

# Planning and Optimization

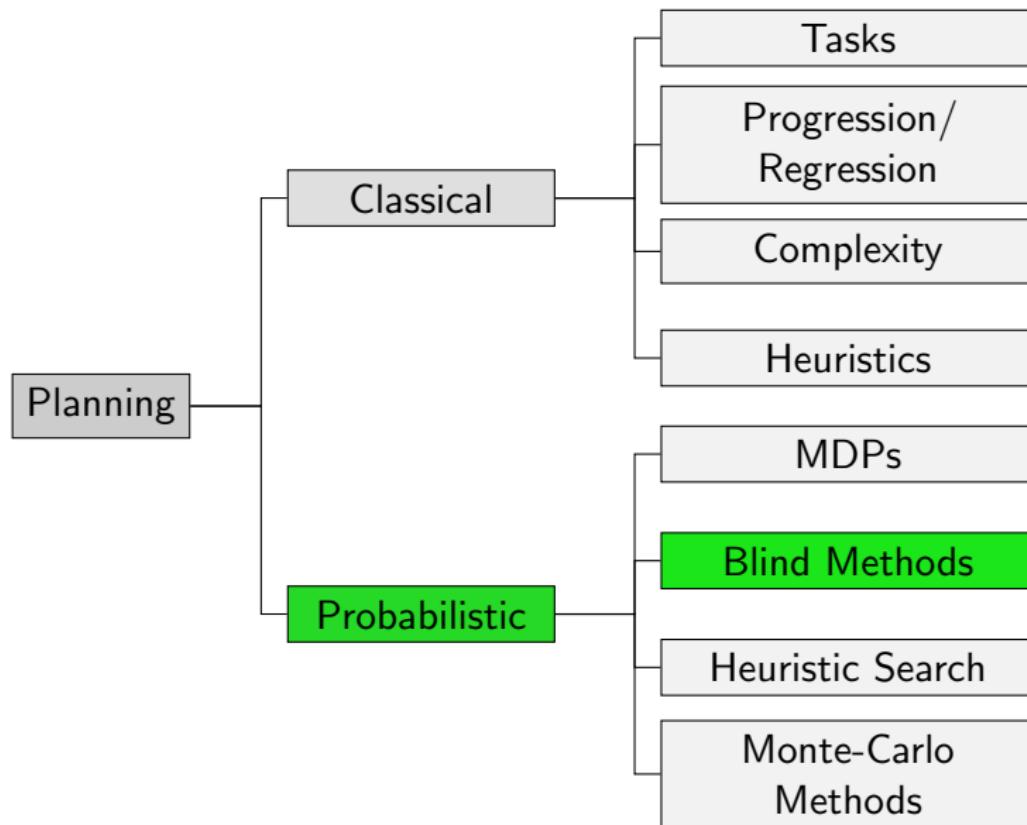
## F5. Determinization

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# Content of this Course



# Suboptimal Probabilistic Planning

## Large SSPs and FH-MDPs

- Before: optimal policies and exact state-values for small SSPs, FH-MDPs and DR-MDPs.
- Now: focus on large SSPs and FH-MDPs (no more DR-MDPs)
- Further algorithms not necessarily optimal (may generate suboptimal policies)

## Interleaved Planning & Execution

- Number of states of executable policy usually **exponential** in number of state variables. ([Why?](#))
- For large SSPs and FH-MDPs, executable policy cannot be provided **explicitly** by **enumeration**.
- **Solution:** (possibly approximate) **compact representation** of executable policy required to describe solution  
⇒ not part of this lecture.
- **Alternative solution:** policies defined only on **minimal set of states**

# Interleaved Planning & Execution for SSPs

### Plan-execute-monitor cycle for SSP $\mathcal{T}$ :

- plan action  $a$  for the current state  $s_0$
- execute  $a$
- observe new current state  $s'$
- update  $\mathcal{T}$  by setting  $s_0 := s'$
- repeat until  $s_0 \in S_*$

