Planning and Optimization

E10. Merge-and-Shrink: Algorithm

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Generic Algorithm

## Content of the Course Prelude Foundations Abstraction in Approaches General Planning -Delete Relaxation Pattern Databases Merge & Shrink Abstraction Constraints Cartesian Abstractions M. Helmert, G. Röger (Universität Basel) Planning and Optimization November 17, 2025

## E10.1 Generic Algorithm

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Generic Algorithm

#### Generic Merge-and-shrink Abstractions: Outline

Using the results of the previous chapter, we can develop a generic abstraction computation procedure that takes all state variables into account.

- Initialization: Compute the FTS consisting of all atomic projections.
- ▶ Loop: Repeatedly apply a transformation to the FTS.
  - ► Merging: Combine two factors by replacing them with their synchronized product.
  - ► Shrinking: If the factors are too large, make one of them smaller by abstracting it further (applying an arbitrary abstraction to it).
- ► Termination: Stop when only one factor is left.

The final factor is then used for an abstraction heuristic.

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choose an abstraction mapping  $\beta$  on  $\mathcal{T}$ 

Generic Merge & Shrink Algorithm for planning task Π

 $F := (F \setminus \{\mathcal{T}_1, \mathcal{T}_2\}) \cup \{\mathcal{T}_1 \otimes \mathcal{T}_2\}$ 

select  $type \in \{merge, shrink\}$ 

select  $\mathcal{T}_1, \mathcal{T}_2 \in F$ 

 $F := (F \setminus \{\mathcal{T}\}) \cup \{\mathcal{T}^{\beta}\}$ 

select  $\mathcal{T} \in F$ 

**return** the remaining factor  $\mathcal{T}^{\alpha}$  in F

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Generic Algorithm

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Generic Algorithm

#### Merge-and-Shrink Strategies

#### Choices to resolve to instantiate the template:

- When to merge, when to shrink? → general strategy
- ▶ Which abstractions to merge?
- → merge strategy
- ▶ Which abstraction to shrink, and how to shrink it (which  $\beta$ )?  $\rightsquigarrow$  shrink strategy

merge and shrink strategies → Ch. E11/E12

E10. Merge-and-Shrink: Algorithm

E10. Merge-and-Shrink: Algorithm

 $F := F(\Pi)$ 

while |F| > 1:

Generic Algorithm Template

**if** type = merge:

**if** *type* = shrink:

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Generic Algorithm

#### General Strategy

#### A typical general strategy:

- ▶ define a limit *N* on the number of states allowed in each factor
- ▶ in each iteration, select two factors we would like to merge
- ▶ merge them if this does not exhaust the state number limit
- otherwise shrink one or both factors just enough to make a subsequent merge possible

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E10. Merge-and-Shrink: Algorithm Example

## E10.2 Example

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Back to the Running Example

Logistics problem with one package, two trucks, two locations:

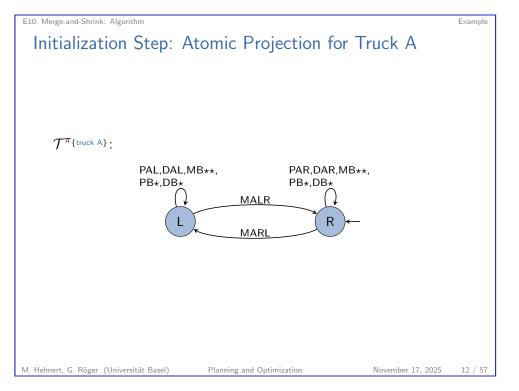
state variable package: {L, R, A, B}

state variable truck A: {L, R}

► state variable truck B: {*L*, *R*}

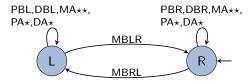
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# 



### Initialization Step: Atomic Projection for Truck B

 $\mathcal{T}^{\pi}\{\mathsf{truck}\;\mathsf{B}\}$  :



current FTS:  $\{\mathcal{T}^{\pi}\{\text{package}\}, \mathcal{T}^{\pi}\{\text{truck A}\}, \mathcal{T}^{\pi}\{\text{truck B}\}\}$ 

