# Discrete Mathematics in Computer Science B1. Sets: Foundations

Malte Helmert, Gabriele Röger

University of Basel

October 2, 2023

# Important Building Blocks of Discrete Mathematics

- sets
- relations
- functions

## Definition

A set is an unordered collection of distinct objects.

#### Definition

A set is an unordered collection of distinct objects.

unorderd: no notion of a "first" or "second" object, e. g. {Alice, Bob, Charly} = {Charly, Bob, Alice}

#### Definition

A set is an unordered collection of distinct objects.

- unorderd: no notion of a "first" or "second" object, e. g. {Alice, Bob, Charly} = {Charly, Bob, Alice}
- distinct: each object contained at most once,e. g. {Alice, Bob, Charly} = {Alice, Charly, Bob, Alice}

- Specification of sets
  - **explicit**, listing all elements, e.g.  $A = \{1, 2, 3\}$
  - implicit with set-builder notation,
     specifying a property characterizing all elements,

e. g. 
$$A = \{x \mid x \in \mathbb{N}_0 \text{ and } 1 \le x \le 3\}$$
,  $B = \{n^2 \mid n \in \mathbb{N}_0\}$ 

- implicit, as a sequence with dots,
  - e. g.  $\mathbb{Z} = \{\dots, -2, -1, 0, 1, 2, \dots\}$
- implicit with an inductive definition

- Specification of sets
  - **explicit**, listing all elements, e. g.  $A = \{1, 2, 3\}$
  - implicit with set-builder notation,
     specifying a property characterizing all elements,

e. g. 
$$A = \{x \mid x \in \mathbb{N}_0 \text{ and } 1 \le x \le 3\}$$
,  $B = \{n^2 \mid n \in \mathbb{N}_0\}$ 

- implicit, as a sequence with dots,
- e. g.  $\mathbb{Z} = \{\dots, -2, -1, 0, 1, 2, \dots\}$ implicit with an inductive definition
- Implicit with an inductive definition
- $e \in M$ : e is in set M (an element of the set)
- $\bullet \notin M$ : e is not in set M

- Specification of sets
  - **explicit**, listing all elements, e. g.  $A = \{1, 2, 3\}$
  - implicit with set-builder notation,
     specifying a property characterizing all elements,

e. g. 
$$A = \{x \mid x \in \mathbb{N}_0 \text{ and } 1 \le x \le 3\}$$
,  $B = \{n^2 \mid n \in \mathbb{N}_0\}$ 

- implicit, as a sequence with dots, e. g.  $\mathbb{Z} = \{\dots, -2, -1, 0, 1, 2, \dots\}$
- implicit with an inductive definition
- $e \in M$ : e is in set M (an element of the set)
- $\bullet \notin M$ : e is not in set M
- $\blacksquare$  empty set  $\emptyset = \{\}$

- Specification of sets
  - **explicit**, listing all elements, e. g.  $A = \{1, 2, 3\}$
  - implicit with set-builder notation,
     specifying a property characterizing all elements,

e. g. 
$$A = \{x \mid x \in \mathbb{N}_0 \text{ and } 1 \le x \le 3\}$$
,  $B = \{n^2 \mid n \in \mathbb{N}_0\}$ 

- implicit, as a sequence with dots, e. g.  $\mathbb{Z} = \{\dots, -2, -1, 0, 1, 2, \dots\}$
- implicit with an inductive definition
- $e \in M$ : e is in set M (an element of the set)
- $\bullet \notin M$ : e is not in set M
- $\blacksquare$  empty set  $\emptyset = \{\}$

Question: Is it true that  $1 \in \{\{1,2\},3\}$ ?

 $\blacksquare$  Natural numbers  $\mathbb{N}_0 = \{0,1,2,\dots\}$ 

- Natural numbers  $\mathbb{N}_0 = \{0, 1, 2, \dots\}$
- Integers  $\mathbb{Z} = \{\ldots, -2, -1, 0, 1, 2, \ldots\}$

- Natural numbers  $\mathbb{N}_0 = \{0, 1, 2, \dots\}$
- Integers  $\mathbb{Z} = \{\ldots, -2, -1, 0, 1, 2, \ldots\}$
- Positive integers  $\mathbb{Z}_+ = \mathbb{N}_1 = \{1, 2, \dots\}$

- Natural numbers  $\mathbb{N}_0 = \{0, 1, 2, \dots\}$
- Integers  $\mathbb{Z} = \{\ldots, -2, -1, 0, 1, 2, \ldots\}$
- Positive integers  $\mathbb{Z}_+ = \mathbb{N}_1 = \{1, 2, \dots\}$
- Rational numbers  $\mathbb{Q} = \{n/d \mid n \in \mathbb{Z}, d \in \mathbb{N}_1\}$

