# Discrete Mathematics in Computer Science What is a Proof?

Malte Helmert, Gabriele Röger

University of Basel

## What is a Proof?

#### A mathematical proof is

- a sequence of logical steps
- starting with one set of statements
- that comes to the confusion that some statement must be true.

## What is a Proof?

#### A mathematical proof is

- a sequence of logical steps
- starting with one set of statements
- that comes to the confusion that some statement must be true.

What is a statement?

### Mathematical Statements

#### Mathematical Statement

A mathematical statement consists of a set of preconditions and a set of conclusions.

The statement is true if the conclusions are true whenever the preconditions are true.

### Mathematical Statements

#### Mathematical Statement

A mathematical statement consists of a set of preconditions and a set of conclusions.

The statement is true if the conclusions are true whenever the preconditions are true.

#### Notes:

- set of preconditions is sometimes empty
- often, "assumptions" is used instead of "preconditions"; slightly unfortunate because "assumption" is also used with another meaning (~> cf. indirect proofs)

## **Examples of Mathematical Statements**

#### Examples (some true, some false):

- "Let  $p \in \mathbb{N}_0$  be a prime number. Then p is odd."
- "There exists an even prime number."
- "Let  $p \in \mathbb{N}_0$  with  $p \ge 3$  be a prime number. Then p is odd."
- "All prime numbers  $p \ge 3$  are odd."
- "For all sets A, B, C:  $A \cap (B \cup C) = (A \cap B) \cup (A \cap C)$ "
- "0 is a natural number."
- "The equation  $a^k + b^k = c^k$  has infinitely many solutions with  $a, b, c, k \in \mathbb{N}_1$  and  $k \ge 2$ ."
- "The equation  $a^k + b^k = c^k$  has no solutions with  $a, b, c, k \in \mathbb{N}_1$  and  $k \ge 3$ ."

What are the preconditions, what are the conclusions?

#### On what Statements can we Build the Proof?

#### A mathematical proof is

- a sequence of logical steps
- starting with one set of statements
- that comes to the confusion that some statement must be true.

#### We can use:

- axioms: statements that are assumed to always be true in the current context
- theorems and lemmas: statements that were already proven
  - lemma: an intermediate tool
  - theorem: itself a relevant result
- premises: assumptions we make to see what consequences they have

## What is a Logical Step?

#### A mathematical proof is

- a sequence of logical steps
- starting with one set of statements
- that comes to the confusion that some statement must be true.

#### Each step directly follows

- from the axioms,
- premises,
- previously proven statements and
- the preconditions of the statement we want to prove.

## What is a Logical Step?

#### A mathematical proof is

- a sequence of logical steps
- starting with one set of statements
- that comes to the confusion that some statement must be true.

#### Each step directly follows

- from the axioms,
- premises,
- previously proven statements and
- the preconditions of the statement we want to prove.

For a formal definition, we would need formal logics.

#### The Role of Definitions

#### Definition

A set is an unordered collection of distinct objects.

The set that does not contain any objects is the *empty set*  $\emptyset$ .

#### The Role of Definitions

#### Definition

A set is an unordered collection of distinct objects. The set that does not contain any objects is the *empty set*  $\emptyset$ .

- A definition introduces an abbreviation.
- Whenever we say "set", we could instead say "an unordered collection of distinct objects" and vice versa.
- Definitions can also introduce notation.

## Disproofs

- A disproof (refutation) shows that a given mathematical statement is false by giving an example where the preconditions are true, but the conclusion is false.
- This requires deriving, in a sequence of proof steps, the opposite (negation) of the conclusion.
- Formally, disproofs are proofs of modified ("negated") statements.
- Be careful about how to negate a statement!

## A Word on Style

#### A proof should help the reader to see why the result must be true.

- A proof should be easy to follow.
- Omit unnecessary information.
- Move self-contained parts into separate lemmas.
- In complicated proofs, reveal the overall structure in advance.
- Have a clear line of argument.

## A Word on Style

#### A proof should help the reader to see why the result must be true.

- A proof should be easy to follow.
- Omit unnecessary information.
- Move self-contained parts into separate lemmas.
- In complicated proofs, reveal the overall structure in advance.
- Have a clear line of argument.
- $\rightarrow$  Writing a proof is like writing an essay.

# Discrete Mathematics in Computer Science Proof Strategies

Malte Helmert, Gabriele Röger

University of Basel

### Common Forms of Statements

#### Many statements have one of these forms:

- "All  $x \in S$  with the property P also have the property Q."
- (2) "A is a subset of B."
- "For all  $x \in S$ : x has property P iff x has property Q."
- $\bullet$  "A = B", where A and B are sets.

### Common Forms of Statements

Many statements have one of these forms:

- "All  $x \in S$  with the property P also have the property Q."
- (2) "A is a subset of B."
- "For all  $x \in S$ : x has property P iff x has property Q."
- $\bullet$  "A = B", where A and B are sets.