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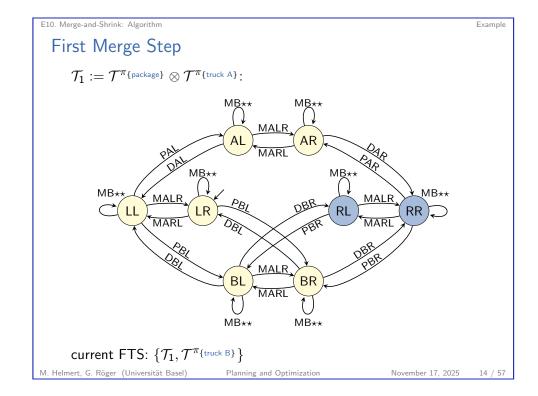
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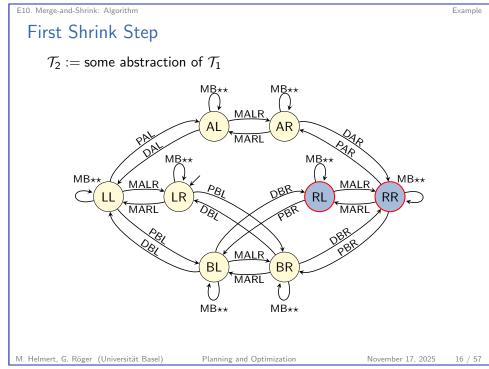
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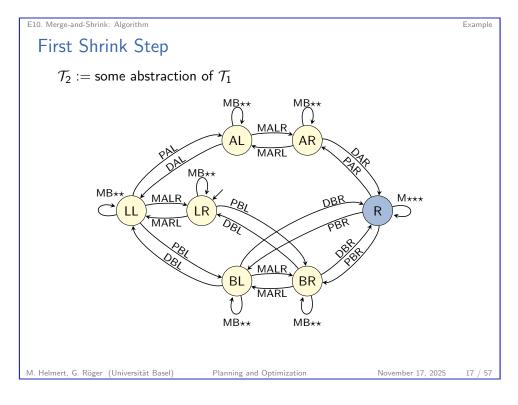
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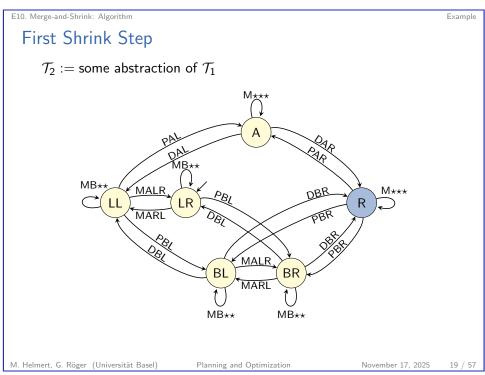
#### Need to Shrink?

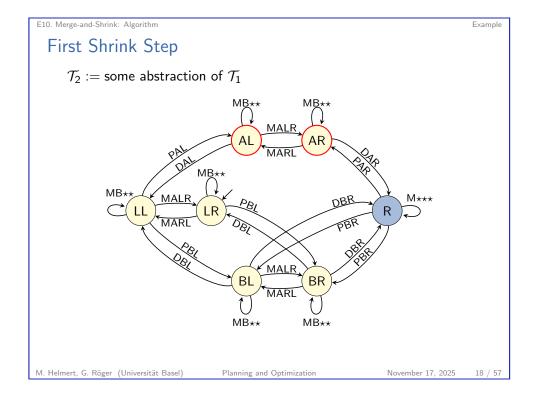
- lacktriangle With sufficient memory, we could now compute  $\mathcal{T}_1 \otimes \mathcal{T}^{\pi_{\{\text{truck B}\}}}$ and recover the full transition system of the task.
- ► However, to illustrate the general idea, we assume that memory is too restricted: we may never create a factor with more than 8 states.
- ▶ To make the product fit the bound, we shrink  $\mathcal{T}_1$  to 4 states. We can decide freely how exactly to abstract  $\mathcal{T}_1$ .
- ▶ In this example, we manually choose an abstraction that leads to a good result in the end. Making good shrinking decisions algorithmically is the job of the shrink strategy.

