

# Planning and Optimization

## D7. Merge-and-Shrink: Factored Transition Systems

Malte Helmert and Thomas Keller

Universität Basel

November 6, 2019

# Planning and Optimization

November 6, 2019 — D7. Merge-and-Shrink: Factored Transition Systems

D7.1 Motivation

D7.2 Main Idea

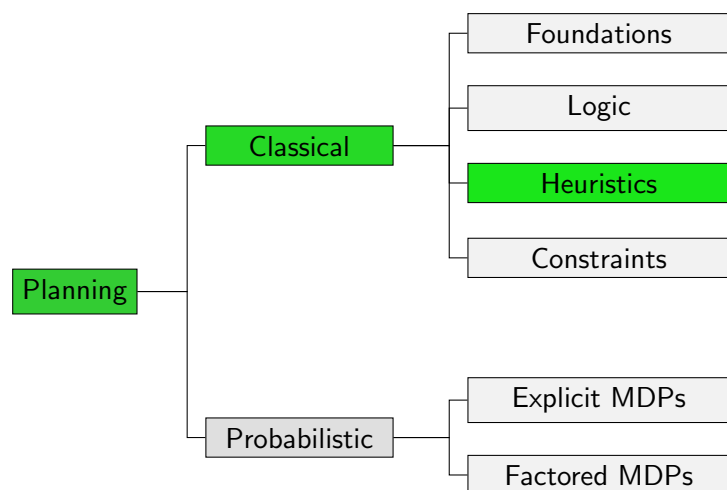
D7.3 Atomic Projections

D7.4 Synchronized Product

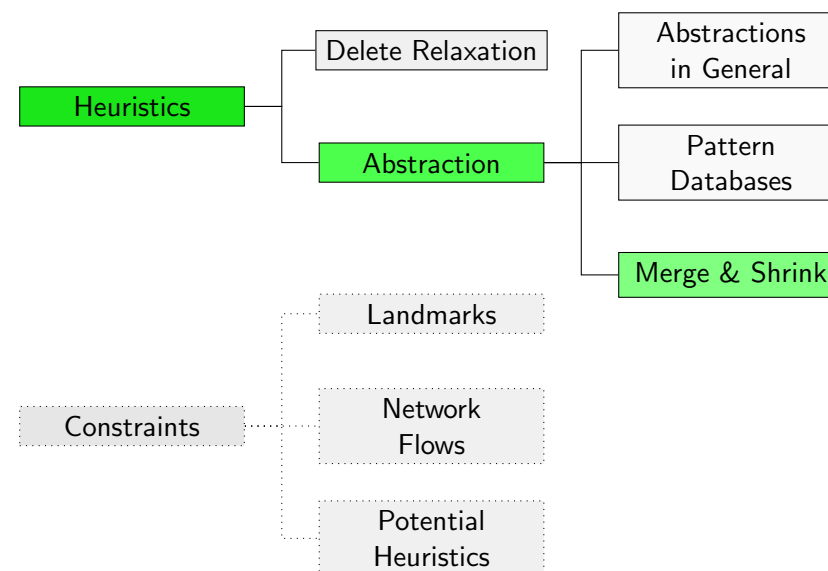
D7.5 Factored Transition Systems

D7.6 Summary

## Content of this Course



## Content of this Course: Heuristics

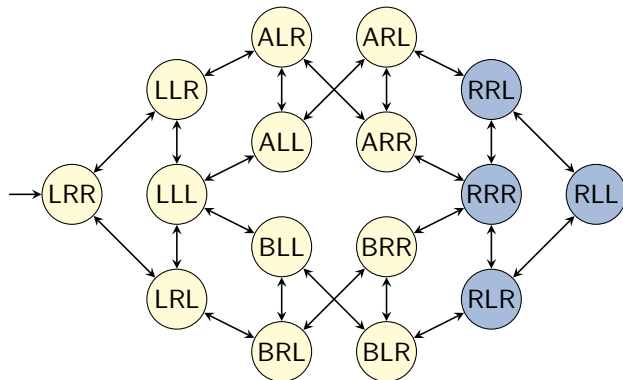


## D7.1 Motivation

## Beyond Pattern Databases

- ▶ Despite their popularity, pattern databases have some **fundamental limitations** ( $\rightsquigarrow$  example on next slides).
- ▶ Today, we study a class of abstractions called **merge-and-shrink abstractions**.
- ▶ Merge-and-shrink abstractions can be seen as a **proper generalization** of pattern databases.
  - ▶ They can do everything that pattern databases can do (modulo polynomial extra effort).
  - ▶ They can do some things that pattern databases cannot.

