Planning and Optimization E10. Merge-and-Shrink: Algorithm

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Planning and Optimization November 18, 2024 — E10. Merge-and-Shrink: Algorithm

E10.1 Generic Algorithm

E10.2 Example

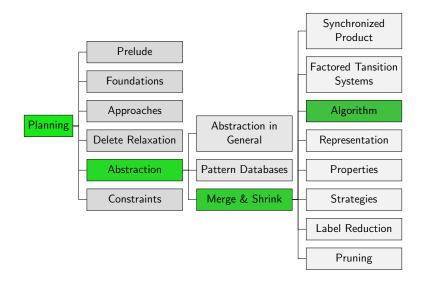
E10.3 Maintaining the Abstraction

E10.4 Summary

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### Content of the Course



## E10.1 Generic Algorithm

## Generic Merge-and-shrink Abstractions: Outline

Using the results of the previous chapter, we can develop a generic abstraction computation procedure that takes all state variables into account.

 Initialization: Compute the FTS consisting of all atomic projections.

Loop: Repeatedly apply a transformation to the FTS.

- Merging: Combine two factors by replacing them with their synchronized product.
- Shrinking: If the factors are too large, make one of them smaller by abstracting it further (applying an arbitrary abstraction to it).
- **Termination**: Stop when only one factor is left.

The final factor is then used for an abstraction heuristic.

E10. Merge-and-Shrink: Algorithm

#### Generic Algorithm Template

```
Generic Merge & Shrink Algorithm for planning task \Pi
  F := F(\Pi)
 while |F| > 1:
           select type \in \{merge, shrink\}
           if type = merge:
                     select \mathcal{T}_1, \mathcal{T}_2 \in F
                     F := (F \setminus \{\mathcal{T}_1, \mathcal{T}_2\}) \cup \{\mathcal{T}_1 \otimes \mathcal{T}_2\}
           if type = shrink:
                     select \mathcal{T} \in F
                     choose an abstraction mapping \beta on \mathcal{T}
                     F := (F \setminus \{\mathcal{T}\}) \cup \{\mathcal{T}^{\beta}\}
  return the remaining factor \mathcal{T}^{\alpha} in F
```

# In Ch. E12 and E13, we will include more transformation types (label reduction and pruning)

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#### Merge-and-Shrink Strategies

Choices to resolve to instantiate the template:

- When to merge, when to shrink? vy general strategy
- Which abstractions to merge?

   merge strategy

merge and shrink strategies  $\rightsquigarrow$  Ch. E11/E12

## General Strategy

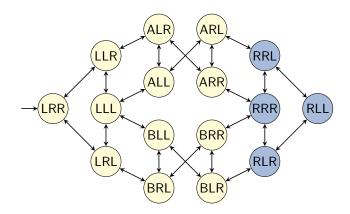
A typical general strategy:

- define a limit N on the number of states allowed in each factor
- in each iteration, select two factors we would like to merge
- merge them if this does not exhaust the state number limit
- otherwise shrink one or both factors just enough to make a subsequent merge possible

## E10.2 Example

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## Back to the Running Example

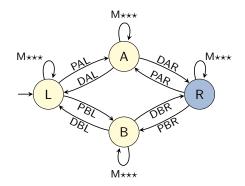


Logistics problem with one package, two trucks, two locations:

- ► state variable package: {L, R, A, B}
- ► state variable truck A: {L, R}
- state variable truck B: {L, R}

## Initialization Step: Atomic Projection for Package

 $\mathcal{T}^{\pi_{\{ ext{package}\}}}$  :

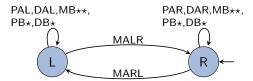


E10. Merge-and-Shrink: Algorithm

Example

## Initialization Step: Atomic Projection for Truck A

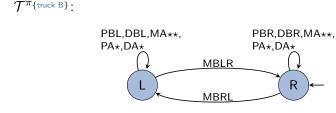
 $\mathcal{T}^{\pi_{\{\text{truck A}\}}}$ :



