

# Planning and Optimization

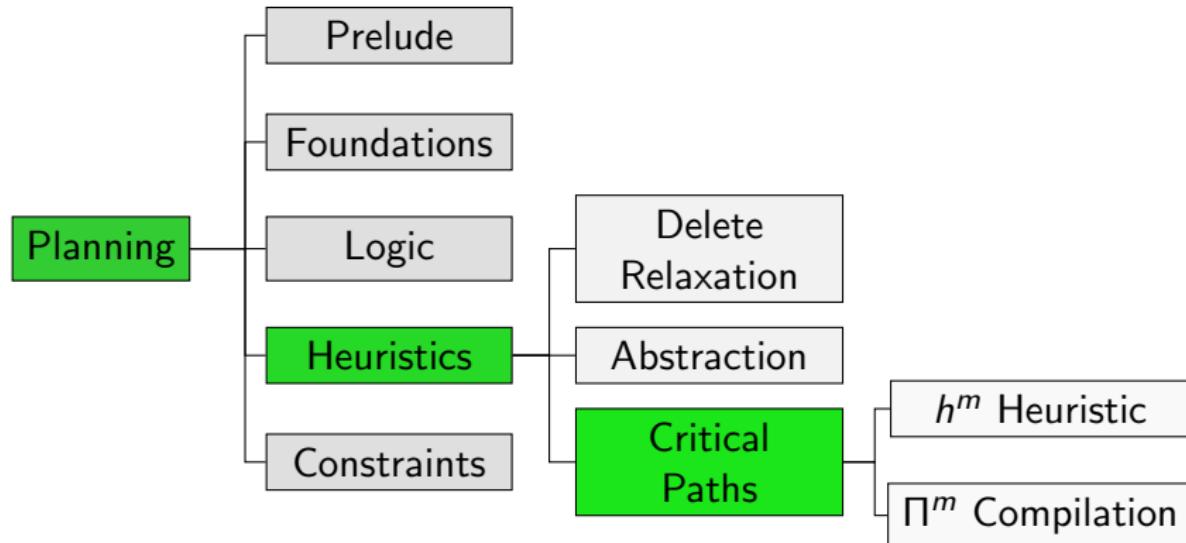
## F1. Critical Path Heuristics: $h^m$

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# Content of this Course



Set Representation  
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Perfect Regression Heuristic  
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Critical Path Heuristics  
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Computation  
○○○○○○

Summary  
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# Set Representation

# In This (and the Next) Chapter...

- ... we consider only STRIPS, and ...
- ... we focus on backward search and regression.

# Set Representation of STRIPS Planning Tasks

For a more convenient notation, we will use a set representation of STRIPS planning task...

Three differences:

- Represent conjunctions of variables as sets of variables.
- Use two sets to represent add and delete effects of operators separately.
- Represent states as sets of the true variables.

## Reminder: STRIPS Operators in Set Representation

- Every STRIPS operator is of the form

$$\langle v_1 \wedge \cdots \wedge v_p, \quad a_1 \wedge \cdots \wedge a_q \wedge \neg d_1 \wedge \cdots \wedge \neg d_r, c \rangle$$

where  $v_i, a_j, d_k$  are state variables and  $c$  is the cost.

- The same operator  $o$  in **set representation** is  $\langle \text{pre}(o), \text{add}(o), \text{del}(o), \text{cost}(o) \rangle$ , where
  - $\text{pre}(o) = \{v_1, \dots, v_p\}$  are the **preconditions**,
  - $\text{add}(o) = \{a_1, \dots, a_q\}$  are the **add effects**,
  - $\text{del}(o) = \{d_1, \dots, d_r\}$  are the **delete effects**, and
  - $\text{cost}(o) = c$  is the operator cost.
- Since STRIPS operators must be conflict-free,  
 $\text{add}(o) \cap \text{del}(o) = \emptyset$

# STRIPS Planning Tasks in Set Representation

A **STRIPS planning task in set representation** is given as a tuple  $\langle V, I, O, G \rangle$ , where

- $V$  is a finite set of state variables,
- $I \subseteq V$  is the initial state,
- $O$  is a finite set of STRIPS operators in set representation,
- $G \subseteq V$  is the goal.