## Back to the Running Example

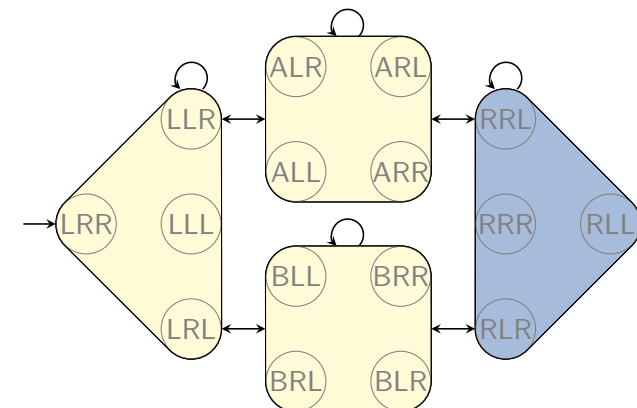


Logistics problem with one package, two trucks, two locations:

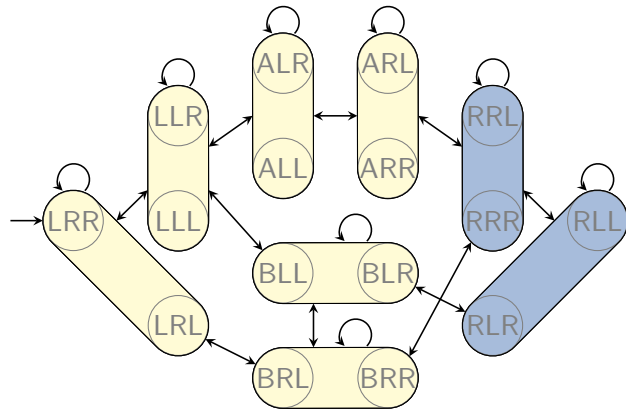
- ▶ state variable **package**:  $\{L, R, A, B\}$
- ▶ state variable **truck A**:  $\{L, R\}$
- ▶ state variable **truck B**:  $\{L, R\}$

## Example: Projection (1)

$\mathcal{T}^{\pi}_{\{\text{package}\}}$ :



## Example: Projection (2)

 $\mathcal{T}^{\pi}_{\{\text{package, truck A}\}}:$ 


## Limitations of Projections

How accurate is the PDB heuristic?

- ▶ consider **generalization of the example**:  
 $N$  trucks, 1 package
- ▶ consider **any** pattern that is a proper subset of variable set  $V$
- ▶  $h(s_0) \leq 2 \rightsquigarrow$  **no better** than atomic projection to **package**

These values cannot be improved by maximizing over several patterns or using additive patterns.

**Merge-and-shrink abstractions** can represent heuristics with  $h(s_0) \geq 3$  for tasks of this kind of any size.

Time and space requirements are **linear in  $N$** .

(In fact, with time/space  $O(N^2)$  we can construct a merge-and-shrink abstraction that gives the **perfect heuristic  $h^*$**  for such tasks, but we do not show this here.)

## D7.2 Main Idea

## Merge-and-Shrink Abstractions: Main Idea

### Main Idea of Merge-and-shrink Abstractions

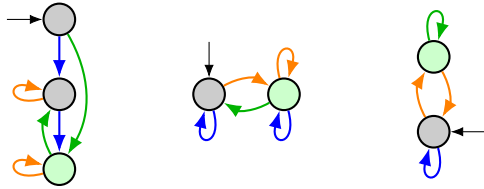
(due to Dräger, Finkbeiner & Podelski, 2006):

Instead of **perfectly** reflecting **a few** state variables, reflect **all** state variables, but in a **potentially lossy** way.

- ▶ Represent planning task as **factored transition system** (FTS): a set of (small) abstract transition systems (**factors**) that jointly represent the full transition system of the task.
- ▶ Iteratively **transform** FTS by:
  - ▶ **merging**: combining two factors into one
  - ▶ **shrinking**: reducing the size of a single factor by abstraction
- ▶ When only a single factor is left, its goal distances are the merge-and-shrink heuristic values.

