

# Planning and Optimization

## E13. Merge-and-Shrink: Pruning and Usage in Practise

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E13.1 Pruning

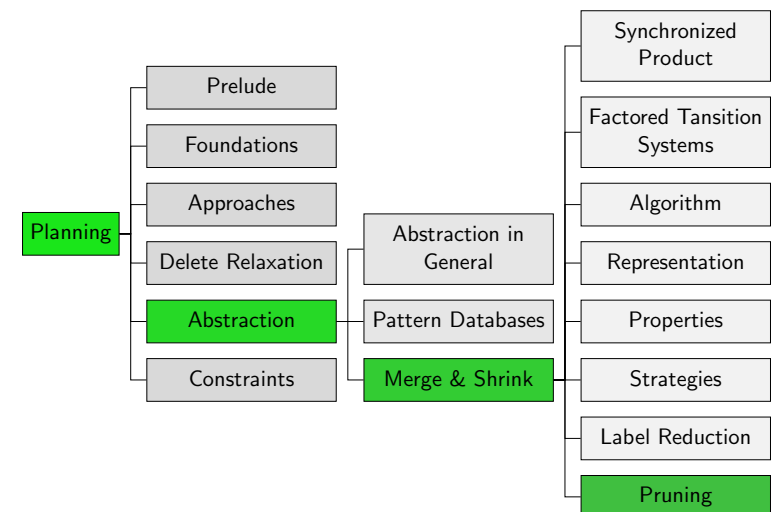
E13.2 Merge-and-Shrink in Practise

E13.3 Literature

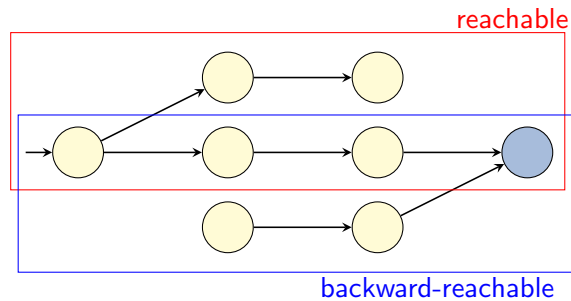
E13.4 Summary

# E13.1 Pruning

# Content of the Course



## Alive States



- ▶ state  $s$  is **reachable** if we can reach it from the initial state
- ▶ state  $s$  is **backward-reachable** if we can reach the goal from  $s$
- ▶ state  $s$  is **alive** if it is reachable and backward-reachable  
→ only alive states can be traversed by a solution
- ▶ a state  $s$  is **dead** if it is not alive.

## Pruning States (1)

- ▶ If in a factor, state  $s$  is dead/not backward-reachable then all states that “cover”  $s$  in a synchronized product are dead/not backward-reachable in the synchronized product.
- ▶ Removing such states and all adjacent transitions in a factor does not remove any solutions from the synchronized product.
- ▶ This pruning leads to states in the original state space for which the merge-and-shrink abstraction does not define an abstract state.  
→ use heuristic estimate  $\infty$

## Pruning States (2)

- ▶ Keeping **exactly all backward-reachable states** we still obtain safe, consistent, goal-aware and admissible (with conservative transformations) or perfect heuristics (with exact transformations).
- ▶ Pruning unreachable, backward-reachable states can render the heuristic unsafe because pruned states lead to infinite estimates.
- ▶ However, all reachable states in the original state space will have admissible estimates, so we can use the heuristic like an admissible one in a forward state-space search such as  $A^*$  (but not in other contexts like such as orbit search).

**We usually prune all dead states to keep the factors small.**

## E13.2 Merge-and-Shrink in Practise

## Merge-and-Shrink

- ▶ Merge-and-Shrink is a general framework.
- ▶ The full framework also covers label reduction and pruning.
- ▶ For all transformations, we need to select a strategy.  
merge, shrink, label reduction, pruning strategy
- ▶ The **general strategy** orchestrates the transformations.  
How can this look like in practise?

## Merge-and-Shrink in Fast Downward

**Input:** Factored transition system  $F$ , merge strategy MS, shrink strategy SS, prune strategy PS, label reduction strategy LRS, size limit  $N \in \mathbb{N}$ .

**Output:** Trans. system  $\mathcal{T}$  and mapping  $\sigma$  from states of  $\otimes F$  to states of  $\mathcal{T}$ .

▷ Copy input factored transition system, compute  $\Sigma$  to represent the identity state mapping on  $\otimes F'$ , set  $\lambda$  to the identity label mapping.  
 $\langle F', \Sigma, \lambda \rangle \leftarrow \langle F, \{\pi_{\mathcal{T}} \mid \mathcal{T} \in F'\}, \text{id} \rangle$

**for**  $\mathcal{T} \in F$  **do**

▷ Prune atomic factor  $\mathcal{T}$  with PS.

$\langle F', \Sigma, \lambda \rangle \leftarrow \text{COMPOSETRANSFORMATION}(\text{PRUNE}(F', \mathcal{T}))$

**end for**

...

## Merge-and-Shrink in Fast Downward (cont'd)

**while**  $|F'| > 1$  **do**

▷ With MS, select two factors from  $F$  to be merged in this iteration.  
 $\mathcal{T}_1, \mathcal{T}_2 \leftarrow \text{SELECT}(F')$

▷ With LRS, apply a label reduction to  $F'$ .