# STRIPS Planning Tasks in Set Representation

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- $O$  is a finite set of STRIPS operators in set representation,
- $G \subseteq V$  is the goal.

The corresponding planning task in the previous notation is  $\langle V, I', O', \gamma \rangle$ , where

- $I'(v) = \mathbf{T}$  iff  $v \in I$ ,
- $O' = \{ \langle \bigwedge_{v \in \text{pre}(o)} v, \bigwedge_{v \in \text{add}(o)} v \wedge \bigwedge_{v \in \text{del}(o)} \neg v, \text{cost}(o) \rangle \mid o \in O \}$ ,
- $\gamma = \bigwedge_{v \in G} v$ .

# Reminder: STRIPS Regression

## Definition (STRIPS Regression)

Let  $\varphi = \varphi_1 \wedge \dots \wedge \varphi_n$  be a conjunction of atoms, and let  $o$  be a STRIPS operator which adds the atoms  $a_1, \dots, a_k$  and deletes the atoms  $d_1, \dots, d_l$ .

The **STRIPS regression** of  $\varphi$  with respect to  $o$  is

$$sregr(\varphi, o) := \begin{cases} \perp & \text{if } \varphi_i = d_j \text{ for some } i, j \\ pre(o) \wedge \bigwedge (\{\varphi_1, \dots, \varphi_n\} \setminus \{a_1, \dots, a_k\}) & \text{else} \end{cases}$$

Note:  $sregr(\varphi, o)$  is again a conjunction of atoms, or  $\perp$ .

# STRIPS Regression in Set Representation

## Definition (STRIPS Regression)

Let  $A$  be a set of atoms, and let  $o$  be a STRIPS operator  
 $o = \langle \text{pre}(o), \text{add}(o), \text{del}(o), \text{cost}(o) \rangle$ .

The **STRIPS regression** of  $A$  with respect to  $o$  is

$$sregr(A, o) := \begin{cases} \perp & \text{if } A \cap \text{del}(o) \neq \emptyset \\ \text{pre}(o) \cup (A \setminus \text{add}(o)) & \text{otherwise} \end{cases}$$

Note:  $sregr(A, o)$  is again a set of atoms, or  $\perp$ .

Set Representation  
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Perfect Regression Heuristic  
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Critical Path Heuristics  
oooooooo

Computation  
oooooo

Summary  
oo

# Perfect Regression Heuristic

# Perfect Regression Heuristic

## Definition (Perfect Regression Heuristic)

For a STRIPS planning task  $\langle V, I, O, G \rangle$  the **perfect regression heuristic  $r^*$**  for state  $s$  and variable set  $A \subseteq V$  is defined as the (point-wise) greatest fixed-point solution of the equations:

$$r^*(s, A) = \begin{cases} 0 & \text{if } A \subseteq s \\ \min_{(B, o) \in R(A, O)} [cost(o) + r^*(s, B)] & \text{otherwise} \end{cases}$$

$$R(A, O) = \{(B, o) \mid o \in O, B = sregr(A, o) \neq \perp\}$$

# Perfect Regression Heuristic $r^*$ vs. Perfect Heuristic $h^*$

## Theorem

For a STRIPS planning task  $\langle V, I, O, G \rangle$  it holds for each state  $s$  that  $h^*(s) = r^*(s, G)$ .

**Intuition:** We can extract a path from the operators in the minimizing pairs  $(B, o)$ , starting from the goal.

~~  $r^*$  cannot be computed efficiently.