# Interleaved Planning & Execution for FH-MDPs

Plan-execute-monitor cycle for FH-MDP  $\mathcal{T}$ :

- plan action  $a$  for the current state  $s_0$
- execute  $a$
- observe new current state  $s'$
- update  $\mathcal{T}$  by setting  $s_0 := s'$  and  $H := H - 1$
- repeat until  $H = 0$

# Interleaved Planning & Execution in Practice

- + avoid **loss of precision** that often comes with compact description of executable policy
- + do not waste time with planning for states that are **never reached** during execution
  - **poor decisions** can be avoided by spending more time with deliberation before execution
  - in SSPs, this can even mean that computed policy is **not proper** and execution never reaches the goal

Suboptimal Planning  
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# Estimated Policy Evaluation

# Estimated Policy Evaluation

- The **quality** of a policy is described by its expected cost (SSP) or reward (FH-MDP)  $V_\pi(s_0)$  in the initial state  $s_0$
- VI, PI and Linear Programming compute **optimal policy**  $\pi$  and **quality**  $V_\pi(s_0) = V_*(s_0)$
- Quality of explicitly given policy  $\pi$  can be computed by **acyclic** or **iterative policy evaluation** (in small SSPs / FH-MDPs)
- **Impossible** with interleaved planning & execution

# Estimated Policy Evaluation

- Estimate quality of policy  $\pi$
- Execute  $\pi$  for  $n \in \mathbb{N}$  times
- Let  $\rho_\pi^i$  denote the accumulated cost (SSP) or reward (FH-MDP) of the  $i$ -th run (execution) of  $\pi$ . Then use

$$\tilde{V}_\pi := \frac{1}{n} \cdot \sum_{i=1}^n \rho_\pi^i$$

as quality estimate.

- With **strong law of large numbers** we have

$$\tilde{V}_\pi \rightarrow V_\pi(s_0) \text{ for } n \rightarrow \infty$$

- **Good approximation** if  $n$  sufficiently large

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# Determinization

# What is a Determinization?

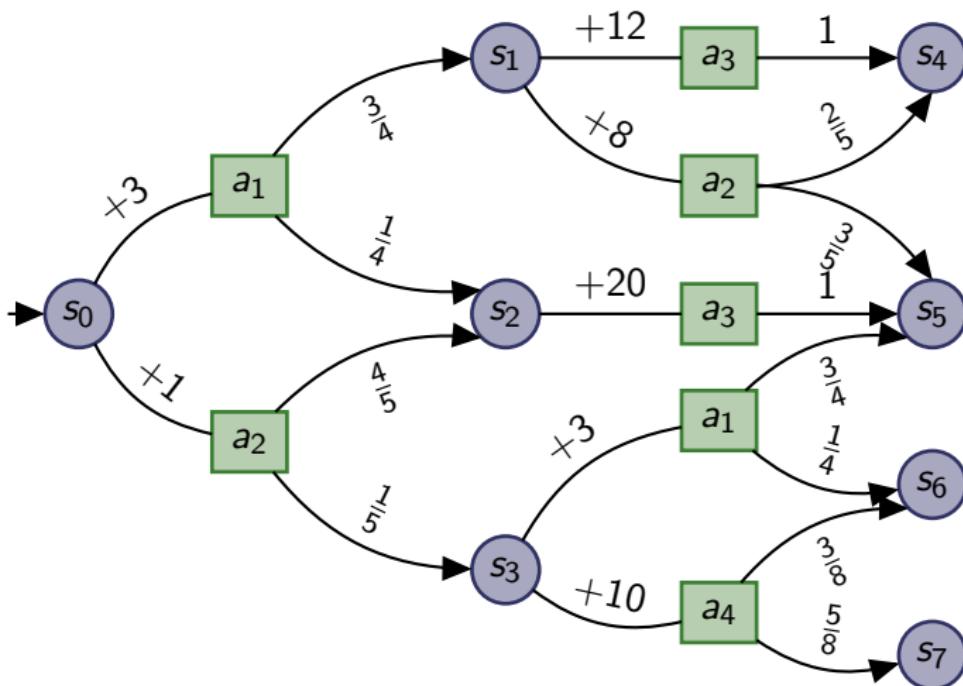
- Replace **probabilistic actions** with **deterministic ones**
- Results in **deterministic** planning problem
- For SSPs, this is a **classical planning task**
- SSP/FH-MDP and its determinization are **related** but **not equivalent**
- Determinization often **solvable in practice** even if MDP is not