E10. Merge-and-Shrink: Algorithm

Example

#### Initialization Step: Atomic Projection for Truck B



current FTS: { $\mathcal{T}^{\pi_{\text{{package}}}}, \mathcal{T}^{\pi_{\text{{truck A}}}}, \mathcal{T}^{\pi_{\text{{truck B}}}}$ }

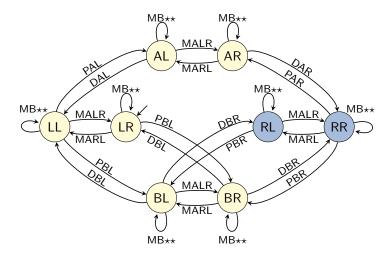
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R

#### First Merge Step

 $\mathcal{T}_1 := \mathcal{T}^{\pi_{\{\text{package}\}}} \otimes \mathcal{T}^{\pi_{\{\text{truck A}\}}}$ :



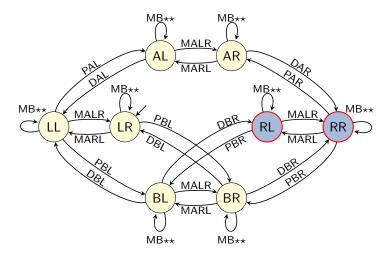
#### current FTS: $\{\mathcal{T}_1, \mathcal{T}^{\pi_{\{\text{truck B}\}}}\}$

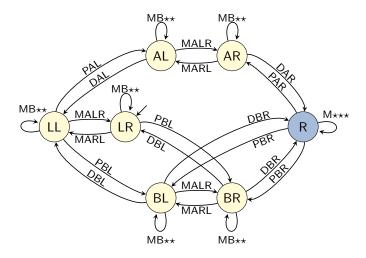
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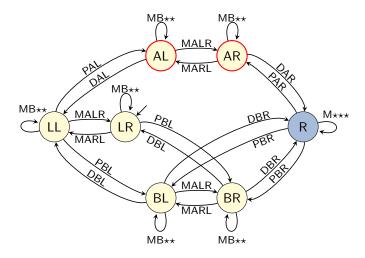
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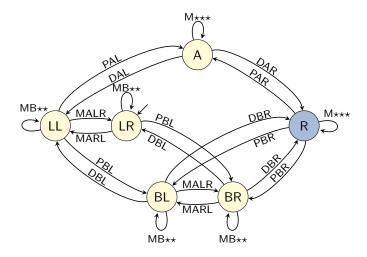
## Need to Shrink?

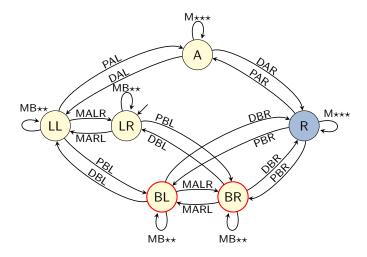
- ► With sufficient memory, we could now compute  $\mathcal{T}_1 \otimes \mathcal{T}^{\pi_{\text{truck B}}}$ and recover the full transition system of the task.
- However, to illustrate the general idea, we assume that memory is too restricted: we may never create a factor with more than 8 states.
- To make the product fit the bound, we shrink T<sub>1</sub> to 4 states. We can decide freely how exactly to abstract T<sub>1</sub>.
- In this example, we manually choose an abstraction that leads to a good result in the end. Making good shrinking decisions algorithmically is the job of the shrink strategy.