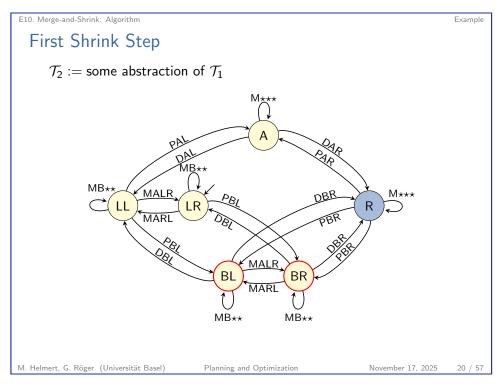


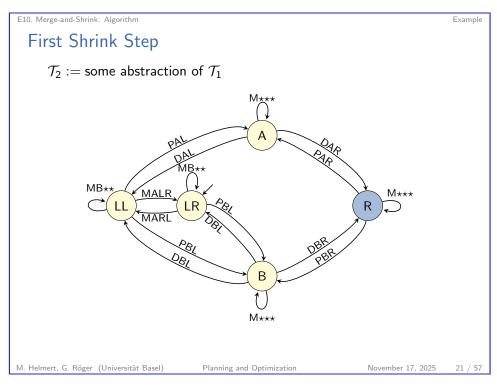


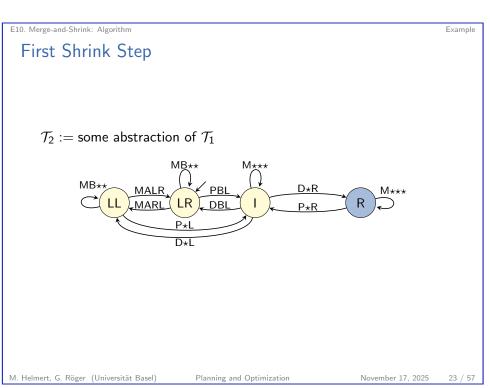


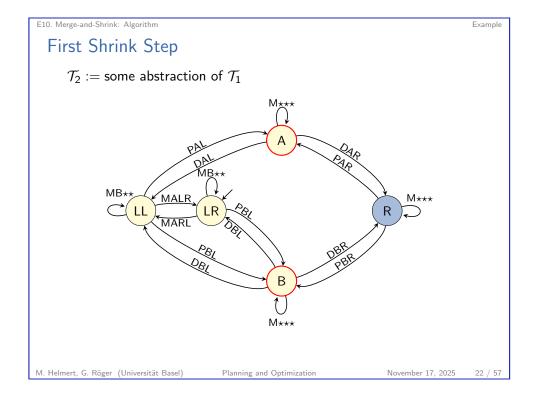


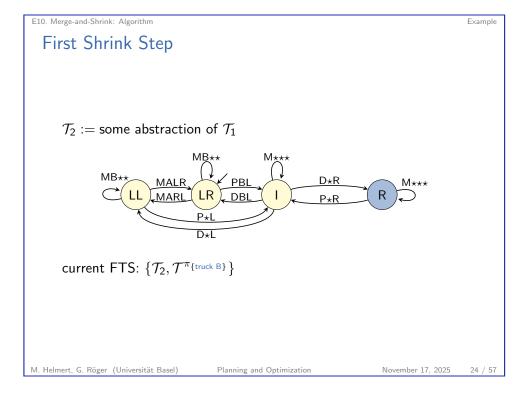


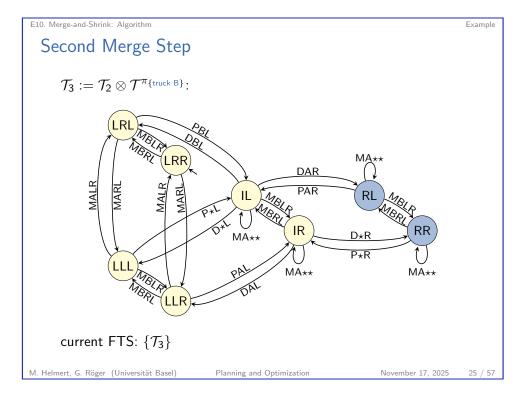












E10. Merge-and-Shrink: Algorithm Maintaining the Abstraction

## E10.3 Maintaining the Abstraction

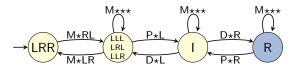
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E10. Merge-and-Shrink: Algorithm

#### Another Shrink Step?

At this point, merge-and-shrink construction stops. The distances in the final factor define the heuristic function.

▶ If there were further state variables to integrate. we would shrink again, e.g., leading to the following abstraction (again with four states):



- ▶ We get a heuristic value of 3 for the initial state, better than any PDB heuristic that is a proper abstraction.
- ► The example generalizes to arbitrarily many trucks, even if we stick to the fixed size limit of 8.

