Planning and Optimization

E9. Merge-and-Shrink: Factored Transition Systems

Malte Helmert and Gabriele Röger

Universität Basel

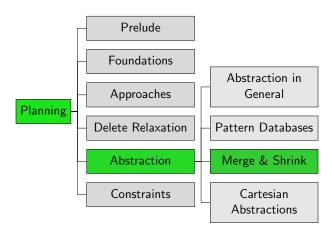
November 17, 2025

Planning and Optimization

November 17, 2025 — E9. Merge-and-Shrink: Factored Transition Systems

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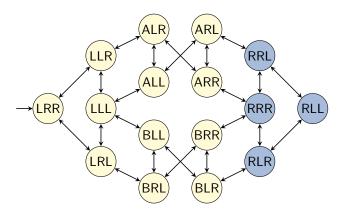


E9.1 Motivation

Beyond Pattern Databases

- Despite their popularity, pattern databases have some fundamental limitations (→ example on next slides).
- Today and next time, we study a class of abstractions called merge-and-shrink abstractions.
- Merge-and-shrink abstractions can be seen as a proper generalization of pattern databases.
 - They can do everything that pattern databases can do (modulo polynomial extra effort).
 - They can do some things that pattern databases cannot.

Back to the Running Example

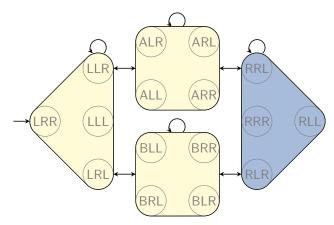


Logistics problem with one package, two trucks, two locations:

- ightharpoonup state variable package: $\{L, R, A, B\}$
- state variable truck A: {L, R}
- ► state variable truck B: {*L*, *R*}

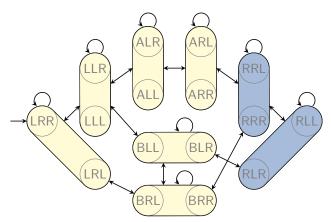
Example: Projection (1)

$\mathcal{T}^{\pi_{\{\text{package}\}}}$:



Example: Projection (2)

$\mathcal{T}^{\pi_{\{\text{package},\text{truck A}\}}}$:



Limitations of Projections

How accurate is the PDB heuristic?

- consider generalization of the example:N trucks, 1 package
- lacktriangle consider any pattern that is a proper subset of variable set V
- ▶ $h(s_0) \le 2 \rightsquigarrow$ no better than atomic projection to package

These values cannot be improved by maximizing over several patterns or using additive patterns.

Merge-and-shrink abstractions can represent heuristics with $h(s_0) \ge 3$ for tasks of this kind of any size. Time and space requirements are linear in N.

(In fact, with time/space $O(N^2)$ we can construct a merge-and-shrink abstraction that gives the perfect heuristic h^* for such tasks, but we do not show this here.)

E9.2 Main Idea

Merge-and-Shrink Abstractions: Main Idea

Main Idea of Merge-and-shrink Abstractions (due to Dräger, Finkbeiner & Podelski, 2006):

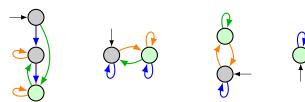
(due to Drager, Finkbeiner & Podeiski, 2000)

Instead of perfectly reflecting a few state variables, reflect all state variables, but in a potentially lossy way.

- Represent planning task as factored transition system (FTS): a set of (small) abstract transition systems (factors) that jointly represent the full transition system of the task.
- Iteratively transform FTS by:
 - merging: combining two factors into one
 - shrinking: reducing the size of a single factor by abstraction
- ► When only a single factor is left, its goal distances are the merge-and-shrink heuristic values.

