

# Planning and Optimization

## E14. Cartesian Abstractions: CEGAR

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## E14.1 CEGAR

## E14.2 Flaws

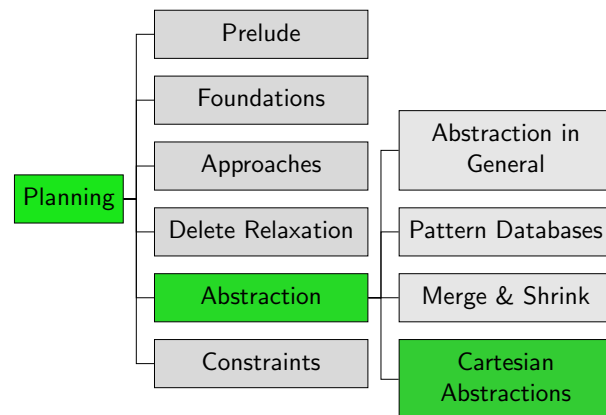
## E14.3 Refinement

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## Content of the Course



## E14.1 CEGAR

## Counterexample-Guided Abstraction Refinement

Counterexample-guided abstraction refinement (**CEGAR**) is an approach to compute a tailored abstraction for a task (or to solve it).

- ▶ Start with a very coarse abstraction.
- ▶ Iteratively compute an (optimal) abstract solution and check whether it works for the concrete tasks.
  - ▶ If yes, the task is solved.
  - ▶ If not, refine the abstraction so that the same flaw will not be encountered in future iterations.

## CEGAR Algorithm

Generic CEGAR algorithm for planning task  $\Pi$

```

 $\mathcal{T} := \text{TrivialAbstractTransitionSystem}(\Pi) \leftarrow \text{one abstract state}$ 
while not TerminationCondition():  $\leftarrow \text{e.g. time/memory limit}$ 
   $\tau := \text{FindOptimalTrace}(\mathcal{T}) \leftarrow \text{abstract solution (path in } \mathcal{T})$ 
  if  $\tau$  is “no trace” then return  $\Pi$  unsolvable
   $F := \text{FindFlaw}(\tau, \Pi, \mathcal{T})$ 
  if  $F$  is “no flaw” then
    return label sequence of  $\tau$  as plan for  $\Pi$ 
   $\mathcal{T} := \text{Refine}(\mathcal{T}, F)$ 
return  $\mathcal{T}$ 
  
```

Open questions:

- ▶ What are flaws (and how to find them)?  $\rightsquigarrow$  next
- ▶ How do we refine the system?

## E14.2 Flaws

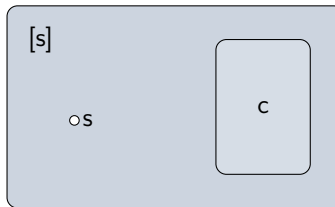
### Flaws

A flaw is a reason why (the label sequence of)  $\tau$  does not solve  $\Pi$  the way it solves the abstract system  $\mathcal{T}$  (with abstraction  $\alpha$ ).

Start from the initial state of  $\Pi$  and iteratively apply the next operator (label)  $o$  from  $\tau$ .

- ▶ **Precondition flaw**:  $o$  is not applicable in the current state  $s$ .
- ▶ **Goal flaw**: the final state is not a goal state.
- ▶ **Deviation flaw**: the next abstract transition is  $a \xrightarrow{o} a'$ , the current concrete state is  $s$  with  $\alpha(s) = a$  but for successor state  $s' = s[o]$  we have  $\alpha(s') \neq a'$  (deviating from the abstract path).

## Extracting Flaws



For the refinement, we represent flaws in the form  $\langle s, c \rangle$ , where

- ▶  $s$  is a concrete state,
- ▶  $c \subseteq [s]$  is a non-empty Cartesian set,
- ▶ the abstract plan relied on “being in  $c$ ” but  $s \notin c$ .

$\langle s, c \rangle$  will define the split for the refinement step.

## Extracting Different Kinds of Flaws

- ▶ **Precondition flaw**: if  $o$  is not applicable in state  $s$ , use  $\langle s, c \rangle$ , where  $c$  is the set of concrete states in  $[s]$  in which  $o$  is applicable.
- ▶ **Goal flaw**: if the final state  $s$  is not a goal state, use  $\langle s, c \rangle$ , where  $c$  is the set of concrete goal states in  $[s]$ .
- ▶ **Deviation flaw**: the next abstract transition is  $a \xrightarrow{o} a'$ , the current concrete state is  $s$  with  $\alpha(s) = a$  but for successor state  $s' = s[o]$  we have  $\alpha(s') \neq a'$  (deviating from the abstract path). Use  $\langle s, c \rangle$ , where  $c$  is the intersection of  $[s]$  and  $\text{regr}(a', o)$ .

