

# Planning and Optimization

## E10. Merge-and-Shrink: Algorithm

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November 15, 2023

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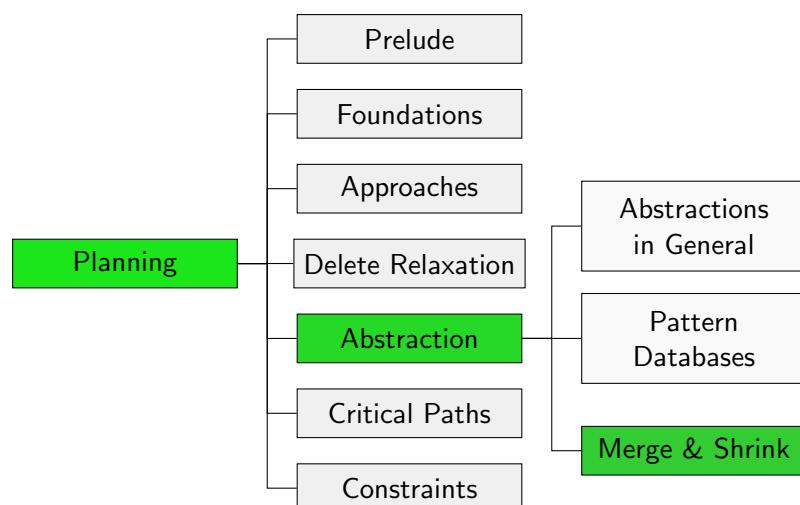
## E10.1 Generic Algorithm

## E10.2 Example

## E10.3 Maintaining the Abstraction

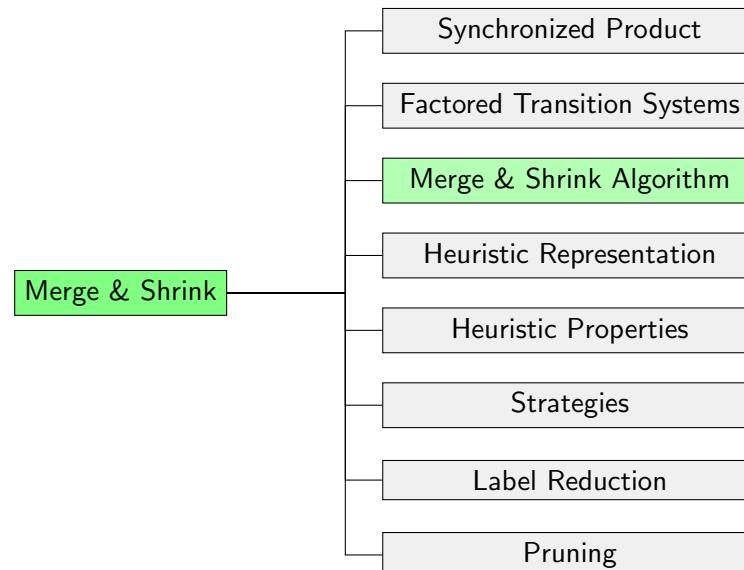
## E10.4 Summary

## Content of this Course



## E10.1 Generic Algorithm

## Merge-and-Shrink



## Generic Algorithm Template

Generic Merge & Shrink Algorithm for planning task  $\Pi$

```

 $F := F(\Pi)$ 
while  $|F| > 1$ :
  select  $\text{type} \in \{\text{merge, shrink}\}$ 
  if  $\text{type} = \text{merge}$ :
    select  $\mathcal{T}_1, \mathcal{T}_2 \in F$ 
     $F := (F \setminus \{\mathcal{T}_1, \mathcal{T}_2\}) \cup \{\mathcal{T}_1 \otimes \mathcal{T}_2\}$ 
  if  $\text{type} = \text{shrink}$ :
    select  $\mathcal{T} \in F$ 
    choose an abstraction mapping  $\beta$  on  $\mathcal{T}$ 
     $F := (F \setminus \{\mathcal{T}\}) \cup \{\mathcal{T}^\beta\}$ 
return the remaining factor  $\mathcal{T}^\alpha$  in  $F$ 
  
```

In Ch. E12 and E13, we will include more transformation types  
(label reduction and pruning)

## Generic Merge-and-shrink Abstractions: Outline

Using the results of the previous chapter, we can develop a **generic abstraction computation procedure** that **takes all state variables into account**.