- Natural numbers  $\mathbb{N}_0 = \{0, 1, 2, \dots\}$
- Integers  $\mathbb{Z} = \{\ldots, -2, -1, 0, 1, 2, \ldots\}$
- Positive integers  $\mathbb{Z}_+ = \mathbb{N}_1 = \{1, 2, \dots\}$
- Rational numbers  $\mathbb{Q} = \{n/d \mid n \in \mathbb{Z}, d \in \mathbb{N}_1\}$
- Real numbers  $\mathbb{R} = (-\infty, \infty)$ Why do we use interval notation? Why didn't we introduce it before?

# Questions



Questions?

## Excursus: Barber Paradox

#### Barber Paradox

In a town there is only one barber, who is male. The barber shaves all men in the town, and only those, who do not shave themselves.



## Excursus: Barber Paradox

#### Barber Paradox

In a town there is only one barber, who is male. The barber shaves all men in the town, and only those, who do not shave themselves.

Who shaves the barber?



## Excursus: Barber Paradox

#### Barber Paradox

In a town there is only one barber, who is male. The barber shaves all men in the town, and only those, who do not shave themselves.

Who shaves the barber?



We can exploit the self-reference to derive a contradiction.



Bertrand Russell

#### Question

Is the collection of all sets that do not contain themselves as a member a set?



Bertrand Russell

#### Question

Is the collection of all sets that do not contain themselves as a member a set?

Is  $S = \{M \mid M \text{ is a set and } M \notin M\}$  a set?



Bertrand Russell

#### Question

Is the collection of all sets that do not contain themselves as a member a set?

Is  $S = \{M \mid M \text{ is a set and } M \notin M\}$  a set?

Assume that S is a set. If  $S \notin S$  then  $S \in S \leadsto$  Contradiction If  $S \in S$  then  $S \notin S \leadsto$  Contradiction

Hence, there is no such set S.



Bertrand Russell

#### Question

Is the collection of all sets that do not contain themselves as a member a set?

Is  $S = \{M \mid M \text{ is a set and } M \notin M\}$  a set?

Assume that S is a set. If  $S \notin S$  then  $S \in S \leadsto$  Contradiction If  $S \in S$  then  $S \notin S \leadsto$  Contradiction Hence, there is no such set S.

ightarrow Not every property used in set-builder notation defines a set.

# Questions



Questions?

# Relations on Sets

## Equality

### Definition (Axiom of Extensionality)

Two sets A and B are equal (written A = B) if every element of A is an element of B and vice versa.

Two sets are equal if they contain the same elements.

## Equality

## Definition (Axiom of Extensionality)

Two sets A and B are equal (written A = B) if every element of A is an element of B and vice versa.

Two sets are equal if they contain the same elements.

We write  $A \neq B$  to indicate that A and B are not equal.

# Subsets and Supersets

- $A \subseteq B$ : A is a subset of B, i. e., every element of A is an element of B
- $A \subset B$ : A is a strict subset of B, i. e.,  $A \subseteq B$  and  $A \neq B$ .
- $A \supseteq B$ : A is a superset of B if  $B \subseteq A$ .
- $A \supset B$ : A is a strict superset of B if  $B \subset A$ .

## Subsets and Supersets

- $A \subseteq B$ : A is a subset of B, i. e., every element of A is an element of B
- $A \subset B$ : A is a strict subset of B, i. e.,  $A \subseteq B$  and  $A \neq B$ .
- $A \supseteq B$ : A is a superset of B if  $B \subseteq A$ .
- $A \supset B$ : A is a strict superset of B if  $B \subset A$ .

We write  $A \nsubseteq B$  to indicate that A is **not** a subset of B.

Analogously:  $\not\subset$ ,  $\not\supseteq$ ,  $\not\supset$ 

### Power Set

## Definition (Power Set)

The power set  $\mathcal{P}(S)$  of a set S is the set of all subsets of S. That is,

$$\mathcal{P}(S) = \{M \mid M \subseteq S\}.$$

Example:  $\mathcal{P}(\{a,b\}) =$ 

# Questions



Questions?

Set operations allow us to express sets in terms of other sets

Set operations allow us to express sets in terms of other sets

■ intersection  $A \cap B = \{x \mid x \in A \text{ and } x \in B\}$ 

If  $A \cap B = \emptyset$  then A and B are disjoint.