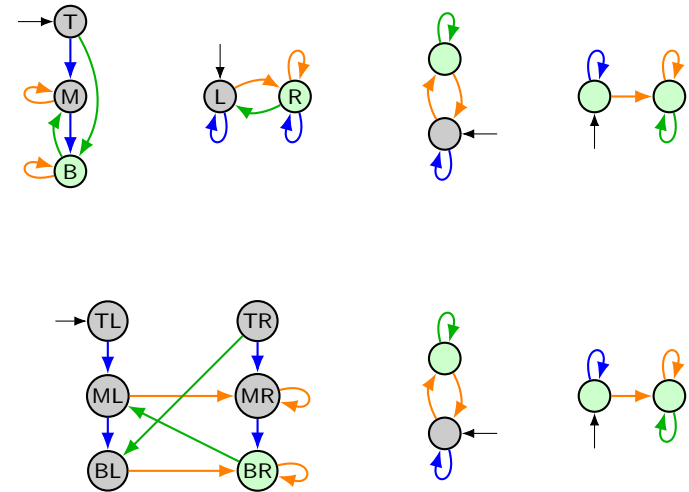
## Merge-and-Shrink Abstractions: Idea

Start from atomic factors (projections to single state variables)



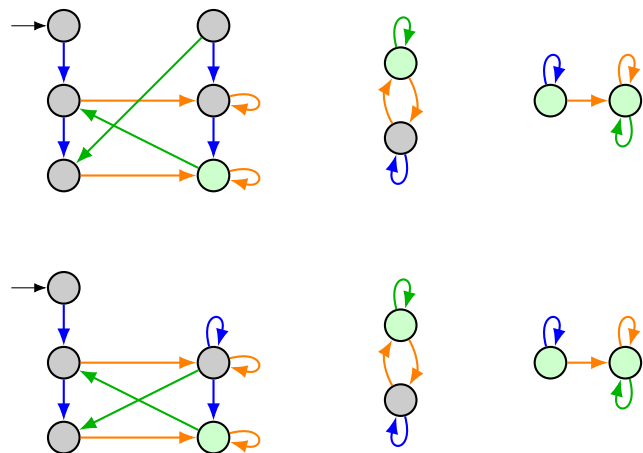
## Merge-and-Shrink Abstractions: Idea

Merge: replace two factors with their product



## Merge-and-Shrink Abstractions: Idea

Shrink: replace a factor by an abstraction of it

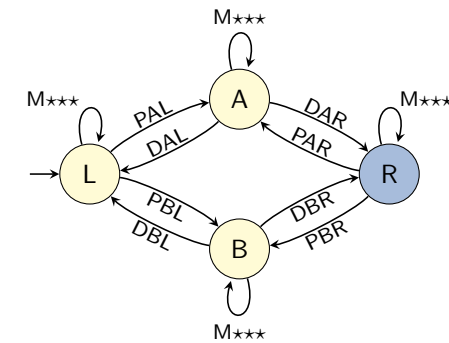


## D7.3 Atomic Projections

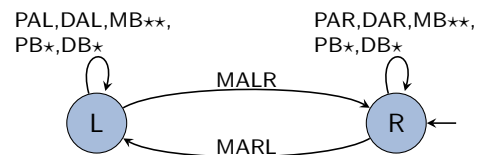
## Running Example: Explanations

- ▶ **Atomic projections** (projections to a single state variable) play an important role for merge-and-shrink abstractions.
- ▶ Unlike previous chapters, **transition labels** are critically important for merge-and-shrink.
- ▶ Hence we now look at the transition systems for atomic projections of our example task, including transition labels.
- ▶ We abbreviate labels (operator names) as in these examples:
  - ▶ **MALR**: move truck **A** from **left** to **right**
  - ▶ **DAR**: drop package from truck **A** at **right** location
  - ▶ **PBL**: pick up package with truck **B** at **left** location
- ▶ We abbreviate parallel arcs with **commas** and **wildcards (\*)** as in these examples:
  - ▶ **PAL, DAL**: two parallel arcs labeled **PAL** and **DAL**
  - ▶ **MA\*\***: two parallel arcs labeled **MALR** and **MARL**

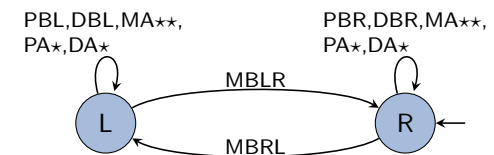
## Running Example: Atomic Projection for Package

