

# Planning and Optimization

## B3. Formal Definition of Planning

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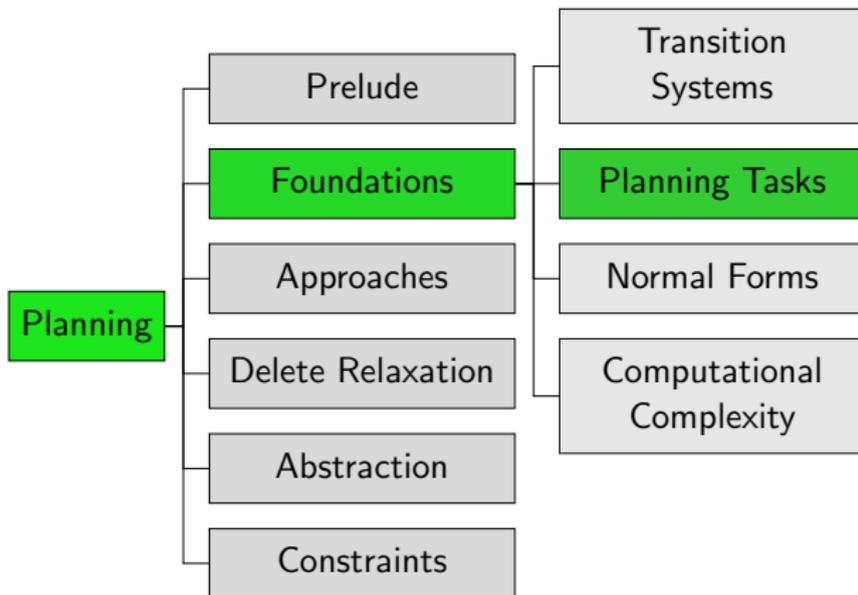
September 24, 2025 — B3. Formal Definition of Planning

## B3.1 Semantics of Effects and Operators

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# Content of the Course



# B3.1 Semantics of Effects and Operators

# Semantics of Effects: Effect Conditions

## Definition (Effect Condition for an Effect)

Let  $\ell$  be an atomic effect, and let  $e$  be an effect.

The **effect condition**  $effcond(\ell, e)$  under which  $\ell$  triggers given the effect  $e$  is a propositional formula defined as follows:

- ▶  $effcond(\ell, \top) = \perp$
- ▶  $effcond(\ell, e) = \top$  for the atomic effect  $e = \ell$
- ▶  $effcond(\ell, e) = \perp$  for all atomic effects  $e = \ell' \neq \ell$
- ▶  $effcond(\ell, (e \wedge e')) = (effcond(\ell, e) \vee effcond(\ell, e'))$
- ▶  $effcond(\ell, (\chi \triangleright e)) = (\chi \wedge effcond(\ell, e))$

**Intuition:**  $effcond(\ell, e)$  represents the condition that must be true in the current state for the effect  $e$  to lead to the atomic effect  $\ell$

## Effect Condition: Example (1)

### Example

Consider the move operator  $m_1$  from the running example:

$$\mathit{eff}(m_1) = ((t_1 \triangleright \neg t_1) \wedge (\neg t_1 \triangleright t_1)).$$

Under which conditions does it set  $t_1$  to false?

$$\begin{aligned} \mathit{effcond}(\neg t_1, \mathit{eff}(m_1)) &= \mathit{effcond}(\neg t_1, ((t_1 \triangleright \neg t_1) \wedge (\neg t_1 \triangleright t_1))) \\ &= \mathit{effcond}(\neg t_1, (t_1 \triangleright \neg t_1)) \vee \\ &\quad \mathit{effcond}(\neg t_1, (\neg t_1 \triangleright t_1)) \\ &= (t_1 \wedge \mathit{effcond}(\neg t_1, \neg t_1)) \vee \\ &\quad (\neg t_1 \wedge \mathit{effcond}(\neg t_1, t_1)) \\ &= (t_1 \wedge \top) \vee (\neg t_1 \wedge \perp) \\ &\equiv t_1 \vee \perp \\ &\equiv t_1 \end{aligned}$$

