## Planning and Optimization E7. Post-hoc Optimization

Malte Helmert and Thomas Keller

Universität Basel

November 20, 2019

M. Helmert, T. Keller (Universität Basel)

Planning and Optimization

November 20, 2019

## Planning and Optimization

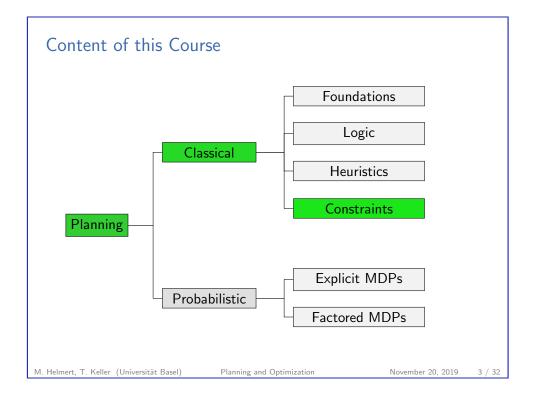
November 20, 2019 — E7. Post-hoc Optimization

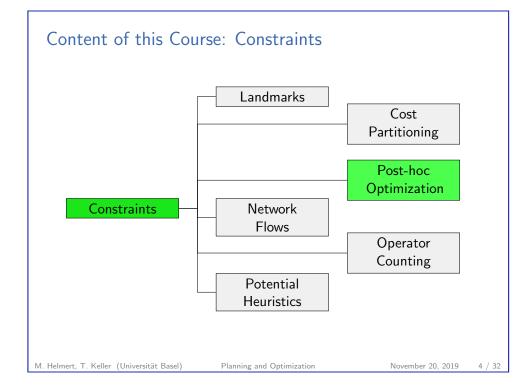
- E7.1 Introduction
- E7.2 Post-hoc Optimization
- E7.3 Comparison
- E7.4 Summary

M. Helmert, T. Keller (Universität Basel)

Planning and Optimization

November 20, 2019 2 / 32





E7. Post-hoc Optimization Introduction

## E7.1 Introduction

M. Helmert, T. Keller (Universität Basel)

Planning and Optimization

November 20, 2019 5 / 32

E7. Post-hoc Optimization

### Puzzle

→ Blackboard

M. Helmert, T. Keller (Universität Basel)

E7. Post-hoc Optimization

Planning and Optimization

November 20, 2019

Introduction

E7. Post-hoc Optimization

## Connection to Planning

Let us now rephrase the puzzle slightly

#### Example

- ▶ the 6 kinds of sweets are 6 operators
- ▶ and the 3 hints are about admissible heuristics  $h_1$ ,  $h_2$  and  $h_3$ , stating that:
  - ▶ only operators  $o_1, o_2, o_3$  and  $o_4$  are relevant for  $h_1$ and  $h_1(s_0) = 11$
  - only operators  $o_3$ ,  $o_4$ ,  $o_5$  and  $o_6$  are relevant for  $h_2$ and  $h_2(s_0) = 11$
  - ightharpoonup only operators  $o_1$ ,  $o_2$  and  $o_6$  are relevant for  $h_3$ and  $h_3(s_0) = 8$

What is the highest possible admissible heuristic estimate for  $s_0$  with this information?

LP Formalization of the Example

We can express the puzzle (rephrased or not) as an LP

#### Variables

Non-negative variable  $X_1, \ldots, X_6$  for operator  $o_1, \ldots, o_6$ 

Minimize  $X_1 + X_2 + X_3 + X_4 + X_5 + X_6$  subject to

$$X_1 + X_2 + X_3 + X_4 \ge 11$$
  
 $X_3 + X_4 + X_5 + X_6 \ge 11$ 

$$X_1 + X_2 + X_6 \ge 8$$
$$X_i \ge 0 \quad \text{for } i \in \{1, \dots, 6\}$$

M. Helmert, T. Keller (Universität Basel)

Planning and Optimization

November 20, 2019

Post-hoc Optimization

## Post-hoc Optimization

E7. Post-hoc Optimization

The heuristic that generalizes this kind of reasoning is the Post-hoc Optimization Heuristic (PhO)

- can be computed for any kind of heuristic . . .
- ▶ ... as long as we are able to determine relevance of operators
- ▶ if in doubt, it's always safe to assume an operator is relevant for a heuristic
- ▶ but for PhO to work well, it's important that the set of relevant operators is as small as possible

M. Helmert, T. Keller (Universität Basel)

Planning and Optimization

November 20, 2019 9 /

M. Helmert, T. Keller (Universität Basel)

Planning and Optimization

November 20, 2019

E7. Post-hoc Optimization

Post-hoc Optimization

## Operator Relevance in Abstractions

Definition (Reminder: Affecting Transition Labels)

E7.2 Post-hoc Optimization

Let  $\mathcal T$  be a transition system, and let  $\ell$  be one of its labels.