- ▶ **Initialization:** Compute the FTS consisting of all atomic projections.
- ▶ **Loop:** Repeatedly apply a transformation to the FTS.
  - ▶ **Merging:** Combine two factors by replacing them with their synchronized product.
  - ▶ **Shrinking:** If the factors are too large, make one of them smaller by abstracting it further (applying an arbitrary abstraction to it).
- ▶ **Termination:** Stop when only one factor is left.

The final factor is then used for an abstraction heuristic.

## Merge-and-Shrink Strategies

Choices to resolve to instantiate the template:

- ▶ When to merge, when to shrink?  
~~ **general strategy**
- ▶ Which abstractions to merge?  
~~ **merge strategy**
- ▶ Which abstraction to shrink, and how to shrink it (which  $\beta$ )?  
~~ **shrink strategy**

merge and shrink strategies ~~ Ch. E11/E12

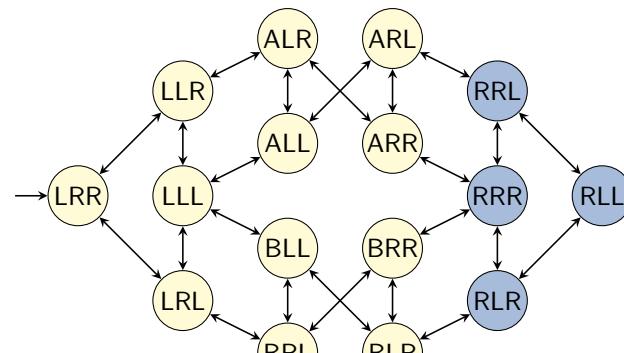
## General Strategy

A typical **general strategy**:

- ▶ define a **limit  $N$**  on the number of states allowed in each factor
- ▶ in each iteration, select two factors we would like to merge
- ▶ merge them if this does not exhaust the state number limit
- ▶ otherwise shrink one or both factors just enough to make a subsequent merge possible

## E10.2 Example

## Back to the Running Example

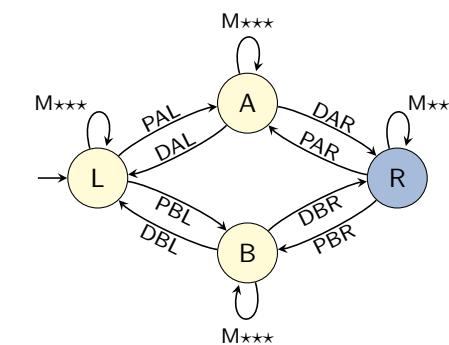


Logistics problem with one package, two trucks, two locations:

- ▶ state variable **package**:  $\{L, R, A, B\}$
- ▶ state variable **truck A**:  $\{L, R\}$
- ▶ state variable **truck B**:  $\{L, R\}$

## Initialization Step: Atomic Projection for Package

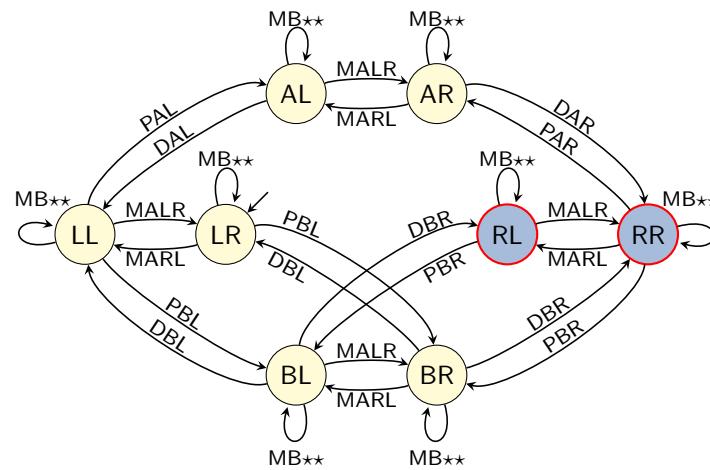
$\mathcal{T}^{\pi_{\{\text{package}\}}}$ :