# How do we Come up with a Determinization?

Two main **types** of determinization

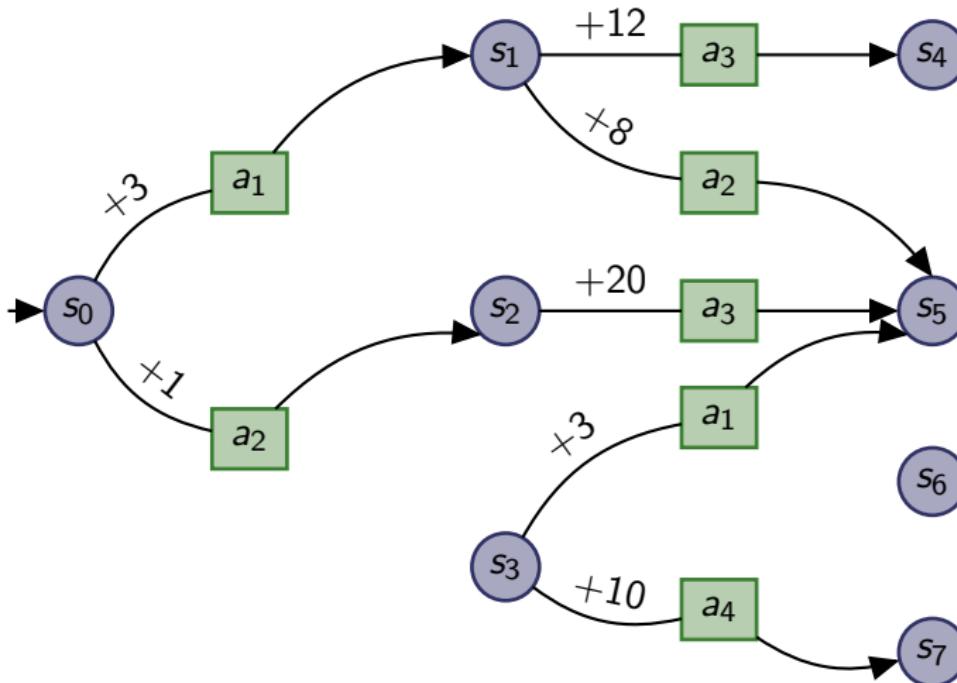
- **Single-outcome determinization**
  - Remove all outcomes from actions **except for one**
  - In most practical applications, the **most likely** outcome is preserved
- **All-outcomes determinization**
  - Create one action for each outcome
  - Corresponds to **most desired** single-outcome determinization (the planner is allowed to choose the outcome)