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E10. Merge-and-Shrink: Algorithm

Maintaining the Abstraction

#### Generic Algorithm Template

```
Generic Merge & Shrink Algorithm for planning task Π
 F := F(\Pi)
 while |F| > 1:
           select type \in \{merge, shrink\}
           if type = merge:
                     select \mathcal{T}_1, \mathcal{T}_2 \in F
                     F := (F \setminus \{\mathcal{T}_1, \mathcal{T}_2\}) \cup \{\mathcal{T}_1 \otimes \mathcal{T}_2\}
           if type = shrink:
                     select \mathcal{T} \in \mathcal{F}
                     choose an abstraction mapping \beta on \mathcal{T}
                     F := (F \setminus \{\mathcal{T}\}) \cup \{\mathcal{T}^{\beta}\}
 return the remaining factor \mathcal{T}^{\alpha} in F
```

- ▶ The algorithm computes an abstract transition system.
- For the heuristic evaluation, we need an abstraction.
- How to maintain and represent the corresponding abstraction?

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Maintaining the Abstraction

#### The Need for Succinct Abstractions

- ▶ One major difficulty for non-PDB abstraction heuristics is to succinctly represent the abstraction.
- ► For pattern databases, this is easy because the abstractions projections – are very structured.
- For less rigidly structured abstractions, we need another idea.

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E10. Merge-and-Shrink: Algorithm

#### How to Represent the Abstraction? (1)

Idea: the computation of the abstraction follows the sequence of product computations

- $\blacktriangleright$  For the atomic abstractions  $\pi_{\{v\}}$ , we generate a one-dimensional table that denotes which value in dom(v)corresponds to which abstract state in  $\mathcal{T}^{\pi_{\{v\}}}$ .
- ▶ During the merge (product) step  $A := A_1 \otimes A_2$ , we generate a two-dimensional table that denotes which pair of states of  $A_1$  and  $A_2$  corresponds to which state of A.
- ▶ During the shrink (abstraction) steps, we make sure to keep the table in sync with the abstraction choices.

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Maintaining the Abstraction

#### How to Represent the Abstraction? (2)

Idea: the computation of the abstraction mapping follows the sequence of product computations

- ▶ Once we have computed the final abstract transition system, we compute all abstract goal distances and store them in a one-dimensional table.
- At this point, we can throw away all the abstract transition systems - we just need to keep the tables.
- During search, we do a sequence of table lookups to navigate from the atomic abstraction states to the final abstract state and heuristic value

 $\rightarrow$  2|V| lookups, O(|V|) time

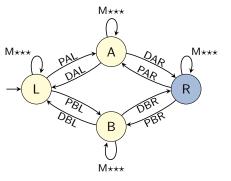
Again, we illustrate the process with our running example.

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Maintaining the Abstraction

#### Abstraction Example: Atomic Abstractions

Computing abstractions for the transition systems of atomic abstractions is simple. Just number the states (domain values) consecutively and generate a table of references to the states:

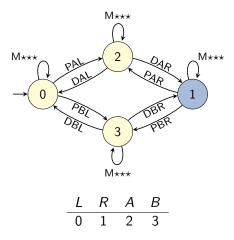


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### Abstraction Example: Atomic Abstractions

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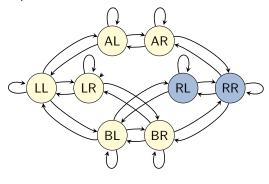
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#### Abstraction Example: Merge Step

For product transition systems  $A_1 \otimes A_2$ , we again number the product states consecutively and generate a table that links state pairs of  $A_1$  and  $A_2$  to states of A:



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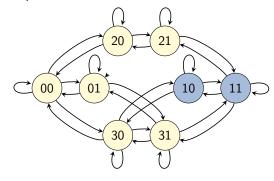
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Maintaining the Abstraction

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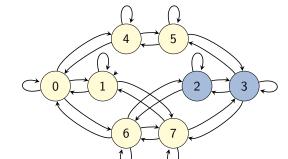


E10. Merge-and-Shrink: Algorithm

Maintaining the Abstraction

### Abstraction Example: Merge Step

For product transition systems  $\mathcal{A}_1 \otimes \mathcal{A}_2$ , we again number the product states consecutively and generate a table that links state pairs of  $\mathcal{A}_1$  and  $\mathcal{A}_2$  to states of  $\mathcal{A}$ :