In the following, we will discuss some typical proof/disproof strategies for such statements.

- **1** "All  $x \in S$  with the property P also have the property Q." "For all  $x \in S$ : if x has property P, then x has property Q."
  - To prove, assume you are given an arbitrary x ∈ S that has the property P.
     Give a sequence of proof steps showing that x must have the property Q.
  - To disprove, find a counterexample, i. e., find an  $x \in S$  that has property P but not Q and prove this.

- (a) "A is a subset of B."
  - To prove, assume you have an arbitrary element  $x \in A$  and prove that  $x \in B$ .
  - To disprove, find an element in  $x \in A \setminus B$  and prove that  $x \in A \setminus B$ .

- "For all  $x \in S$ : x has property P iff x has property Q." ("iff": "if and only if")
  - $\blacksquare$  To prove, separately prove "if P then Q" and "if Q then P".
  - To disprove, disprove "if P then Q" or disprove "if Q then P".

- $^{\bullet}$  "A=B", where A and B are sets.
  - To prove, separately prove " $A \subseteq B$ " and " $B \subseteq A$ ".
  - To disprove, disprove " $A \subseteq B$ " or disprove " $B \subseteq A$ ".

## **Proof Techniques**

#### most common proof techniques:

- direct proof
- indirect proof (proof by contradiction)
- contrapositive
- mathematical induction
- structural induction

# Discrete Mathematics in Computer Science Direct Proof

Malte Helmert, Gabriele Röger

University of Basel

### Direct Proof

#### Direct Proof

Direct derivation of the statement by deducing or rewriting.

Direct Proof: Example

 $\rightarrow \mathsf{Separate}\ \LaTeX/\mathsf{PDF}\ \mathsf{file}$ 

# Discrete Mathematics in Computer Science

Malte Helmert, Gabriele Röger

University of Basel

#### Indirect Proof

#### Indirect Proof (Proof by Contradiction)

- Make an assumption that the statement is false.
- Derive a contradiction from the assumption together with the preconditions of the statement.
- This shows that the assumption must be false given the preconditions of the statement, and hence the original statement must be true.

Indirect Proof: Example

 $\rightarrow \mathsf{Separate}\ \LaTeX/\mathsf{PDF}\ \mathsf{file}$ 

# Discrete Mathematics in Computer Science Proof by Contrapositive

Malte Helmert, Gabriele Röger

University of Basel

## Contrapositive

#### (Proof by) Contrapositive

Prove "If A, then B" by proving "If not B, then not A."

## Contrapositive

#### (Proof by) Contrapositive

Prove "If A, then B" by proving "If not B, then not A."

#### Examples:

- Prove "For all  $n \in \mathbb{N}_0$ : if  $n^2$  is odd, then n is odd" by proving "For all  $n \in \mathbb{N}_0$ , if n is even, then  $n^2$  is even."
- Prove "For all  $n \in \mathbb{N}_0$ : if n is not a square number, then  $\sqrt{n}$  is irrational" by proving "For all  $n \in \mathbb{N}_0$ : if  $\sqrt{n}$  is rational, then n is a square number."

Contrapositive: Example

 $\rightarrow$  Separate LATEX/PDF file

# Discrete Mathematics in Computer Science Excursus: Computer-assisted Theorem Proving

Malte Helmert, Gabriele Röger

University of Basel

## Computer-assisted Proofs

- Computers can help proving theorems.
- Computer-aided proofs have for example been used for proving theorems by exhaustion.
- Example: Four color theorem

On the lowest abstraction level, rigorous mathematical proofs rely on formal logic.

- On the lowest abstraction level, rigorous mathematical proofs rely on formal logic.
- On this level, proofs can be automatically verified by computers.

- On the lowest abstraction level, rigorous mathematical proofs rely on formal logic.
- On this level, proofs can be automatically verified by computers.
- Nobody wants to write or read proofs on this level of detail.

- On the lowest abstraction level, rigorous mathematical proofs rely on formal logic.
- On this level, proofs can be automatically verified by computers.
- Nobody wants to write or read proofs on this level of detail.
- In Interactive Theorem Proving a human guides the proof and the computer tries to fill in the details.

- On the lowest abstraction level, rigorous mathematical proofs rely on formal logic.
- On this level, proofs can be automatically verified by computers.
- Nobody wants to write or read proofs on this level of detail.
- In Interactive Theorem Proving a human guides the proof and the computer tries to fill in the details.
- If it succeeds, we can be very confident that the proof is valid.

- On the lowest abstraction level, rigorous mathematical proofs rely on formal logic.
- On this level, proofs can be automatically verified by computers.
- Nobody wants to write or read proofs on this level of detail.
- In Interactive Theorem Proving a human guides the proof and the computer tries to fill in the details.
- If it succeeds, we can be very confident that the proof is valid.
- Example theorem provers: Isabelle/HOL, Lean