 $\mathcal{T}^{\pi}_{\{\text{package}\}}:$ 


## Running Example: Atomic Projection for Truck A

 $\mathcal{T}^{\pi}_{\{\text{truck A}\}}:$ 


## Running Example: Atomic Projection for Truck B

 $\mathcal{T}^{\pi}_{\{\text{truck B}\}}:$ 


## D7.4 Synchronized Product

## Synchronized Product: Idea

- ▶ Given two abstract transition systems with the same labels, we can compute a **product transition system**.
- ▶ The product transition system **captures all information** of both transition systems.
- ▶ A sequence of labels is a solution for the product iff it is a solution for both factors.

## Synchronized Product of Transition Systems

### Definition (Synchronized Product of Transition Systems)

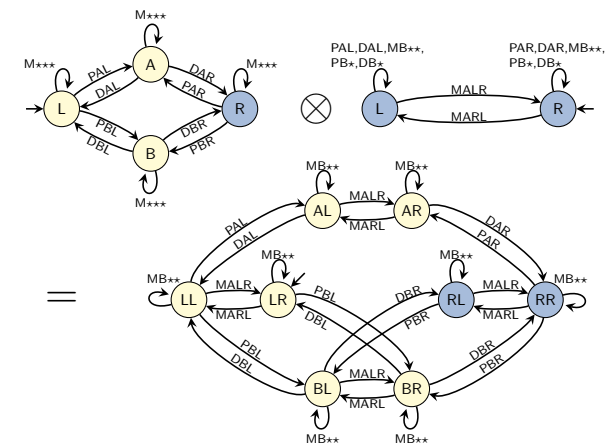
For  $i \in \{1, 2\}$ , let  $\mathcal{T}_i = \langle S_i, L, c, T_i, s_{0_i}, S_{*i} \rangle$  be transition systems with the same labels and cost function.

The **synchronized product** of  $\mathcal{T}_1$  and  $\mathcal{T}_2$ , in symbols  $\mathcal{T}_1 \otimes \mathcal{T}_2$ , is the transition system  $\mathcal{T}_\otimes = \langle S_\otimes, L, c, T_\otimes, s_{0_\otimes}, S_{*\otimes} \rangle$  with

- ▶  $S_\otimes = S_1 \times S_2$
- ▶  $T_\otimes = \{ \langle s_1, s_2 \rangle \xrightarrow{\ell} \langle t_1, t_2 \rangle \mid s_1 \xrightarrow{\ell} t_1 \in T_1 \text{ and } s_2 \xrightarrow{\ell} t_2 \in T_2 \}$
- ▶  $s_{0_\otimes} = \langle s_{0_1}, s_{0_2} \rangle$
- ▶  $S_{*\otimes} = S_{*1} \times S_{*2}$

## Example: Synchronized Product

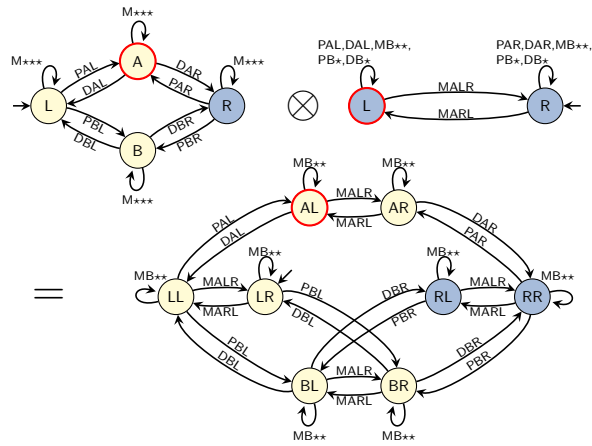
$\mathcal{T}^\pi\{\text{package}\} \otimes \mathcal{T}^\pi\{\text{truck A}\}$ :