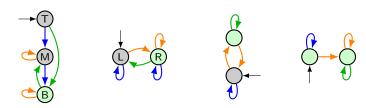
Merge-and-Shrink Abstractions: Idea

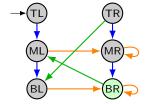
Start from atomic factors (projections to single state variables)



Merge-and-Shrink Abstractions: Idea

Merge: replace two factors with their product



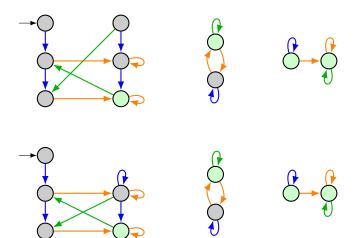






Merge-and-Shrink Abstractions: Idea

Shrink: replace a factor by an abstraction of it



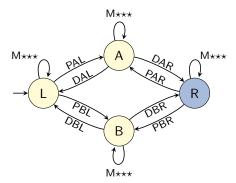
E9.3 Atomic Projections

Running Example: Explanations

- Atomic projections (projections to a single state variable) play an important role for merge-and-shrink abstractions.
- Unlike previous chapters, transition labels are critically important for merge-and-shrink.
- Hence we now look at the transition systems for atomic projections of our example task, including transition labels.
- We abbreviate labels (operator names) as in these examples:
 - ► MALR: move truck A from left to right
 - ► DAR: drop package from truck A at right location
 - PBL: pick up package with truck B at left location
- We abbreviate parallel arcs with commas and wildcards (★) as in these examples:
 - ▶ PAL, DAL: two parallel arcs labeled PAL and DAL
 - ► MA**: two parallel arcs labeled MALR and MARL

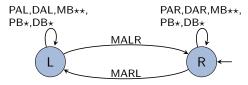
Running Example: Atomic Projection for Package

 $\mathcal{T}^{\pi_{\{\text{package}\}}}$:



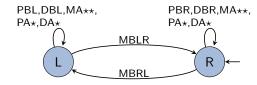
Running Example: Atomic Projection for Truck A

$\mathcal{T}^{\pi_{\{\text{truck A}\}}}$:



Running Example: Atomic Projection for Truck B

 $\mathcal{T}^{\pi_{\{\text{truck B}\}}}$:



E9.4 Synchronized Product

Synchronized Product: Idea

- ► Given two abstract transition systems with the same labels, we can compute a product transition system.
- ► The product transition system captures all information of both transition systems.
- A sequence of labels is a solution for the product iff it is a solution for both factors.

Synchronized Product of Transition Systems

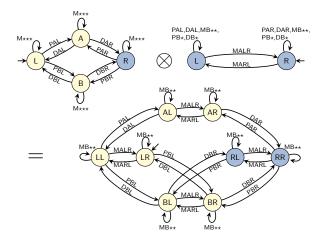
Definition (Synchronized Product of Transition Systems)

For $i \in \{1,2\}$, let $\mathcal{T}_i = \langle S_i, L, c, \mathcal{T}_i, s_{0i}, S_{\star i} \rangle$ be transition systems with the same labels and cost function.

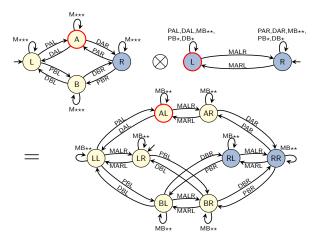
The synchronized product of \mathcal{T}_1 and \mathcal{T}_2 , in symbols $\mathcal{T}_1 \otimes \mathcal{T}_2$, is the transition system $\mathcal{T}_{\otimes} = \langle S_{\otimes}, L, c, T_{\otimes}, s_{0\otimes}, S_{\star \otimes} \rangle$ with

- $ightharpoonup S_{\otimes} = S_1 \times S_2$
- $T_{\otimes} = \{ \langle s_1, s_2 \rangle \xrightarrow{\ell} \langle t_1, t_2 \rangle \mid s_1 \xrightarrow{\ell} t_1 \in T_1 \text{ and } s_2 \xrightarrow{\ell} t_2 \in T_2 \}$
- $> s_{0\otimes} = \langle s_{01}, s_{02} \rangle$

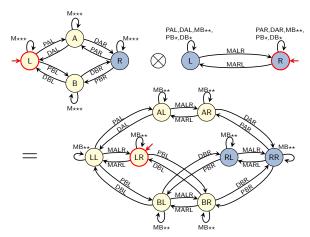
$$\mathcal{T}^{\pi_{\{ extsf{package}\}}} \otimes \mathcal{T}^{\pi_{\{ extsf{truck A}\}}}$$
 :