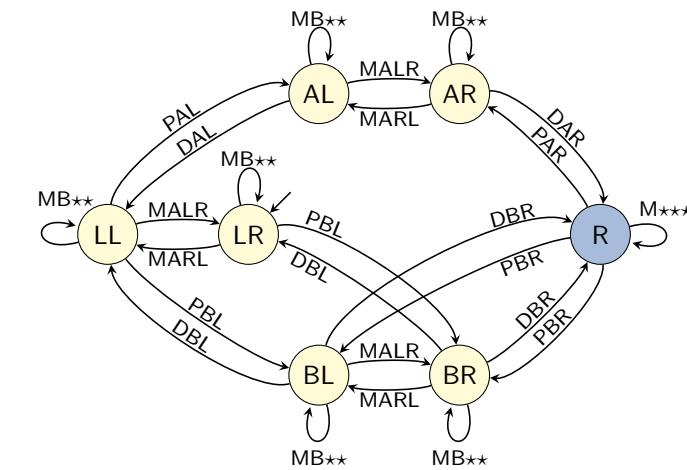
## First Shrink Step

$\mathcal{T}_2 :=$  some abstraction of  $\mathcal{T}_1$



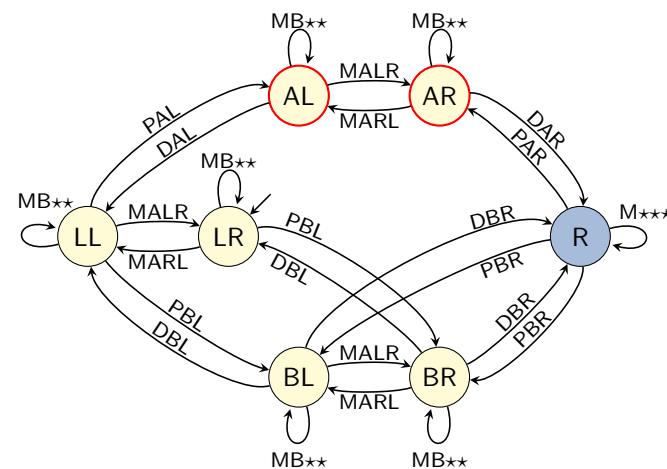
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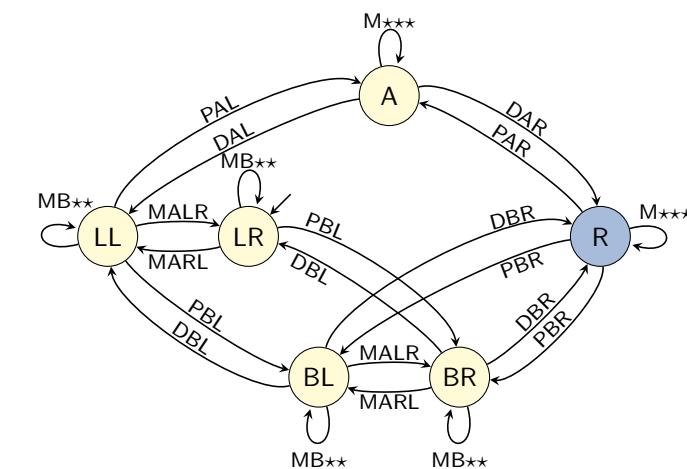
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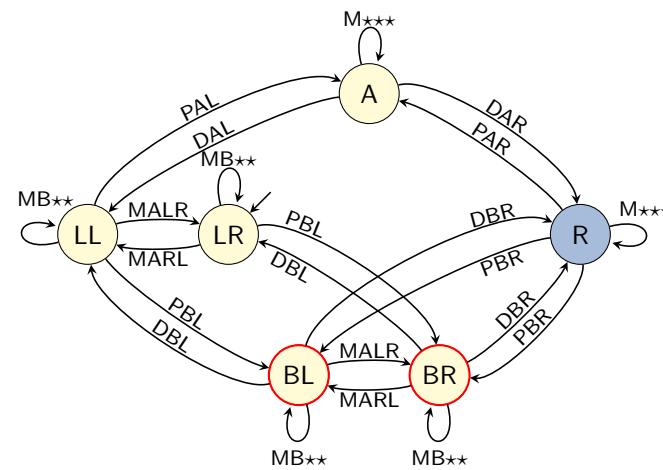
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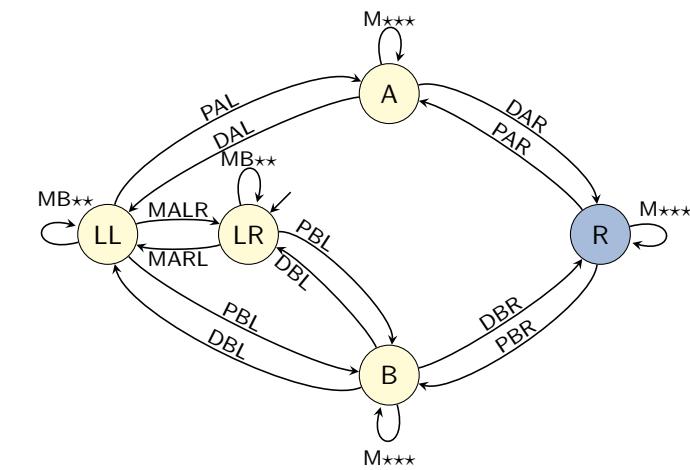
