

# Planning and Optimization

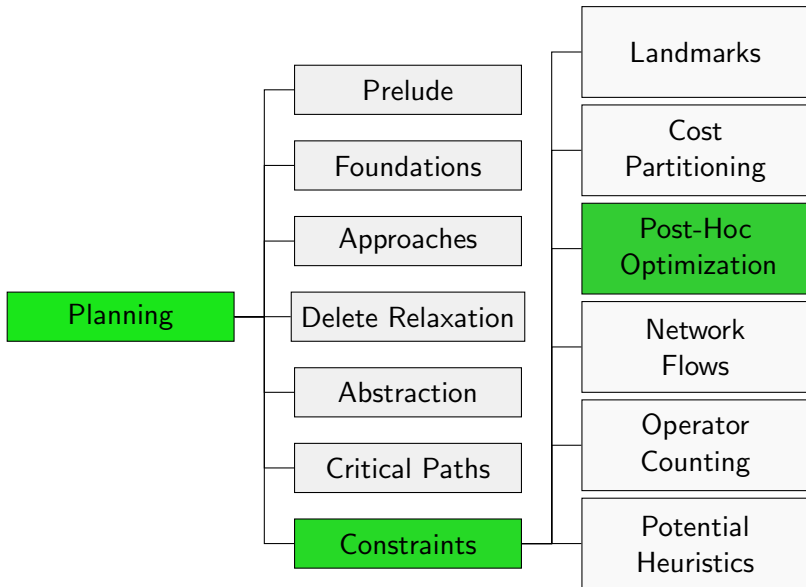
## G9. Post-hoc Optimization

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# Content of this Course



# Introduction

# Example Task (1)

## Example (Example Task)

SAS<sup>+</sup> task  $\Pi = \langle V, I, O, \gamma \rangle$  with

- $V = \{A, B, C\}$  with  $\text{dom}(v) = \{0, 1, 2, 3, 4\}$  for all  $v \in V$
- $I = \{A \mapsto 0, B \mapsto 0, C \mapsto 0\}$
- $O = \{inc_x^v \mid v \in V, x \in \{0, 1, 2\}\} \cup \{jump^v \mid v \in V\}$ 
  - $inc_x^v = \langle v = x, v := x + 1, 1 \rangle$
  - $jump^v = \langle \bigwedge_{v' \in V: v' \neq v} v' = 4, v := 3, 1 \rangle$
- $\gamma = A = 3 \wedge B = 3 \wedge C = 3$

- Each optimal plan consists of three increment operators for each variable  $\rightsquigarrow h^*(I) = 9$
- Each operator affects only one variable.

## Example Task (2)

- In projections on single variables we can reach the goal with a *jump* operator:  $h^{\{A\}}(I) = h^{\{B\}}(I) = h^{\{C\}}(I) = 1$ .
- In projections on more variables, we need for each variable three applications of increment operators to reach the abstract goal from the abstract initial state:  
 $h^{\{A,B\}}(I) = h^{\{A,C\}}(I) = h^{\{B,C\}}(I) = 6$

### Example (Canonical Heuristic)

$$\mathcal{C} = \{\{A\}, \{B\}, \{C\}, \{A, B\}, \{A, C\}, \{B, C\}\}$$

$$h^{\mathcal{C}}(s) = \max\{h^{\{A\}}(s) + h^{\{B\}}(s) + h^{\{C\}}(s), h^{\{A\}}(s) + h^{\{B,C\}}(s), \\ h^{\{B\}}(s) + h^{\{A,C\}}(s), h^{\{C\}}(s) + h^{\{A,B\}}(s)\}$$

$$h^{\mathcal{C}}(I) = 7$$

# Post-hoc Optimization Heuristic: Idea

Consider the example task:

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- *type-v operator*: operator modifying variable  $v$
- $h^{\{A,B\}} = 6$   
⇒ in any plan *operators of type A or B incur at least cost 6.*

# Post-hoc Optimization Heuristic: Idea

Consider the example task:

- *type- $v$  operator*: operator modifying variable  $v$
- $h^{\{A,B\}} = 6$   
⇒ in any plan *operators of type  $A$  or  $B$  incur at least cost 6.*
- $h^{\{A,C\}} = 6$   
⇒ in any plan *operators of type  $A$  or  $C$  incur at least cost 6.*
- $h^{\{B,C\}} = 6$   
⇒ in any plan *operators of type  $B$  or  $C$  incur at least cost 6.*



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⇒ in any plan *operators of type  $B$  or  $C$  incur at least cost 6.*
- ⇒ any plan *has at least cost ???.*

# Post-hoc Optimization Heuristic: Idea

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- *type-v operator*: operator modifying variable  $v$
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- $h^{\{B,C\}} = 6$   
⇒ in any plan operators of type  $B$  or  $C$  incur at least cost 6.
- ⇒ any plan has at least cost ???.
- (let's use linear programming...)