### Example: Synchronized Product

$$\mathcal{T}^\pi\{\text{package}\} \otimes \mathcal{T}^\pi\{\text{truck A}\};$$

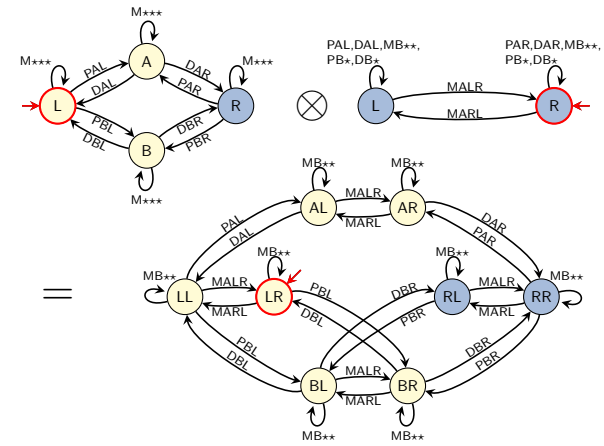
$$S_{\otimes} = S_1 \times S_2$$



### Example: Synchronized Product

$$\mathcal{T}^\pi\{\text{package}\} \otimes \mathcal{T}^\pi\{\text{truck A}\};$$

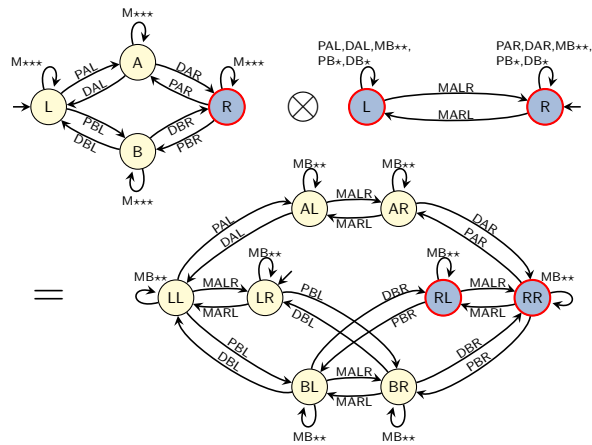
$$s_{\otimes} = \langle s_{01}, s_{02} \rangle$$



### Example: Synchronized Product

$$\mathcal{T}^\pi\{\text{package}\} \otimes \mathcal{T}^\pi\{\text{truck A}\};$$

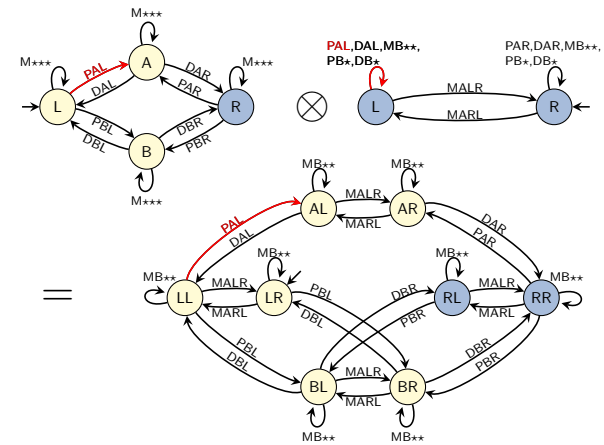
$$S_{\star\otimes} = S_{\star 1} \times S_{\star 2}$$



### Example: Synchronized Product

$$\mathcal{T}^\pi\{\text{package}\} \otimes \mathcal{T}^\pi\{\text{truck A}\};$$

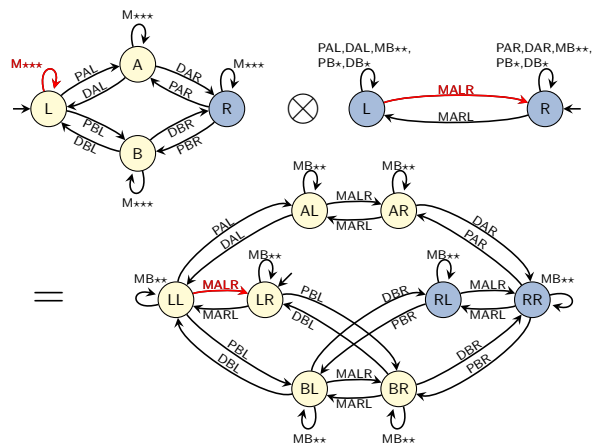
$$T_{\otimes} = \{ \langle s_1, s_2 \rangle \xrightarrow{\ell} \langle t_1, t_2 \rangle \mid s_1 \xrightarrow{\ell} t_1 \in T_1 \text{ and } s_2 \xrightarrow{\ell} t_2 \in T_2 \}$$