We say that  $\ell$  affects  $\mathcal{T}$  if  $\mathcal{T}$  has a transition  $s \xrightarrow{\ell} t$  with  $s \neq t$ .

Definition (Operator Relevance in Abstractions)

An operator o is relevant for an abstraction  $\alpha$  if o affects  $\mathcal{T}^{\alpha}$ .

We can efficiently determine operator relevance for abstractions.

E7. Post-hoc Optimization

Post-hoc Optimization

Post-hoc Optimization

## Linear Program (1)

Construct linear program for set of abstractions  $\{\alpha_1, \ldots, \alpha_n\}$ :

- ▶ variable  $X_o$  for each operator  $o \in O$
- ▶ intuitively, X₀ is cost incurred by operator o
- abstraction heuristics are admissible.

$$\sum_{o \in O} X_o \ge h^{\alpha}(s) \quad \text{ for } \alpha \in \{\alpha_1, \dots, \alpha_n\}$$

► can tighten these constraints to

$$\sum_{o \in O: o \text{ affects } \mathcal{T}^{\alpha}} X_o \ge h^{\alpha}(s) \quad \text{ for } \alpha \in \{\alpha_1, \dots, \alpha_n\}$$

M. Helmert, T. Keller (Universität Basel)

Planning and Optimization

November 20, 2019

11 / 32

M. Helmert, T. Keller (Universität Basel)

Planning and Optimization

November 20, 2019

12 / 20

Post-hoc Optimization

## Linear Program (2)

For set of abstractions  $\{\alpha_1, \ldots, \alpha_n\}$ :

Variables

 $X_o$  for each operator  $o \in O$ 

Objective

Minimize  $\sum_{o \in O} X_o$ 

Subject to

$$\sum_{o \in O: o \text{ affects } \mathcal{T}^{\alpha}} X_o \ge h^{\alpha}(s) \quad \text{for } \alpha \in \{\alpha_1, \dots, \alpha_n\}$$
$$X_o \ge 0 \qquad \text{for all } o \in O$$

M. Helmert, T. Keller (Universität Basel)

Planning and Optimization

November 20, 2019

November 20, 2019

Post-hoc Optimization

E7. Post-hoc Optimization

Post-hoc Optimization

## Example

## Example

- $\blacktriangleright$  only operators  $o_1, o_2, o_3$  and  $o_4$  are relevant for  $h_1$ and  $h_1(s_0) = 11$
- $\blacktriangleright$  only operators  $o_3, o_4, o_5$  and  $o_6$  are relevant for  $h_2$ and  $h_2(s_0) = 11$
- $\triangleright$  only operators  $o_1, o_2$  and  $o_6$  are relevant for  $h_3$ and  $h_3(s_0) = 8$

Which operators affect the same heuristics?

Answer:  $o_1 \sim o_2$  and  $o_3 \sim o_4$  $\Rightarrow O/\sim = \{[o_1], [o_3], [o_5], [o_6]\}$  E7. Post-hoc Optimization

Planning and Optimization

November 20, 2019

## Simplifying the LP

- ► Reduce size of LP by aggregating variables which always occur together in constraints.
- ► Happens if several operators are relevant for exactly the same heuristics.
- ightharpoonup Partitioning  $O/\sim$  induced by this equivalence relation
- ▶ One variable  $X_{[o]}$  for each  $[o] \in O/\sim$

M. Helmert, T. Keller (Universität Basel)

Planning and Optimization

E7. Post-hoc Optimization

Post-hoc Optimization

## Simplifying the LP: Example

LP before aggregation

#### Variables

M. Helmert, T. Keller (Universität Basel)

Non-negative variable  $X_1, \ldots, X_6$ for operator  $o_1, \ldots, o_6$ 

> Minimize  $X_1 + X_2 + X_3 + X_4 + X_5 + X_6$ subject to

$$X_1 + X_2 + X_3 + X_4 \ge 11$$
  
 $X_3 + X_4 + X_5 + X_6 \ge 11$   
 $X_1 + X_2 + X_6 \ge 8$ 

 $X_i > 0$  for  $i \in \{1, ..., 6\}$ 

Post-hoc Optimization

## Simplifying the LP: Example

#### LP after aggregation

#### Variables

Non-negative variable  $X_{[1]}, X_{[3]}, X_{[5]}, X_{[6]}$ for equivalence classes  $[o_1]$ ,  $[o_3]$ ,  $[o_5]$ ,  $[o_6]$ 

Minimize 
$$X_{[1]} + X_{[3]} + X_{[5]} + X_{[6]}$$
 subject to

$$X_{[1]} + X_{[3]} \ge 11$$
 $X_{[3]} + X_{[5]} + X_{[6]} \ge 11$ 
 $X_{[1]} + X_{[6]} \ge 8$ 
 $X_{i} \ge 0 \text{ for } i \in \{[1], [3], [5], [6]\}$ 