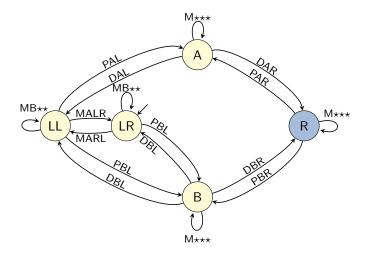


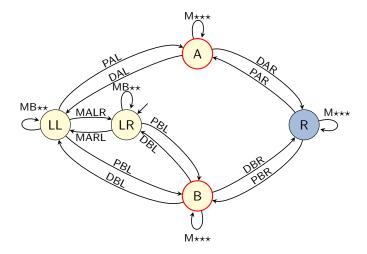


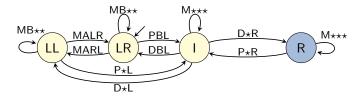




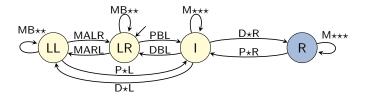








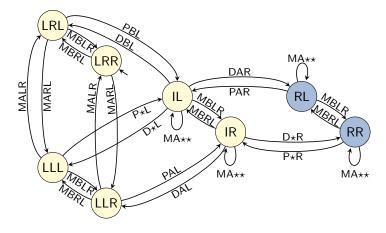
#### $\mathcal{T}_2 := \text{some abstraction of } \mathcal{T}_1$



current FTS:  $\{\mathcal{T}_2, \mathcal{T}^{\pi_{\{\text{truck B}\}}}\}$ 

## Second Merge Step

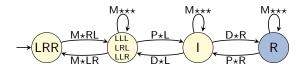
#### $\mathcal{T}_3 := \mathcal{T}_2 \otimes \mathcal{T}^{\pi_{\{\text{truck } B\}}}:$



#### current FTS: $\{\mathcal{T}_3\}$

## Another Shrink Step?

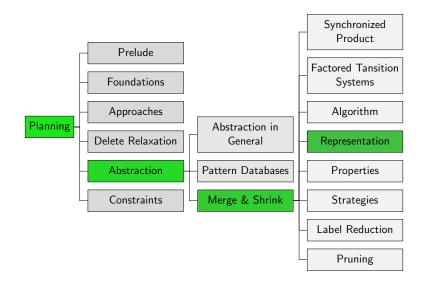
- At this point, merge-and-shrink construction stops.
   The distances in the final factor define the heuristic function.
- If there were further state variables to integrate, we would shrink again, e.g., leading to the following abstraction (again with four states):



- We get a heuristic value of 3 for the initial state, better than any PDB heuristic that is a proper abstraction.
- The example generalizes to arbitrarily many trucks, even if we stick to the fixed size limit of 8.