## Effect Condition: Example (2)

### Example

Consider the move operator  $m_1$  from the running example:

$$\mathit{eff}(m_1) = ((t_1 \triangleright \neg t_1) \wedge (\neg t_1 \triangleright t_1)).$$

Under which conditions does it set  $i$  to true?

$$\begin{aligned} \mathit{effcond}(i, \mathit{eff}(m_1)) &= \mathit{effcond}(i, ((t_1 \triangleright \neg t_1) \wedge (\neg t_1 \triangleright t_1))) \\ &= \mathit{effcond}(i, (t_1 \triangleright \neg t_1)) \vee \\ &\quad \mathit{effcond}(i, (\neg t_1 \triangleright t_1)) \\ &= (t_1 \wedge \mathit{effcond}(i, \neg t_1)) \vee \\ &\quad (\neg t_1 \wedge \mathit{effcond}(i, t_1)) \\ &= (t_1 \wedge \perp) \vee (\neg t_1 \wedge \perp) \\ &\equiv \perp \vee \perp \\ &\equiv \perp \end{aligned}$$

# Semantics of Effects: Applying an Effect

first attempt:

## Definition (Applying Effects)

Let  $V$  be a set of propositional state variables.

Let  $s$  be a state over  $V$ , and let  $e$  be an effect over  $V$ .

The **resulting state** of applying  $e$  in  $s$ , written  $s[e]$ , is the state  $s'$  defined as follows for all  $v \in V$ :

$$s'(v) = \begin{cases} \mathbf{T} & \text{if } s \models \text{effcond}(v, e) \\ \mathbf{F} & \text{if } s \models \text{effcond}(\neg v, e) \wedge \neg \text{effcond}(v, e) \\ s(v) & \text{otherwise} \end{cases}$$

What is the problem with this definition?

# Semantics of Effects: Applying an Effect

correct definition:

## Definition (Applying Effects)

Let  $V$  be a set of propositional state variables.

Let  $s$  be a state over  $V$ , and let  $e$  be an effect over  $V$ .

The **resulting state** of applying  $e$  in  $s$ , written  $s[e]$ , is the state  $s'$  defined as follows for all  $v \in V$ :

$$s'(v) = \begin{cases} \mathbf{T} & \text{if } s \models \mathit{effcond}(v, e) \\ \mathbf{F} & \text{if } s \models \mathit{effcond}(\neg v, e) \wedge \neg \mathit{effcond}(v, e) \\ s(v) & \text{otherwise} \end{cases}$$

# Add-after-Delete Semantics

## Note:

- ▶ The definition implies that if a variable is simultaneously “added” (set to **T**) and “deleted” (set to **F**), the value **T** takes precedence.
- ▶ This is called **add-after-delete semantics**.
- ▶ This detail of effect semantics is somewhat arbitrary, but has proven useful in applications.

# Semantics of Operators

## Definition (Applicable, Applying Operators, Resulting State)

Let  $V$  be a set of propositional state variables.

Let  $s$  be a state over  $V$ , and let  $o$  be an operator over  $V$ .

Operator  $o$  is **applicable** in  $s$  if  $s \models \text{pre}(o)$ .

If  $o$  is applicable in  $s$ , the **resulting state** of **applying**  $o$  in  $s$ , written  $s[o]$ , is the state  $s[\text{eff}(o)]$ .

## B3.2 Planning Tasks

# Planning Tasks

## Definition (Planning Task)

A (propositional) **planning task** is a 4-tuple  $\Pi = \langle V, I, O, \gamma \rangle$  where

- ▶  $V$  is a finite set of **propositional state variables**,
- ▶  $I$  is an interpretation of  $V$  called the **initial state**,
- ▶  $O$  is a finite set of **operators** over  $V$ , and
- ▶  $\gamma$  is a formula over  $V$  called the **goal**.