Set Representation  
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Perfect Regression Heuristic  
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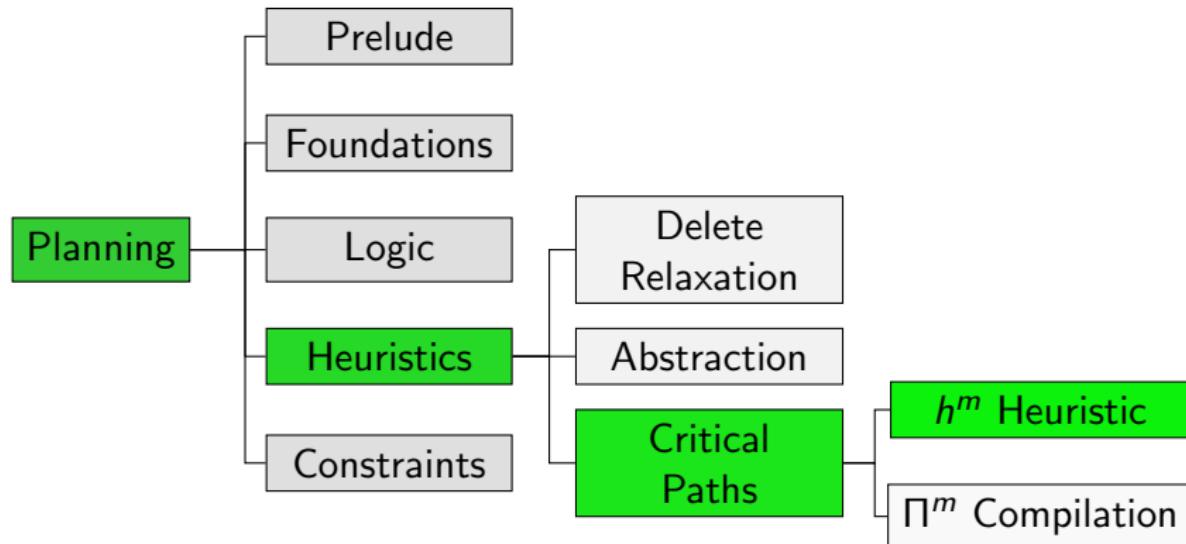
Critical Path Heuristics  
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Computation  
oooooo

Summary  
oo

# Critical Path Heuristics

# Content of this Course



## Running Example

We will use the following running example throughout this chapter:

$\Pi = \langle V, I, \{o_1, o_2, o_3\}, G \rangle$  with

$$V = \{a, b, c\}$$

$$I = \{a\}$$

$$o_1 = \langle \{a, b\}, \{c\}, \{b\}, 1 \rangle$$

$$o_2 = \langle \{a\}, \{b\}, \{a\}, 2 \rangle$$

$$o_3 = \langle \{b\}, \{a\}, \emptyset, 2 \rangle$$

$$G = \{a, b, c\}$$

Optimal plan  $o_2, o_3, o_1, o_2, o_3$  has cost 9.

# Simplified Relaxed Task Graph

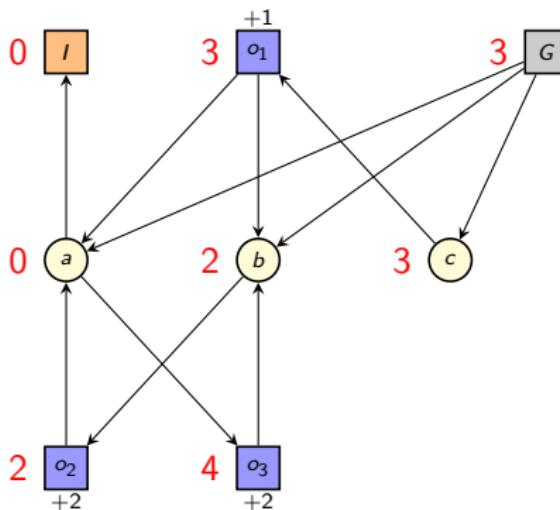
## Definition

For a STRIPS planning task  $\Pi = \langle V, I, O, \gamma \rangle$ , the **simplified relaxed task graph**  $sRTG(\Pi^+)$  is the **AND/OR graph**  $\langle N_{\text{and}} \cup N_{\text{or}}, A, \text{type} \rangle$  with