## E10.3 Maintaining the Abstraction

#### Content of the Course



## Generic Algorithm Template

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Generic Merge & Shrink Algorithm for planning task \Pi
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           if type = shrink:
                     select \mathcal{T} \in F
                     choose an abstraction mapping \beta on \mathcal{T}
                     F := (F \setminus \{\mathcal{T}\}) \cup \{\mathcal{T}^{\beta}\}
  return the remaining factor \mathcal{T}^{\alpha} in F
```

- The algorithm computes an abstract transition system.
- ► For the heuristic evaluation, we need an abstraction.
- How to maintain and represent the corresponding abstraction?

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## The Need for Succinct Abstractions

- One major difficulty for non-PDB abstraction heuristics is to succinctly represent the abstraction.
- For pattern databases, this is easy because the abstractions projections – are very structured.
- ► For less rigidly structured abstractions, we need another idea.

### How to Represent the Abstraction? (1)

Idea: the computation of the abstraction follows the sequence of product computations

- For the atomic abstractions π<sub>{v}</sub>, we generate a one-dimensional table that denotes which value in dom(v) corresponds to which abstract state in T<sup>π<sub>{v}</sub>.</sup>
- During the merge (product) step A := A<sub>1</sub> & A<sub>2</sub>, we generate a two-dimensional table that denotes which pair of states of A<sub>1</sub> and A<sub>2</sub> corresponds to which state of A.
- During the shrink (abstraction) steps, we make sure to keep the table in sync with the abstraction choices.

E10. Merge-and-Shrink: Algorithm

### How to Represent the Abstraction? (2)

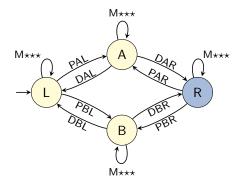
Idea: the computation of the abstraction mapping follows the sequence of product computations

- Once we have computed the final abstract transition system, we compute all abstract goal distances and store them in a one-dimensional table.
- At this point, we can throw away all the abstract transition systems – we just need to keep the tables.
- During search, we do a sequence of table lookups to navigate from the atomic abstraction states to the final abstract state and heuristic value ~> 2|V| lookups, O(|V|) time

Again, we illustrate the process with our running example.

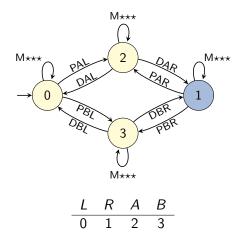
### Abstraction Example: Atomic Abstractions

Computing abstractions for the transition systems of atomic abstractions is simple. Just number the states (domain values) consecutively and generate a table of references to the states:



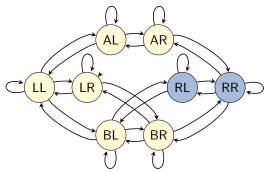
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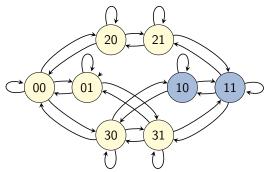
#### Abstraction Example: Merge Step

For product transition systems  $\mathcal{A}_1 \otimes \mathcal{A}_2$ , we again number the product states consecutively and generate a table that links state pairs of  $\mathcal{A}_1$  and  $\mathcal{A}_2$  to states of  $\mathcal{A}$ :



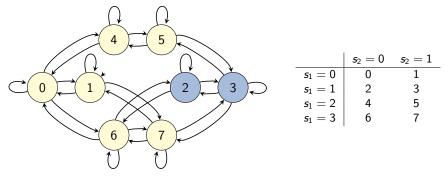
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#### Abstraction Example: Merge Step

For product transition systems  $A_1 \otimes A_2$ , we again number the product states consecutively and generate a table that links state pairs of  $A_1$  and  $A_2$  to states of A:



# Maintaining the Abstraction when Shrinking

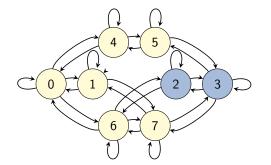
- The hard part in representing the abstraction is to keep it consistent when shrinking.
- In theory, this is easy to do:
  - When combining states i and j, arbitrarily use one of them (say i) as the number of the new state.
  - Find all table entries in the table for this abstraction which map to the other state j and change them to i.
- However, doing a table scan each time two states are combined is very inefficient.
- Fortunately, there also is an efficient implementation which takes constant time per combination.

E10. Merge-and-Shrink: Algorithm

# Maintaining the Abstraction Efficiently

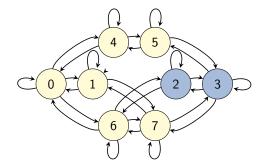