Set operations allow us to express sets in terms of other sets

■ intersection  $A \cap B = \{x \mid x \in A \text{ and } x \in B\}$ 



If  $A \cap B = \emptyset$  then A and B are disjoint.

■ union  $A \cup B = \{x \mid x \in A \text{ or } x \in B\}$ 



#### **Set Operations**

Set operations allow us to express sets in terms of other sets

■ intersection  $A \cap B = \{x \mid x \in A \text{ and } x \in B\}$ 



If  $A \cap B = \emptyset$  then A and B are disjoint.

■ union  $A \cup B = \{x \mid x \in A \text{ or } x \in B\}$ 



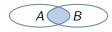
■ set difference  $A \setminus B = \{x \mid x \in A \text{ and } x \notin B\}$ 



#### **Set Operations**

Set operations allow us to express sets in terms of other sets

■ intersection  $A \cap B = \{x \mid x \in A \text{ and } x \in B\}$ 



If  $A \cap B = \emptyset$  then A and B are disjoint.

■ union  $A \cup B = \{x \mid x \in A \text{ or } x \in B\}$ 



■ set difference  $A \setminus B = \{x \mid x \in A \text{ and } x \notin B\}$ 



■ complement  $\overline{A} = B \setminus A$ , where  $A \subseteq B$  and B is the set of all considered objects (in a given context)



# Properties of Set Operations: Commutativity

#### Theorem (Commutativity of $\cup$ and $\cap$ )

For all sets A and B it holds that

- $\blacksquare A \cup B = B \cup A$  and
- $\blacksquare A \cap B = B \cap A.$

# Properties of Set Operations: Commutativity

#### Theorem (Commutativity of $\cup$ and $\cap$ )

For all sets A and B it holds that

- $\blacksquare A \cup B = B \cup A$  and
- $\blacksquare A \cap B = B \cap A$ .

Question: Is the set difference also commutative, i. e. is  $A \setminus B = B \setminus A$  for all sets A and B?

# Properties of Set Operations: Associativity

#### Theorem (Associativity of $\cup$ and $\cap$ )

For all sets A, B and C it holds that

- $(A \cup B) \cup C = A \cup (B \cup C) \text{ and }$
- $\bullet (A \cap B) \cap C = A \cap (B \cap C).$

# Properties of Set Operations: Distributivity

#### Theorem (Union distributes over intersection and vice versa)

For all sets A, B and C it holds that

- $\blacksquare A \cup (B \cap C) = (A \cup B) \cap (A \cup C)$  and
- $A \cap (B \cup C) = (A \cap B) \cup (A \cap C).$

# Properties of Set Operations: De Morgan's Law



Augustus De Morgan British mathematician (1806-1871)

#### Theorem (De Morgan's Law)

For all sets A and B it holds that

- $\overline{A \cup B} = \overline{A} \cap \overline{B} \text{ and }$
- $\blacksquare \overline{A \cap B} = \overline{A} \cup \overline{B}.$

# Questions



Questions?

# Finite Sets

## Cardinality of Sets

The cardinality |S| measures the size of set S.

A set is finite if it has a finite number of elements.

#### Definition (Cardinality)

The cardinality of a finite set is the number of elements it contains.

## Cardinality of Sets

The cardinality |S| measures the size of set S.

A set is finite if it has a finite number of elements.

#### Definition (Cardinality)

The cardinality of a finite set is the number of elements it contains.

- $|\emptyset| =$
- $|\{x \mid x \in \mathbb{N}_0 \text{ and } 2 \le x < 5\}| =$
- |{3,0,{1,3}}| =
- $|\mathcal{P}(\{1,2\})| =$

# Cardinality of the Union of Sets

#### Theorem 1

For finite sets A and B it holds that  $|A \cup B| = |A| + |B| - |A \cap B|$ .

# Cardinality of the Union of Sets

#### Theorem

For finite sets A and B it holds that  $|A \cup B| = |A| + |B| - |A \cap B|$ .

#### Corollary

If finite sets A and B are disjoint then  $|A \cup B| = |A| + |B|$ .

## Cardinality of the Power Set

#### $\mathsf{Theorem}$

Let S be a finite set. Then  $|\mathcal{P}(S)| = 2^{|S|}$ .

#### Proof sketch.

We can construct a subset S' by iterating over all elements e of S and deciding whether e becomes a member of S' or not.

We make |S| independent decisions, each between two options. Hence, there are  $2^{|S|}$  possible outcomes.