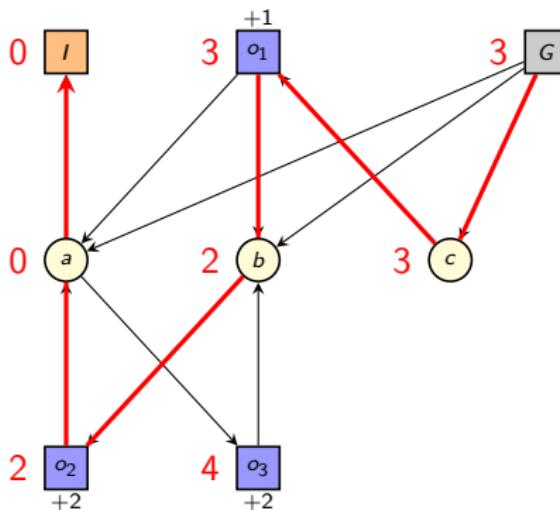
- $N_{\text{and}} = \{n_o \mid o \in O\} \cup \{v_I, v_G\}$   
with  $\text{type}(n) = \wedge$  for all  $n \in N_{\text{and}}$ ,
- $N_{\text{or}} = \{n_v \mid v \in V\}$   
with  $\text{type}(n) = \vee$  for all  $n \in N_{\text{or}}$ , and
- $A = \{\langle n_a, n_o \rangle \mid o \in O, a \in \text{add}(o)\} \cup$   
 $\{\langle n_o, n_p \rangle \mid o \in O, p \in \text{pre}(o)\} \cup$   
 $\{\langle n_v, n_I \rangle \mid v \in I\} \cup$   
 $\{\langle n_G, n_v \rangle \mid v \in \gamma\}$

Like RTG but without extra nodes to support arbitrary conditions.

# $h^{\max}$ in Simplified RTG



# $h^{\max}$ in Simplified RTG



The critical path justifies the heuristic estimate  $h^{\max}(I) = 3$

# $h^{\max}$ as Critical Path Heuristic

## Definition ( $h^{\max}$ Heuristic)

For a STRIPS planning task  $\langle V, I, O, G \rangle$  the heuristic  $h^{\max}$  for state  $s$  and variable set  $A \subseteq V$  is defined as the (point-wise) greatest fixed-point solution of  $h^{\max}(s, A) =$

$$\begin{cases} 0 & \text{if } A \subseteq s \\ \min_{(B,o) \in R(A,O)} [cost(o) + h^{\max}(s, B)] & \text{if } |A| \leq 1 \text{ and } A \not\subseteq s \\ \max_{v \in A} h^{\max}(s, \{v\}) & \text{otherwise} \end{cases}$$

$$R(A, O) = \{\langle B, o \rangle \mid o \in O, B = sregr(A, o) \neq \perp\}$$

Estimate  $r^*(s, A)$  as cost of most expensive  $v \in A$ .

For STRIPS tasks, this definition specifies the same heuristic  $h^{\max}$  as in the chapter on relaxation heuristics.

# Critical Path Heuristics

## Definition ( $h^m$ Heuristics)

For a STRIPS planning task  $\langle V, I, O, G \rangle$  and  $m \in \mathbb{N}_1$  the heuristic  $h^m$  for state  $s$  and variable set  $A \subseteq V$  is defined as the (point-wise) greatest fixed-point solution of

$$h^m(s, A) =$$

$$\begin{cases} 0 & \text{if } A \subseteq s \\ \min_{\langle B, o \rangle \in R(A, O)} [cost(o) + h^m(s, B)] & \text{if } |A| \leq m \text{ and } A \not\subseteq s \\ \max_{\substack{B \subseteq A, 1 \leq |B| \leq m}} h^m(s, B) & \text{otherwise} \end{cases}$$

$$R(A, O) = \{ \langle B, o \rangle \mid o \in O, B = sregr(A, o) \neq \perp \}$$

Estimate  $r^*(s, A)$  as cost of most expensive  $B \subseteq A$  with  $|B| \leq m$ .