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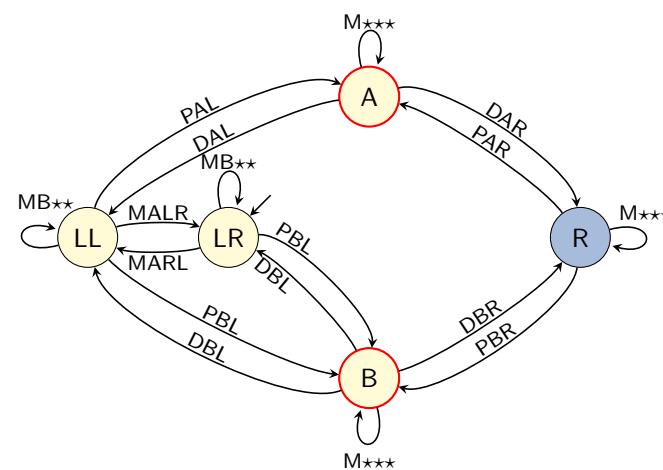
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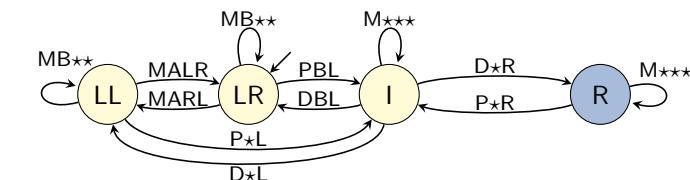
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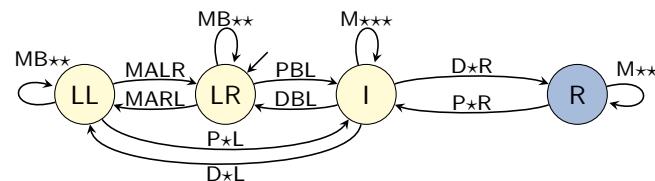
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## First Shrink Step

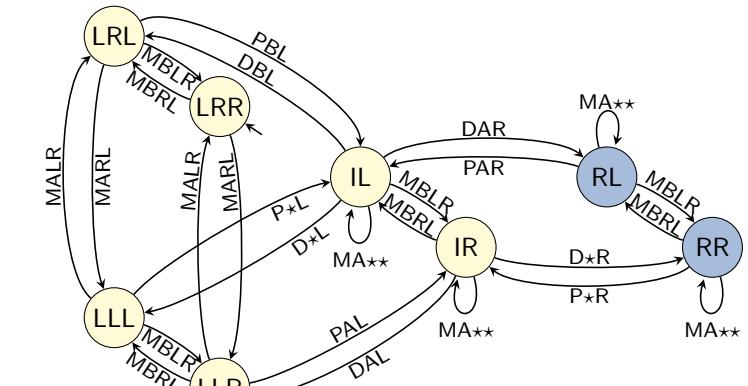
$\mathcal{T}_2 :=$  some abstraction of  $\mathcal{T}_1$