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- ⇒ any plan has at least cost ???.
- (let's use linear programming...)
- ⇒ any plan has at least cost 9.

# Post-hoc Optimization Heuristic: Idea

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⇒ in any plan operators of type  $B$  or  $C$  incur at least cost 6.
- ⇒ any plan has at least cost ???.
- (let's use linear programming...)
- ⇒ any plan has at least cost 9.

Can we generalize this kind of reasoning?

# Post-hoc Optimization

# Post-hoc Optimization

The heuristic that generalizes this kind of reasoning is the **Post-hoc Optimization Heuristic** (PhO)

- can be computed for any kind of heuristic ...
- ... as long as we are able to determine **relevance** of operators
- if in doubt, it's always safe to assume an operator is relevant for a heuristic
- but for PhO to work well, it's important that the set of relevant operators is as small as possible

# Operator Relevance in Abstractions

## Definition (Reminder: Affecting Transition Labels)

Let  $\mathcal{T}$  be a transition system, and let  $\ell$  be one of its labels.

We say that  $\ell$  **affects**  $\mathcal{T}$  if  $\mathcal{T}$  has a transition  $s \xrightarrow{\ell} t$  with  $s \neq t$ .

## Definition (Operator Relevance in Abstractions)

An operator  $o$  is **relevant** for an abstraction  $\alpha$  if  $o$  **affects**  $\mathcal{T}^\alpha$ .

We can efficiently determine operator relevance for abstractions.

# Linear Program (1)

For a given set of abstractions  $\{\alpha_1, \dots, \alpha_n\}$ , we construct a **linear program**:

- variable  $X_o$  for each operator  $o \in O$
- intuitively,  $X_o$  is **cost incurred** by operator  $o$
- abstraction heuristics are admissible

$$\sum_{o \in O} X_o \geq h^\alpha(s) \quad \text{for } \alpha \in \{\alpha_1, \dots, \alpha_n\}$$

- can tighten these constraints to

$$\sum_{o \in O: o \text{ relevant for } \alpha} X_o \geq h^\alpha(s) \quad \text{for } \alpha \in \{\alpha_1, \dots, \alpha_n\}$$



## Linear Program (2)

For set of abstractions  $\{\alpha_1, \dots, \alpha_n\}$ :

### Variables

Non-negative variables  $X_o$  for all operators  $o \in O$

### Objective

Minimize  $\sum_{o \in O} X_o$

### Subject to

$$\sum_{o \in O: o \text{ relevant for } \alpha} X_o \geq h^\alpha(s) \quad \text{for } \alpha \in \{\alpha_1, \dots, \alpha_n\}$$
$$X_o \geq 0 \quad \text{for all } o \in O$$

## Simplifying the LP

- Reduce the size of the LP by aggregating variables which always occur together in constraints.
- Happens if several operators are relevant for exactly the same heuristics.
- Partitioning  $O/\sim$  induced by this equivalence relation
- One variable  $X_{[o]}$  for each  $[o] \in O/\sim$

# Example

## Example

- only operators  $o_1, o_2, o_3$  and  $o_4$  are relevant for  $h_1$  and  $h_1(s_0) = 11$
- only operators  $o_3, o_4, o_5$  and  $o_6$  are relevant for  $h_2$  and  $h_2(s_0) = 11$
- only operators  $o_1, o_2$  and  $o_6$  are relevant for  $h_3$  and  $h_3(s_0) = 8$

Which operators are relevant for exactly the same heuristics?  
What is the resulting partitioning?

# Example

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- only operators  $o_1, o_2, o_3$  and  $o_4$  are relevant for  $h_1$  and  $h_1(s_0) = 11$
- only operators  $o_3, o_4, o_5$  and  $o_6$  are relevant for  $h_2$  and  $h_2(s_0) = 11$
- only operators  $o_1, o_2$  and  $o_6$  are relevant for  $h_3$  and  $h_3(s_0) = 8$

Which operators are relevant for exactly the same heuristics?  
What is the resulting partitioning?