Easy for Cartesian abstractions, using the results from Ch. E13.

## E14.3 Refinement

## CEGAR Algorithm

Generic CEGAR algorithm for planning task  $\Pi$

```

 $\mathcal{T} := \text{TrivialAbstractTransitionSystem}(\Pi)$ 
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     $\tau := \text{FindOptimalTrace}(\mathcal{T})$ 
    if  $\tau$  is “no trace” then return  $\Pi$  unsolvable
     $F := \text{FindFlaw}(\tau, \Pi, \mathcal{T})$ 
    if  $F$  is “no flaw” then
        return label sequence of  $\tau$  as plan for  $\Pi$ 
     $\mathcal{T} := \text{Refine}(\mathcal{T}, F)$ 
return  $\mathcal{T}$ 
  
```

Open questions:

- ▶ How do we refine the system?

## Refinement

Refinement splits abstract state  $[s]$  and maintains the transition system induced by the underlying abstraction.

**Refine**( $\langle S', L', c', T', s'_0, S'_* \rangle, \langle s, c \rangle$ )

$\langle d, e \rangle := \text{Split}([s], s, c)$

$S'' := S' \setminus \{[s]\} \cup \{d, e\}$

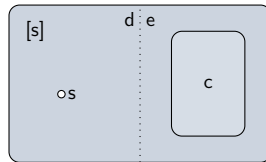
$T'' := \text{RewireTransitions}(T', [s], d, e)$

**if**  $[s] = s'_0$  **then**  $s''_0 := d$  **else**  $s''_0 := s'_0$

**if**  $[s] \in S'_*$  **then**  $S''_* := (S'_* \setminus \{[s]\}) \cup \{e\}$  **else**  $S''_* := S'_*$

**return**  $\langle S'', L', c', T'', s''_0, S''_* \rangle$

Split  $[s]$  into  $d$  and  $e$ .



## Refinement

Refinement splits abstract state  $[s]$  and maintains the transition system induced by the underlying abstraction.

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**return**  $\langle S'', L', c', T'', s''_0, S''_* \rangle$

Update incident transitions of  $[s]$ .

- Check for each incoming and outgoing transition of  $[s]$  (including self-loops) whether it needs to be rewired from/to  $d$ , from/to  $e$ , or both.
- Easy for  $\text{SAS}^+$  operators and Cartesian abstract states.

## Refinement

Refinement splits abstract state  $[s]$  and maintains the transition system induced by the underlying abstraction.

**Refine**( $\langle S', L', c', T', s'_0, S'_* \rangle, \langle s, c \rangle$ )

$\langle d, e \rangle := \text{Split}([s], s, c)$

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$T'' := \text{RewireTransitions}(T', [s], d, e)$

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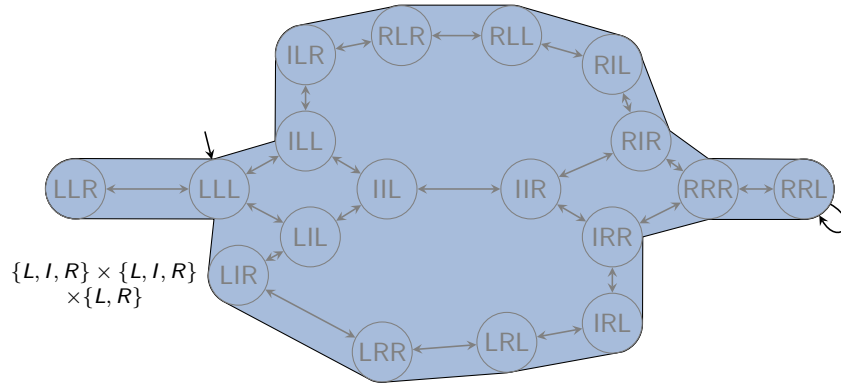
**return**  $\langle S'', L', c', T'', s''_0, S''_* \rangle$

Update abstract initial state and goal states.

The way we defined the flaws,  $e$  can never be the abstract initial state and  $d$  never be an abstract goal state.