Set Representation  
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Perfect Regression Heuristic  
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Critical Path Heuristics  
oooooooo

Computation  
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Summary  
oo

# Computation

# Critical Path Heuristics: Computation

## Definition ( $h^m$ Heuristics)

For a STRIPS planning task  $\langle V, I, O, G \rangle$  and  $m \in \mathbb{N}_1$  the heuristic  $h^m$  for state  $s$  and variable set  $A \subseteq V$  is defined as the (point-wise) greatest fixed-point solution of

$$h^m(s, A) =$$

$$\begin{cases} 0 & \text{if } A \subseteq s \\ \min_{(B,o) \in R(A,O)} [cost(o) + h^m(s, B)] & \text{if } |A| \leq m \text{ and } A \not\subseteq s \\ \max_{B \subseteq A, 1 \leq |B| \leq m} h^m(s, B) & \text{otherwise} \end{cases}$$

$$R(A, O) = \{\langle B, o \rangle \mid o \in O, B = sregr(A, o) \neq \perp\}$$

Cheap to evaluate given  $h^m(s, B)$  for all  $B \subseteq V$  with  $1 \leq |B| \leq m$ .  
We precompute these values.

# $h^m$ Precomputation (1)

For value  $m$  and state  $s$  of task with variables  $V$  and operators  $O$

Computing  $h^m$  Values for Variable Sets up to Size  $m$

$$S := \{A \subseteq V \mid |A| \leq m\}$$

Associate a *cost* attribute with each set  $A \in S$ .

**for all** sets  $A \in S$ :

**if**  $A \subseteq s$  **then**  $A.cost := 0$   
    **else**  $A.cost := \infty$

**while** no fixed point is reached:

    Choose a variable set  $A$  from  $S$ .

$$newcost := \min_{\langle B, o \rangle \in R(A, O)} [cost(o) + currentcost(B, S)]$$

**if**  $newcost < A.cost$  **then**  $A.cost := newcost$

currentcost( $B, S$ )

**if**  $|B| \leq m$  **then return**  $B.cost$  **else return**  $\max_{A \in S, A \subseteq B} A.cost$

## $h^m$ Precomputation (2)

- Fixed point reached  $\Rightarrow A.\text{cost} = h^m(s, A)$  for all  $A \in S$ .
- Intuition:
  - cost values satisfy  $h^m$  equations, and
  - no larger values can satisfy the equations: initialized to  $\infty$  and values are only reduced if it is otherwise impossible to satisfy an equation.

## $h^m$ Precomputation (2)

- Fixed point reached  $\Rightarrow A.\text{cost} = h^m(s, A)$  for all  $A \in S$ .
- Intuition:
  - cost values satisfy  $h^m$  equations, and
  - no larger values can satisfy the equations: initialized to  $\infty$  and values are only reduced if it is otherwise impossible to satisfy an equation.
- With suitable data structures, we can choose  $A$  in each iteration so that it directly gets assigned its final value (Generalized Dijkstra's algorithm).
- With such a strategy, the runtime is **polynomial for fixed  $m$** .
- Runtime is **exponential in  $m$**   $\rightsquigarrow h^m$  typically used with  $m \leq 3$

## Example with $m = 1$ to Initial State

$$R(\{a\}, \{o_1, o_2, o_3\}) = \{(\{a, b\}, o_1), (\{b\}, o_3)\}$$

$$R(\{b\}, \{o_1, o_2, o_3\}) = \{(\{a\}, o_2), (\{b\}, o_3)\}$$

$$R(\{c\}, \{o_1, o_2, o_3\}) = \{(\{a, b\}, o_1), (\{a, c\}, o_2), (\{b, c\}, o_3)\}$$

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$$R(\{c\}, \{o_1, o_2, o_3\}) = \{(\{a, b\}, o_1), (\{a, c\}, o_2), (\{b, c\}, o_3)\}$$