M. Helmert, T. Keller (Universität Basel)

Planning and Optimization

November 20, 2019

E7. Post-hoc Optimization

Post-hoc Optimization

## PhO Heuristic

## h<sup>PhO</sup>: Pommerening, Röger & Helmert (2013)

- Precompute all abstraction heuristics  $h^{\alpha_1}, \ldots, h^{\alpha_n}$ .
- 2 Create LP for initial state  $s_0$ .
- For each new state s:
  - ▶ Look up  $h^{\alpha}(s)$  for all  $\alpha \in \{\alpha_1, \dots, \alpha_n\}$ .
  - Adjust LP by replacing bounds with the  $h^{\alpha}(s)$ .

E7. Post-hoc Optimization

Post-hoc Optimization

## PhO Heuristic

#### Definition (Post-hoc Optimization Heuristic)

The post-hoc optimization heuristic  $h^{\text{PhO}}_{\{\alpha_1,\ldots,\alpha_n\}}$  for abstractions  $\alpha_1,\ldots,\alpha_n$  is the objective value of the following linear program:

Minimize 
$$\sum_{[o] \in O/\sim} X_{[o]}$$
 subject to

$$\sum_{[o] \in \textit{O}/\!\!\sim : o \text{ affects } \alpha} X_{[o]} \ge h^{\alpha}(s) \quad \text{for all } \alpha \in \{\alpha_1, \dots, \alpha_n\}$$
$$X_{[o]} \ge 0 \qquad \text{for all } [o] \in \textit{O}/\!\!\sim,$$

where  $o \sim o'$  iff o and o' affect the same transition systems in  $\mathcal{T}^{\alpha_1}, \ldots, \mathcal{T}^{\alpha_n}$ .

M. Helmert, T. Keller (Universität Basel)

Planning and Optimization

November 20, 2019

E7. Post-hoc Optimization

Post-hoc Optimization

## Post-hoc Optimization Heuristic: Admissibility

### Theorem (Admissibility)

The post-hoc optimization heuristic is admissible.

#### Proof.

Let  $\Pi$  be a planning task and  $\{\alpha_1, \dots, \alpha_n\}$  be a set of abstractions. We show that there is a feasible variable assignment with objective value equal to the cost of an optimal plan.

Let  $\pi$  be an optimal plan for state s and let  $cost_{\pi}(O')$  be the cost incurred by operators from  $O' \subseteq O$  in  $\pi$ .

Setting each  $X_{[o]}$  to  $cost_{\pi}([o])$  is a feasible variable assignment: Constraints  $X_{[o]} \ge 0$  are satisfied.

Post-hoc Optimization

## Post-hoc Optimization Heuristic: Admissibility

#### Theorem (Admissibility)

The post-hoc optimization heuristic is admissible.

#### Proof (continued).

For each  $\alpha \in \{\alpha_1, \ldots, \alpha_n\}$ ,  $\pi$  is a solution in the abstract transition system and the sum in the corresponding constraint equals the cost of the state-changing abstract state transitions (i.e., not accounting for self-loops). As  $h^{\alpha}(s)$  corresponds to the cost of an optimal solution in the abstraction, the inequality holds.

For this assignment, the objective function has value  $h^*(s)$  (cost of  $\pi$ ), so the objective value of the LP is admissible.

M. Helmert, T. Keller (Universität Basel)

Planning and Optimization

November 20, 2019

21 / 32

E7. Post-hoc Optimization Comparison

# E7.3 Comparison

M. Helmert, T. Keller (Universität Basel)

Planning and Optimization

November 20, 2019

22 / 32

E7. Post-hoc Optimization

Comparison

## Combining Estimates from Abstraction Heuristics

We have seen two alternatives to combine abstraction heuristics admissibly:

- ► Canonical heuristic (for PDBs)
- ► Optimal cost partitioning

How does PhO compare to these?

E7. Post-hoc Optimization

## Reminder: The Canonical Heuristic Function

If for a set of patterns no operator affects more than one pattern, the sum of the heuristic estimates is admissible.

### Definition (Canonical Heuristic Function)

Let  $\mathcal C$  be a pattern collection for an FDR planning task.

The canonical heuristic  $h^{\mathcal{C}}$  for pattern collection  $\mathcal{C}$  is defined as

$$h^{\mathcal{C}}(s) = \max_{\mathcal{D} \in cliques(\mathcal{C})} \sum_{P \in \mathcal{D}} h^{P}(s),$$

where cliques(C) is the set of all maximal cliques in the compatibility graph for C.

For a given pattern collection, the canonical heuristic is the best possible admissible heuristic not using cost partitioning.

