Discrete Mathematics in Computer Science B3. Cantor's Theorem

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Countable Sets

We already know:

- Sets with the same cardinality as \mathbb{N}_0 are called countably infinite.
- A countable set is finite or countably infinite.
- Every subset of a countable set is countable.
- The union of countably many countable sets is countable.

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Open questions (to be resolved today):

- Do all infinite sets have the same cardinality?
- Does the power set of an infinite set S have the same cardinality as S?

Georg Cantor



- German mathematician (1845–1918)
- Proved that the rational numbers are countable.
- Proved that the real numbers are not countable.
- Cantor's Theorem: For every set S it holds that $|S| < |\mathcal{P}(S)|$.

Our Plan

- Understand Cantor's theorem
- Understand an important theoretical implication for computer science

```
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```

Consider an arbitrary function from S to $\mathcal{P}(S)$. For example:

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```
a 1 0 1 a mapped to {a, c}
b 1 1 0 b mapped to {a, b}
c 0 1 0 c mapped to {b}
0 0 1 nothing was mapped to {c}.
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      1
      0
      1
      a mapped to {a, c}

      b
      1
      1
      0
      b mapped to {a, b}

      c
      0
      1
      0
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      0
      1
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```

We can identify an "unused" element of $\mathcal{P}(S)$. Complement the entries on the main diagonal.

Works with every function from S to $\mathcal{P}(S)$.

- \rightarrow there cannot be a surjective function from S to $\mathcal{P}(S)$.
- \rightarrow there cannot be a bijection from S to $\mathcal{P}(S)$.

Cantor's Diagonal Argument on a Countably Infinite Set

$$S=\mathbb{N}_0$$
.

Consider an arbitrary function from \mathbb{N}_0 to $\mathcal{P}(\mathbb{N}_0)$.

For example:

```
0 1 0 1 0 1 ...
1 1 1 0 1 0 ...
2 0 1 0 1 0 ...
3 1 1 0 0 0 ...
4 1 1 0 1 1 ...
: : : : : : : ...
```

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```
      0
      1
      2
      3
      4
      ...

      0
      1
      0
      1
      0
      1
      ...

      1
      1
      1
      0
      1
      0
      ...

      2
      0
      1
      0
      1
      0
      ...

      3
      1
      1
      0
      0
      0
      ...

      4
      1
      1
      0
      1
      1
      ...

      :
      :
      :
      :
      :
      :
      ...

      0
      0
      1
      1
      0
      ...
```

Complementing the entries on the main diagonal again results in an "unused" element of $\mathcal{P}(\mathbb{N}_0)$.

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Proof.

Consider an arbitrary set S. We need to show that

- **1** There is an injective function from S to $\mathcal{P}(S)$.
- ② There is no bijection from S to $\mathcal{P}(S)$.

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For 1, consider function $f: S \to \mathcal{P}(S)$ with $f(x) = \{x\}$. It maps distinct elements of S to distinct elements of $\mathcal{P}(S)$.

Proof (continued).

We show 2 by contradiction.

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Assume there is a bijection f from S to $\mathcal{P}(S)$.

Consider $M = \{x \mid x \in S, x \notin f(x)\}$ and note that $M \in \mathcal{P}(S)$.

Proof (continued).

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Assume there is a bijection f from S to $\mathcal{P}(S)$.

Consider $M = \{x \mid x \in S, x \notin f(x)\}$ and note that $M \in \mathcal{P}(S)$.

Since f is bijective, it is surjective and there is an $x \in S$ with f(x) = M. Consider this x in a case distinction:

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The assumption was false and we conclude that there is no bijection from S to $\mathcal{P}(S)$.

Consequences of Cantor's Theorem

Infinite Sets can Have Different Cardinalities

There are infinitely many different cardinalities of infinite sets:

- $|\mathbb{N}_0| < |\mathcal{P}(\mathbb{N}_0))| < |\mathcal{P}(\mathcal{P}(\mathbb{N}_0)))| < \dots$
- $|\mathcal{P}(\mathbb{N}_0)| = \beth_1(=|\mathbb{R}|)$
- $|\mathcal{P}(\mathcal{P}(\mathbb{N}_0))| = \beth_2$
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Why can we say so?

Decision Problems

"Intuitive Definition:" Decision Problem

A decision problem is a Yes-No question of the form "Does the given input have a certain property?"

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- Problem can also be represented as the (possibly infinite) set of all input strings where the answer is "yes".
- A computer program solves a decision problem if it terminates on every input and returns the correct answer.

- A computer program is given by a finite string.
- A decision problem corresponds to a set of strings.

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 - lacktriangle every subset of S corresponds to a separate decision problem
- By Cantor's theorem |S| < |P(S)|, so there are more problems than programs.

Sets: Summary

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 - For finite sets S it holds that $|\mathcal{P}(S)| = 2^{|S|}$.
 - For all sets S it holds that $|S| < |\mathcal{P}(S)|$.