

# Planning and Optimization

## A7. Invariants, Mutexes and Finite Domain Representation

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A7.1 Invariants

A7.2 Mutexes

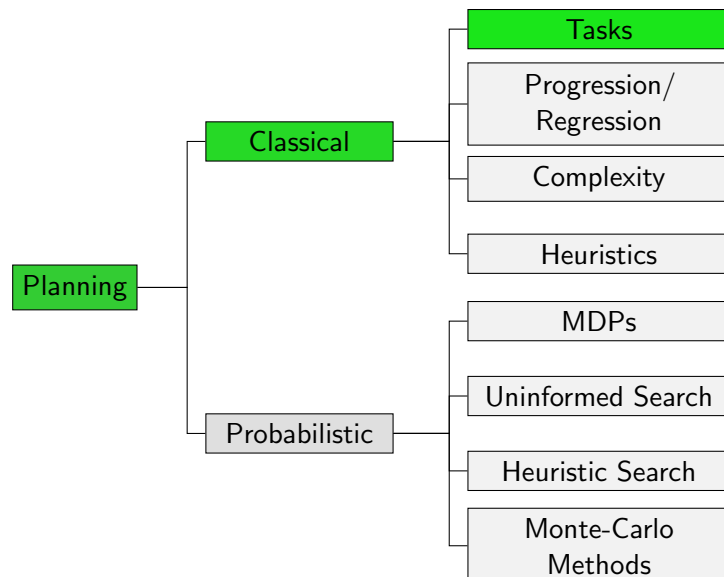
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## Content of this Course



# A7.1 Invariants

## Invariants

- ▶ When we as humans reason about planning tasks, we implicitly make use of “obvious” properties of these tasks.
  - ▶ **Example:** we are never in two places at the same time
- ▶ We can represent such properties as logical formulas  $\varphi$  that are **true in all reachable states**.
  - ▶ **Example:**  $\varphi = \neg(at\text{-}uni \wedge at\text{-}home)$
- ▶ Such formulas are called **invariants** of the task.

## Invariants: Definition

### Definition (Invariant)

An **invariant** of a planning task  $\Pi$  with state variables  $V$  is a logical formula  $\varphi$  over  $V$  such that  $s \models \varphi$  for all reachable states  $s$  of  $\Pi$ .

## Computing Invariants

- ▶ Theoretically, testing if an arbitrary formula  $\varphi$  is an invariant is **as hard as planning** itself.
  - ↔ **proof idea:** a planning task is **unsolvable** iff the negation of its goal is an invariant
- ▶ Still, many practical invariant synthesis algorithms exist.
- ▶ To remain efficient (= polynomial-time), these algorithms only compute a **subset** of all useful invariants.
  - ↔ **sound**, but not **complete**
- ▶ Empirically, they tend to at least find the “obvious” invariants of a planning task.

## Exploiting Invariants

Invariants have many uses in planning:

- ▶ **Regression search:**  
**Prune states** that violate (are inconsistent with) invariants.
- ▶ **Planning as satisfiability:**  
**Add invariants** to a SAT encoding of a planning task to get tighter constraints.
- ▶ **Reformulation:**  
 Derive a **more compact** state space representation (i.e., with fewer unreachable states).

We now briefly discuss the last point because it is important for **planning tasks in finite-domain representation**, introduced in the following chapter.

## A7.2 Mutexes

## Mutexes

Invariants that take the form of **binary clauses** are called **mutexes** because they express that certain variable assignments cannot be simultaneously true and are hence **mutually exclusive**.

### Example (Blocks World)

The invariant  $\neg A\text{-on-}B \vee \neg A\text{-on-}C$  states that  $A\text{-on-}B$  and  $A\text{-on-}C$  are mutex.

We say that a larger **set of literals** is mutually exclusive if every subset of two literals is a mutex.

### Example (Blocks World)

Every pair in  $\{B\text{-on-}A, C\text{-on-}A, D\text{-on-}A, A\text{-clear}\}$  is mutex.

