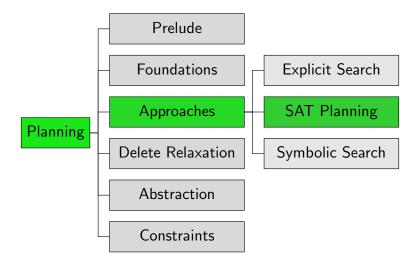
Planning and Optimization C5. SAT Planning: Parallel Encoding

Malte Helmert and Gabriele Röger

Universität Basel

October 14, 2024

Content of the Course



Introduction

Efficiency of SAT Planning

- All other things being equal, the most important aspect for efficient SAT solving is the number of propositional variables in the input formula.
- For sufficiently difficult inputs, runtime scales exponentially in the number of variables.
- Can we make SAT planning more efficient by using fewer variables?

Number of Variables

Reminder:

- given propositional planning task $\Pi = \langle V, I, O, \gamma \rangle$
- given horizon $T \in \mathbb{N}_0$

Variables of the SAT Formula

- propositional variables v^i for all $v \in V$, $0 \le i \le T$ encode state after i steps of the plan
- propositional variables o^i for all $o \in O$, $1 \le i \le T$ encode operator(s) applied in i-th step of the plan
- \rightarrow $|V| \cdot (T+1) + |O| \cdot T$ variables
- \rightsquigarrow SAT solving runtime usually exponential in T

Parallel Plans and Interference

Can we get away with shorter horizons?

Idea:

allow parallel plans in the SAT encoding:
 multiple operators can be applied in the same step if they do not interfere

Definition (Interference)

Let $O' = \{o_1, \dots, o_n\}$ be a set of operators applicable in state s.

We say that O' is interference-free in s if

- for all permutations π of O', $s[\![\pi]\!]$ is defined, and
- for all permutations π , π' of O', $s[\pi] = s[\pi']$.

We say that O' interfere in s if they are not interference-free in s.

Parallel Plan Extraction

- If we can rule out interference, we can allow multiple operators at the same time in the SAT encoding.
- A parallel plan (with multiple oⁱ used for the same i) extracted from the SAT formula can then be converted into a "regular" plan by ordering the operators within each time step arbitrarily.

Challenges for Parallel SAT Encodings

Two challenges remain:

- our current SAT encoding does not allow concurrent operators
- how do we ensure that our plans are interference-free?

Adapting the SAT Encoding

Reminder: Sequential SAT Encoding (1)

Sequential SAT Formula (1)

initial state clauses:

 \mathbf{v}^0

for all $v \in V$ with $I(v) = \mathbf{T}$

 $\neg v^0$

for all $v \in V$ with $I(v) = \mathbf{F}$

goal clauses:

operator selection clauses:

$$\bullet$$
 $o_1^i \vee \cdots \vee o_n^i$

for all
$$1 \le i \le T$$

operator exclusion clauses:

for all
$$1 \le i \le T$$
, $1 \le j < k \le n$

Reminder: Sequential SAT Encoding (1)

Sequential SAT Formula (1)

initial state clauses:

 \mathbf{v}^0

for all $v \in V$ with $I(v) = \mathbf{T}$

 $\blacksquare \neg v^0$

for all $v \in V$ with $I(v) = \mathbf{F}$

goal clauses:

operator selection clauses:

$$\bullet$$
 $o_1^i \vee \cdots \vee o_n^i$

for all
$$1 \le i \le T$$

operator exclusion clauses:

$$\neg o_i^i \lor \neg o_k^i$$

for all
$$1 \le i \le T$$
, $1 \le j < k \le n$

→ operator exclusion clauses must be adapted

Sequential SAT Encoding (2)

Sequential SAT Formula (2)

precondition clauses:

 $lacksquare o^i
ightarrow \mathit{pre}(o)^{i-1}$ for all $1 \leq i \leq T$, $o \in O$

positive and negative effect clauses:

- $(o^i \wedge \alpha^{i-1}) \rightarrow v^i$ for all $1 \leq i \leq T$, $o \in O$, $v \in V$
- $(o^i \wedge \delta^{i-1} \wedge \neg \alpha^{i-1}) \rightarrow \neg v^i \text{ for all } 1 \leq i \leq T, o \in O, v \in V$

positive and negative frame clauses:

- $\bullet \quad (o^i \wedge \neg v^{i-1} \wedge v^i) \to \alpha^{i-1} \quad \text{for all } 1 \leq i \leq T, \ o \in O, \ v \in V$

where $\alpha = effcond(v, eff(o))$, $\delta = effcond(\neg v, eff(o))$.

