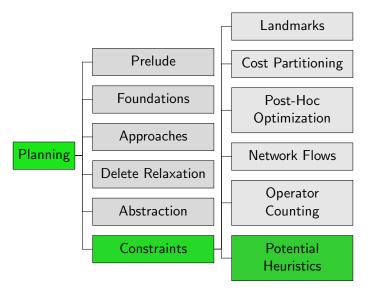
# Planning and Optimization F12. Potential Heuristics

Malte Helmert and Gabriele Röger

Universität Basel

December 16, 2024

## Content of the Course



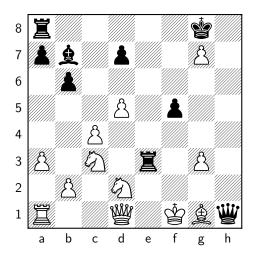
# Introduction

# Reminder: Transition Normal Form

In this chapter, we consider SAS<sup>+</sup> tasks in transition normal form.

- A TNF operator mentions the same variables in the precondition and in the effect.
- A TNF goal specifies a value for every variable.

# Material Value of a Chess Position



#### Material value for white:

+1.6 (white pawns) -1.4 (black pawns)  $+3\cdot2$  (white knights) -3.0 (black knights)  $+3\cdot1$  (white bishops)  $-3 \cdot 1$  (black bishops) +5.1 (white rooks)  $-5 \cdot 2$  (black rooks)  $+9\cdot1$  (white queen)  $-9 \cdot 1$  (black queen) = 3

# Idea

- Define simple numerical state features  $f_1, \ldots, f_n$ .
- Consider heuristics that are linear combinations of features:

$$h(s) = w_1 f_1(s) + \cdots + w_n f_n(s)$$

with weights (potentials)  $w_i \in \mathbb{R}$ 

heuristic very fast to compute if feature values are

# Potential Heuristics

# **Definition**

# Definition (Feature)

A (state) feature for a planning task is a numerical function defined on the states of the task:  $f: S \to \mathbb{R}$ .

# Definition (Potential Heuristic)

A potential heuristic for a set of features  $\mathcal{F} = \{f_1, \dots, f_n\}$  is a heuristic function h defined as a linear combination of the features:

$$h(s) = w_1 f_1(s) + \cdots + w_n f_n(s)$$

with weights (potentials)  $w_i \in \mathbb{R}$ .

# **Definition**

# Definition (Feature)

A (state) feature for a planning task is a numerical function defined on the states of the task:  $f: S \to \mathbb{R}$ .

# Definition (Potential Heuristic)

A potential heuristic for a set of features  $\mathcal{F} = \{f_1, \dots, f_n\}$  is a heuristic function h defined as a linear combination of the features:

$$h(s) = w_1 f_1(s) + \cdots + w_n f_n(s)$$

with weights (potentials)  $w_i \in \mathbb{R}$ .

Many possibilities ⇒ need some restrictions

# Features for SAS<sup>+</sup> Planning Tasks

Which features are good for planning?

Atomic features test if some atom is true in a state:

## Definition (Atomic Feature)

Let v = d be an atom of a FDR planning task.

The atomic feature  $f_{v=d}$  is defined as:

$$f_{v=d}(s) = [(v=d) \in s] = \begin{cases} 1 & \text{if variable } v \text{ has value } d \text{ in state } s \\ 0 & \text{otherwise} \end{cases}$$

Offer good tradeoff between computation time and guidance

# Example: Atomic Features

### Example

Consider a planning task  $\Pi$  with state variables  $v_1$  and  $v_2$  and  $dom(v_1) = dom(v_2) = \{d_1, d_2, d_3\}$ . The set

$$\mathcal{F} = \{f_{v_i = d_j} \mid i \in \{1, 2\}, j \in \{1, 2, 3\}\}$$

is the set of atomic features of  $\Pi$  and the function

$$h(s) = 3f_{v_1=d_1} + 0.5f_{v_1=d_2} - 2f_{v_1=d_3} + 2.5f_{v_2=d_1}$$

is a potential heuristic for  $\mathcal{F}$ .

The heuristic estimate for a state  $s = \{v_1 \mapsto d_2, v_2 \mapsto d_1\}$  is

$$h(s) = 3 \cdot 0 + 0.5 \cdot 1 - 2 \cdot 0 + 2.5 \cdot 1 = 3.$$

# Potentials for Optimal Planning

Which potentials are good for optimal planning and how can we compute them?

- We seek potentials for which h is admissible and well-informed
   ⇒ declarative approach to heuristic design
- We derive potentials for atomic features by solving an optimization problem

How to achieve this? Linear programming to the rescue!

We achieve admissibility through goal-awareness and consistency

### Goal-awareness

$$\sum_{a \in \gamma} w_a = 0$$

We achieve admissibility through goal-awareness and consistency

### Goal-awareness

$$\sum_{a\in\gamma}w_a=0$$

# Consistency

$$\sum_{a \in s} w_a - \sum_{a \in s'} w_a \le cost(o) \quad \text{for all transitions } s \xrightarrow{o} s'$$

One constraint transition per transition.

Can we do this more compactly?

# Consistency for a transition $s \stackrel{o}{\rightarrow} s'$

$$cost(o) \ge \sum_{a \in s} w_a - \sum_{a \in s'} w_a$$

$$= \sum_a w_a [a \in s] - \sum_a w_a [a \in s']$$

$$= \sum_a w_a ([a \in s] - [a \in s'])$$

$$= \sum_a w_a [a \in s \text{ but } a \notin s'] - \sum_a w_a [a \notin s \text{ but } a \in s']$$

$$= \sum_a w_a - \sum_{a \text{ consumed by } o} w_a$$

$$= \sum_a consumed consumed consumed by cons$$

Goal-awareness and Consistency independent of s

#### Goal-awareness

$$\sum_{a \in \gamma} w_a = 0$$

# Consistency

$$\sum_{\substack{a \text{ consumed by } o}} w_a - \sum_{\substack{a \text{ produced by } o}} w_a \leq cost(o) \text{ for all operators } o$$

# Potential Heuristics

- All atomic potential heuristics that satisfy these constraints are admissible and consistent
- Furthermore, all admissible and consistent atomic potential heuristics satisfy these constraints

Constraints are a compact characterization of all admissible and consistent atomic potential heuristics.

LP can be used to find the best admissible and consistent potential heuristics by encoding a quality metric in the objective function

# Well-Informed Potential Heuristics

What do we mean by the best potential heuristic? Different possibilities, e.g., the potential heuristic that

- maximizes heuristic value of a given state s (e.g., initial state)
- maximizes average heuristic value of all states (including unreachable ones)
- maximizes average heuristic value of some sample states
- minimizes estimated search effort

### Potential and Flow Heuristic

#### Theorem

For state s, let  $h^{\text{maxpot}}(s)$  denote the maximal heuristic value of all admissible and consistent atomic potential heuristics in s.

Then 
$$h^{\text{maxpot}}(s) = h^{\text{flow}}(s)$$
.

Proof idea: compare dual of  $h^{flow}(s)$  LP to potential heuristic constraints optimized for state s.

If we optimize the potentials for a given state then for this state it equals the flow heuristic.

# Summary

# Summary

- Potential heuristics are computed as a weighted sum of state features
- Admissibility and consistency can be encoded compactly in constraints
- With linear programming, we can efficiently compute the best potential heuristic wrt some objective
- Potential heuristics can be used as fast admissible approximations of h<sup>flow</sup>.