## Encoding Mutex Groups as Finite-Domain Variables

Let  $L = \{\ell_1, \dots, \ell_n\}$  be mutually exclusive literals over  $n$  different variables  $V_L = \{v_1, \dots, v_n\}$ .

Then the planning task can be rephrased using a single **finite-domain** (i.e., non-binary) state variable  $v_L$  with  $n + 1$  possible values in place of the  $n$  variables in  $V_L$ :

- ▶  $n$  of the possible values represent situations in which **exactly one** of the literals in  $L$  is true.
- ▶ The remaining value represents situations in which **none of the literals** in  $L$  is true.
  - ▶ **Note:** If we can prove that one of the literals in  $L$  must be true in each state (i.e.,  $\ell_1 \vee \dots \vee \ell_n$  is an invariant), this additional value can be omitted.

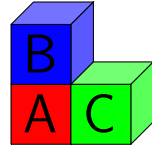
In many cases, the reduction in the number of variables dramatically improves performance of a planning algorithm.

## A7.3 FDR Planning Tasks

## Reminder: Blocks World with Boolean State Variables

### Example

$s(A\text{-on-}B) = \mathbf{F}$   
 $s(A\text{-on-}C) = \mathbf{F}$   
 $s(A\text{-on-table}) = \mathbf{T}$   
 $s(B\text{-on-}A) = \mathbf{T}$   
 $s(B\text{-on-}C) = \mathbf{F}$   
 $s(B\text{-on-table}) = \mathbf{F}$   
 $s(C\text{-on-}A) = \mathbf{F}$   
 $s(C\text{-on-}B) = \mathbf{F}$   
 $s(C\text{-on-table}) = \mathbf{T}$



$\rightsquigarrow 2^9 = 512$  states

**Note:** it may be useful to add auxiliary state variables like *A-clear*.

## Blocks World with Finite-Domain State Variables

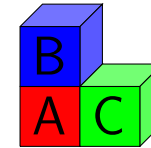
Use three finite-domain state variables:

- ▶ *below-a*: {b, c, table}
- ▶ *below-b*: {a, c, table}
- ▶ *below-c*: {a, b, table}

### Example

$s(\text{below-}a) = \text{table}$   
 $s(\text{below-}b) = a$   
 $s(\text{below-}c) = \text{table}$

$\rightsquigarrow 3^3 = 27$  states



**Note:** it may be useful to add auxiliary state variables like *above-a*.

## Finite-Domain State Variables

### Definition (Finite-Domain State Variable)

A **finite-domain state variable** is a symbol  $v$  with an associated **finite domain**, i.e., a non-empty finite set. We write  $\text{dom}(v)$  for the domain of  $v$ .

### Example (Blocks World)

$v = \text{above-}a$ ,  $\text{dom}(\text{above-}a) = \{b, c, \text{nothing}\}$

This state variable encodes the same information as the propositional variables *B-on-A*, *C-on-A* and *A-clear*.

## Finite-Domain States

### Definition (Finite-Domain State)

Let  $V$  be a finite set of finite-domain state variables.

A **state** over  $V$  is an assignment  $s : V \rightarrow \bigcup_{v \in V} \text{dom}(v)$  such that  $s(v) \in \text{dom}(v)$  for all  $v \in V$ .

### Example (Blocks World)

$s = \{\text{above-}a \mapsto \text{nothing}, \text{above-}b \mapsto a, \text{above-}c \mapsto b,$   
 $\text{below-}a \mapsto b, \text{below-}b \mapsto c, \text{below-}c \mapsto \text{table}\}$

## Finite-Domain Formulas

### Definition (Finite-Domain Formula)

Logical formulas over finite-domain state variables  $V$  are defined identically to the propositional case, except that instead of atomic formulas of the form  $v' \in V'$  with propositional state variables  $V'$ , there are atomic formulas of the form  $v = d$ , where  $v \in V$  and  $d \in \text{dom}(v)$ .