## Example: Finite Horizon MDP

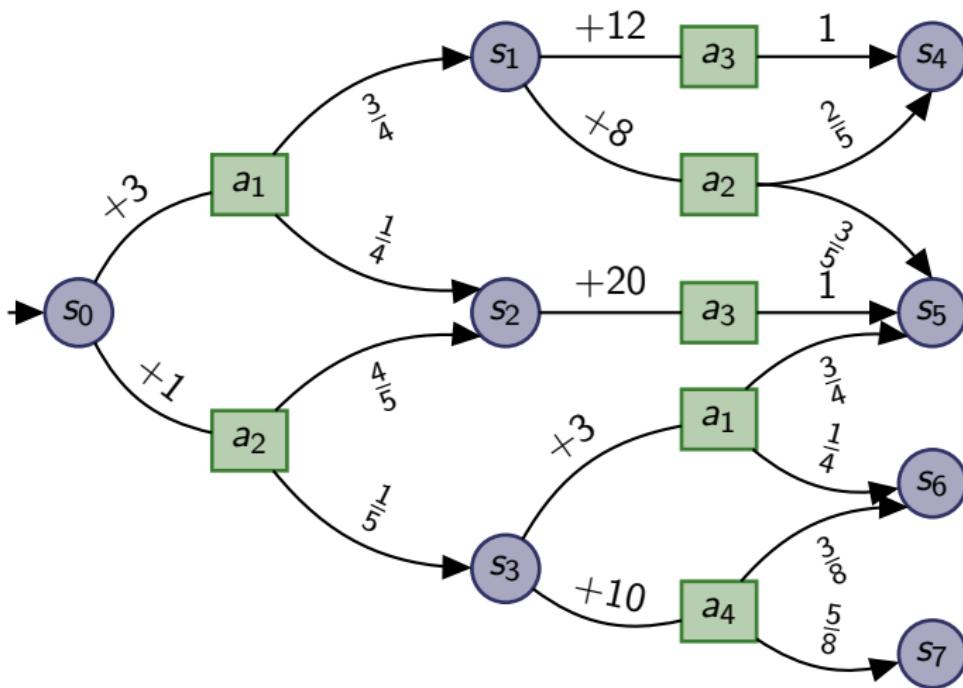


## Example: Single-outcome Determinization

Remove all outcomes but one

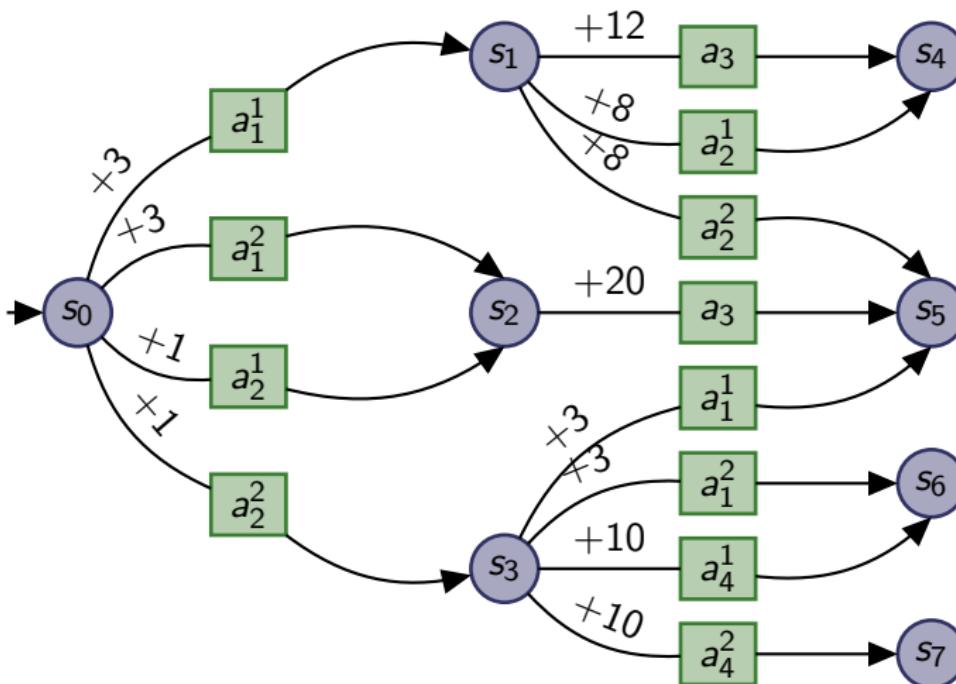


## Example: All-outcomes Determinization



## Example: All-outcomes Determinization

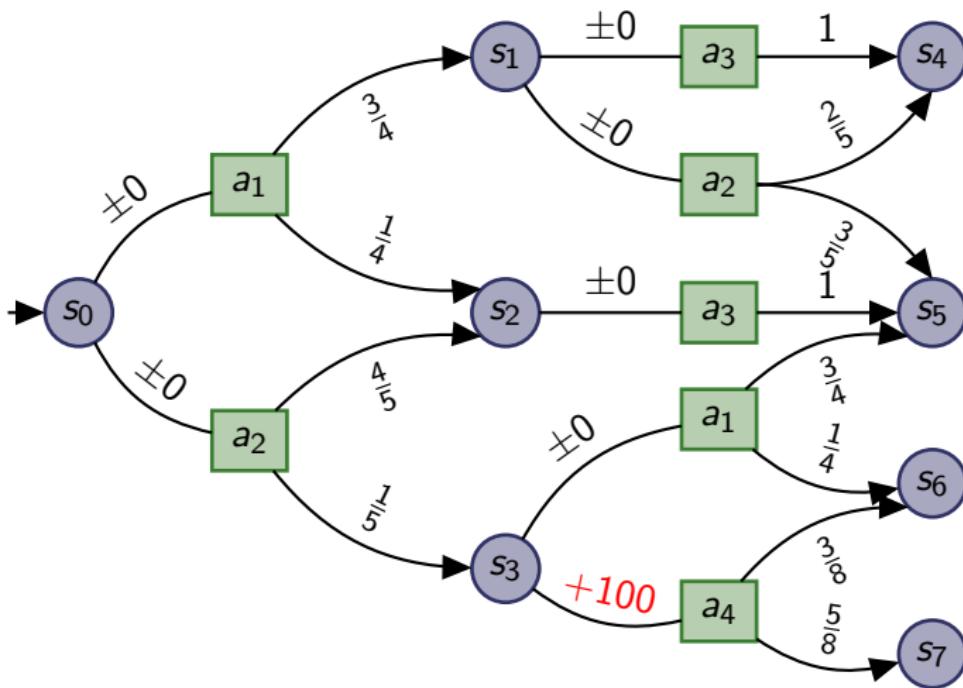
