

# Foundations of Artificial Intelligence

## B4. State-Space Search: Data Structures for Search Algorithms

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B4.1 Introduction

B4.2 Search Nodes

B4.3 Open Lists

B4.4 Closed Lists

B4.5 Summary

## State-Space Search: Overview

### Chapter overview: state-space search

- ▶ B1–B3. Foundations
- ▶ B4–B8. Basic Algorithms
  - ▶ B4. Data Structures for Search Algorithms
  - ▶ B5. Tree Search and Graph Search
  - ▶ B6. Breadth-first Search
  - ▶ B7. Uniform Cost Search
  - ▶ B8. Depth-first Search and Iterative Deepening
- ▶ B9–B15. Heuristic Algorithms

## B4.1 Introduction

## Finding Solutions in State Spaces



How can we **systematically find a solution**?

## Search Algorithms

- ▶ We now move to **search algorithms**.
- ▶ As everywhere in computer science, suitable **data structures** are a key to good performance.
  - ↪ **common** operations must be **fast**
- ▶ Well-implemented search algorithms process up to  $\sim 30,000,000$  states/second on a single CPU core.
  - ↪ bonus materials (Burns et al. paper)

this chapter: some **fundamental data structures** for search

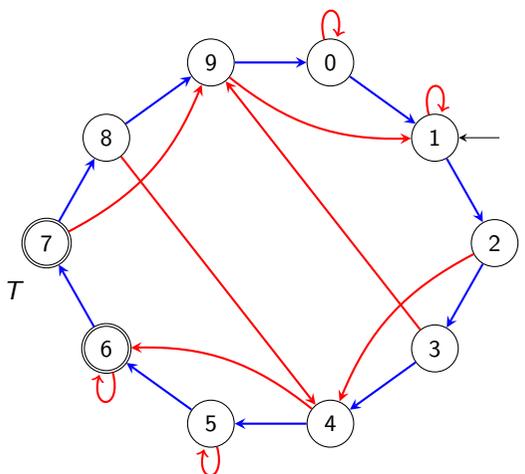
## Preview: Search Algorithms

- ▶ **next chapter**: we introduce search algorithms
- ▶ **now**: short **preview** to motivate data structures for search

## Running Example: Reminder

bounded inc-and-square:

- ▶  $S = \{0, 1, \dots, 9\}$
- ▶  $A = \{inc, sqr\}$
- ▶  $cost(inc) = cost(sqr) = 1$
- ▶  $T$  s.t. for  $i = 0, \dots, 9$ :
  - ▶  $\langle i, inc, (i + 1) \bmod 10 \rangle \in T$
  - ▶  $\langle i, sqr, i^2 \bmod 10 \rangle \in T$
- ▶  $s_1 = 1$
- ▶  $S_G = \{6, 7\}$



## Search Algorithms: Idea

iteratively create a **search tree**:

- ▶ starting with the **initial state**,

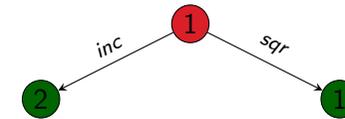


## Search Algorithms: Idea

iteratively create a **search tree**:

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- ▶ repeatedly **expand** a state by **generating** its **successors** (which state depends on the used search algorithm)

German: expandieren, erzeugen

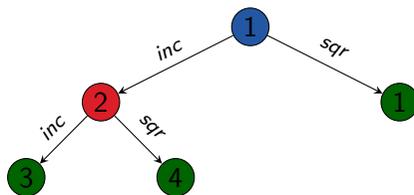


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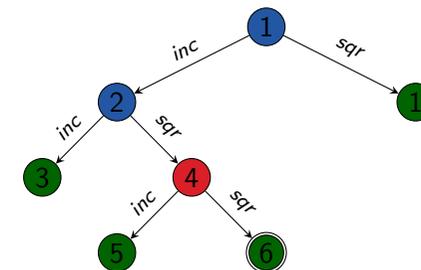


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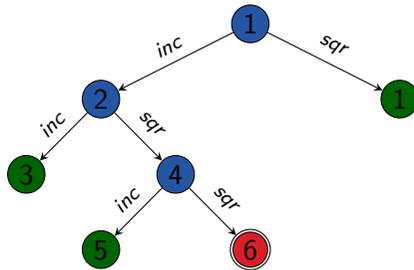


## Search Algorithms: Idea

iteratively create a **search tree**:

- ▶ starting with the **initial state**,
- ▶ repeatedly **expand** a state by **generating** its **successors** (which state depends on the used search algorithm)
- ▶ stop when a **goal state** is expanded (sometimes: generated)
- ▶ or **all reachable states** have been considered

German: expandieren, erzeugen



## Fundamental Data Structures for Search

We consider three abstract data structures for search:

- ▶ **search node**: stores a state that has been reached, how it was reached, and at which cost
  - ↪ nodes of the example search tree
- ▶ **open list**: efficiently organizes leaves of search tree
  - ↪ set of leaves of example search tree
- ▶ **closed list**: remembers expanded states to avoid duplicated expansions of the same state
  - ↪ inner nodes of a search tree

German: Suchknoten, Open-Liste, Closed-Liste

Not all algorithms use all three data structures, and they are sometimes implicit (e.g., on the CPU stack)

## B4.2 Search Nodes

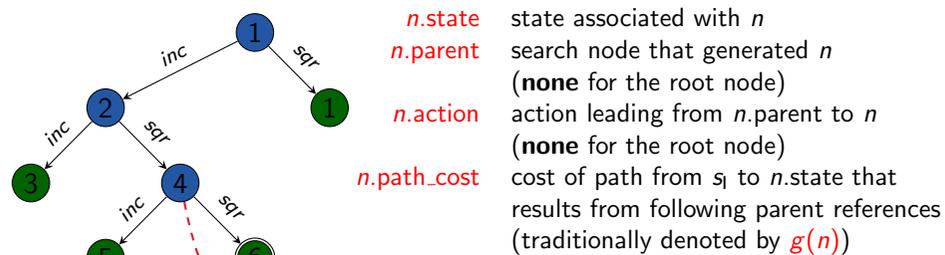
### Search Nodes

#### Search Node

A **search node** (**node** for short) stores a state that has been reached, how it was reached, and at which cost.