### Example (Blocks World)

The formula  $(\text{above-}a = \text{nothing}) \vee \neg(\text{below-}b = c)$  corresponds to the formula  $A\text{-clear} \vee \neg B\text{-on-}C$ .

## Finite-Domain Effects

### Definition (Finite-Domain Effect)

Effects over finite-domain state variables  $V$  are defined identically to the propositional case, except that instead of atomic effects of the form  $v'$  and  $\neg v'$  with propositional state variables  $v' \in V'$ , there are atomic effects of the form  $v := d$ , where  $v \in V$  and  $d \in \text{dom}(v)$ .

### Example (Blocks World)

The effect  $(\text{below-}a := \text{table}) \wedge ((\text{above-}b = a) \triangleright (\text{above-}b := \text{nothing}))$  corresponds to the effect  $A\text{-on-table} \wedge \neg A\text{-on-B} \wedge \neg A\text{-on-C} \wedge (A\text{-on-B} \triangleright (B\text{-clear} \wedge \neg A\text{-on-B}))$ .

$\rightsquigarrow$  finite-domain operators, effect conditions etc. follow

## Planning Tasks in Finite-Domain Representation

### Definition (Planning Task in Finite-Domain Representation)

A planning task in finite-domain representation or FDR planning task is a 4-tuple  $\Pi = \langle V, I, O, \gamma \rangle$  where

- ▶  $V$  is a finite set of finite-domain state variables,
- ▶  $I$  is a state over  $V$  called the initial state,
- ▶  $O$  is a finite set of finite-domain operators over  $V$ , and
- ▶  $\gamma$  is a formula over  $V$  called the goal.

## A7.4 FDR Task Semantics

## FDR Task Semantics: Introduction

- ▶ We have now defined what FDR tasks look like.
- ▶ We still have to define their **semantics**.
- ▶ Because they are similar to propositional planning tasks, we can define their semantics in a very similar way.

## Direct vs. Compilation Semantics

We describe two ways of defining semantics for FDR tasks:

- ▶ **directly**, mirroring our definitions for propositional tasks
- ▶ by **compilation** to propositional tasks

### Comparison of the semantics:

- ▶ The two semantics are equivalent in terms of the **reachable** state space and hence in terms of the set of solutions. (We will not prove this.)
- ▶ They are **not** equivalent w.r.t. the set of **all** states.

Where the distinction matters, we use the **direct semantics** in this course unless stated otherwise.

## Conflicting Effects

- ▶ As with propositional planning tasks, there is a subtlety: what should an effect of the form  $v := a \wedge v := b$  mean?
- ▶ For FDR tasks, the common convention is to make this **illegal**, i.e., to make an operator inapplicable if it would lead to conflicting effects.

## Consistency Condition and Applicability

### Definition (Consistency Condition)

Let  $e$  be an effect over finite-domain state variables  $V$ .

The **consistency condition** for  $e$ ,  $\text{consist}(e)$  is defined as

$$\bigwedge_{v \in V} \bigwedge_{d, d' \in \text{dom}(v), d \neq d'} \neg(\text{effcond}(v := d, e) \wedge \text{effcond}(v := d', e)).$$

### Definition (Applicable FDR Operator)

An FDR operator  $o$  is **applicable** in a state  $s$

if  $s \models \text{pre}(o) \wedge \text{consist}(\text{eff}(o))$ .

The definitions of  $s \llbracket o \rrbracket$  etc. then follow in the natural way.

## Reminder: Semantics of Propositional Planning Tasks

Reminder from Chapter A4:

### Definition (Transition System Induced by a Prop. Planning Task)

The propositional planning task  $\Pi = \langle V, I, O, \gamma \rangle$  **induces** the transition system  $\mathcal{T}(\Pi) = \langle S, L, c, T, s_0, S_* \rangle$ , where

- ▶  $S$  is the set of all valuations of  $V$ ,
- ▶  $L$  is the set of operators  $O$ ,
- ▶  $c(o) = \text{cost}(o)$  for all operators  $o \in O$ ,
- ▶  $T = \{ \langle s, o, s' \rangle \mid s \in S, o \text{ applicable in } s, s' = s[[o]] \}$ ,
- ▶  $s_0 = I$ , and
- ▶  $S_* = \{ s \in S \mid s \models \gamma \}$ .