$\langle F', \Sigma, \lambda \rangle \leftarrow \text{COMPOSETRANSFORMATION}(\text{LABELREDUCTION}(F'))$

▷ With SS, shrink  $\mathcal{T}_1$  and  $\mathcal{T}_2$  so that the size of their product respects  $N$ .

$\langle F', \Sigma, \lambda \rangle \leftarrow \text{COMPOSETRANSFORMATION}(\text{SHRINK}(F', \mathcal{T}_1, \mathcal{T}_2, N))$

▷ With LRS, apply a label reduction to  $F'$ .

$\langle F', \Sigma, \lambda \rangle \leftarrow \text{COMPOSETRANSFORMATION}(\text{LABELREDUCTION}(F'))$

▷ Apply the merge transformation.

$\langle F', \Sigma, \lambda \rangle \leftarrow \text{COMPOSETRANSFORMATION}(\text{MERGE}(F', \mathcal{T}_1, \mathcal{T}_2))$

▷ With PS, prune the product factor  $\mathcal{T}^{\otimes}$  of  $\mathcal{T}_1$  and  $\mathcal{T}_2$ .

$\langle F', \Sigma, \lambda \rangle \leftarrow \text{COMPOSETRANSFORMATION}(\text{PRUNE}(F', \mathcal{T}^{\otimes}))$

**end while**

**return** single elements  $\mathcal{T} \in F'$  and  $\sigma \in \Sigma$

## Stopping Early



- ▶ Merge-and-shrink has significant precomputation time before we can start the search.
- ▶ We typically stop the algorithm after a preset time (e.g. half of the time that is overall available).
- ▶ The factored transition system then still contains several factors. Each of them induces an individual heuristic.
- ▶ We can combine them by taking the maximum or use a generalization of operator cost partitioning (cf. Ch. F7/8) to labels to obtain better estimates.
- ▶ Cost partitioning benefits from additional snapshots of factors from several iterations of merge-and-shrink.

State of the art: snapshots and saturated cost partitioning (Ch.F8)

## E13.3 Literature

## Literature (1)



References on merge-and-shrink abstractions:

-  Klaus Dräger, Bernd Finkbeiner and Andreas Podelski.  
 Directed Model Checking with Distance-Preserving Abstractions.  
*Proc. SPIN 2006*, pp. 19–34, 2006.  
 Introduces merge-and-shrink abstractions (for model checking) and DFP merging strategy.
-  Malte Helmert, Patrik Haslum and Jörg Hoffmann.  
 Flexible Abstraction Heuristics for Optimal Sequential Planning.  
*Proc. ICAPS 2007*, pp. 176–183, 2007.  
 Introduces merge-and-shrink abstractions for planning.



## Literature (2)

-  Raz Nissim, Jörg Hoffmann and Malte Helmert.  
 Computing Perfect Heuristics in Polynomial Time: On Bisimulation and Merge-and-Shrink Abstractions in Optimal Planning.  
*Proc. IJCAI 2011*, pp. 1983–1990, 2011.  
 Introduces bisimulation-based shrinking.
-  Malte Helmert, Patrik Haslum, Jörg Hoffmann and Raz Nissim.  
 Merge-and-Shrink Abstraction: A Method for Generating Lower Bounds in Factored State Spaces.  
*Journal of the ACM 61 (3)*, pp. 16:1–63, 2014.  
 Detailed journal version of the previous two publications.


## Literature (3)

-  Silvan Sievers, Martin Wehrle and Malte Helmert.  
 Generalized Label Reduction for Merge-and-Shrink Heuristics.  
*Proc. AAAI 2014*, pp. 2358–2366, 2014.  
 Introduces modern version of label reduction. (There was a more complicated version before.)
-  Gaojian Fan, Martin Müller and Robert Holte.  
 Non-linear merging strategies for merge-and-shrink based on variable interactions.  
*Proc. SoCS 2014*, pp. 53–61, 2014.  
 Introduces UMC and MIASM merging strategies

## Literature (4)

-  Malte Helmert, Gabriele Röger and Silvan Sievers.  
On the Expressive Power of Non-Linear Merge-and-Shrink Representations.  
*Proc. ICAPS 2015*, pp. 106–114, 2015.  
Shows that **linear merging can require a super-polynomial blow-up** in representation size.
-  Silvan Sievers and Malte Helmert.  
Merge-and-Shrink: A Compositional Theory of Transformations of Factored Transition Systems.  
*JAIR 71*, pp. 781–883, 2021.  
Detailed theoretical analysis of task transformations as **sequence of transformations**.

## Literature (5)

-  Silvan Sievers, Florian Pommerening, Thomas Keller and Malte Helmert.  
Cost-Partitioned Merge-and-Shrink Heuristics for Optimal Classical Planning.  
*Proc. IJCAI 2020*, pp. 4152–4160, 2020.  
Extends **saturated cost partitioning** to merge-and-shrink.

## E13.4 Summary

## Summary

- ▶ **Pruning** is a transformation that is used to keep the size of the factors small. It depends on the intended application how aggressive the pruning can be.
- ▶ In practise, it is beneficial to set a **time limit** for merge-and-shrink. The factors can be considered as individual admissible heuristics.