Collectively they form the so-called **search tree** (**Suchbaum**).

## Data Structure: Search Nodes

attributes of search node  $n$ :

... and sometimes additional attributes

$n.state$ :	4
$n.parent$ :	2
$n.action$ :	<i>sqr</i>
$n.path\_cost$ :	2
...:	...

## Search Nodes: Java

## Search Nodes (Java Syntax)

```

public interface State {
}

public interface Action {
}

public class SearchNode {
    State state;
    SearchNode parent;
    Action action;
    int pathCost;
}

```

## Implementing Search Nodes

- ▶ reasonable implementation of search nodes is easy
- ▶ advanced aspects:
  - ▶ Do we need explicit nodes at all?
  - ▶ Can we use lazy evaluation?
  - ▶ Should we manually manage memory?
  - ▶ Can we compress information?

## Operations on Search Nodes: make\_root\_node

Generate root node of a search tree:

```

function make_root_node()
    node := new SearchNode
    node.state := init()
    node.parent := none
    node.action := none
    node.path_cost := 0
    return node

```

## Operations on Search Nodes: `make_node`

Generate child node of a search node:

```
function make_node(parent, action, state)
  node := new SearchNode
  node.state := state
  node.parent := parent
  node.action := action
  node.path_cost := parent.path_cost + cost(action)
  return node
```

## Operations on Search Nodes: `extract_path`

Extract the path to a search node:

```
function extract_path(node)
  path := ⟨ ⟩
  while node.parent ≠ none:
    path.append(node.action)
    node := node.parent
  path.reverse()
  return path
```

## B4.3 Open Lists

### Open Lists

#### Open List

The **open list** (also: **frontier**) organizes the leaves of a search tree.

It must support two operations efficiently:

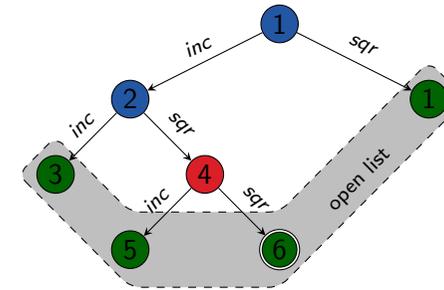
- ▶ determine and remove the next node to expand
- ▶ insert a new node that is a candidate node for expansion

**Remark:** despite the name, it is usually a very bad idea to implement open lists as simple **lists**.

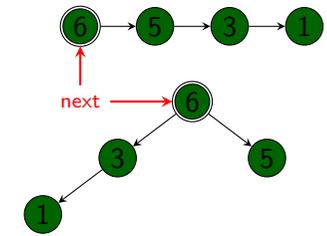
## Open Lists: Modify Entries

- ▶ Some implementations support **modifying** an open list entry when a shorter path to the corresponding state is found.
- ▶ This complicates the implementation.
- ↪ We do not consider such modifications and instead use **delayed duplicate elimination** (↪ later).

## Interface of Open Lists



examples: deque, min-heap



- ▶ open list *open* organizes leaves of search tree with the methods:
  - `open.is_empty()` test if the open list is empty
  - `open.pop()` remove and return the next node to expand
  - `open.insert(n)` insert node  $n$  into the open list
- ▶ *open* determines strategy which node to **expand next** (depends on algorithm)
- ▶ underlying **data structure choice** depends on this strategy

## B4.4 Closed Lists

### Closed Lists

#### Closed List

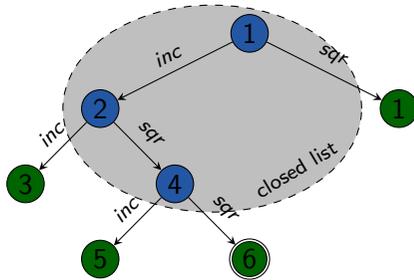
The **closed list** remembers expanded states to avoid duplicated expansions of the same state.

It must support two operations efficiently:

- ▶ insert a node whose state is not yet in the closed list
- ▶ test if a node with a given state is in the closed list; if yes, return it

**Remark:** despite the name, it is usually a very bad idea to implement closed lists as simple **lists**. (Why?)

## Interface and Implementation of Closed Lists



- ▶ closed list *closed* keeps track of expanded states with the methods:
  - closed.insert(n)* insert node *n* into *closed*;  
if a node with this state already exists in *closed*, replace it
  - closed.lookup(s)* test if a node with state *s* exists in the closed list;  
if yes, return it; otherwise, return **none**
- ▶ efficient implementation often as **hash table** with states as keys

## B4.5 Summary

## Summary

- ▶ **search node:**  
represents states reached during search  
and associated information
- ▶ **node expansion:**  
generate successor nodes of a node by applying all actions  
applicable in the state belonging to the node
- ▶ **open list** or **frontier:**  
set of nodes that are currently candidates for expansion
- ▶ **closed list:**  
set of already expanded nodes (and their states)