Every subset of S can be constructed this way and different choices lead to different sets. Thus,  $|\mathcal{P}(S)| = 2^{|S|}$ .

# Alternative Proof by Induction

#### Proof.

By induction over |S|.

Basis (|S| = 0): Then  $S = \emptyset$  and  $|\mathcal{P}(S)| = |\{\emptyset\}| = 1 = 2^0$ .

## Alternative Proof by Induction

#### Proof.

By induction over |S|.

Basis (|S| = 0): Then  $S = \emptyset$  and  $|P(S)| = |\{\emptyset\}| = 1 = 2^0$ .

IH: For all sets S with |S| = n, it holds that  $|\mathcal{P}(S)| = 2^{|S|}$ .

#### Alternative Proof by Induction

#### Proof.

By induction over |S|.

Basis (
$$|S| = 0$$
): Then  $S = \emptyset$  and  $|\mathcal{P}(S)| = |\{\emptyset\}| = 1 = 2^0$ .

IH: For all sets S with |S| = n, it holds that  $|\mathcal{P}(S)| = 2^{|S|}$ .

#### Inductive Step $(n \rightarrow n+1)$ :

Let S' be an arbitrary set with |S'| = n + 1 and let e be an arbitrary member of S'.

Let further 
$$S = S' \setminus \{e\}$$
 and  $X = \{S'' \cup \{e\} \mid S'' \in \mathcal{P}(S)\}.$ 

Then 
$$\mathcal{P}(S') = \mathcal{P}(S) \cup X$$
. As  $\mathcal{P}(S)$  and  $X$  are disjoint and  $|X| = |\mathcal{P}(S)|$ , it holds that  $|\mathcal{P}(S')| = 2|\mathcal{P}(S)|$ .

Since |S| = n, we can use the IH and get

$$|\mathcal{P}(S')| = 2 \cdot 2^{|S|} = 2 \cdot 2^n = 2^{n+1} = 2^{|S'|}.$$



## **Enumerating all Subsets**

Determine a one-to-one mapping between numbers  $0, \dots, 2^{|S|} - 1$  and all subsets of finite set S:

$$S = \{a, b, c\}$$

- Consider the binary representation of numbers  $0, \dots, 2^{|S|} 1$ .
- Associate every bit with a different element of S.
- Every number is mapped to the set that contains exactly the elements associated with the 1-bits.

set	binary	decimal
	abc	
{}	000	0
{ <i>c</i> }	001	1
{ <i>b</i> }	010	2
$\{b,c\}$	011	3
{a}	100	4
$\{a,c\}$	101	5
$\{a,b\}$	110	6
$\{a,b,c\}$	111	7

### Computer Representation as Bit String

Same representation as in enumeration of all subsets:

- Required: Fixed universe *U* of possible elements
- $\blacksquare$  Represent sets as bitstrings of length |U|
- Associate every bit with one object from the universe
- Each bit is 1 iff the corresponding object is in the set

### Computer Representation as Bit String

Same representation as in enumeration of all subsets:

- Required: Fixed universe *U* of possible elements
- lacktriangleright Represent sets as bitstrings of length |U|
- Associate every bit with one object from the universe
- Each bit is 1 iff the corresponding object is in the set

#### Example:

- $U = \{o_0, \ldots, o_9\}$
- Associate the i-th bit (0-indexed, from left to right) with  $o_i$
- $\{o_2, o_4, o_5, o_9\}$  is represented as: 0010110001

### Computer Representation as Bit String

Same representation as in enumeration of all subsets:

- Required: Fixed universe *U* of possible elements
- $\blacksquare$  Represent sets as bitstrings of length |U|
- Associate every bit with one object from the universe
- Each bit is 1 iff the corresponding object is in the set

#### Example:

- $U = \{o_0, \ldots, o_9\}$
- Associate the i-th bit (0-indexed, from left to right) with  $o_i$
- $\{o_2, o_4, o_5, o_9\}$  is represented as: 0010110001

How can the set operations be implemented?

# Questions



Questions?

# Summary

#### Summary

- Sets are unordered collections of distinct objects.
- Important set relations: equality (=), subset (⊆), superset (⊇) and strict variants (⊂ and ⊃)
- The power set of a set S is the set of all subsets of S.
- Important set operations are intersection, union, set difference and complement.
  - Union and intersection are commutative and associative.
  - Union distributes over intersection and vice versa.
  - De Morgan's law for complement of union or intersection.
- The number of elements in a finite set is called its cardinality.
- Sets over a finite universe can be represented as bit strings.
  - → also useful for enumerating all subsets