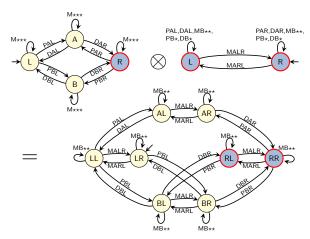
$$\mathcal{T}^{\pi_{ ext{package}}} \otimes \mathcal{T}^{\pi_{ ext{truck A}}}$$
: $S_{\otimes} = S_1 imes S_2$



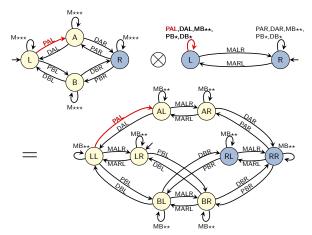
$$\mathcal{T}^{\pi_{ ext{package}}} \otimes \mathcal{T}^{\pi_{ ext{truck A}}}$$
: $s_{0\otimes} = \langle s_{01}, s_{02}
angle$



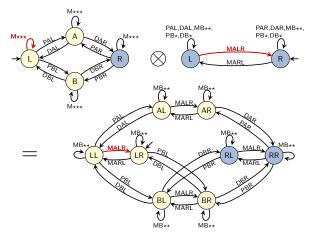
$$\mathcal{T}^{\pi_{ ext{package}}} \otimes \mathcal{T}^{\pi_{ ext{truck A}}}$$
: $S_{\star \otimes} = S_{\star 1} \times S_{\star 2}$



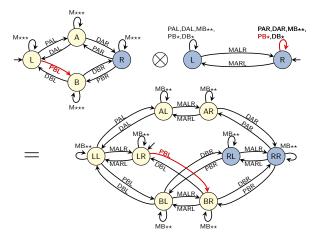
$$\begin{split} \mathcal{T}^{\pi_{\{\text{package}\}}} \otimes \mathcal{T}^{\pi_{\{\text{truck A}\}}} \colon \\ \mathcal{T}_{\otimes} &= \{ \langle s_1, s_2 \rangle \xrightarrow{\ell} \langle t_1, t_2 \rangle \mid s_1 \xrightarrow{\ell} t_1 \in \mathcal{T}_1 \text{ and } s_2 \xrightarrow{\ell} t_2 \in \mathcal{T}_2 \} \end{split}$$



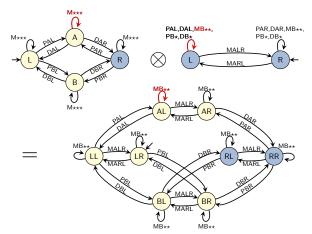
$$\begin{array}{l} \mathcal{T}^{\pi_{\{\text{package}\}}} \otimes \mathcal{T}^{\pi_{\{\text{truck A}\}}} \colon \\ \mathcal{T}_{\otimes} = \{ \langle s_1, s_2 \rangle \xrightarrow{\ell} \langle t_1, t_2 \rangle \mid s_1 \xrightarrow{\ell} t_1 \in \mathcal{T}_1 \text{ and } s_2 \xrightarrow{\ell} t_2 \in \mathcal{T}_2 \} \end{array}$$



$$\begin{split} \mathcal{T}^{\pi_{\{\text{package}\}}} \otimes \mathcal{T}^{\pi_{\{\text{truck A}\}}} \colon \\ \mathcal{T}_{\otimes} &= \{ \langle s_1, s_2 \rangle \xrightarrow{\ell} \langle t_1, t_2 \rangle \mid s_1 \xrightarrow{\ell} t_1 \in \mathcal{T}_1 \text{ and } s_2 \xrightarrow{\ell} t_2 \in \mathcal{T}_2 \} \end{split}$$



$$\begin{split} \mathcal{T}^{\pi_{\{\text{package}\}}} \otimes \mathcal{T}^{\pi_{\{\text{truck A}\}}} \colon \\ \mathcal{T}_{\otimes} &= \{ \langle s_1, s_2 \rangle \xrightarrow{\ell} \langle t_1, t_2 \rangle \mid s_1 \xrightarrow{\ell} t_1 \in \mathcal{T}_1 \text{ and } s_2 \xrightarrow{\ell} t_2 \in \mathcal{T}_2 \} \end{split}$$



