## Discrete Mathematics in Computer Science D5. Syntax and Semantics of Predicate Logic

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December 8/10/15, 2025

## Syntax of Predicate Logic

## Limits of Propositional Logic

#### Cannot be expressed well in propositional logic:

- "Everyone who does the exercises passes the exam."
- "If someone with administrator privileges presses 'delete', all data is gone."
- "Everyone has a mother."
- "If someone is the father of some person, the person is his child."

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- "If someone is the father of some person, the person is his child."

▷ need more expressive logic
 → predicate logic (a.k.a. first-order logic)

German: Prädikatenlogik (erster Stufe)

## Syntax: Building Blocks

- Signatures define allowed symbols.
   analogy: atom set A in propositional logic
- Terms are associated with objects by the semantics. no analogy in propositional logic
- Formulas are associated with truth values (true or false) by the semantics.
  analogy: formulas in propositional logic

German: Signatur, Term, Formel

## Signatures: Definition

#### Definition (Signature)

A signature (of predicate logic) is a 4-tuple  $\mathcal{S} = \langle \mathcal{V}, \mathcal{C}, \mathcal{F}, \mathcal{P} \rangle$  consisting of the following four disjoint sets:

- lacksquare a finite or countable set  ${\cal V}$  of variable symbols
- lacksquare a finite or countable set  $\mathcal C$  of constant symbols
- lacksquare a finite or countable set  ${\mathcal F}$  of function symbols
- a finite or countable set P of predicate symbols (or relation symbols)

Every function symbol  $f \in \mathcal{F}$  and predicate symbol  $P \in \mathcal{P}$  has an associated arity  $ar(f), ar(P) \in \mathbb{N}_1$  (number of arguments).

German: Variablen-, Konstanten-, Funktions-, Prädikat- und Relationssymbole; Stelligkeit

## Signatures: Terminology and Conventions

#### terminology:

- k-ary (function or predicate) symbol: symbol s with arity ar(s) = k.
- also: unary, binary, ternary

German: k-stellig, unär, binär, ternär

#### conventions (in this course):

- variable symbols written in *italics*, other symbols upright.
- predicate symbols begin with capital letter, other symbols with lower-case letters

## Signatures: Examples

#### Example: Arithmetic

- $\mathbf{V} = \{x, y, z, x_1, x_2, x_3, \dots\}$
- $\mathcal{C} = \{ \mathsf{zero}, \mathsf{one} \}$
- $ightharpoonup \mathcal{F} = \{\mathsf{sum}, \mathsf{product}\}$
- $\mathbf{P} = \{ Positive, Square Number \}$

ar(sum) = ar(product) = 2, ar(Positive) = ar(SquareNumber) = 1

## Signatures: Examples

#### Example: Genealogy

- $\mathbf{v} = \{x, y, z, x_1, x_2, x_3, \dots\}$
- lacktriangledown  $\mathcal{C} = \{\text{roger-federer}, \text{lisa-simpson}\}$
- $\mathcal{F} = \emptyset$
- $ightharpoonup \mathcal{P} = \{\mathsf{Female}, \mathsf{Male}, \mathsf{Parent}\}$

$$ar(Female) = ar(Male) = 1$$
,  $ar(Parent) = 2$ 

#### Terms: Definition

#### Definition (Term)

Let  $S = \langle V, C, F, P \rangle$  be a signature.

A term (over  $\mathcal{S}$ ) is inductively constructed according to the following rules:

- Every variable symbol  $\mathbf{v} \in \mathcal{V}$  is a term.
- Every constant symbol  $\mathbf{c} \in \mathcal{C}$  is a term.
- If  $t_1, ..., t_k$  are terms and  $f \in \mathcal{F}$  is a function symbol with arity k, then  $f(t_1, ..., t_k)$  is a term.

