

# Planning and Optimization

## B2. State Variables, Operators and Effects

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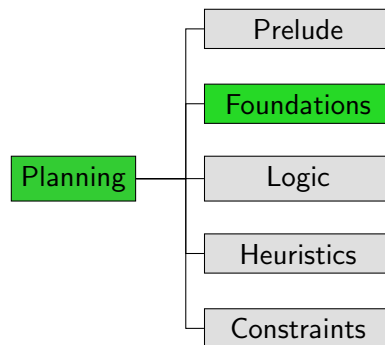
B2.1 State Variables

B2.2 Operators and Effects

B2.3 Applying Effects and Operators

B2.4 Summary

## Content of this Course



## B2.1 State Variables

## State Variables

How to specify huge transition systems without enumerating the states?

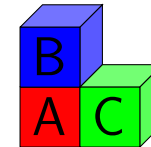
- ▶ represent different aspects of the world in terms of different (propositional) **state variables**
- ▶ individual state variables induce atomic propositions  
↔ a state is a **valuation of state variables**
- ▶  $n$  state variables induce  $2^n$  states  
↔ **exponentially more compact** than “flat” representations

**Example:**  $O(n^2)$  variables suffice for blocks world with  $n$  blocks

## Blocks World State with Propositional Variables

### Example

$$\begin{aligned} s(A\text{-on-}B) &= \mathbf{F} \\ s(A\text{-on-}C) &= \mathbf{F} \\ s(A\text{-on-table}) &= \mathbf{T} \\ s(B\text{-on-}A) &= \mathbf{T} \\ s(B\text{-on-}C) &= \mathbf{F} \\ s(B\text{-on-table}) &= \mathbf{F} \\ s(C\text{-on-}A) &= \mathbf{F} \\ s(C\text{-on-}B) &= \mathbf{F} \\ s(C\text{-on-table}) &= \mathbf{T} \end{aligned}$$



**Note:** it may be useful to add auxiliary state variables like *A-clear*.

## Propositional State Variables

### Definition (Propositional State Variable)

A **propositional state variable** is a symbol  $X$ .

Let  $V$  be a finite set of propositional state variables.

A **state**  $s$  over  $V$  is a valuation for  $V$ , i.e., a truth assignment  $s : V \rightarrow \{\mathbf{T}, \mathbf{F}\}$ .

A **formula** over  $V$  is a propositional logic formula using  $V$  as the set of atomic propositions.

## From State Variables to Succinct Transition Systems

State variables are the basis of compact descriptions of transition systems.

### Problem:

- ▶ How to **succinctly** represent **transitions** and **goal states**?

**Idea:** Use **formulas** to describe sets of states

- ▶ **states:** all assignments to the state variables
- ▶ **goal states:** defined by a formula
- ▶ **transitions:** defined by **operators** (see following section)

## B2.2 Operators and Effects

## Syntax of Operators

### Definition (Operator)

An **operator**  $o$  over state variables  $V$  is an object with three properties:

- ▶ a **precondition**  $pre(o)$ , a formula over  $V$
- ▶ an **effect**  $eff(o)$  over  $V$ , defined on the following slides
- ▶ a **cost**  $cost(o) \in \mathbb{R}_0^+$

### Notes:

- ▶ Operators are also called **actions**.
- ▶ Operators are often written as triples  $\langle pre(o), eff(o), cost(o) \rangle$ .
- ▶ This can be abbreviated to pairs  $\langle pre(o), eff(o) \rangle$  when the cost of the operator is irrelevant.

## Operators: Intuition

### Intuition for operators $o$ :

- ▶ The operator precondition describes the set of states in which a transition labeled with  $o$  can be taken.
- ▶ The operator effect describes how taking such a transition changes the state.
- ▶ The operator cost describes the cost of taking a transition labeled with  $o$ .

## Syntax of Effects

### Definition (Effect)

**Effects** over propositional state variables  $V$  are inductively defined as follows:

- ▶  $\top$  is an effect (**empty effect**).
- ▶ If  $v \in V$  is a propositional state variable, then  $v$  and  $\neg v$  are effects (**atomic effect**).
- ▶ If  $e$  and  $e'$  are effects, then  $(e \wedge e')$  is an effect (**conjunctive effect**).
- ▶ If  $\chi$  is a formula over  $V$  and  $e$  is an effect, then  $(\chi \triangleright e)$  is an effect (**conditional effect**).

We may omit parentheses when this does not cause ambiguity.