Associativity and Commutativity

- Up to isomorphism ("names of states"), products are associative and commutative:
 - $\blacktriangleright (\mathcal{T} \otimes \mathcal{T}') \otimes \mathcal{T}'' \sim \mathcal{T} \otimes (\mathcal{T}' \otimes \mathcal{T}'')$
 - $ightharpoons \mathcal{T}' \sim \mathcal{T}' \otimes \mathcal{T}$
- We do not care about names of states and thus treat products as associative and commutative.
- We can then define the product of a set $F = \{\mathcal{T}_1, \dots, \mathcal{T}_n\}$ of transition systems: $\bigotimes F := \mathcal{T}_1 \otimes \dots \otimes \mathcal{T}_n$

E9.5 Factored Transition Systems

Factored Transition System

Definition (Factored Transition System)

A finite set $F = \{\mathcal{T}_1, \dots, \mathcal{T}_n\}$ of transition systems with the same labels and cost function is called a factored transition system (FTS).

F represents the transition system $\bigotimes F$.

A planning task gives rise to an FTS via its atomic projections:

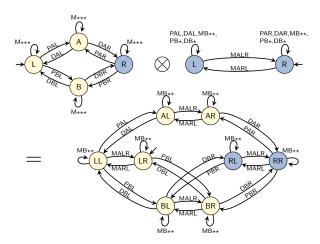
Definition (Factored Transition System Induced by Planning Task)

Let Π be a planning task with state variables V.

The factored transition system induced by Π is the FTS $F(\Pi) = \{ \mathcal{T}^{\pi_{\{v\}}} \mid v \in V \}.$

Back to the Example Product

$$\mathcal{T}^{\pi_{\{\mathsf{package}\}}} \otimes \mathcal{T}^{\pi_{\{\mathsf{truck}\;\mathsf{A}\}}}$$
:



We have $\mathcal{T}^{\pi_{\{\text{package}\}}} \otimes \mathcal{T}^{\pi_{\{\text{truck A}\}}} \sim \mathcal{T}^{\pi_{\{\text{package},\text{truck A}\}}}$. Coincidence?

Products of Projections

Theorem (Products of Projections)

Let Π be a SAS⁺ planning task with variable set V, and let V_1 and V_2 be disjoint subsets of V.

Then
$$\mathcal{T}^{\pi_{V_1}} \otimes \mathcal{T}^{\pi_{V_2}} \sim \mathcal{T}^{\pi_{V_1 \cup V_2}}$$
.

(Proof omitted.)

→ products allow us to build finer projections from coarser ones

Recovering $\mathcal{T}(\Pi)$ from the Factored Transition System

- By repeated application of the theorem, we can recover all pattern database heuristics of a SAS⁺ planning task as products of atomic factors.
- Moreover, by computing the product of all atomic projections, we can recover the identity abstraction id = π_V .

This implies:

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Corollary (Recovering \mathcal{T}(\Pi) from the Factored Transition System)
Let \Pi be a SAS<sup>+</sup> planning task. Then \bigotimes F(\Pi) \sim \mathcal{T}(\Pi).
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This is an important result because it shows that $F(\Pi)$ represents all important information about Π .

E9. Merge-and-Shrink: Factored Transition Systems

E9.6 Summary

Summary

- A factored transition system is a set of transition systems that represents a larger transition system by focusing on its individual components (factors).
- For planning tasks, these factors are the atomic projections (projections to single state variables).
- ▶ The synchronized product $\mathcal{T} \otimes \mathcal{T}'$ of two transition systems with the same labels captures their "joint behaviour".
- ► For SAS⁺ tasks, all projections can be obtained as products of atomic projections.
- ► In particular, the product of all factors of a SAS⁺ task results in the full transition system of the task.