Generate one action per outcome



## Determinizations: Weaknesses

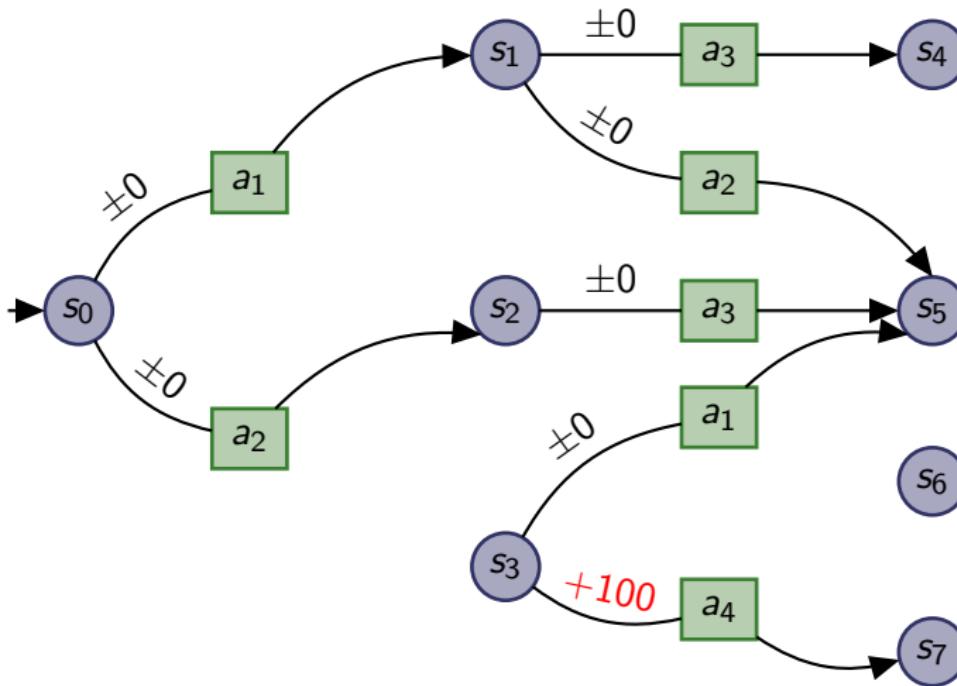
- Single-outcome determinizations: important parts of state space can become **unreachable**  $\Rightarrow$  poor policy or unsolvable