## Example: Synchronized Product

$$\mathcal{T}^\pi\{\text{package}\} \otimes \mathcal{T}^\pi\{\text{truck A}\};$$

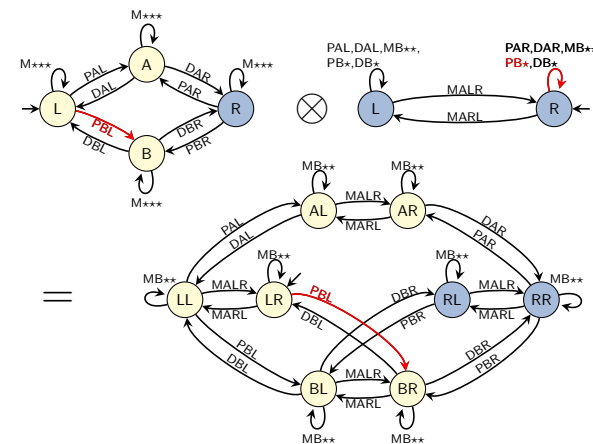
$$T_\otimes = \{\langle s_1, s_2 \rangle \xrightarrow{\ell} \langle t_1, t_2 \rangle \mid s_1 \xrightarrow{\ell} t_1 \in T_1 \text{ and } s_2 \xrightarrow{\ell} t_2 \in T_2\}$$



## Example: Synchronized Product

$$\mathcal{T}^\pi\{\text{package}\} \otimes \mathcal{T}^\pi\{\text{truck A}\};$$

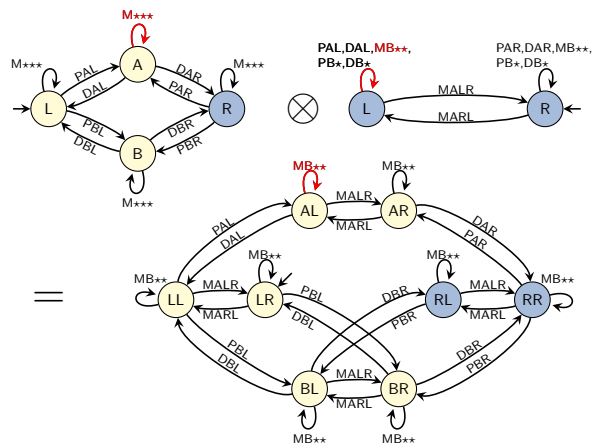
$$T_\otimes = \{\langle s_1, s_2 \rangle \xrightarrow{\ell} \langle t_1, t_2 \rangle \mid s_1 \xrightarrow{\ell} t_1 \in T_1 \text{ and } s_2 \xrightarrow{\ell} t_2 \in T_2\}$$



## Example: Synchronized Product

$$\mathcal{T}^\pi\{\text{package}\} \otimes \mathcal{T}^\pi\{\text{truck A}\};$$

$$T_\otimes = \{\langle s_1, s_2 \rangle \xrightarrow{\ell} \langle t_1, t_2 \rangle \mid s_1 \xrightarrow{\ell} t_1 \in T_1 \text{ and } s_2 \xrightarrow{\ell} t_2 \in T_2\}$$



## Associativity and Commutativity

- ▶ Up to isomorphism (“names of states”), products are associative and commutative:
  - ▶  $(\mathcal{T} \otimes \mathcal{T}') \otimes \mathcal{T}'' \sim \mathcal{T} \otimes (\mathcal{T}' \otimes \mathcal{T}'')$
  - ▶  $\mathcal{T} \otimes \mathcal{T}' \sim \mathcal{T}' \otimes \mathcal{T}$
- ▶ We do not care about names of states and thus treat products as associative and commutative.
- ▶ We can then define the product of a set  $F = \{\mathcal{T}_1, \dots, \mathcal{T}_n\}$  of transition systems:  $\bigotimes F := \mathcal{T}_1 \otimes \dots \otimes \mathcal{T}_n$



# D7.5 Factored Transition Systems

## Factored Transition System

### Definition (Factored Transition System)

A finite set  $F = \{\mathcal{T}_1, \dots, \mathcal{T}_n\}$  of transition systems with the same labels and cost function is called a **factored transition system (FTS)**.

$F$  represents the transition system  $\otimes F$ .

