

# Planning and Optimization

## A5. Equivalent Operators and Normal Forms for Effects

Gabriele Röger and Thomas Keller

Universität Basel

October 3, 2018

# Planning and Optimization

October 3, 2018 — A5. Equivalent Operators and Normal Forms for Effects

A5.1 Reminder & Motivation

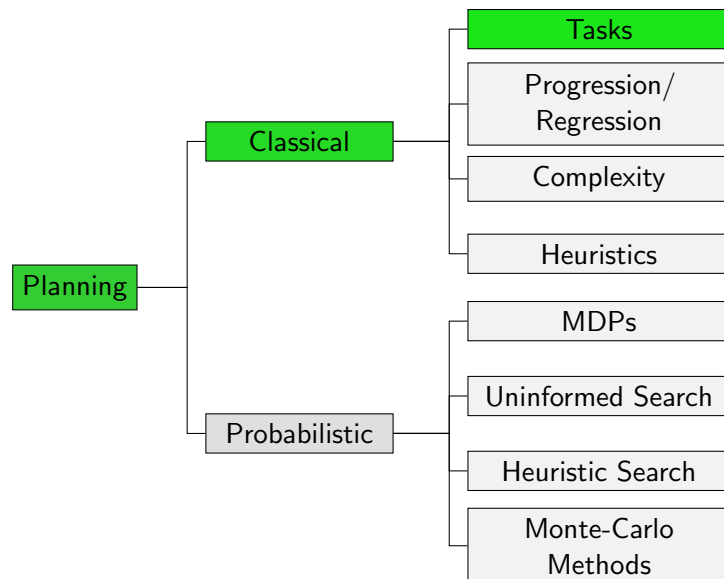
A5.2 Equivalence Transformations

A5.3 Conflict-Free Effects

A5.4 Flat Effects

A5.5 Summary

## Content of this Course



## A5.1 Reminder & Motivation

## Syntax of Effects

### Definition (Effect)

Effects over state variables  $V$  are inductively defined as follows:

- ▶ If  $v \in V$  is a state variable, then  $v$  and  $\neg v$  are effects (atomic effect).
- ▶ If  $e_1, \dots, e_n$  are effects, then  $(e_1 \wedge \dots \wedge e_n)$  is an effect (conjunctive effect).  
The special case with  $n = 0$  is the empty effect  $\top$ .
- ▶ If  $\chi$  is a logical formula and  $e$  is an effect, then  $(\chi \triangleright e)$  is an effect (conditional effect).

Arbitrary nesting of conjunctive and conditional effects

## Semantics of Effects

- ▶  $effcond(\ell, e)$ : condition that must be true in the current state for the effect  $e$  to lead to the atomic effect  $\ell$
- ▶ **add-after-delete semantics**: if operator  $o$  with effect  $e$  is applicable in state  $s$ , the successor state  $s[[o]]$  is defined as:

$$s[[o]](v) = \begin{cases} \mathbf{T} & \text{if } s \models effcond(v, e) \\ \mathbf{F} & \text{if } s \models effcond(\neg v, e) \wedge \neg effcond(v, e) \\ s(v) & \text{if } s \not\models effcond(v, e) \vee effcond(\neg v, e) \end{cases}$$

- ▶ **New notation**
  - ▶ If we do not want to consider a precondition, we also write  $s[[e]]$  for  $s[[\top, e]]$ .
  - ▶ For a sequence  $\pi = \langle o_1, \dots, o_n \rangle$  of operators that are consecutively applicable in  $s$ , we write  $s[[\pi]]$  for  $s[[o_1]][[o_2]] \dots [[o_n]]$ .

## Motivation

Similarly to normal forms in propositional logic (DNF, CNF, NNF), we can define **normal forms for effects, operators and propositional planning tasks**.

This is useful because algorithms (and proofs) then only need to deal with effects, operators and tasks in normal form.

## A5.2 Equivalence Transformations

## Equivalence of Operators and Effects: Definition

### Definition (Equivalent Effects)

Two effects  $e$  and  $e'$  over state variables  $V$  are **equivalent**, written  $e \equiv e'$ , if  $s[e] = s[e']$  for all states  $s$ .

### Definition (Equivalent Operators)

Two operators  $o$  and  $o'$  over state variables  $V$  are **equivalent**, written  $o \equiv o'$ , if  $\text{cost}(o) = \text{cost}(o')$  and for all states  $s, s'$  over  $V$ ,  $o$  induces the transition  $s \xrightarrow{o} s'$  iff  $o'$  induces the transition  $s \xrightarrow{o'} s'$ .

## Equivalence of Operators and Effects: Theorem

### Theorem

Let  $o$  and  $o'$  be operators with  $\text{pre}(o) \equiv \text{pre}(o')$ ,  $\text{eff}(o) \equiv \text{eff}(o')$  and  $\text{cost}(o) = \text{cost}(o')$ . Then  $o \equiv o'$ .