Answer:  $o_1 \sim o_2$  and  $o_3 \sim o_4$   
 $\Rightarrow O/\sim = \{[o_1], [o_3], [o_5], [o_6]\}$

# Simplifying the LP: Example

LP **before** aggregation

## Variables

Non-negative variable  $X_1, \dots, X_6$   
for operators  $o_1, \dots, o_6$

Minimize  $X_1 + X_2 + X_3 + X_4 + X_5 + X_6$  subject to

$$X_1 + X_2 + X_3 + X_4 \geq 11$$

$$X_3 + X_4 + X_5 + X_6 \geq 11$$

$$X_1 + X_2 + X_6 \geq 8$$

$$X_i \geq 0 \quad \text{for } i \in \{1, \dots, 6\}$$

# Simplifying the LP: Example

LP **after** aggregation

## Variables

Non-negative variable  $X_{[1]}, X_{[3]}, X_{[5]}, X_{[6]}$   
for **equivalence classes**  $[o_1], [o_3], [o_5], [o_6]$

$$\begin{aligned} \text{Minimize} \quad & X_{[1]} + X_{[3]} + X_{[5]} + X_{[6]} \quad \text{subject to} \\ & X_{[1]} + X_{[3]} \geq 11 \\ & X_{[3]} + X_{[5]} + X_{[6]} \geq 11 \\ & X_{[1]} + X_{[6]} \geq 8 \\ & X_i \geq 0 \quad \text{for } i \in \{[1], [3], [5], [6]\} \end{aligned}$$

# PhO Heuristic

## Definition (Post-hoc Optimization Heuristic)

The post-hoc optimization heuristic  $h_{\{\alpha_1, \dots, \alpha_n\}}^{\text{PhO}}$  for abstractions  $\alpha_1, \dots, \alpha_n$  is the objective value of the following linear program:

Minimize  $\sum_{[o] \in O/\sim} X_{[o]}$  subject to

$$\sum_{[o] \in O/\sim: o \text{ relevant for } \alpha} X_{[o]} \geq h^\alpha(s) \quad \text{for all } \alpha \in \{\alpha_1, \dots, \alpha_n\}$$
$$X_{[o]} \geq 0 \quad \text{for all } [o] \in O/\sim,$$

where  $o \sim o'$  iff  $o$  and  $o'$  are relevant for exactly the same abstractions in  $\alpha_1, \dots, \alpha_n$ .

# PhO Heuristic

$h^{\text{PhO}}$

- 1 Precompute all abstraction heuristics  $h^{\alpha_1}, \dots, h^{\alpha_n}$ .
- 2 Create LP for initial state  $s_0$ .
- 3 For each new state  $s$ :
  - Look up  $h^\alpha(s)$  for all  $\alpha \in \{\alpha_1, \dots, \alpha_n\}$ .
  - Adjust LP by replacing bounds with the  $h^\alpha(s)$  values.



# Post-hoc Optimization Heuristic: Admissibility

## Theorem (Admissibility)

*The post-hoc optimization heuristic is **admissible**.*

## Proof.

Let  $\Pi$  be a planning task and  $\{\alpha_1, \dots, \alpha_n\}$  be a set of abstractions. We show that there is a feasible variable assignment with objective value equal to the cost of an optimal plan.

Let  $\pi$  be an optimal plan for state  $s$  and let  $cost_\pi(O')$  be the cost incurred by operators from  $O' \subseteq O$  in  $\pi$ .

Setting each  $X_{[o]}$  to  $cost_\pi([o])$  is a feasible variable assignment:

Constraints  $X_{[o]} \geq 0$  are satisfied. ...

# Post-hoc Optimization Heuristic: Admissibility

## Theorem (Admissibility)

*The post-hoc optimization heuristic is **admissible**.*

## Proof (continued).

For each  $\alpha \in \{\alpha_1, \dots, \alpha_n\}$ ,  $\pi$  is a solution in the abstract transition system and the sum in the corresponding constraint equals the cost of the state-changing abstract state transitions (i.e., not accounting for self-loops). As  $h^\alpha(s)$  corresponds to the cost of an optimal solution in the abstraction, the inequality holds.

For this assignment, the objective function has value  $h^*(s)$  (cost of  $\pi$ ), so the objective value of the LP is admissible. □

# Comparison

# Combining Estimates from Abstraction Heuristics

- Post-Hoc optimization combines multiple admissible heuristic estimates into one.

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  - the canonical heuristic (for PDBs), and
  - optimal cost partitioning (not covered in detail).

# Combining Estimates from Abstraction Heuristics

- Post-Hoc optimization combines multiple admissible heuristic estimates into one.
- We have already heard of two other such approaches for abstraction heuristics,
  - the canonical heuristic (for PDBs), and
  - optimal cost partitioning (not covered in detail).
- How does PhO compare to these?

## Reminder: The Canonical Heuristic Function

If for a set of patterns no operator affects more than one pattern, the sum of the heuristic estimates is admissible.

### Definition (Canonical Heuristic Function)

Let  $\mathcal{C}$  be a pattern collection for an FDR planning task.

The **canonical heuristic**  $h^{\mathcal{C}}$  for pattern collection  $\mathcal{C}$  is defined as

$$h^{\mathcal{C}}(s) = \max_{\mathcal{D} \in \text{cliques}(\mathcal{C})} \sum_{P \in \mathcal{D}} h^P(s),$$

where  $\text{cliques}(\mathcal{C})$  is the set of all maximal cliques in the compatibility graph for  $\mathcal{C}$ .