	$s_2=0$	$s_2 = 1$
$s_1 = 0$	0	1
$s_1 = 1$	2	3
$s_1 = 2$	4	5
$s_1 = 3$	6	7

#### Maintaining the Abstraction when Shrinking

- ▶ The hard part in representing the abstraction is to keep it consistent when shrinking.
- In theory, this is easy to do:
  - $\triangleright$  When combining states i and j, arbitrarily use one of them (say i) as the number of the new state.
  - Find all table entries in the table for this abstraction which map to the other state i and change them to i.
- ► However, doing a table scan each time two states are combined is very inefficient.
- Fortunately, there also is an efficient implementation which takes constant time per combination.

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#### Maintaining the Abstraction Efficiently

- Associate each abstract state with a linked list, representing all table entries that map to this state.
- ▶ Before starting the shrink operation, initialize the lists by scanning through the table, then discard the table.
- ▶ While shrinking, when combining i and j, splice the list elements of j into the list elements of i.
  - For linked lists, this is a constant-time operation.
- ▶ Once shrinking is completed, renumber all abstract states so that there are no gaps in the numbering.
- Finally, regenerate the mapping table from the linked list information.

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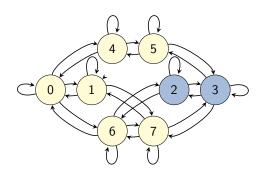
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E10. Merge-and-Shrink: Algorithm

Maintaining the Abstraction

#### Abstraction Example: Shrink Step

#### Representation before shrinking:



	$s_2 = 0$	$s_2 = 1$
$s_1 = 0$	0	1
$s_1 = 1$	2	3
$s_1 = 2$	4	5
$s_1 = 3$	6	7

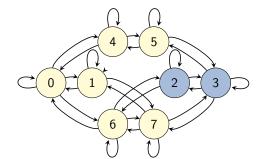
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E10. Merge-and-Shrink: Algorithm

Maintaining the Abstraction

#### Abstraction Example: Shrink Step

1. Convert table to linked lists and discard it.



$IISt_0 =$	$\{(0,0)\}$
$\mathit{list}_1 =$	$\{(0,1)\}$
$list_2 =$	$\{(1,0)\}$
1:-4	(/1 1))

((0, 0))

$$list_3 = \{(1,1)\}\$$
  
 $list_4 = \{(2,0)\}\$ 

$$list_5 = \{(2,1)\}$$

$$list_5 = \{(2,1)\}\$$
  
 $list_6 = \{(3,0)\}\$ 

$$list_7 = \{(3,1)\}$$

	$s_2 = 0$	$s_2 = 1$
$s_1 = 0$	0	1
$s_1 = 1$	2	3
$s_1 = 2$	4	5
$s_1 = 3$	6	7

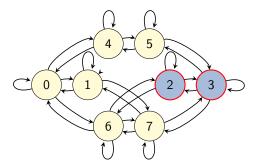
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Maintaining the Abstraction

#### Abstraction Example: Shrink Step

2. When combining i and j, splice  $list_i$  into  $list_i$ .



$$\begin{array}{l} \textit{list}_0 = \{(0,0)\} \\ \textit{list}_1 = \{(0,1)\} \\ \textit{list}_2 = \{(1,0)\} \\ \textit{list}_3 = \{(1,1)\} \\ \textit{list}_4 = \{(2,0)\} \\ \textit{list}_5 = \{(2,1)\} \\ \textit{list}_6 = \{(3,0)\} \\ \textit{list}_7 = \{(3,1)\} \end{array}$$

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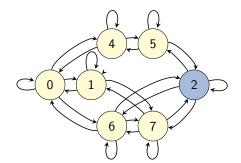
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#### E10. Merge-and-Shrink: Algorithm

Maintaining the Abstraction

#### Abstraction Example: Shrink Step

2. When combining i and j, splice  $list_i$  into  $list_i$ .



 $list_0 = \{(0,0)\}$  $list_1 = \{(0,1)\}$  $list_2 = \{(1,0), (1,1)\}$  $list_3 = \emptyset$  $list_4 = \{(2,0)\}$  $list_5 = \{(2,1)\}$  $list_6 = \{(3,0)\}$  $list_7 = \{(3,1)\}$ 

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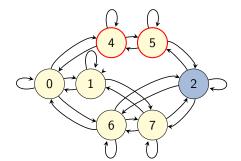
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E10. Merge-and-Shrink: Algorithm