## Single-outcome Determinization: Weaknesses



# Single-outcome Determinization: Weaknesses

Important parts of the MDP can become **unreachable**

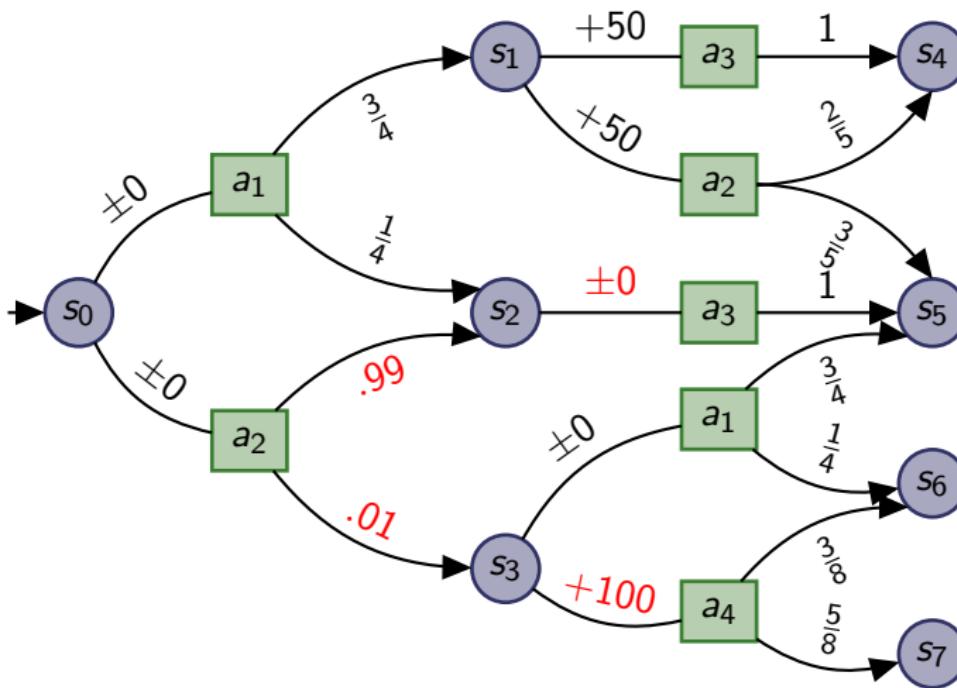


## Determinizations: Weaknesses

- Single-outcome determinizations: important parts of state space can become **unreachable**  $\Rightarrow$  poor policy or unsolvable
- All-outcomes determinization: utterly **optimistic**