## Semantics of Planning Tasks

A definition that works for both types of planning tasks:

### Definition (Transition System Induced by a Planning Task)

The planning task  $\Pi = \langle V, I, O, \gamma \rangle$  **induces** the transition system  $\mathcal{T}(\Pi) = \langle S, L, c, T, s_0, S_* \rangle$ , where

- ▶  $S$  is the set of states over  $V$ ,
- ▶  $L$  is the set of operators  $O$ ,
- ▶  $c(o) = \text{cost}(o)$  for all operators  $o \in O$ ,
- ▶  $T = \{ \langle s, o, s' \rangle \mid s \in S, o \text{ applicable in } s, s' = s[[o]] \}$ ,
- ▶  $s_0 = I$ , and
- ▶  $S_* = \{ s \in S \mid s \models \gamma \}$ .

Planning task here refers to either a propositional or FDR task.

## Compilation Semantics

### Definition (Induced Propositional Planning Task)

Let  $\Pi = \langle V, I, O, \gamma \rangle$  be an FDR planning task.

The **induced propositional planning task**  $\Pi'$  is the (regular) planning task  $\Pi' = \langle V', I', O', \gamma' \rangle$ , where

- ▶  $V' = \{ \langle v, d \rangle \mid v \in V, d \in \text{dom}(v) \}$
- ▶  $I'(\langle v, d \rangle) = \mathbf{T}$  iff  $I(v) = d$
- ▶  $O'$  and  $\gamma'$  are obtained from  $O$  and  $\gamma$  by
  - ▶ replacing each operator precondition  $\text{pre}(o)$  by  $\text{pre}(o) \wedge \text{consist}(\text{eff}(o))$ , and then
  - ▶ replacing each atomic formula  $v = d$  by the proposition  $\langle v, d \rangle$ ,
  - ▶ replacing each atomic effect  $v := d$  by the effect  $\langle v, d \rangle \wedge \bigwedge_{d' \in \text{dom}(v) \setminus \{d\}} \neg \langle v, d' \rangle$ .

## A7.5 SAS<sup>+</sup> Planning Tasks

## SAS<sup>+</sup> Planning Tasks

### Definition (SAS<sup>+</sup> Planning Task)

An FDR planning task  $\Pi = \langle V, I, O, \gamma \rangle$  is called a **SAS<sup>+</sup> planning task** if

- ▶ there are no conditional effects in  $O$ , and
- ▶ all operator preconditions in  $O$  and the goal formula  $\gamma$  are conjunctions of atoms.

## SAS<sup>+</sup> vs. STRIPS

- ▶ SAS<sup>+</sup> is the analogue of STRIPS planning tasks for FDR
- ▶ induced propositional planning task of a SAS<sup>+</sup> task is a STRIPS planning task after simplification (consistency conditions simplify to  $\perp$  or  $\top$ )
- ▶ FDR tasks obtained by mutex-based reformulation of STRIPS planning tasks are SAS<sup>+</sup> tasks

## A7.6 Summary

### Summary

- ▶ **Invariants** are common properties of all reachable states, expressed as logical formulas.
- ▶ **Mutexes** are invariants that express that certain pairs of literals are mutually exclusive.
- ▶ Planning tasks in **finite-domain representation (FDR)** are an alternative to propositional planning tasks.
- ▶ FDR tasks are often **more compact** (have fewer states).
- ▶ This makes many planning algorithms more efficient when working with a finite-domain representation.
- ▶ **SAS<sup>+</sup> tasks** are a restricted form of FDR tasks where only conjunctions of atoms are allowed in the preconditions, effects and goal. No conditional effects are allowed.