German: Term

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German: Term

#### examples:

- X4
- lisa-simpson
- $\blacksquare$  sum( $x_3$ , product(one,  $x_5$ ))

#### Formulas: Definition

#### Definition (Formula)

For a signature  $S = \langle \mathcal{V}, \mathcal{C}, \mathcal{F}, \mathcal{P} \rangle$  the set of predicate logic formulas (over S) is inductively defined as follows:

- If  $t_1, ..., t_k$  are terms (over S) and  $P \in \mathcal{P}$  is a k-ary predicate symbol, then the atomic formula (or the atom)  $P(t_1, ..., t_k)$  is a formula over S.
- If  $t_1$  and  $t_2$  are terms (over S), then the identity ( $t_1 = t_2$ ) is a formula over S.
- If  $x \in \mathcal{V}$  is a variable symbol and  $\varphi$  a formula over  $\mathcal{S}$ , then the universal quantification  $\forall x \varphi$  and the existential quantification  $\exists x \varphi$  are formulas over  $\mathcal{S}$ .

German: atomare Formel, Atom, Identität, Allquantifizierung, Existenzquantifizierung

#### Formulas: Definition

#### Definition (Formula)

For a signature  $S = \langle V, C, F, P \rangle$  the set of predicate logic formulas (over S) is inductively defined as follows:

. . .

- If  $\varphi$  is a formula over S, then so is its negation  $\neg \varphi$ .
- If  $\varphi$  and  $\psi$  are formulas over  $\mathcal{S}$ , then so are the conjunction  $(\varphi \wedge \psi)$  and the disjunction  $(\varphi \vee \psi)$ .

German: Negation, Konjunktion, Disjunktion

## Formulas: Examples

#### Examples: Arithmetic and Genealogy

- Positive( $x_2$ )
- $\forall x (\neg SquareNumber(x) \lor Positive(x))$
- $\exists x_3 (\mathsf{SquareNumber}(x_3) \land \neg \mathsf{Positive}(x_3))$
- $\forall x (\mathsf{sum}(x, x) = \mathsf{product}(x, \mathsf{one}))$
- $\forall x \exists y \, (\mathsf{sum}(x,y) = \mathsf{zero})$
- $\forall x \exists y (Parent(y, x) \land Female(y))$

Terminology: The symbols  $\forall$  and  $\exists$  are called quantifiers.

German: Quantoren

## Abbreviations and Placement of Parentheses by Convention

#### abbreviations:

- $(\varphi \to \psi)$  is an abbreviation for  $(\neg \varphi \lor \psi)$ .
- $(\varphi \leftrightarrow \psi)$  is an abbreviation for  $((\varphi \to \psi) \land (\psi \to \varphi))$ .
- Sequences of the same quantifier can be abbreviated. For example:

  - $\exists x \exists y \exists z \varphi \leadsto \exists xyz \varphi$

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#### placement of parentheses by convention:

- analogous to propositional logic
- $lue{}$  quantifiers  $\forall$  and  $\exists$  bind more strongly than anything else.
- example:  $\forall x P(x) \rightarrow Q(x)$  corresponds to  $(\forall x P(x) \rightarrow Q(x))$ , not  $\forall x (P(x) \rightarrow Q(x))$ .

#### Exercise

$$\begin{split} \mathcal{S} &= \langle \{x,y,z\}, \{c\}, \{f,g,h\}, \{Q,R,S\} \rangle \text{ with } \\ \textit{ar}(f) &= 3, \textit{ar}(g) = \textit{ar}(h) = 1, \textit{ar}(Q) = 2, \textit{ar}(R) = \textit{ar}(S) = 1 \end{split}$$

- f(x,y)
- (g(x) = R(y))
- (g(x) = f(y, c, h(x)))
- $(R(x) \land \forall x S(x))$
- $\lor \forall c Q(c, x)$
- $(\forall x \exists y (g(x) = y) \lor (h(x) = c))$

Which expressions are syntactically correct formulas or terms for S? What kind of term/formula?

## Questions



Questions?

# Semantics of Predicate Logic

#### Semantics: Motivation

- interpretations in propositional logic: truth assignments for the propositional variables
- There are no propositional variables in predicate logic.
- instead: interpretation determines meaning of the constant, function and predicate symbols.
- meaning of variable symbols not determined by interpretation but by separate variable assignment

## Interpretations and Variable Assignments

Let  $\mathcal{S} = \langle \mathcal{V}, \mathcal{C}, \mathcal{F}, \mathcal{P} \rangle$  be a signature.