- Associate each abstract state with a linked list, representing all table entries that map to this state.
- Before starting the shrink operation, initialize the lists by scanning through the table, then discard the table.
- While shrinking, when combining i and j, splice the list elements of j into the list elements of i.
  - For linked lists, this is a constant-time operation.
- Once shrinking is completed, renumber all abstract states so that there are no gaps in the numbering.
- Finally, regenerate the mapping table from the linked list information.

#### Representation before shrinking:



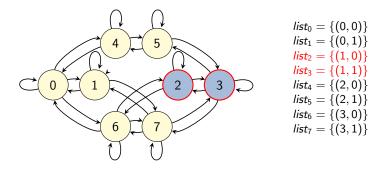
	$s_2 = 0$	$s_2 = 1$
$s_1 = 0$	0	1
$s_1 = 1$	2	3
$s_1 = 2$	4	5
$s_1 = 3$	6	7

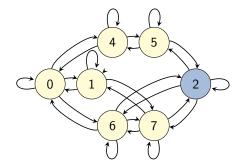
#### 1. Convert table to linked lists and discard it.



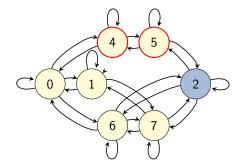
$list_0 = \{(0,0)\}$
$list_1 = \{(0,1)\}$
$list_2 = \{(1,0)\}$
$\mathit{list}_3 = \{(1,1)\}$
$list_4 = \{(2,0)\}$
$list_5 = \{(2,1)\}$
$list_6 = \{(3,0)\}$
$list_7 = \{(3, 1)\}$

	$s_2 = 0$	$s_2 = 1$
$s_1 = 0$	0	1
$s_1 = 1$	2	3
$s_1 = 2$	4	5
$s_1 = 3$	6	7

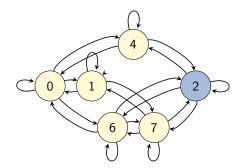




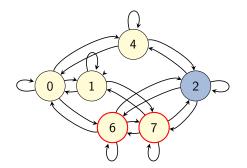
$$\begin{split} & \textit{list}_0 = \{(0,0)\} \\ & \textit{list}_1 = \{(0,1)\} \\ & \textit{list}_2 = \{(1,0),(1,1)\} \\ & \textit{list}_3 = \emptyset \\ & \textit{list}_4 = \{(2,0)\} \\ & \textit{list}_5 = \{(2,1)\} \\ & \textit{list}_6 = \{(3,0)\} \\ & \textit{list}_7 = \{(3,1)\} \end{split}$$



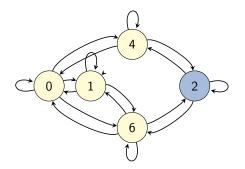
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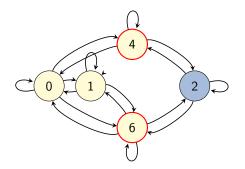
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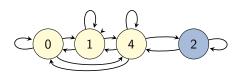
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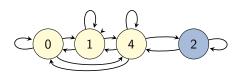
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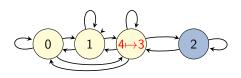


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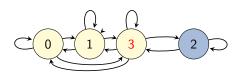
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#### 3. Renumber abstract states consecutively.



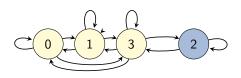
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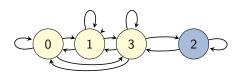
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#### 4. Regenerate the mapping table from the linked lists.



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3

## The Final Heuristic Representation

At the end, our heuristic is represented by six tables:

three one-dimensional tables for the atomic abstractions:

two tables for the two merge and subsequent shrink steps:

$T^1_{m\&s}$	$s_2 = 0$	$s_2 = 1$	$T_{m\&s}^2$	$s_2 = 0$	$s_2 = 1$
$s_1 = 0$	0	1	$s_1 = 0$	1	1
$s_1 = 1$	2	2	$s_1 = 1$	1	0
$s_1 = 2$	3	3	$s_1 = 2$	2	2
$s_1 = 3$	3	3	$s_1 = 3$	3	3

one table with goal distances for the final transition system:

$$\begin{array}{c|c|c} T_h & s = 0 & s = 1 & s = 2 & s = 3 \\ \hline h(s) & 3 & 2 & 0 & 1 \end{array}$$

Given a state  $s = \{ package \mapsto L, truck A \mapsto L, truck B \mapsto R \}$ , its heuristic value is then looked up as:

$$\blacktriangleright h(s) = T_h[T_{m\&s}^2[T_{m\&s}^1[T_{package}[L], T_{truck A}[L]], T_{truck B}[R]]]$$

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# E10.4 Summary

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# Summary (1)

- Merge-and-shrink abstractions are constructed by iteratively transforming the factored transition system of a planning task.
- Merge transformations combine two factors into their synchronized product.
- Shrink transformations reduce the size of a factor by abstracting it.
- Merge-and-shrink abstractions are represented by a set of reference tables, one for each atomic abstraction and one for each merge-and-shrink step.
- The heuristic representation uses an additional table for the goal distances in the final abstract transition system.

# Summary (2)

- Projections of SAS<sup>+</sup> tasks correspond to merges of atomic factors.
- By also including shrinking, merge-and-shrink abstractions generalize projections: they can reflect all state variables, but in a potentially lossy way.