current FTS:  $\{\mathcal{T}_2, \mathcal{T}^{\pi\{\text{truck B}\}}\}$

## Second Merge Step

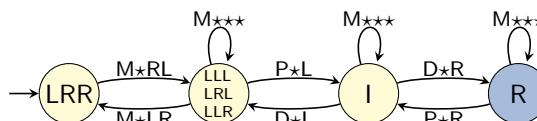
$\mathcal{T}_3 := \mathcal{T}_2 \otimes \mathcal{T}^{\pi\{\text{truck B}\}}$ :



current FTS:  $\{\mathcal{T}_3\}$

## Another Shrink Step?

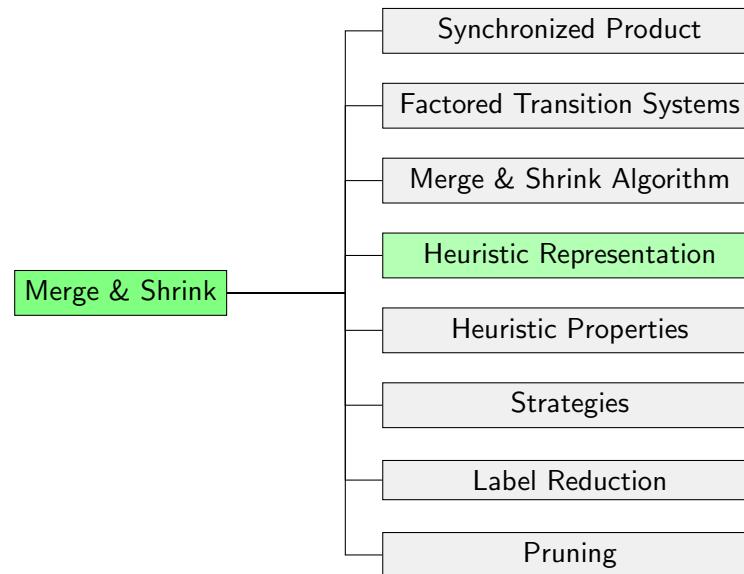
- At this point, merge-and-shrink construction stops. The distances in the final factor define the heuristic function.
- If there were further state variables to integrate, we would shrink again, e.g., leading to the following abstraction (again with four states):



- We get a heuristic value of 3 for the initial state, **better than any PDB heuristic** that is a proper abstraction.
- The example generalizes to arbitrarily many trucks, even if we stick to the fixed size limit of 8.

## E10.3 Maintaining the Abstraction

## Merge-and-Shrink



## The Need for Succinct Abstractions

- ▶ One major difficulty for non-PDB abstraction heuristics is to **succinctly represent the abstraction**.
- ▶ For pattern databases, this is easy because the abstractions – projections – are very **structured**.
- ▶ For less rigidly structured abstractions, we need another idea.

## Generic Algorithm Template

Generic Merge & Shrink Algorithm for planning task  $\Pi$

```

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  return the remaining factor  $\mathcal{T}^\alpha$  in  $F$ 
  
```

- ▶ The algorithm computes an abstract transition system.
- ▶ For the heuristic evaluation, we need an abstraction.
- ▶ How to maintain and represent the corresponding abstraction?

## How to Represent the Abstraction? (1)

Idea: the computation of the abstraction follows the sequence of product computations

- ▶ For the **atomic abstractions**  $\pi_{\{v\}}$ , we generate a **one-dimensional table** that denotes which value in  $\text{dom}(v)$  corresponds to which abstract state in  $\mathcal{T}^{\pi_{\{v\}}}$ .
- ▶ During the **merge** (product) step  $\mathcal{A} := \mathcal{A}_1 \otimes \mathcal{A}_2$ , we generate a **two-dimensional table** that denotes which pair of states of  $\mathcal{A}_1$  and  $\mathcal{A}_2$  corresponds to which state of  $\mathcal{A}$ .
- ▶ During the **shrink** (abstraction) steps, we make sure to keep the table in sync with the abstraction choices.