#### Definition (Interpretation, Variable Assignment)

An interpretation (for S) is a pair  $\mathcal{I} = \langle U, \cdot^{\mathcal{I}} \rangle$  of:

- a non-empty set U called the universe and
- a function .<sup>1</sup> that assigns a meaning to the constant, function, and predicate symbols:
  - lacksquare  $c^{\mathcal{I}} \in U$  for constant symbols  $c \in \mathcal{C}$
  - $f^{\mathcal{I}}: U^k \to U$  for k-ary function symbols  $f \in \mathcal{F}$
  - $ightharpoonup \mathsf{P}^\mathcal{I} \subseteq U^k$  for *k*-ary predicate symbols  $\mathsf{P} \in \mathcal{P}$

A variable assignment (for S and universe U) is a function  $\alpha : V \to U$ .

German: Interpretation, Universum (or Grundmenge), Variablenzuweisung

## Interpretations and Variable Assignments: Example

#### Example

```
signature: \mathcal{S} = \langle \mathcal{V}, \mathcal{C}, \mathcal{F}, \mathcal{P} \rangle with \mathcal{V} = \{x, y, z\}, \mathcal{C} = \{\text{zero, one}\}, \mathcal{F} = \{\text{sum, product}\}, \mathcal{P} = \{\text{SquareNumber}\} ar(\text{sum}) = ar(\text{product}) = 2, ar(\text{SquareNumber}) = 1
```

## Interpretations and Variable Assignments: Example

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```

$$\mathcal{I} = \langle U, \cdot^{\mathcal{I}} \rangle$$
 with

- $U = \{u_0, u_1, u_2, u_3, u_4, u_5, u_6\}$
- Arr zero $^{\mathcal{I}} = u_0$
- $\bullet$  one<sup> $\mathcal{I}$ </sup> =  $u_1$
- $sum^{\mathcal{I}}(u_i, u_j) = u_{(i+j) \mod 7}$  for all  $i, j \in \{0, ..., 6\}$
- lacksquare product  $\mathcal{I}(u_i, u_j) = u_{(i \cdot j) \mod 7}$  for all  $i, j \in \{0, \dots, 6\}$
- SquareNumber  $^{\mathcal{I}} = \{u_0, u_1, u_2, u_4\}$

$$\alpha = \{x \mapsto u_5, y \mapsto u_5, z \mapsto u_0\}$$

## Semantics: Informally

```
Example: (\forall x (\mathsf{Block}(x) \to \mathsf{Red}(x)) \land \mathsf{Block}(a)) "For all objects x: if x is a block, then x is red. Also, the object called a is a block."
```

- Terms are interpreted as objects.
- Unary predicates denote properties of objects (to be a block, to be red, to be a square number, ...).
- General predicates denote relations between objects (to be someone's child, to have a common divisor, ...).
- Universally quantified formulas ("∀") are true if they hold for every object in the universe.
- Existentially quantified formulas ("∃") are true if they hold for at least one object in the universe.

## Interpretations of Terms

Let  $\mathcal{S} = \langle \mathcal{V}, \mathcal{C}, \mathcal{F}, \mathcal{P} \rangle$  be a signature.

#### Definition (Interpretation of a Term)

Let  $\mathcal{I} = \langle U, \cdot^{\mathcal{I}} \rangle$  be an interpretation for  $\mathcal{S}$ , and let  $\alpha$  be a variable assignment for  $\mathcal{S}$  and universe U.

Let t be a term over S.