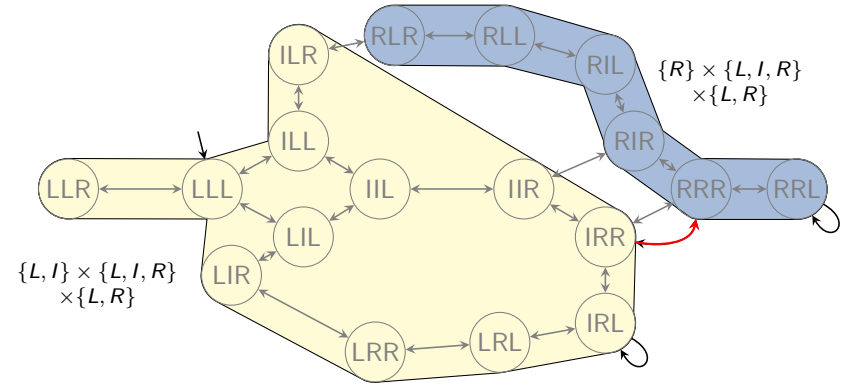
## E14.4 Example

## Example: Two Packages, One Truck



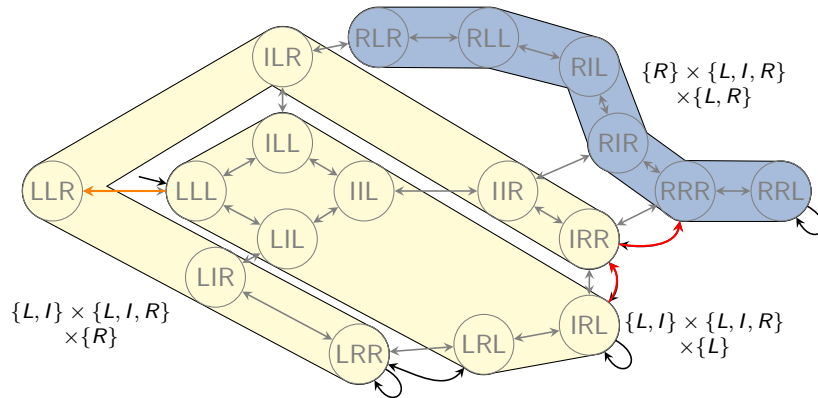
Abstract plan  $\langle \rangle$  ends in state  $LLL$ , which is not a goal.  
 Refine  $\{L, I, R\} \times \{L, I, R\} \times \{L, R\}$  with split  $(LLL, \{R\} \times \{R\} \times \{L, R\})$ .  
 $\rightsquigarrow$  split on **first** or second variable;  
 $\rightsquigarrow \{L, I\} \times \{L, I, R\} \times \{L, R\}$  and  $\{R\} \times \{L, I, R\} \times \{L, R\}$

## Example: Two Packages, One Truck



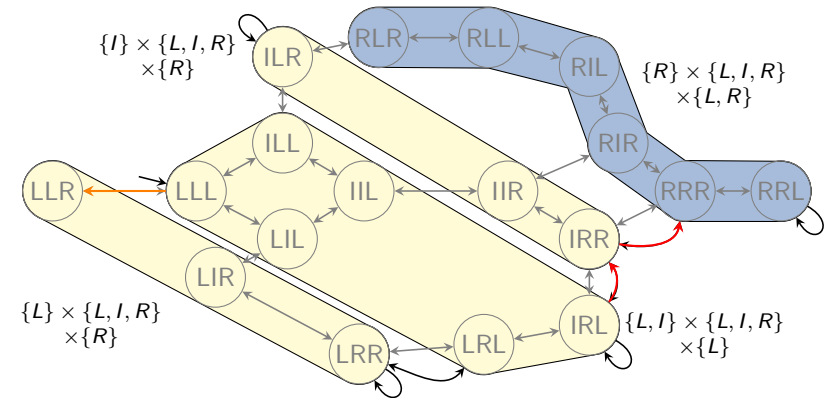
Abstract plan  $\langle \text{drop}_{A,R} \rangle$ ; first action inapplicable in  $LLL$ .  
 Refine  $\{L, I\} \times \{L, I, R\} \times \{L, R\}$  with split  $(LLL, \{I\} \times \{L, I, R\} \times \{R\})$ .  
 $\rightsquigarrow$  split on first or **third** variable;  
 $\rightsquigarrow \{L, I\} \times \{L, I, R\} \times \{L\}$  and  $\{L, I\} \times \{L, I, R\} \times \{R\}$