	$\{a\}$	$\{b\}$	$\{c\}$
$cost$	0	$\infty$	$\infty$

$$\{b\}: \min\{2 + \{a\}.cost, 2 + \{b\}.cost\} = 2$$

## Example with $m = 1$ to Initial State

$$R(\{a\}, \{o_1, o_2, o_3\}) = \{(\{a, b\}, o_1), (\{b\}, o_3)\}$$

$$R(\{b\}, \{o_1, o_2, o_3\}) = \{(\{a\}, o_2), (\{b\}, o_3)\}$$

$$R(\{c\}, \{o_1, o_2, o_3\}) = \{(\{a, b\}, o_1), (\{a, c\}, o_2), (\{b, c\}, o_3)\}$$

	$\{a\}$	$\{b\}$	$\{c\}$
$cost$	0	2	$\infty$

$$\{b\}: \min\{2 + \{a\}.cost, 2 + \{b\}.cost\} = 2$$

$$\begin{aligned}\{c\}: \min\{1 + \max\{\{a\}.cost, \{b\}.cost\}, \\ 2 + \max\{\{a\}.cost, \{c\}.cost\}, \\ 2 + \max\{\{b\}.cost, \{c\}.cost\}\} = 3\end{aligned}$$

## Example with $m = 1$ to Initial State

$$R(\{a\}, \{o_1, o_2, o_3\}) = \{(\{a, b\}, o_1), (\{b\}, o_3)\}$$

$$R(\{b\}, \{o_1, o_2, o_3\}) = \{(\{a\}, o_2), (\{b\}, o_3)\}$$

$$R(\{c\}, \{o_1, o_2, o_3\}) = \{(\{a, b\}, o_1), (\{a, c\}, o_2), (\{b, c\}, o_3)\}$$

	$\{a\}$	$\{b\}$	$\{c\}$
$cost$	0	2	3

$$\{b\}: \min\{2 + \{a\}.cost, 2 + \{b\}.cost\} = 2$$

$$\begin{aligned}\{c\}: \min\{1 + \max\{\{a\}.cost, \{b\}.cost\}, \\ 2 + \max\{\{a\}.cost, \{c\}.cost\}, \\ 2 + \max\{\{b\}.cost, \{c\}.cost\}\} = 3\end{aligned}$$

Example with  $m = 1$  to Initial State

	$\{a\}$	$\{b\}$	$\{c\}$
$cost$	0	2	3

$$\{b\}: \min\{2 + \{a\}.cost, 2 + \{b\}.cost\} = 2$$

$$\begin{aligned}\{c\}: \min\{1 + \max\{\{a\}.cost, \{b\}.cost\}, \\ 2 + \max\{\{a\}.cost, \{c\}.cost\}, \\ 2 + \max\{\{b\}.cost, \{c\}.cost\}\} = 3\end{aligned}$$

Fixed point reached

Example with  $m = 1$  to Initial State

	$\{a\}$	$\{b\}$	$\{c\}$
$cost$	0	2	3

$$\{b\}: \min\{2 + \{a\}.cost, 2 + \{b\}.cost\} = 2$$

$$\begin{aligned}\{c\}: \min\{1 + \max\{\{a\}.cost, \{b\}.cost\}, \\ 2 + \max\{\{a\}.cost, \{c\}.cost\}, \\ 2 + \max\{\{b\}.cost, \{c\}.cost\}\} = 3\end{aligned}$$

Fixed point reached

$$\begin{aligned}h^1(I, \{a, b, c\}) &= \max\{h^1(I, \{a\}), h^1(I, \{b\}), h^1(I, \{c\})\} \\ &= \max\{0, 2, 3\} = 3\end{aligned}$$

Example with  $m = 2$  to Initial State

	$\{a\}$	$\{b\}$	$\{c\}$	$\{a, b\}$	$\{a, c\}$	$\{b, c\}$
$cost$	0	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$

$$\{b\}: \min\{2 + \{a\}.cost, 2 + \{b\}.cost\} = 2$$

Example with  $m = 2$  to Initial State

	$\{a\}$	$\{b\}$	$\{c\}$	$\{a, b\}$	$\{a, c\}$	$\{b, c\}$
$cost$	0	2	$\infty$	$\infty$	$\infty$	$\infty$

$$\begin{aligned}\{b\}: \quad & \min\{2 + \{a\}.cost, 2 + \{b\}.cost\} = 2 \\ \{a, b\}: \quad & \min\{2 + \{b\}.cost\} = 4\end{aligned}$$