Sequential SAT Encoding (2)

Sequential SAT Formula (2)

precondition clauses:

 \bullet $o^i \rightarrow pre(o)^{i-1}$ for all $1 \le i \le T$, $o \in O$

positive and negative effect clauses:

- $(o^i \wedge \alpha^{i-1}) \rightarrow v^i$ for all $1 \leq i \leq T$, $o \in O$, $v \in V$
- \bullet $(o^i \wedge \delta^{i-1} \wedge \neg \alpha^{i-1}) \rightarrow \neg v^i$ for all 1 < i < T, $o \in O$, $v \in V$

positive and negative frame clauses:

- $(o^i \wedge v^{i-1} \wedge \neg v^i) \rightarrow \delta^{i-1}$ for all 1 < i < T, $o \in O$, $v \in V$
- \bullet $(o^i \land \neg v^{i-1} \land v^i) \rightarrow \alpha^{i-1}$ for all 1 < i < T, $o \in O$, $v \in V$

where $\alpha = effcond(v, eff(o)), \delta = effcond(\neg v, eff(o)).$

→ frame clauses must be adapted

Adapting the Operator Exclusion Clauses: Idea

```
Reminder: operator exclusion clauses \neg o_j^i \lor \neg o_k^i for all 1 \le i \le T, 1 \le j < k \le n
```

- Ideally: replace with clauses that express "for all states s, the operators selected at time i are interference-free in s"
- but: testing if a given set of operators interferes in any state is itself an NP-complete problem
- use something less heavy: a sufficient condition for interference-freeness that can be expressed at the level of pairs of operators

Conflicting Operators

- Intuitively, two operators conflict if
 - one can disable the precondition of the other,
 - one can override an effect of the other, or
 - one can enable or disable an effect condition of the other.
- If no two operators in a set O' conflict, then O' is interference-free in all states.
- This is still difficult to test, so we restrict attention to the STRIPS case in the following.

Definition (Conflicting STRIPS Operator)

Operators o and o' of a STRIPS task Π conflict if

- o deletes a precondition of o' or vice versa, or
- o deletes an add effect of o' or vice versa.

Adapting the Operator Exclusion Clauses: Solution

Reminder: operator exclusion clauses $\neg o_j^i \lor \neg o_k^i$ for all $1 \le i \le T$, $1 \le j < k \le n$

Solution:

Parallel SAT Formula: Operator Exclusion Clauses

operator exclusion clauses:

■ $\neg o_j^i \lor \neg o_k^i$ for all $1 \le i \le T$, $1 \le j < k \le n$ such that o_i and o_k conflict

Adapting the Frame Clauses: Idea

Reminder: frame clauses

$$(o^i \wedge v^{i-1} \wedge \neg v^i) \rightarrow \delta^{i-1}$$
 for all $1 \le i \le T$, $o \in O$, $v \in V$
 $(o^i \wedge \neg v^{i-1} \wedge v^i) \rightarrow \alpha^{i-1}$ for all $1 \le i \le T$, $o \in O$, $v \in V$

What is the problem?

- These clauses express that if o is applied at time i and the value of v changes, then o caused the change.
- This is no longer true if we want to be able to apply two operators concurrently.
- → Instead, say "If the value of v changes,
 then some operator must have caused the change."

Adapting the Frame Clauses: Solution

Reminder: frame clauses

$$(o^i \wedge v^{i-1} \wedge \neg v^i) \rightarrow \delta^{i-1}$$
 for all $1 \le i \le T$, $o \in O$, $v \in V$
 $(o^i \wedge \neg v^{i-1} \wedge v^i) \rightarrow \alpha^{i-1}$ for all $1 \le i \le T$, $o \in O$, $v \in V$

Solution:

Parallel SAT Formula: Frame Clauses

positive and negative frame clauses:

$$(v^{i-1} \wedge \neg v^i) \rightarrow ((o_1^i \wedge \delta_{o_1}^{i-1}) \vee \dots \vee (o_n^i \wedge \delta_{o_n}^{i-1}))$$
for all $1 < i < T, v \in V$

$$(\neg v^{i-1} \wedge v^i) \rightarrow ((o_1^i \wedge \alpha_{c_1}^{i-1}) \vee \cdots \vee (o_n^i \wedge \alpha_{c_n}^{i-1}))$$

for all
$$1 \le i \le T$$
, $v \in V$

where
$$\alpha_o = effcond(v, eff(o))$$
, $\delta_o = effcond(\neg v, eff(o))$, $O = \{o_1, \dots, o_n\}$.

For STRIPS, these are in clause form.

Summary

Summary

- As a rule of thumb, SAT solvers generally perform better on formulas with fewer variables.
- Parallel encodings reduce the number of variables by shortening the horizon needed to solve a planning task.
- Parallel encodings replace the constraint that operators are not applied concurrently by the constraint that conflicting operators are not applied concurrently.
- To make parallelism possible, the frame clauses also need to be adapted.