## How to Represent the Abstraction? (2)

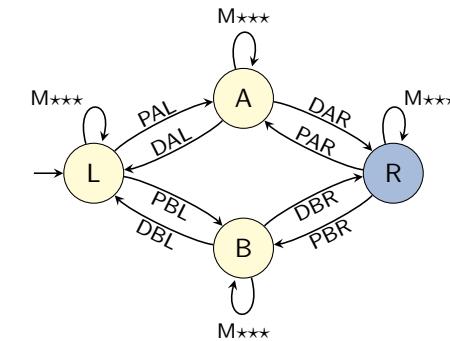
Idea: the computation of the abstraction mapping follows the sequence of product computations

- Once we have computed the final abstract transition system, we compute all **abstract goal distances** and store them in a **one-dimensional table**.
- At this point, we can **throw away** all the abstract transition systems – we just need to keep the tables.
- During **search**, we do a sequence of table lookups to navigate from the atomic abstraction states to the final abstract state and heuristic value  
 $\rightsquigarrow 2|V|$  lookups,  $O(|V|)$  time

Again, we illustrate the process with our running example.

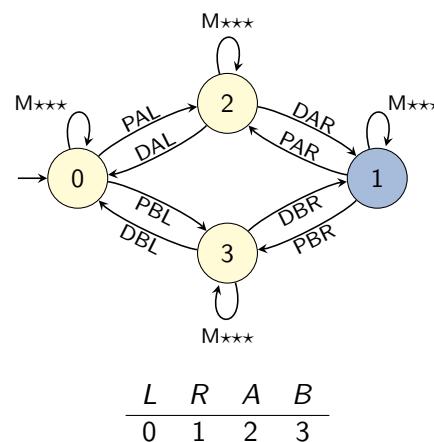
## Abstraction Example: Atomic Abstractions

Computing abstractions for the transition systems of atomic abstractions is simple. Just number the states (domain values) consecutively and generate a table of references to the states:



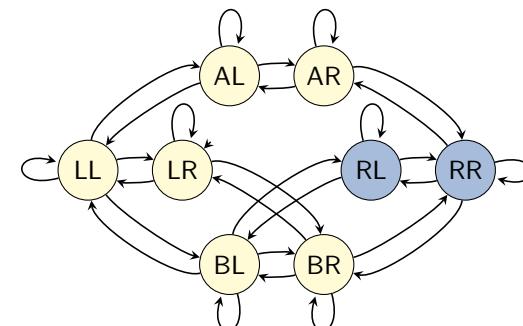
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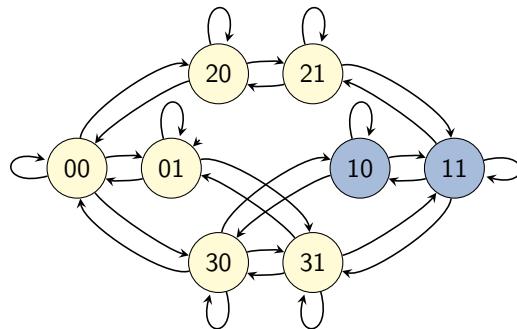
## Abstraction Example: Merge Step

For product transition systems  $\mathcal{A}_1 \otimes \mathcal{A}_2$ , we again number the product states consecutively and generate a table that links state pairs of  $\mathcal{A}_1$  and  $\mathcal{A}_2$  to states of  $\mathcal{A}$ :



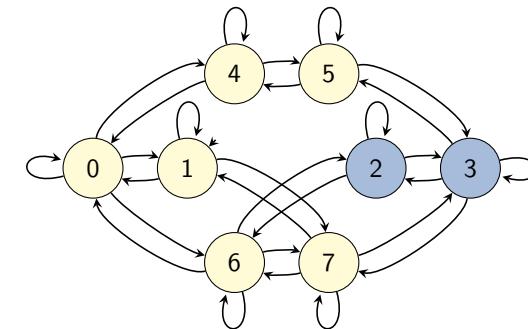
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|           | $s_2 = 0$ | $s_2 = 1$ |
|-----------|-----------|-----------|
| $s_1 = 0$ | 0         | 1         |
| $s_1 = 1$ | 2         | 3         |
| $s_1 = 2$ | 4         | 5         |
| $s_1 = 3$ | 6         | 7         |

## Maintaining the Abstraction when Shrinking

- ▶ The hard part in representing the abstraction is to keep it consistent when shrinking.
- ▶ In theory, this is easy to do:
  - ▶ When combining states  $i$  and  $j$ , arbitrarily use one of them (say  $i$ ) as the number of the new state.
  - ▶ Find all table entries in the table for this abstraction which map to the other state  $j$  and change them to  $i$ .
- ▶ However, doing a table scan each time two states are combined is very inefficient.
- ▶ Fortunately, there also is an efficient implementation which takes constant time per combination.