Example with  $m = 2$  to Initial State

	$\{a\}$	$\{b\}$	$\{c\}$	$\{a, b\}$	$\{a, c\}$	$\{b, c\}$
$cost$	0	2	$\infty$	4	$\infty$	$\infty$

$$\{b\}: \min\{2 + \{a\}.cost, 2 + \{b\}.cost\} = 2$$

$$\{a, b\}: \min\{2 + \{b\}.cost\} = 4$$

$$\{c\}: \min\{1 + \{a, b\}.cost, 2 + \{a, c\}.cost, 2 + \{b, c\}.cost\} = 5$$

Example with  $m = 2$  to Initial State

	$\{a\}$	$\{b\}$	$\{c\}$	$\{a, b\}$	$\{a, c\}$	$\{b, c\}$
$cost$	0	2	5	4	$\infty$	$\infty$

$$\{b\}: \min\{2 + \{a\}.cost, 2 + \{b\}.cost\} = 2$$

$$\{a, b\}: \min\{2 + \{b\}.cost\} = 4$$

$$\{c\}: \min\{1 + \{a, b\}.cost, 2 + \{a, c\}.cost, 2 + \{b, c\}.cost\} = 5$$

$$\{a, c\}: \min\{1 + \{a, b\}.cost, 2 + \{b, c\}.cost\} = 5$$

Example with  $m = 2$  to Initial State

	{a}	{b}	{c}	{a, b}	{a, c}	{b, c}
cost	0	2	5	4	5	$\infty$

$$\{b\}: \min\{2 + \{a\}.cost, 2 + \{b\}.cost\} = 2$$
$$\{a, b\}: \min\{2 + \{b\}.cost\} = 4$$

$$\{c\}: \min\{1 + \{a, b\}.cost, 2 + \{a, c\}.cost, 2 + \{b, c\}.cost\} = 5$$
$$\{a, c\}: \min\{1 + \{a, b\}.cost, 2 + \{b, c\}.cost\} = 5$$
$$\{b, c\}: \min\{2 + \{a, c\}.cost, 2 + \{b, c\}.cost\} = 7$$

Example with  $m = 2$  to Initial State

	{a}	{b}	{c}	{a, b}	{a, c}	{b, c}
cost	0	2	5	4	5	7

$$\{b\}: \min\{2 + \{a\}.cost, 2 + \{b\}.cost\} = 2$$

$$\{a, b\}: \min\{2 + \{b\}.cost\} = 4$$

$$\{c\}: \min\{1 + \{a, b\}.cost, 2 + \{a, c\}.cost, 2 + \{b, c\}.cost\} = 5$$

$$\{a, c\}: \min\{1 + \{a, b\}.cost, 2 + \{b, c\}.cost\} = 5$$

$$\{b, c\}: \min\{2 + \{a, c\}.cost, 2 + \{b, c\}.cost\} = 7$$

$$h^2(I, \{a, b, c\}) = \max\{h^2(I, \{a\}), h^2(I, \{b\}), h^2(I, \{c\})\}$$

$$h^2(I, \{a, b\}), h^2(I, \{a, c\}), h^2(I, \{b, c\})\}$$

$$= \max\{0, 2, 5, 4, 5, 7\} = 7$$

Set Representation  
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Perfect Regression Heuristic  
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Critical Path Heuristics  
oooooooo

Computation  
oooooo

Summary  
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# Summary

# Summary

- Critical path heuristic  $h^m$  estimates the cost of reaching a set ( $\hat{=}$  conjunction) of variables as the **cost of reaching the most expensive subset of size at most  $m$** .
- $h^m$  computation is **polynomial** for fixed  $m$ .
- $h^m$  computation is **exponential** in  $m$ .
- In practice, we use  $m \in \{1, 2, 3\}$ .