# Discrete Mathematics in Computer Science 

 B3. Cantor's TheoremMalte Helmert, Gabriele Röger

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Cantor's Theorem

## Countable Sets

We already know:

- Sets with the same cardinality as $\mathbb{N}_{0}$ are called countably infinite.
- A countable set is finite or countably infinite.
- Every subset of a countable set is countable.

■ The union of countably many countable sets is countable.

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Open questions (to be resolved today):
■ Do all infinite sets have the same cardinality?

- Does the power set of an infinite set $S$ have the same cardinality as $S$ ?


## Georg Cantor



- German mathematician (1845-1918)

■ Proved that the rational numbers are countable.

■ Proved that the real numbers are not countable.
■ Cantor's Theorem: For every set $S$ it holds that $|S|<|\mathcal{P}(S)|$.

## Our Plan

- Understand Cantor's theorem

■ Understand an important theoretical implication for computer science

## Cantor's Diagonal Argument Illustrated on a Finite Set

$$
S=\{a, b, c\} .
$$

Consider an arbitrary function from $S$ to $\mathcal{P}(S)$. For example:

|  | $a$ | $b$ | $c$ |  |
| :--- | :--- | :--- | :--- | :--- |
| $a$ | 1 | 0 | 1 | $a$ mapped to $\{a, c\}$ |
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We can identify an "unused" element of $\mathcal{P}(S)$. Complement the entries on the main diagonal.

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We can identify an "unused" element of $\mathcal{P}(S)$.
Complement the entries on the main diagonal.
Works with every function from $S$ to $\mathcal{P}(S)$.
$\rightarrow$ there cannot be a surjective function from $S$ to $\mathcal{P}(S)$.
$\rightarrow$ there cannot be a bijection from $S$ to $\mathcal{P}(S)$.

## Cantor's Diagonal Argument on a Countably Infinite Set

$$
S=\mathbb{N}_{0} .
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Consider an arbitrary function from $\mathbb{N}_{0}$ to $\mathcal{P}\left(\mathbb{N}_{0}\right)$. For example:

|  | 0 | 1 | 2 | 3 | 4 | $\ldots$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 0 | 1 | 0 | 1 | 0 | 1 | $\ldots$ |
| 1 | 1 | 1 | 0 | 1 | 0 | $\ldots$ |
| 2 | 0 | 1 | 0 | 1 | 0 | $\ldots$ |
| 3 | 1 | 1 | 0 | 0 | 0 | $\ldots$ |
| 4 | 1 | 1 | 0 | 1 | 1 | $\cdots$ |
| $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ | $\ddots$ |

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| 4 | 1 | 1 | 0 | 1 | 1 | $\ldots$ |
| $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ | $\ddots$ |
|  | 0 | 0 | 1 | 1 | 0 | $\ldots$ |

Complementing the entries on the main diagonal again results in an "unused" element of $\mathcal{P}\left(\mathbb{N}_{0}\right)$.

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Consider an arbitrary set $S$. We need to show that
(1) There is an injective function from $S$ to $\mathcal{P}(S)$.
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For 1 , consider function $f: S \rightarrow \mathcal{P}(S)$ with $f(x)=\{x\}$. It maps distinct elements of $S$ to distinct elements of $\mathcal{P}(S)$.

## Cantor's Theorem

## Proof (continued).

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Consider $M=\{x \mid x \in S, x \notin f(x)\}$ and note that $M \in \mathcal{P}(S)$.

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## Proof (continued).

We show 2 by contradiction.
Assume there is a bijection $f$ from $S$ to $\mathcal{P}(S)$.
Consider $M=\{x \mid x \in S, x \notin f(x)\}$ and note that $M \in \mathcal{P}(S)$. Since $f$ is bijective, it is surjective and there is an $x \in S$ with $f(x)=M$. Consider this $x$ in a case distinction:

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If $x \in M$ then $x \notin f(x)$ by the definition of $M$. Since $f(x)=M$ this implies $x \notin M$. $\rightsquigarrow$ contradiction

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Since all cases lead to a contradiction, there is no such $x$ and thus $f$ is not surjective and consequently not a bijection.
The assumption was false and we conclude that there is no bijection from $S$ to $\mathcal{P}(S)$.

## Consequences of Cantor's Theorem

## Infinite Sets can Have Different Cardinalities

There are infinitely many different cardinalities of infinite sets:
■ $\left.\left.\left|\mathbb{N}_{0}\right|<\mid \mathcal{P}\left(\mathbb{N}_{0}\right)\right)|<| \mathcal{P}\left(\mathcal{P}\left(\mathbb{N}_{0}\right)\right)\right) \mid<\ldots$

- $\left|\mathbb{N}_{0}\right|=\aleph_{0}=\beth_{0}$
- $\left|\mathcal{P}\left(\mathbb{N}_{0}\right)\right|=\beth_{1}(=|\mathbb{R}|)$
- $\left|\mathcal{P}\left(\mathcal{P}\left(\mathbb{N}_{0}\right)\right)\right|=\beth_{2}$


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There are problems that cannot be solved by a computer program!
Why can we say so?

## Decision Problems

## "Intuitive Definition:" Decision Problem

A decision problem is a Yes-No question of the form
"Does the given input have a certain property?"
■ "Does the given binary tree have more than three leaves?"
■ "Is the given integer odd?"
■ "Given a train schedule, is there a connection from Basel to Belinzona that takes at most 2.5 hours?"

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- Input can be encoded as some finite string.
- Problem can also be represented as the (possibly infinite) set of all input strings where the answer is "yes".
- A computer program solves a decision problem if it terminates on every input and returns the correct answer.


## More Problems than Programs I

- A computer program is given by a finite string.

■ A decision problem corresponds to a set of strings.

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■ By Cantor's theorem $|S|<|\mathcal{P}(S)|$, so there are more problems than programs.

## Sets: Summary

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■ For finite sets $S$ it holds that $|\mathcal{P}(S)|=2^{|S|}$.
■ For all sets $S$ it holds that $|S|<|\mathcal{P}(S)|$.