# Running Example: Planning Task

## Example

From the previous chapter, we see that the running example can be represented by the task  $\Pi = \langle V, I, O, \gamma \rangle$  with

- ▶  $V = \{i, w, t_1, t_2\}$
- ▶  $I = \{i \mapsto \mathbf{F}, w \mapsto \mathbf{T}, t_1 \mapsto \mathbf{F}, t_2 \mapsto \mathbf{F}\}$
- ▶  $O = \{m_1, m_2, l_1, l_2, u\}$  where
  - ▶  $m_1 = \langle \top, ((t_1 \triangleright \neg t_1) \wedge (\neg t_1 \triangleright t_1)), 5 \rangle$
  - ▶  $m_2 = \langle \top, ((t_2 \triangleright \neg t_2) \wedge (\neg t_2 \triangleright t_2)), 5 \rangle$
  - ▶  $l_1 = \langle \neg i \wedge (w \leftrightarrow t_1), (i \wedge w), 1 \rangle$
  - ▶  $l_2 = \langle \neg i \wedge (w \leftrightarrow t_2), (i \wedge \neg w), 1 \rangle$
  - ▶  $u = \langle i, \neg i \wedge (w \triangleright ((t_1 \triangleright w) \wedge (\neg t_1 \triangleright \neg w)))$   
 $\quad \quad \quad \wedge (\neg w \triangleright ((t_2 \triangleright w) \wedge (\neg t_2 \triangleright \neg w))), 1 \rangle$
- ▶  $\gamma = \neg i \wedge \neg w$

# Mapping Planning Tasks to Transition Systems

## Definition (Transition System Induced by a Planning Task)

The planning task  $\Pi = \langle V, I, O, \gamma \rangle$  induces the transition system  $\mathcal{T}(\Pi) = \langle S, L, c, T, s_0, S_\star \rangle$ , where

- ▶  $S$  is the set of all states over  $V$ ,
- ▶  $L$  is the set of operators  $O$ ,
- ▶  $c(o) = \text{cost}(o)$  for all operators  $o \in O$ ,
- ▶  $T = \{ \langle s, o, s' \rangle \mid s \in S, o \text{ applicable in } s, s' = s[o] \}$ ,
- ▶  $s_0 = I$ , and
- ▶  $S_\star = \{ s \in S \mid s \models \gamma \}$ .

## Planning Tasks: Terminology

- ▶ Terminology for transitions systems is also applied to the planning tasks  $\Pi$  that induce them.
- ▶ For example, when we speak of the **states of  $\Pi$** , we mean the states of  $\mathcal{T}(\Pi)$ .
- ▶ A sequence of operators that forms a solution of  $\mathcal{T}(\Pi)$  is called a **plan** of  $\Pi$ .

# Satisficing and Optimal Planning

By **planning**, we mean the following two algorithmic problems:

## Definition (Satisficing Planning)

**Given:** a planning task  $\Pi$

**Output:** a plan for  $\Pi$ , or **unsolvable** if no plan for  $\Pi$  exists

## Definition (Optimal Planning)

**Given:** a planning task  $\Pi$

**Output:** a plan for  $\Pi$  with minimal cost among all plans for  $\Pi$ , or **unsolvable** if no plan for  $\Pi$  exists

## B3.3 Summary

# Summary

- ▶ **Planning tasks** compactly represent transition systems and are suitable as inputs for planning algorithms.
- ▶ A planning task consists of a set of **state variables** and an **initial state**, **operators** and **goal** over these state variables.
- ▶ We gave **formal definitions** for these concepts.
- ▶ In **satisficing planning**, we must find a solution for a planning task (or show that no solution exists).
- ▶ In **optimal planning**, we must additionally guarantee that generated solutions are of minimal cost.