident transitions of [s].

- Check for each incoming and outgoing transition of [s] (including self-loops) whether it needs to be rewired from/to d, from/to e, or both.
- Easy for SAS⁺ operators and Cartesian abstract states.

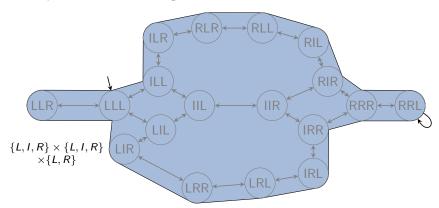
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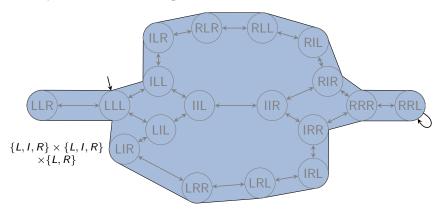
```
\begin{aligned} & \mathsf{Refine}(\langle S', L', c', T', s_0', S_\star' \rangle, \langle s, c \rangle) \\ & \langle d, e \rangle := \mathsf{Split}([s], s, c) \\ & S'' := S' \setminus \{[s]\} \cup \{d, e\} \\ & T'' := \mathsf{RewireTransitions}(T', [s], d, e) \\ & \mathbf{if} \ [s] = s_0' \ \mathsf{then} \ s_0'' := d \ \mathsf{else} \ s_0'' := s_0' \\ & \mathbf{if} \ [s] \in S_\star' \ \mathsf{then} \ S_\star'' := (S_\star'' \setminus \{[s]\}) \cup \{e\} \ \mathsf{else} \ S_\star'' := S_\star' \\ & \mathbf{return} \ \langle S'', L', c', T'', s_0'', S_\star'' \rangle \end{aligned}
```

Update abstract initial state and goal states.

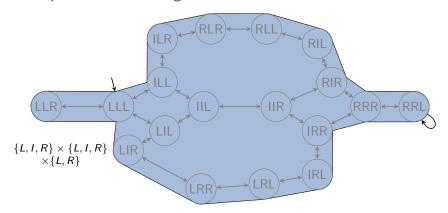
The way we defined the flaws, e can never be the abstract initial state and d never be an abstract goal state.

Example





Abstract plan $\langle \rangle$ ends in state *LLL*, which is not a goal.

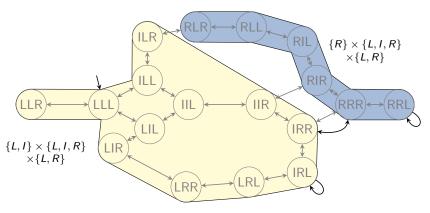


Abstract plan $\langle \rangle$ ends in state *LLL*, which is not a goal.

Refine $\{L, I, R\} \times \{L, I, R\} \times \{L, R\}$ with split $(LLL, \{R\} \times \{R\} \times \{L, R\})$.

→ split on first or second variable;

$$\rightsquigarrow \{L, I\} \times \{L, I, R\} \times \{L, R\}$$
 and $\{R\} \times \{L, I, R\} \times \{L, R\}$

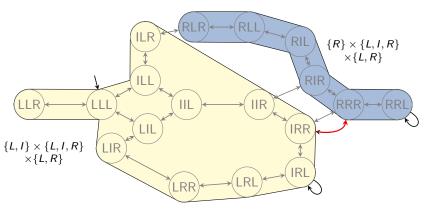


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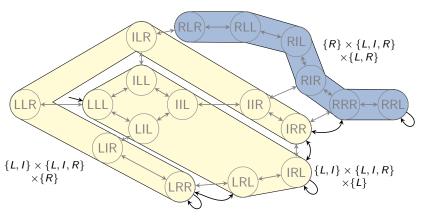
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Abstract plan $\langle \text{drop}_{A,R} \rangle$; first action inapplicable in *LLL*. Refine $\{L,I\} \times \{L,I,R\} \times \{L,R\}$ with split $(LLL,\{I\} \times \{L,I,R\} \times \{R\})$.