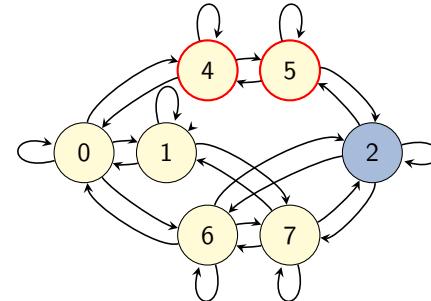
## Maintaining the Abstraction Efficiently

- ▶ Associate each abstract state with a linked list, representing **all table entries that map to this state**.
- ▶ Before starting the shrink operation, initialize the lists by scanning through the table, then **discard the table**.
- ▶ While shrinking, when combining  $i$  and  $j$ , **splice the list elements of  $j$  into the list elements of  $i$** .
  - ▶ For linked lists, this is a **constant-time operation**.
- ▶ Once shrinking is completed, renumber all abstract states so that there are no gaps in the numbering.
- ▶ Finally, regenerate the mapping table from the linked list information.



## Abstraction Example: Shrink Step

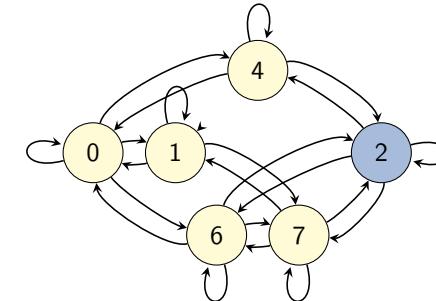
2. When combining  $i$  and  $j$ , splice  $list_j$  into  $list_i$ .



$list_0 = \{(0, 0)\}$   
 $list_1 = \{(0, 1)\}$   
 $list_2 = \{(1, 0), (1, 1)\}$   
 $list_3 = \emptyset$   
 $list_4 = \{(2, 0)\}$   
 $list_5 = \{(2, 1)\}$   
 $list_6 = \{(3, 0)\}$   
 $list_7 = \{(3, 1)\}$

## Abstraction Example: Shrink Step

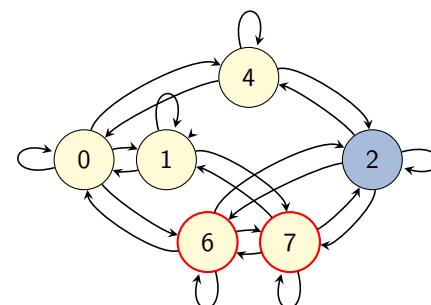
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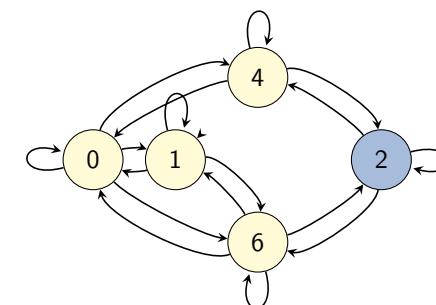
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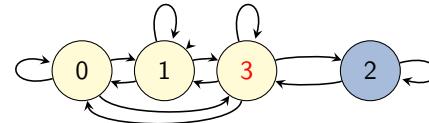


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## Abstraction Example: Shrink Step

3. Renumber abstract states consecutively.



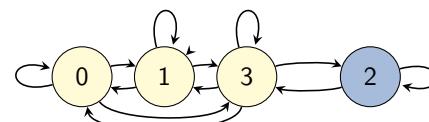
```

list0 = {(0, 0)}
list1 = {(0, 1)}
list2 = {(1, 0), (1, 1)}
list3 = {(2, 0), (2, 1),
           (3, 0), (3, 1)}
list4 = ∅
list5 = ∅
list6 = ∅
list7 = ∅