Maintaining the Abstraction

#### Abstraction Example: Shrink Step

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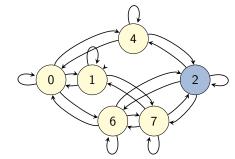
$$\begin{array}{l} \textit{list}_0 = \{(0,0)\} \\ \textit{list}_1 = \{(0,1)\} \\ \textit{list}_2 = \{(1,0),(1,1)\} \\ \textit{list}_3 = \emptyset \\ \textit{list}_4 = \{(2,0)\} \\ \textit{list}_5 = \{(2,1)\} \\ \textit{list}_6 = \{(3,0)\} \\ \textit{list}_7 = \{(3,1)\} \end{array}$$

E10. Merge-and-Shrink: Algorithm

Maintaining the Abstraction

#### Abstraction Example: Shrink Step

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 $list_0 = \{(0,0)\}$  $list_1 = \{(0,1)\}$  $list_2 = \{(1,0), (1,1)\}$  $list_3 = \emptyset$  $list_4 = \{(2,0),(2,1)\}$  $list_5 = \emptyset$  $list_6 = \{(3,0)\}$ 

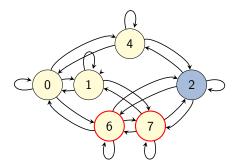
 $list_7 = \{(3,1)\}$ 

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#### Maintaining the Abstraction

#### Abstraction Example: Shrink Step

2. When combining i and j, splice  $list_i$  into  $list_i$ .



$$\begin{array}{l} \textit{list}_0 = \{(0,0)\} \\ \textit{list}_1 = \{(0,1)\} \\ \textit{list}_2 = \{(1,0),(1,1)\} \\ \textit{list}_3 = \emptyset \\ \textit{list}_4 = \{(2,0),(2,1)\} \\ \textit{list}_5 = \emptyset \\ \textit{list}_6 = \{(3,0)\} \\ \textit{list}_7 = \{(3,1)\} \end{array}$$

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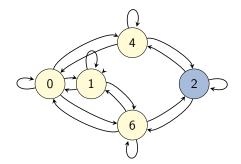
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#### Abstraction Example: Shrink Step

2. When combining i and j, splice  $list_i$  into  $list_i$ .





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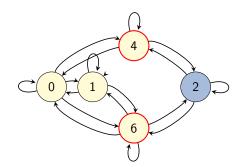
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Maintaining the Abstraction

#### Abstraction Example: Shrink Step

2. When combining i and j, splice  $list_i$  into  $list_i$ .



$$\begin{array}{l} \textit{list}_0 = \{(0,0)\} \\ \textit{list}_1 = \{(0,1)\} \\ \textit{list}_2 = \{(1,0),(1,1)\} \\ \textit{list}_3 = \emptyset \\ \textit{list}_4 = \{(2,0),(2,1)\} \\ \textit{list}_5 = \emptyset \\ \textit{list}_6 = \{(3,0),(3,1)\} \\ \textit{list}_7 = \emptyset \end{array}$$

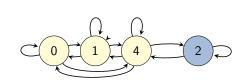
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Maintaining the Abstraction

#### Abstraction Example: Shrink Step

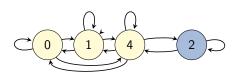
2. When combining i and j, splice  $list_j$  into  $list_i$ .



```
\begin{array}{l} \textit{list}_0 = \{(0,0)\} \\ \textit{list}_1 = \{(0,1)\} \\ \textit{list}_2 = \{(1,0),(1,1)\} \\ \textit{list}_3 = \emptyset \\ \textit{list}_4 = \{(2,0),(2,1),\\ (3,0),(3,1)\} \\ \textit{list}_5 = \emptyset \\ \textit{list}_6 = \emptyset \\ \textit{list}_7 = \emptyset \end{array}
```

#### Abstraction Example: Shrink Step

2. When combining i and j, splice  $list_i$  into  $list_i$ .



$$\begin{array}{l} \textit{list}_0 = \{(0,0)\} \\ \textit{list}_1 = \{(0,1)\} \\ \textit{list}_2 = \{(1,0),(1,1)\} \\ \textit{list}_3 = \emptyset \\ \textit{list}_4 = \{(2,0),(2,1),\\ (3,0),(3,1)\} \\ \textit{list}_5 = \emptyset \\ \textit{list}_6 = \emptyset \\ \textit{list}_7 = \emptyset \end{array}$$