→ split on first or third variable;

 $\rightsquigarrow \{L, I\} \times \{L, I, R\} \times \{L\} \text{ and } \{L, I\} \times \{L, I, R\} \times \{R\}$



Abstract plan $\langle drop_{AR} \rangle$; first action inapplicable in *LLL*. Refine $\{L, I\} \times \{L, I, R\} \times \{L, R\}$ with split $(LLL, \{I\} \times \{L, I, R\} \times \{R\})$.

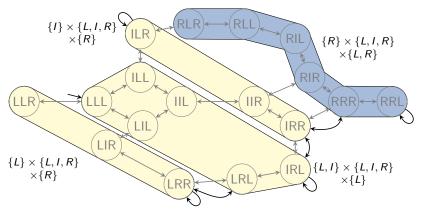
→ split on first or third variable;

 $\rightsquigarrow \{L, I\} \times \{L, I, R\} \times \{L\} \text{ and } \{L, I\} \times \{L, I, R\} \times \{R\}$

Abstract plan $\langle move_{L,R}, drop_{A,R} \rangle$; second action inapplicable in *LLR*. Refine $\{L, I\} \times \{L, I, R\} \times \{R\}$ with split $(LLR, \{I\} \times \{L, I, R\} \times \{R\})$.

→ split on first variable;

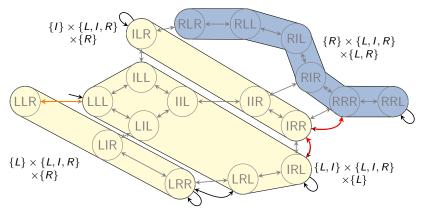
$$\rightsquigarrow \{L\} \times \{L, I, R\} \times \{R\} \text{ and } \{I\} \times \{L, I, R\} \times \{R\}$$



Abstract plan $\langle move_{L,R}, drop_{A,R} \rangle$; second action inapplicable in LLR. Refine $\{L, I\} \times \{L, I, R\} \times \{R\}$ with split $(LLR, \{I\} \times \{L, I, R\} \times \{R\})$.

→ split on first variable;

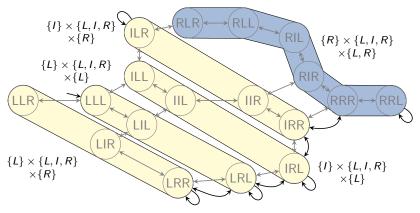
$$\rightsquigarrow \{L\} \times \{L, I, R\} \times \{R\} \text{ and } \{I\} \times \{L, I, R\} \times \{R\}$$



Abstract plan $\langle \mathsf{move}_{L,R}, \mathsf{drop}_{A,R} \rangle$; deviation flaw at first transition. Refine $\{L,I\} \times \{L,I,R\} \times \{L\}$ with split $(LLL,\{I\} \times \{L,I,R\} \times \{L\})$.

→ split on first variable;

 $\rightsquigarrow \{L\} \times \{L, I, R\} \times \{L\} \text{ and } \{I\} \times \{L, I, R\} \times \{L\}$



Abstract plan $\langle move_{L,R}, drop_{A,R} \rangle$; deviation flaw at first transition. Refine $\{L, I\} \times \{L, I, R\} \times \{L\}$ with split $(LLL, \{I\} \times \{L, I, R\} \times \{L\})$.

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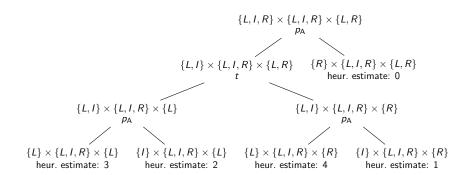
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Heuristic Representation

Representation

- In every iteration, we split one abstract state based on one variable.
- Represent abstraction as binary tree of abstract states.
 - Root: Single state of trivial abstraction
 - Leaves: Abstract states of final abstraction
- With each inner node, we store the variable on which the state was split.

Representation: Running Example



Summary

Summary

Counterexample-guided abstraction refinement (CEGAR):

- Iteratively improve a coarse abstraction:
 - Find an optimal abstract solution.
 - Try it in the concrete transition system.
 - If it fails, extract a flaw and refine the abstraction.
- Flaws: unsatisfied precondition, unsatisfied goal, deviation.
- Refinement: split abstract state based on flaw to avoid repeating it.
- Can be efficiently implemented for Cartesian abstractions.
- Can stop at any time. The resulting heuristic is safe, goal-aware, admissible and consistent.