**Note:** The converse is not true. (Why not?)

## Equivalence Transformations for Effects

$$e_1 \wedge e_2 \equiv e_2 \wedge e_1 \quad (1)$$

$$(e_1 \wedge \dots \wedge e_n) \wedge (e'_1 \wedge \dots \wedge e'_m) \equiv e_1 \wedge \dots \wedge e_n \wedge e'_1 \wedge \dots \wedge e'_m \quad (2)$$

$$\top \wedge e \equiv e \quad (3)$$

$$\chi \triangleright e \equiv \chi' \triangleright e \quad \text{if } \chi \equiv \chi' \quad (4)$$

$$\top \triangleright e \equiv e \quad (5)$$

$$\perp \triangleright e \equiv \top \quad (6)$$

$$\chi_1 \triangleright (\chi_2 \triangleright e) \equiv (\chi_1 \wedge \chi_2) \triangleright e \quad (7)$$

$$\chi \triangleright (e_1 \wedge \dots \wedge e_n) \equiv (\chi \triangleright e_1) \wedge \dots \wedge (\chi \triangleright e_n) \quad (8)$$

$$(\chi_1 \triangleright e) \wedge (\chi_2 \triangleright e) \equiv (\chi_1 \vee \chi_2) \triangleright e \quad (9)$$

## A5.3 Conflict-Free Effects

## Conflict-Freeness: Motivation

- ▶ The add-after-delete semantics makes effects like  $(a \triangleright c) \wedge (b \triangleright \neg c)$  somewhat unintuitive to interpret.
- ↪ What happens in states where  $a \wedge b$  is true?
  - ▶ It would be nicer if  $\text{effcond}(\neg v, e)$  were always the condition under which  $e$  makes  $v$  false (but because of add-after-delete, it is not).
- ↪ introduce a normal form where the “complicated case” of add-after-delete semantics never arises

## Conflict-Free Effects

### Definition (Conflict-Free)

An **effect**  $e$  is called **conflict-free** if  $\text{effcond}(v, e) \wedge \text{effcond}(\neg v, e)$  is unsatisfiable for all state variables  $v$ .

An **operator**  $o$  is called **conflict-free** if  $\text{eff}(o)$  is conflict-free.

## Testing if Effects are Conflict-Free

- ▶ In general, testing whether an effect is conflict-free is a coNP-complete problem. (Why?)
- ▶ However, we do not usually need such a test. Instead, we can **produce** an equivalent conflict-free effect in polynomial time.
- ▶ **Algorithm:** given effect  $e$ , replace each atomic effect of the form  $\neg v$  by  $(\neg \text{effcond}(v, e) \triangleright \neg v)$ . The resulting effect  $e'$  is conflict-free and  $e \equiv e'$ . (Why?)

## A5.4 Flat Effects

## Flat Effects: Motivation

- ▶ CNF and DNF limit the **nesting** of connectives in propositional logic.
- ▶ For example, a CNF formula is
  - ▶ a conjunction of 0 or more subformulas,
  - ▶ each of which is a disjunction of 0 or more subformulas,
  - ▶ each of which is a literal.
- ▶ Similarly, we can define a normal form that limits the nesting of effects.
- ▶ This is useful because we then do not have to consider arbitrarily structured effects, e.g., when representing them in a planning algorithm.

## Flat Effect

### Definition (Flat Effect)

An effect  $e$  is **flat** if it is:

- ▶ a conjunctive effect
- ▶ whose conjuncts are conditional effects
- ▶ whose subeffects are atomic effects, and
- ▶ no atomic effect occurs in  $e$  multiple times.

An operator  $o$  is **flat** if  $\text{eff}(o)$  is flat.

**Note:** non-conjunctive effects can be considered as conjunctive effects with 1 conjunct

## Flat Effect: Example

### Example

Consider the effect

$$c \wedge (a \triangleright (\neg b \wedge (c \triangleright (b \wedge \neg d \wedge \neg a)))) \wedge (\neg b \triangleright \neg a)$$

An equivalent flat (and conflict-free) effect is

$$\begin{aligned} & (\top \triangleright c) \wedge \\ & ((a \wedge \neg c) \triangleright \neg b) \wedge \\ & ((a \wedge c) \triangleright b) \wedge \\ & ((a \wedge c) \triangleright \neg d) \wedge \\ & ((\neg b \vee (a \wedge c)) \triangleright \neg a) \end{aligned}$$

**Note:** for simplicity, we will often write  $(\top \triangleright \ell)$  as  $\ell$ , i.e., omit trivial effect conditions. We will still consider such effects to be in normal form.

## Producing Flat Effects

### Theorem

*For every effect, an equivalent flat effect and an equivalent flat, conflict-free effect can be computed in polynomial time.*

### Proof Sketch.

Every effect  $e$  over variables  $V$  is equivalent to

$\bigwedge_{v \in V} (\text{effcond}(v, e) \triangleright v) \wedge \bigwedge_{v \in V} (\text{effcond}(\neg v, e) \triangleright \neg v)$ , which is a flat effect.

For conflict-free and flat, use  $\text{effcond}(\neg v, e) \wedge \neg \text{effcond}(v, e)$  instead of  $\text{effcond}(\neg v, e)$ .

In both cases, conjuncts of the form  $(\chi \triangleright \ell)$  where  $\chi \equiv \perp$  can be omitted to simplify the effect.

## A5.5 Summary

## Summary

- ▶ **Effect equivalences** can be used to simplify operator effects.
- ▶ In **conflict-free** effects, the “complicated case” in the add-after-delete semantics of operators does not arise.
- ▶ For **flat** effects, the only permitted nesting is atomic effects within conditional effects within conjunctive effects, and all atomic effects must be distinct.
- ▶ For flat, conflict-free effects, it is easy to determine the **condition** under which a given **literal** is **made true** by applying the effect in a given state.
- ▶ Every effect can be **transformed** into an equivalent **flat and conflict-free** effect in **polynomial time**.