## Example: Two Packages, One Truck



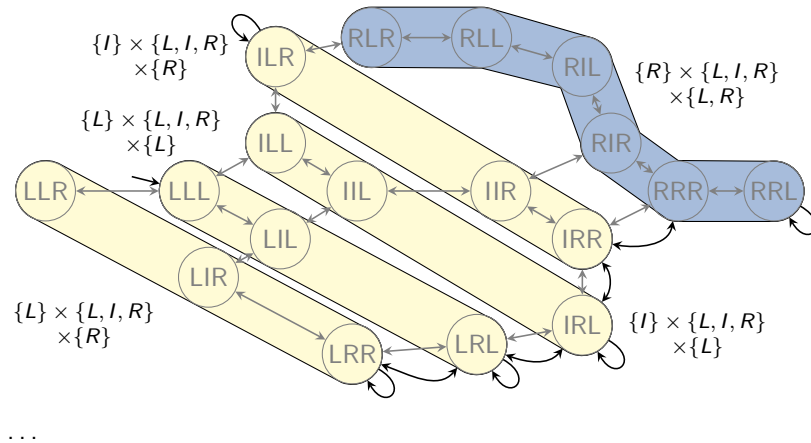
Abstract plan  $\langle \text{move}_{L,R}, \text{drop}_{A,R} \rangle$ ; second action inapplicable in  $LLR$ .  
 Refine  $\{L, I\} \times \{L, I, R\} \times \{R\}$  with split  $(LLR, \{I\} \times \{L, I, R\} \times \{R\})$ .  
 $\rightsquigarrow$  split on **first** variable;  
 $\rightsquigarrow \{L\} \times \{L, I, R\} \times \{R\}$  and  $\{I\} \times \{L, I, R\} \times \{R\}$

## Example: Two Packages, One Truck



Abstract plan  $\langle \text{move}_{L,R}, \text{drop}_{A,R} \rangle$ ; deviation flaw at first transition.  
 Refine  $\{L, I\} \times \{L, I, R\} \times \{L\}$  with split  $(LLL, \{I\} \times \{L, I, R\} \times \{L\})$ .  
 $\rightsquigarrow$  split on **first** variable;  
 $\rightsquigarrow \{L\} \times \{L, I, R\} \times \{L\}$  and  $\{I\} \times \{L, I, R\} \times \{L\}$

## Example: Two Packages, One Truck

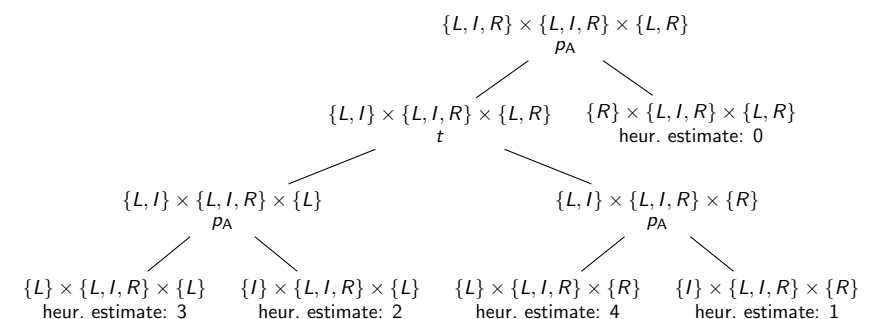


## E14.5 Heuristic Representation

## Representation

- ▶ In every iteration, we split one abstract state based on one variable.
- ▶ Represent abstraction as binary tree of abstract states.
  - ▶ Root: Single state of trivial abstraction
  - ▶ Leaves: Abstract states of final abstraction
- ▶ With each inner node, we store the variable on which the state was split.

## Representation: Running Example



## E14.6 Summary

## Summary

Counterexample-guided abstraction refinement (**CEGAR**):

- ▶ Iteratively improve a coarse abstraction:
  - ▶ Find an optimal abstract solution.
  - ▶ Try it in the concrete transition system.
  - ▶ If it fails, extract a flaw and refine the abstraction.
- ▶ Flaws: unsatisfied precondition, unsatisfied goal, deviation.
- ▶ Refinement: split abstract state based on flaw to avoid repeating it.
- ▶ Can be efficiently implemented for Cartesian abstractions.
- ▶ Can stop at any time. The resulting heuristic is safe, goal-aware, admissible and consistent.