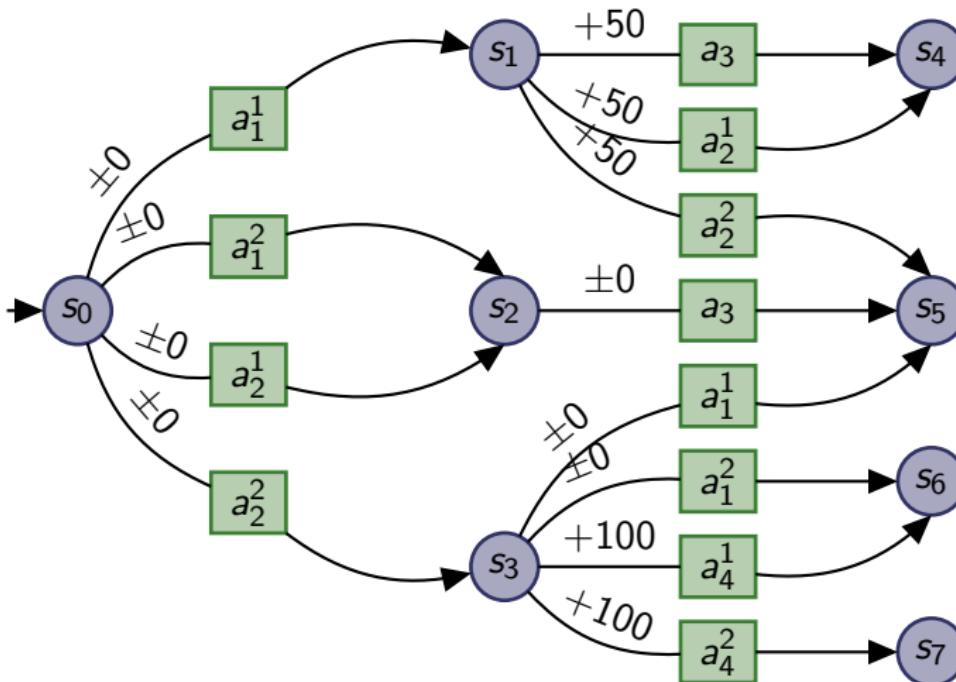
# All-outcomes Determinization: Weaknesses

All-outcomes determinization is **too optimistic**



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## Determinizations: Weaknesses

- Single-outcome determinizations: important parts of state space can become **unreachable**  $\Rightarrow$  poor policy or unsolvable
- All-outcomes determinization: utterly **optimistic**
- All-outcomes determinization: number of outcomes can be **exponential** in the number of parallel probabilistic effects  
**Note:** Unlike the previous, this is a problem on the **syntactic** level

# All-outcomes Determinizations: Weaknesses

## Example

Consider the operator

$$o = \langle \top, (p_{11} : v_1 \mid p_{12} : \neg v_1) \wedge \cdots \wedge (p_{n1} : v_n \mid p_{n2} : \neg v_n), 1 \rangle$$

of a planning task with set of variables  $V = \{v_1, \dots, v_n\}$ .

All states in the set of states  $S$  over  $V$  are possible outcomes of  $o$ , and the number of deterministic operators in the all-outcomes determinization is hence  $2^n$ .

## Determinizations in Practice

Despite the inherent weaknesses, determinizations have been used successfully in practice. Consider the winners of **all** probabilistic tracks of the International Planning Competition:

- 2004: FF-Replan (Yoon, Fern & Givan) interleaves planning & execution of plan in determinization
- 2006: FPG (Buffet & Aberdeen) **learns a policy** utilizing FF-Replan
- 2008: RFF (Teichteil-Königsbuch, Infantes & Kuter) **extends determinization-based plan** to policy
- 2011 and 2014: PROST-2011 (Keller & Eyerich) and PROST-2014 (Keller & Geißer) use determinization-based **lookahead heuristic**
- 2018: PROST-DD (Geißer & Speck) use BDD representation of determinization as **heuristic**

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# Summary

- The **plan-execute-monitor** cycle allows compact policies that can be **executed**
- Policies can be evaluated by repeated execution
- A **single-outcome determinization** removes all outcomes from a transition **except for one**
- An **all-outcomes determinization** creates a deterministic transition **for each outcome**
- Both types of determinization have **inherent weaknesses**
- Determinizations have been applied **successfully in practice**