For a given pattern collection, the canonical heuristic is the best possible admissible heuristic not using cost partitioning.

# What about Optimal Cost Partitioning for Abstractions?

Optimal cost partitioning for abstractions. . .

- . . . uses a **state-specific LP** to find the **best possible cost partitioning**, and sums up the heuristic estimates.
- . . . **dominates the canonical heuristic**, i.e. for the same pattern collection, it never gives lower estimates than  $h^C$ .
- . . . is **very expensive** to compute (recomputing all abstract goal distances in every state).



# PhO: Linear Program

For set of abstractions  $\{\alpha_1, \dots, \alpha_n\}$ :

## Variables

$X_{[o]}$  for all equivalence classes  $[o] \in O/\sim$

## Objective

Minimize  $\sum_{[o] \in O/\sim} X_{[o]}$

## Subject to

$$\sum_{[o] \in O/\sim: o \text{ relevant for } \alpha} X_{[o]} \geq h^\alpha(s) \quad \text{for all } \alpha \in \{\alpha_1, \dots, \alpha_n\}$$
$$X_{[o]} \geq 0 \quad \text{for all } [o] \in O/\sim$$

# PhO: Dual Linear Program

For set of abstractions  $\{\alpha_1, \dots, \alpha_n\}$ :

## Variables

$Y_\alpha$  for each abstraction  $\alpha \in \{\alpha_1, \dots, \alpha_n\}$

## Objective

Maximize  $\sum_{\alpha \in \{\alpha_1, \dots, \alpha_n\}} h^\alpha(s) Y_\alpha$

## Subject to

$$\sum_{\alpha \in \{\alpha_1, \dots, \alpha_n\}: o \text{ relevant for } \alpha} Y_\alpha \leq 1 \quad \text{for all } [o] \in O/\sim$$

$$Y_\alpha \geq 0 \quad \text{for all } \alpha \in \{\alpha_1, \dots, \alpha_n\}$$

# PhO: Dual Linear Program

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Maximize  $\sum_{\alpha \in \{\alpha_1, \dots, \alpha_n\}} h^\alpha(s) Y_\alpha$

## Subject to

$$\sum_{\alpha \in \{\alpha_1, \dots, \alpha_n\}: o \text{ relevant for } \alpha} Y_\alpha \leq 1 \quad \text{for all } [o] \in O/\sim$$

$$Y_\alpha \geq 0 \quad \text{for all } \alpha \in \{\alpha_1, \dots, \alpha_n\}$$

We compute a state-specific cost partitioning that can only scale the operator costs within each heuristic by a factor  $0 \leq Y_\alpha \leq 1$ .

# Relation to Optimal Cost Partitioning

## Theorem

*Optimal cost partitioning dominates post-hoc optimization.*

## Proof Sketch.

Consider a feasible assignment  $\langle Y_{\alpha_1}, \dots, Y_{\alpha_n} \rangle$  for the variables of the dual LP for PhO.

Its objective value is equivalent to the cost-partitioning heuristic for the same abstractions with cost partitioning

$\langle Y_{\alpha_1} \text{ cost}, \dots, Y_{\alpha_n} \text{ cost} \rangle$ .

# Relation to Canonical Heuristic

## Theorem

Consider the *dual*  $D$  of the LP solved by the post-hoc optimization heuristic in state  $s$  for a given set of abstractions. If we *restrict the variables in  $D$  to integers*, the *objective value is the canonical heuristic value  $h^c(s)$* .

## Relation to Canonical Heuristic

### Theorem

Consider the *dual*  $D$  of the LP solved by the post-hoc optimization heuristic in state  $s$  for a given set of abstractions. If we *restrict the variables in  $D$  to integers*, the *objective value is the canonical heuristic value  $h^c(s)$* .

### Corollary

The post-hoc optimization heuristic *dominates the canonical heuristic* for the same set of abstractions.

$h^{\text{PhO}}$  vs  $h^{\text{C}}$ 

- For the canonical heuristic, we need to find all maximal cliques, which is an **NP-hard** problem.
- The post-hoc optimization heuristic **dominates the canonical heuristic** and can be computed in **polynomial time**.
- The post-hoc optimization heuristic solves an LP in each state.
- With post-hoc optimization, a **large number of small patterns** works well.

# Summary



# Summary

- **Post-hoc optimization heuristic** constraints express admissibility of heuristics
- exploits (ir-)relevance of operators for heuristics
- explores the middle ground between canonical heuristic and optimal cost partitioning.
- For the same set of abstractions, the post-hoc optimization heuristic **dominates the canonical heuristic**.
- The computation can be done in **polynomial time**.