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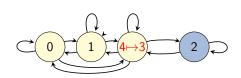
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#### Abstraction Example: Shrink Step

3. Renumber abstract states consecutively.



$$\begin{array}{l} \textit{list}_0 = \{(0,0)\} \\ \textit{list}_1 = \{(0,1)\} \\ \textit{list}_2 = \{(1,0),(1,1)\} \\ \textit{list}_3 = \emptyset \\ \textit{list}_4 = \{(2,0),(2,1), \\ (3,0),(3,1)\} \\ \textit{list}_5 = \emptyset \\ \textit{list}_6 = \emptyset \\ \textit{list}_7 = \emptyset \end{array}$$

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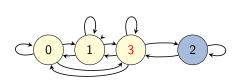
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E10. Merge-and-Shrink: Algorithm

Maintaining the Abstraction

#### Abstraction Example: Shrink Step

3. Renumber abstract states consecutively.



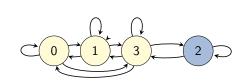
$$\begin{array}{l} \textit{list}_0 = \{(0,0)\} \\ \textit{list}_1 = \{(0,1)\} \\ \textit{list}_2 = \{(1,0),(1,1)\} \\ \textit{list}_3 = \{(2,0),(2,1),\\ (3,0),(3,1)\} \\ \textit{list}_4 = \emptyset \\ \textit{list}_5 = \emptyset \\ \textit{list}_6 = \emptyset \\ \textit{list}_7 = \emptyset \end{array}$$

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Maintaining the Abstraction

### Abstraction Example: Shrink Step

4. Regenerate the mapping table from the linked lists.

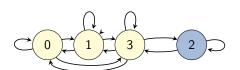


$$\begin{array}{l} \textit{list}_0 = \{(0,0)\} \\ \textit{list}_1 = \{(0,1)\} \\ \textit{list}_2 = \{(1,0),(1,1)\} \\ \textit{list}_3 = \{(2,0),(2,1),\\ (3,0),(3,1)\} \\ \textit{list}_4 = \emptyset \\ \textit{list}_5 = \emptyset \\ \textit{list}_6 = \emptyset \\ \textit{list}_7 = \emptyset \end{array}$$

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### Abstraction Example: Shrink Step

4. Regenerate the mapping table from the linked lists.



$$\begin{array}{l} \textit{list}_0 = \{(0,0)\} \\ \textit{list}_1 = \{(0,1)\} \\ \textit{list}_2 = \{(1,0),(1,1)\} \\ \textit{list}_3 = \{(2,0),(2,1),\\ (3,0),(3,1)\} \end{array}$$

$$list_5 = \emptyset$$

$$list_6 = \emptyset$$
  
 $list_7 = \emptyset$ 

$$\begin{array}{c|ccccc} & s_2 = 0 & s_2 = 1 \\ \hline s_1 = 0 & 0 & 1 \\ s_1 = 1 & 2 & 2 \\ & & 2 & 3 \end{array}$$

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Summ

## E10.4 Summary

#### The Final Heuristic Representation

At the end, our heuristic is represented by six tables:

three one-dimensional tables for the atomic abstractions:

two tables for the two merge and subsequent shrink steps:

• one table with goal distances for the final transition system:

Given a state  $s = \{ package \mapsto L, truck A \mapsto L, truck B \mapsto R \}$ , its heuristic value is then looked up as:

$$h(s) = T_h[T_{m\&s}^2[T_{m\&s}^1[T_{package}[L], T_{truck A}[L]], T_{truck B}[R]]]$$

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E10. Merge-and-Shrink: Algorithm

Summa

## Summary (1)

- ► Merge-and-shrink abstractions are constructed by iteratively transforming the factored transition system of a planning task.
- ► Merge transformations combine two factors into their synchronized product.
- Shrink transformations reduce the size of a factor by abstracting it.
- Merge-and-shrink abstractions are represented by a set of reference tables, one for each atomic abstraction and one for each merge-and-shrink step.
- ► The heuristic representation uses an additional table for the goal distances in the final abstract transition system.

Summary (2)

- ▶ Projections of SAS<sup>+</sup> tasks correspond to merges of atomic factors.
- ▶ By also including shrinking, merge-and-shrink abstractions generalize projections: they can reflect all state variables, but in a potentially lossy way.

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