**Example:** we will later see that  $((e \wedge e') \wedge e'')$  behaves identically to  $(e \wedge (e' \wedge e''))$  and will write this as  $e \wedge e' \wedge e''$ .

## B2.3 Applying Effects and Operators

## Effects: Intuition

### Intuition for effects:

- ▶ The **empty effect**  $\top$  changes nothing.
- ▶ **Atomic effects** can be understood as assignments that update the value of a state variable.
  - ▶  $v$  means " $v := \mathbf{T}$ "
  - ▶  $\neg v$  means " $v := \mathbf{F}$ "
- ▶ A **conjunctive effect**  $e = (e' \wedge e'')$  means that both subeffects  $e$  and  $e'$  take place simultaneously.
- ▶ A **conditional effect**  $e = (\chi \triangleright e')$  means that subeffect  $e'$  takes place iff  $\chi$  is true in the state where  $e$  takes place.

## Semantics of Effects: Effect Conditions

### Definition (Effect Condition for an Effect)

Let  $\ell$  be an atomic effect, and let  $e$  be an effect.

The **effect condition**  $effcond(\ell, e)$  under which  $\ell$  triggers given the effect  $e$  is a propositional formula defined as follows:

- ▶  $effcond(\ell, \top) = \perp$
- ▶  $effcond(\ell, e) = \top$  for the atomic effect  $e = \ell$
- ▶  $effcond(\ell, e) = \perp$  for all atomic effects  $e = \ell' \neq \ell$
- ▶  $effcond(\ell, (e \wedge e')) = (effcond(\ell, e) \vee effcond(\ell, e'))$
- ▶  $effcond(\ell, (\chi \triangleright e)) = (\chi \wedge effcond(\ell, e))$

**Intuition:**  $effcond(\ell, e)$  represents the condition that must be true in the current state for the effect  $e$  to lead to the atomic effect  $\ell$

## Semantics of Effects: Applying an Effect

first attempt:

### Definition (Applying Effects)

Let  $V$  be a set of propositional state variables.

Let  $s$  be a state over  $V$ , and let  $e$  be an effect over  $V$ .

The **resulting state** of applying  $e$  in  $s$ , written  $s[e]$ , is the state  $s'$  defined as follows for all  $v \in V$ :

$$s'(v) = \begin{cases} \mathbf{T} & \text{if } s \models effcond(v, e) \\ \mathbf{F} & \text{if } s \models effcond(\neg v, e) \wedge \neg effcond(v, e) \\ s(v) & \text{otherwise} \end{cases}$$

**What is the problem with this definition?**

## Semantics of Effects: Applying an Effect

correct definition:

### Definition (Applying Effects)

Let  $V$  be a set of propositional state variables.  
 Let  $s$  be a state over  $V$ , and let  $e$  be an effect over  $V$ .  
 The **resulting state** of applying  $e$  in  $s$ , written  $s[e]$ ,  
 is the state  $s'$  defined as follows for all  $v \in V$ :

$$s'(v) = \begin{cases} \mathbf{T} & \text{if } s \models \text{effcond}(v, e) \\ \mathbf{F} & \text{if } s \models \text{effcond}(\neg v, e) \wedge \neg \text{effcond}(v, e) \\ s(v) & \text{otherwise} \end{cases}$$

## Add-after-Delete Semantics

Note:

- ▶ The definition implies that if a variable is simultaneously “added” (set to **T**) and “deleted” (set to **F**), the value **T** takes precedence.
- ▶ This is called **add-after-delete semantics**.
- ▶ This detail of effect semantics is somewhat arbitrary, but has proven useful in applications.

## Semantics of Operators

### Definition (Applicable, Applying Operators, Resulting State)

Let  $V$  be a set of propositional state variables.  
 Let  $s$  be a state over  $V$ , and let  $o$  be an operator over  $V$ .

Operator  $o$  is **applicable** in  $s$  if  $s \models \text{pre}(o)$ .

If  $o$  is applicable in  $s$ , the **resulting state** of applying  $o$  in  $s$ ,  
 written  $s[o]$ , is the state  $s[\text{eff}(o)]$ .

## B2.4 Summary

## Summary

- ▶ Propositional **state variables** let us compactly describe properties of large transition systems.
- ▶ A **state** is an assignment to a set of state variables.
- ▶ Sets of states are represented as **formulas** over state variables.
- ▶ **Operators** describe **when** (precondition), **how** (effect) and at which **cost** the state of the world can be changed.
- ▶ **Effects** are structured objects including empty, atomic, conjunctive and conditional effects.
- ▶ We gave formal semantics for applying effects and operators.