The interpretation of t under  $\mathcal{I}$  and  $\alpha$ , written as  $t^{\mathcal{I},\alpha}$ , is the element of the universe U defined as follows:

- If t = x with  $x \in \mathcal{V}$  (t is a variable term):  $x^{\mathcal{I},\alpha} = \alpha(x)$
- If t = c with  $c \in C$  (t is a constant term):  $c^{\mathcal{I},\alpha} = c^{\mathcal{I}}$
- If  $t = f(t_1, ..., t_k)$  (t is a function term):  $f(t_1, ..., t_k)^{\mathcal{I}, \alpha} = f^{\mathcal{I}}(t_1^{\mathcal{I}, \alpha}, ..., t_k^{\mathcal{I}, \alpha})$

## Interpretations of Terms: Example

#### Example

```
signature: \mathcal{S} = \langle \mathcal{V}, \mathcal{C}, \mathcal{F}, \mathcal{P} \rangle with \mathcal{V} = \{x, y, z\}, \mathcal{C} = \{\text{zero, one}\}, \mathcal{F} = \{\text{sum, product}\}, ar(\text{sum}) = ar(\text{product}) = 2
```

## Interpretations of Terms: Example

#### Example

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signature: \mathcal{S} = \langle \mathcal{V}, \mathcal{C}, \mathcal{F}, \mathcal{P} \rangle
with \mathcal{V} = \{x, y, z\}, \mathcal{C} = \{\text{zero, one}\}, \mathcal{F} = \{\text{sum, product}\}, ar(\text{sum}) = ar(\text{product}) = 2
```

$$\mathcal{I} = \langle U, \cdot^{\mathcal{I}} \rangle$$
 with

- $U = \{u_0, u_1, u_2, u_3, u_4, u_5, u_6\}$
- $\blacksquare$  zero<sup> $\mathcal{I}$ </sup> =  $u_0$
- lacksquare one $^{\mathcal{I}}=\mathit{u}_1$
- $\blacksquare$  sum<sup> $\mathcal{I}$ </sup> $(u_i, u_j) = u_{(i+j) \bmod 7}$  for all  $i, j \in \{0, \dots, 6\}$
- lacksquare product  $\mathcal{I}(u_i, u_j) = u_{(i \cdot j) \mod 7}$  for all  $i, j \in \{0, \dots, 6\}$

$$\alpha = \{x \mapsto u_5, y \mapsto u_5, z \mapsto u_0\}$$

## Interpretations of Terms: Example (ctd.)

#### Example (ctd.)

 $\quad \blacksquare \ \operatorname{zero}^{\mathcal{I},\alpha} =$ 

 $\mathbf{v}^{\mathcal{I},\alpha} =$ 

 $\blacksquare$  sum $(x,y)^{\mathcal{I},\alpha}=$ 

■ product(one, sum(x, zero)) $^{\mathcal{I},\alpha}$  =

## Semantics of Predicate Logic Formulas

Let  $S = \langle V, C, F, P \rangle$  be a signature.

#### Definition (Formula is Satisfied or True)

Let  $\mathcal{I} = \langle U, \cdot^{\mathcal{I}} \rangle$  be an interpretation for  $\mathcal{S}$ , and let  $\alpha$  be a variable assignment for  $\mathcal{S}$  and universe U. We say that  $\mathcal{I}$  and  $\alpha$  satisfy a predicate logic formula  $\varphi$  (also:  $\varphi$  is true under  $\mathcal{I}$  and  $\alpha$ ), written:  $\mathcal{I}, \alpha \models \varphi$ , according to the following inductive rules:

$$\mathcal{I}, \alpha \models \mathsf{P}(t_1, \dots, t_k) \quad \text{iff } \langle t_1^{\mathcal{I}, \alpha}, \dots, t_k^{\mathcal{I}, \alpha} \rangle \in \mathsf{P}^{\mathcal{I}}$$

$$\mathcal{I}, \alpha \models (t_1 = t_2) \quad \text{iff } t_1^{\mathcal{I}, \alpha} = t_2^{\mathcal{I}, \alpha}$$

$$\mathcal{I}, \alpha \models \neg \varphi \quad \text{iff } \mathcal{I}, \alpha \not\models \varphi$$

$$\mathcal{I}, \alpha \models (\varphi \land \psi) \quad \text{iff } \mathcal{I}, \alpha \models \varphi \text{ and } \mathcal{I}, \alpha \models \psi$$

$$\mathcal{I}, \alpha \models (\varphi \lor \psi) \quad \text{iff } \mathcal{I}, \alpha \models \varphi \text{ or } \mathcal{I}, \alpha \models \psi \qquad \dots$$

German:  $\mathcal{I}$  und  $\alpha$  erfüllen  $\varphi$  (also:  $\varphi$  ist wahr unter  $\mathcal{I}$  und  $\alpha$ )

## Semantics of Predicate Logic Formulas

Let  $\mathcal{S} = \langle \mathcal{V}, \mathcal{C}, \mathcal{F}, \mathcal{P} \rangle$  be a signature.

#### Definition (Formula is Satisfied or True)

• •

$$\begin{split} \mathcal{I}, \alpha &\models \forall x \varphi \quad \text{iff } \mathcal{I}, \alpha[x := u] \models \varphi \text{ for all } u \in U \\ \mathcal{I}, \alpha &\models \exists x \varphi \quad \text{iff } \mathcal{I}, \alpha[x := u] \models \varphi \text{ for at least one } u \in U \end{split}$$

where  $\alpha[x := u]$  is the same variable assignment as  $\alpha$ , except that it maps variable x to the value u. Formally:

$$(\alpha[x := u])(z) = \begin{cases} u & \text{if } z = x \\ \alpha(z) & \text{if } z \neq x \end{cases}$$

## Semantics: Example

#### Example