A planning task gives rise to an FTS via its atomic projections:

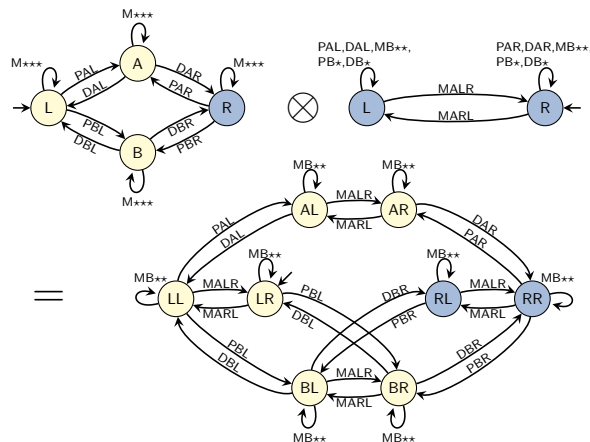
### Definition (Factored Transition System Induced by Planning Task)

Let  $\Pi$  be a planning task with state variables  $V$ .

The **factored transition system induced by  $\Pi$**  is the FTS  $F(\Pi) = \{\mathcal{T}^{\pi\{v\}} \mid v \in V\}$ .

## Back to the Example Product

$$\mathcal{T}^{\pi\{\text{package}\}} \otimes \mathcal{T}^{\pi\{\text{truck A}\}}:$$



We have  $\mathcal{T}^{\pi\{\text{package}\}} \otimes \mathcal{T}^{\pi\{\text{truck A}\}} \sim \mathcal{T}^{\pi\{\text{package, truck A}\}}$ . Coincidence?

## Products of Projections

### Theorem (Products of Projections)

Let  $\Pi$  be a **SAS<sup>+</sup>** planning task with variable set  $V$ , and let  $V_1$  and  $V_2$  be **disjoint subsets** of  $V$ .

Then  $\mathcal{T}^{\pi V_1} \otimes \mathcal{T}^{\pi V_2} \sim \mathcal{T}^{\pi V_1 \cup V_2}$ .

$\rightsquigarrow$  products allow us to build finer projections from coarser ones

## Products of Projections: Proof (1)

Proof.

Let  $\mathcal{T}^\Pi = \mathcal{T}(\Pi)$ .

Let  $\mathcal{T}^1 = \mathcal{T}^{\pi_{V_1}}$ ,  $\mathcal{T}^2 = \mathcal{T}^{\pi_{V_2}}$ .

Let  $\mathcal{T}^{12} = \mathcal{T}^{\pi_{V_1 \cup V_2}}$ .

Let  $\mathcal{T}^\otimes = \mathcal{T}^1 \otimes \mathcal{T}^2$ .

For  $x \in \{\Pi, 1, 2, 12, \otimes\}$ , let  $\mathcal{T}^x = \langle S^x, L, c, T^x, s_0^x, S_\star^x \rangle$ .

We show  $\mathcal{T}^{12} \sim \mathcal{T}^\otimes$ , i.e., there is a bijection  $\sigma : S^{12} \rightarrow S^\otimes$  such that for all  $s^{12} \in S^{12}$ ,  $t^{12} \in S^{12}$ ,  $l \in L$ ,

- 1  $s^{12} \xrightarrow{l} t^{12} \in \mathcal{T}^{12}$  iff  $\sigma(s^{12}) \xrightarrow{l} \sigma(t^{12}) \in \mathcal{T}^\otimes$
- 2  $\sigma(s_0^{12}) = s_0^\otimes$
- 3  $s^{12} \in S_\star^{12}$  iff  $\sigma(s^{12}) \in S_\star^\otimes$

...

## Products of Projections: Proof (2)

Proof (continued).

The states in  $S^1$  are mappings on  $V_1$ .

The states in  $S^2$  are mappings on  $V_2$ .

The states in  $S^{12}$  are mappings on  $V_1 \cup V_2$ .

The states in  $S^\otimes$  are pairs  $\langle s_1, s_2 \rangle$  where  $s_i$  is a mapping on  $V_i$ .

$\rightsquigarrow$  The required bijection  $\sigma$  is defined by  $\sigma(s_{12}) = \langle s_{12}|_{V_1}, s_{12}|_{V_2} \rangle$ , i.e., the pair of projections of  $s_{12}$  to  $V_1$  and  $V_2$ .

This is a bijection because  $V_1$  and  $V_2$  are disjoint.

We now need to show properties (1), (2) and (3).

We only show (2) and (3).

The omitted proof of (1) is similar to the proof of (3). ...

## Products of Projections: Proof (3)

Proof (continued).