M. Helmert, T. Keller (Universität Basel)

Planning and Optimizatio

November 20, 2019

## Reminder: Optimal Cost Partitioning for Abstractions

Optimal cost partitioning for abstractions. . .

- ▶ ... uses a state-specific LP to find the best possible cost partitioning, and sums up the heuristic estimates.
- ...dominates the canonical heuristic, i.e., for the same
- (recomputing the abstractions in every state).

M. Helmert, T. Keller (Universität Basel)

Planning and Optimization

November 20, 2019

E7. Post-hoc Optimization

November 20, 2019

Comparisor

pattern collection, it never gives lower estimates than  $h^{\mathcal{C}}$ .

▶ ...is very expensive to compute

## PhO: Linear Program

For set of abstractions  $\{\alpha_1, \ldots, \alpha_n\}$ :

#### Variables

 $X_{[o]}$  for all equivalence classes  $[o] \in O/\sim$ 

#### Objective

Minimize  $\sum_{[o] \in O/\sim} X_{[o]}$ 

#### Subject to

$$[Y_{\alpha}] \quad \sum_{[o] \in O / \sim : o \text{ affects } \mathcal{T}^{\alpha}} X_{[o]} \ge h^{\alpha}(s) \quad \text{for all } \alpha \in \{\alpha_1, \dots, \alpha_n\}$$
 
$$X_{[o]} \ge 0 \qquad \text{for all } [o] \in O / \sim$$

M. Helmert, T. Keller (Universität Basel)

Planning and Optimization

November 20, 2019

E7. Post-hoc Optimization

## PhO: Dual Linear Program

For set of abstractions  $\{\alpha_1, \ldots, \alpha_n\}$ :

#### Variables

 $Y_{\alpha}$  for each abstraction  $\alpha \in \{\alpha_1, \dots, \alpha_n\}$ 

#### Objective

Maximize  $\sum_{\alpha \in \{\alpha_1, ..., \alpha_n\}} h^{\alpha}(s) Y_{\alpha}$ 

## Subject to

$$\begin{split} [X_{[o]}] \quad \sum\nolimits_{\alpha \in \{\alpha_1, \dots, \alpha_n\} : o \text{ affects } \mathcal{T}^{\alpha}} Y_{\alpha} \leq 1 \quad \text{for all } [o] \in \textit{O} / \sim \\ Y_{\alpha} \geq 0 \quad \text{for all } \alpha \in \{\alpha_1, \dots, \alpha_n\} \end{split}$$

We compute a state-specific cost partitioning that can only scale the operator costs within each heuristic by a factor  $0 \le Y_{\alpha} \le 1$ .

E7. Post-hoc Optimization

## Relation to Optimal Cost Partitioning

#### Theorem

Optimal cost partitioning dominates post-hoc optimization.

#### Proof Sketch.

Consider the assignment  $\langle Y_{\alpha_1}, \dots, Y_{\alpha_n} \rangle$  of the dual of the LP solved by the post-hoc optimization heuristic in state s. Its solution value is equivalent to the solution value of the cost partitioned heuristic induced by the cost partitioning  $\langle Y_{\alpha_1} cost, \dots, Y_{\alpha_n} cost \rangle$ .

Comparison

#### Relation to Canonical Heuristic

#### Theorem

Consider the dual D of the LP solved by the post-hoc optimization heuristic in state s for a given set of abstractions. If we restrict the variables in D to integers, the objective value is the canonical heuristic value  $h^{\mathcal{C}}(s)$ .

#### Corollary

The post-hoc optimization heuristic dominates the canonical heuristic for the same set of abstractions.

M. Helmert, T. Keller (Universität Basel)

E7. Post-hoc Optimization

Planning and Optimization

November 20, 2019

November 20, 2019

29 / 32

Summary

# E7.4 Summary

E7. Post-hoc Optimization

Comparison

 $h^{\text{PhO}}$  vs  $h^{\mathcal{C}}$ 

- ► For the canonical heuristic, we need to find all maximal cliques, which is an NP-hard problem.
- ► The post-hoc optimization heuristic dominates the canonical heuristic and can be computed in polynomial time.
- ► The post-hoc optimization heuristic solves an LP in each state but does not require a preprocessing step
- ► With post-hoc optimization, a large number of small patterns works well.

M. Helmert, T. Keller (Universität Basel)

Planning and Optimization

November 20, 2019

30 / 32

E7. Post-hoc Optimization

Summary

## Summary

- ► Post-hoc optimization heuristic constraints express admissibility of heuristics
- exploits (ir-)relevance of operators for heuristics
- explores the middle ground between canonical heuristic and optimal cost partitioning.
- ► For the same set of abstractions, the post-hoc optimization heuristic dominates the canonical heuristic.
- ► The computation can be done in polynomial time.

M. Helmert, T. Keller (Universität Basel)

Planning and Optimization

November 20, 2019

22 / 2