```
signature: \mathcal{S} = \langle \mathcal{V}, \mathcal{C}, \mathcal{F}, \mathcal{P} \rangle with \mathcal{V} = \{x, y, z\}, \mathcal{C} = \{a, b\}, \mathcal{F} = \emptyset, \mathcal{P} = \{Block, Red\}, ar(Block) = ar(Red) = 1.
```

## Semantics: Example

#### Example

signature: 
$$\mathcal{S} = \langle \mathcal{V}, \mathcal{C}, \mathcal{F}, \mathcal{P} \rangle$$
 with  $\mathcal{V} = \{x, y, z\}$ ,  $\mathcal{C} = \{\mathsf{a}, \mathsf{b}\}$ ,  $\mathcal{F} = \emptyset$ ,  $\mathcal{P} = \{\mathsf{Block}, \mathsf{Red}\}$ ,  $\mathit{ar}(\mathsf{Block}) = \mathit{ar}(\mathsf{Red}) = 1$ .

$$\mathcal{I} = \langle U, \cdot^{\mathcal{I}} \rangle$$
 with

- $U = \{u_1, u_2, u_3, u_4, u_5\}$
- lacksquare  $\mathsf{a}^\mathcal{I} = \mathit{u}_1$
- lacksquare  $b^{\mathcal{I}} = u_3$
- $\blacksquare \mathsf{Block}^{\mathcal{I}} = \{u_1, u_2\}$
- $\blacksquare \mathsf{Red}^{\mathcal{I}} = \{u_1, u_2, u_3, u_5\}$

$$\alpha = \{x \mapsto u_1, y \mapsto u_2, z \mapsto u_1\}$$

## Semantics: Example (ctd.)

#### Example (ctd.)

#### Questions:

- $\mathcal{I}$ ,  $\alpha \models (\mathsf{Block}(\mathsf{b}) \vee \neg \mathsf{Block}(\mathsf{b}))$ ?
- $\mathcal{I}, \alpha \models (\mathsf{Block}(x) \rightarrow (\mathsf{Block}(x) \lor \neg \mathsf{Block}(y)))$ ?
- $\mathcal{I}, \alpha \models (\mathsf{Block}(\mathsf{a}) \land \mathsf{Block}(\mathsf{b}))$ ?
- $\mathcal{I}, \alpha \models \forall x (\mathsf{Block}(x) \to \mathsf{Red}(x))$ ?

## Questions



Questions?

### Summary

- Predicate logic is more expressive than propositional logic and allows statements over objects and their properties.
- Objects are described by terms that are built from variable, constant and function symbols.
- Properties and relations are described by formulas that are built from predicates, quantifiers and the usual logical operators.