(2):  $\sigma(s_0^{12}) = s_0^\otimes$

We have  $s_0^{12} = s_0^\Pi|_{V_1 \cup V_2}$  and hence

$$\begin{aligned} \sigma(s_0^{12}) &= \langle (s_0^\Pi|_{V_1 \cup V_2})|_{V_1}, (s_0^\Pi|_{V_1 \cup V_2})|_{V_2} \rangle \\ &= \langle s_0^\Pi|_{V_1}, s_0^\Pi|_{V_2} \rangle \\ &= \langle s_0^1, s_0^2 \rangle \\ &= s_0^\otimes. \end{aligned}$$

...

## Products of Projections: Proof (4)

Proof (continued).

(3a): If  $s^{12} \in S_\star^{12}$ , then  $\sigma(s^{12}) \in S_\star^\otimes$

Consider  $s^{12} \in S_\star^{12}$ .

Then there exists a state  $s^\Pi \in S_\star^\Pi$  with  $s^{12} = s^\Pi|_{V_1 \cup V_2}$ .

We have  $\sigma(s^{12}) = \langle s^{12}|_{V_1}, s^{12}|_{V_2} \rangle = \langle s^\Pi|_{V_1}, s^\Pi|_{V_2} \rangle$ .

Because  $s^\Pi$  is a goal state of  $\mathcal{T}^\Pi$ ,

$s^\Pi|_{V_1}$  is a goal state of  $\mathcal{T}^1$  and

$s^\Pi|_{V_2}$  is a goal state of  $\mathcal{T}^2$ .

This shows that  $\sigma(s^{12}) \in S_\star^1 \times S_\star^2 = S_\star^\otimes$ . ...

## Products of Projections: Proof (5)

Proof (continued).

(3b): If  $s^{12} \notin S_\star^{12}$ , then  $\sigma(s^{12}) \notin S_\star^\otimes$

Consider  $s^{12} \notin S_\star^{12}$ .

We have  $\sigma(s^{12}) = \langle s^1, s^2 \rangle$  with  $s^1 = s^{12}|_{V_1}$  and  $s^2 = s^{12}|_{V_2}$ .

Because  $s^{12} \notin S_\star^{12}$ , there is no state  $s^\Pi \in S_\star^\Pi$  with  $s^{12} = s^\Pi|_{V_1 \cup V_2}$ .

Because  $\Pi$  is a SAS<sup>+</sup> task, this is only possible if  $s^{12}$  violates a goal condition of the form  $(v = d)$  on some variable  $v \in V_1 \cup V_2$ .

Without loss of generality, assume that  $v \in V_1$ .

Then all states  $\tilde{s}^\Pi \in S^\Pi$  with  $\tilde{s}^\Pi|_{V_1} = s^1$  violate  $(v = d)$  and are hence not goal states. This shows  $s^1 \notin S_\star^1$  and therefore  $\sigma(s^{12}) = \langle s^1, s^2 \rangle \notin S_\star^1 \times S_\star^2 = S_\star^\otimes$ .  $\square$

## Recovering $\mathcal{T}(\Pi)$ from the Factored Transition System

- ▶ By repeated application of the theorem, we can recover **all pattern database heuristics** of a SAS<sup>+</sup> planning task as products of atomic factors.
- ▶ Moreover, by computing the product of **all** atomic projections, we can recover the **identity abstraction**  $\text{id} = \pi_V$ .

This implies:

**Corollary (Recovering  $\mathcal{T}(\Pi)$  from the Factored Transition System)**

Let  $\Pi$  be a SAS<sup>+</sup> planning task. Then  $\otimes F(\Pi) \sim \mathcal{T}(\Pi)$ .

This is an important result because it shows that  $F(\Pi)$  **represents all important information** about  $\Pi$ .

## D7.6 Summary

## Summary

- ▶ A **factored transition system** is a set of transition systems that represents a larger transition system by focusing on its individual components (**factors**).
- ▶ For planning tasks, these factors are the **atomic projections** (projections to single state variables).
- ▶ The **synchronized product**  $\mathcal{T} \otimes \mathcal{T}'$  of two transition systems with the same labels captures their “joint behaviour”.
- ▶ For SAS<sup>+</sup> tasks, all **projections** can be obtained as products of atomic projections.
- ▶ In particular, the product of all factors of a SAS<sup>+</sup> task results in the **full** transition system of the task.