```

## Abstraction Example: Shrink Step

4. Regenerate the mapping table from the linked lists.



```

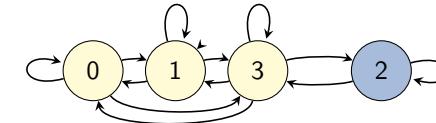
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list7 = ∅

```

|                    | s <sub>2</sub> = 0 | s <sub>2</sub> = 1 |
|--------------------|--------------------|--------------------|
| s <sub>1</sub> = 0 | 0                  | 1                  |
| s <sub>1</sub> = 1 | 2                  | 2                  |
| s <sub>1</sub> = 2 | 3                  | 3                  |
| s <sub>1</sub> = 3 | 3                  | 3                  |

## Abstraction Example: Shrink Step

4. Regenerate the mapping table from the linked lists.



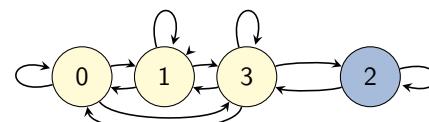
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| s <sub>1</sub> = 1 | 2                  | 2                  |
| s <sub>1</sub> = 2 | 3                  | 3                  |
| s <sub>1</sub> = 3 | 3                  | 3                  |

## The Final Heuristic Representation

At the end, our heuristic is represented by six tables:

- ▶ three one-dimensional tables for the atomic abstractions:

| T <sub>package</sub> | L | R | A | B | T <sub>truck A</sub> | L | R | T <sub>truck B</sub> | L | R |
|----------------------|---|---|---|---|----------------------|---|---|----------------------|---|---|
|                      | 0 | 1 | 2 | 3 |                      | 0 | 1 |                      | 0 | 1 |

- ▶ two tables for the two merge and subsequent shrink steps:

| T <sub>m&amp;s</sub> <sup>1</sup> | s <sub>2</sub> = 0 | s <sub>2</sub> = 1 | T <sub>m&amp;s</sub> <sup>2</sup> | s <sub>2</sub> = 0 | s <sub>2</sub> = 1 |
|-----------------------------------|--------------------|--------------------|-----------------------------------|--------------------|--------------------|
| s <sub>1</sub> = 0                | 0                  | 1                  | s <sub>1</sub> = 0                | 1                  | 1                  |
| s <sub>1</sub> = 1                | 2                  | 2                  | s <sub>1</sub> = 1                | 1                  | 0                  |
| s <sub>1</sub> = 2                | 3                  | 3                  | s <sub>1</sub> = 2                | 2                  | 2                  |
| s <sub>1</sub> = 3                | 3                  | 3                  | s <sub>1</sub> = 3                | 3                  | 3                  |

- ▶ one table with goal distances for the final transition system:

| T <sub>h</sub> | s = 0 | s = 1 | s = 2 | s = 3 |
|----------------|-------|-------|-------|-------|
| h(s)           | 3     | 2     | 0     | 1     |

Given a state  $s = \{\text{package} \mapsto L, \text{truck A} \mapsto L, \text{truck B} \mapsto R\}$ , its heuristic value is then looked up as:

$$\triangleright h(s) = T_h[T_{m&s}^2[T_{m&s}^1[T_{\text{package}}[L], T_{\text{truck A}}[L]], T_{\text{truck B}}[R]]]$$

## E10.4 Summary

### Summary (1)

- ▶ Merge-and-shrink abstractions are constructed by iteratively **transforming** the factored transition system of a planning task.
- ▶ **Merge** transformations combine two factors into their synchronized product.
- ▶ **Shrink** transformations reduce the size of a factor by abstracting it.
- ▶ Merge-and-shrink abstractions are **represented by a set of reference tables**, one for each atomic abstraction and one for each merge-and-shrink step.
- ▶ The heuristic representation uses an additional table for the goal distances in the final abstract transition system.

### Summary (2)

- ▶ Projections of SAS<sup>+</sup> tasks correspond to merges of atomic factors.
- ▶ By also including shrinking, merge-and-shrink abstractions **generalize** projections: they can reflect **all** state variables, but in a potentially **lossy** way.