## Theory of Computer Science C4. Reductions

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# Introduction

## What We Achieved So Far: Discussion

- We already know a concrete undecidable problem.  $\rightarrow$  halting problem
- We will see that we can derive further undecidability results from the undecidability of the halting problem.
- The central notion for this is reducing one problem to another problem.

## Illustration

```
def is_odd(some_number):
 n = some_number + 1
 return is_even(n)
```

- Decides whether a given number is odd based on...
- an algorithm that determines whether a number is even.

# Reduction: Idea (slido)

Assume that you have an algorithm that solves problem A relying on a hypothetical algorithm for problem B.

```
def is_in_A(input_A):
 input_B = <compute suitable instance based on input_A>
 return is_in_B(input_B)
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# Reduction: Idea (slido)

Assume that you have an algorithm that solves problem A relying on a hypothetical algorithm for problem B.

```
def is_in_A(input_A):
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 return is_in_B(input_B)
```

What (if anything) can you conclude

- If there indeed is an algorithm for problem A?
- If there indeed is an algorithm for problem B?
- if problem A is undecidable?
- If problem B is undecidable?



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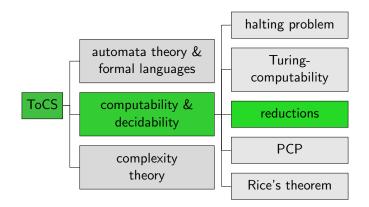
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# Reduction

## Content of the Course



# Reduction: Definition

#### Definition (Reduction)

Let  $A \subseteq \Sigma^*$  and  $B \subseteq \Gamma^*$  be languages, and let  $f : \Sigma^* \to \Gamma^*$ be a total and computable function such that for all  $x \in \Sigma^*$ :

## $x \in A$ if and only if $f(x) \in B$ .

Then we say that A can be reduced to B (in symbols:  $A \le B$ ), and f is called a reduction from A to B.

German: A ist auf B reduzierbar, Reduktion von A auf B

# **Reduction Property**

### Theorem (Reductions vs. Turing-recognizability/Decidability)

Let A and B be languages with  $A \leq B$ . Then:

- If B is decidable, then A is decidable.
- **2** If B is Turing-recognizable, then A is Turing-recognizable.
- If A is not decidable, then B is not decidable.
- If A is not Turing-recognizable, then B is not Turing-recognizable.

 √→ In the following, we use 3. to show undecidability for further problems.

## Reduction Property: Proof

#### Proof.

for 1.: If B is decidable then there is a DTM  $M_B$  that decides B. The following algorithm decides A using reduction f from A to B.

On input *x*:

- y := f(x)
- **2** Simulate  $M_B$  on input y. This simulation terminates.
- If  $M_B$  accepted y, accept. Otherwise reject.

## Reduction Property: Proof

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for 2.: identical to (1), only that  $M_B$  only recognizes B and therefore the simulation does not necessarily terminate if  $y \notin B$ . Since  $y \notin B$  iff  $x \notin A$ , the procedure still recognizes A.

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for 3./4.: contrapositions of 1./2.  $\rightsquigarrow$  logically equivalent

## Reductions are Preorders

### Theorem (Reductions are Preorders)

The relation " $\leq$ " is a preorder:

For all languages A:
 A ≤ A (reflexivity)

# For all languages A, B, C: If A ≤ B and B ≤ C, then A ≤ C (transitivity)

German: schwache Halbordnung/Quasiordnung, Reflexivität, Transitivität

# Reductions are Preorders: Proof

#### Proof.

for 1.: The function f(x) = x is a reduction from A to A because it is total and computable and  $x \in A$  iff  $f(x) \in A$ .

for 2.:  $\rightsquigarrow$  exercises

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# Halting Problem on Empty Tape

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## Example

As an example

- we will consider problem  $H_0$ , a variant of the halting problem,
- ... and show that it is undecidable
- ... reducing H to  $H_0$ .

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## Reminder: Halting Problem

#### Definition (Halting Problem)

The halting problem is the language

$$H = \{ w \# x \in \{0, 1, \#\}^* \mid w, x \in \{0, 1\}^*,$$

 $M_w$  started on x terminates}

# Halting Problem on Empty Tape (1)

#### Definition (Halting Problem on the Empty Tape)

The halting problem on the empty tape is the language

 $H_0 = \{ w \in \{0,1\}^* \mid M_w \text{ started on } \varepsilon \text{ terminates} \}.$ 

German: Halteproblem auf leerem Band

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Definition (Halting Problem on the Empty Tape)

The halting problem on the empty tape is the language

 $H_0 = \{ w \in \{0,1\}^* \mid M_w \text{ started on } \varepsilon \text{ terminates} \}.$ 

Note:  $H_0$  is Turing-recognizable. (Why?)

German: Halteproblem auf leerem Band

Theorem (Undecidability of Halting Problem on Empty Tape)

The halting problem on the empty tape is undecidable.

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We show  $H \leq H_0$ .

Consider the function  $f : \{0, 1, \#\}^* \rightarrow \{0, 1\}^*$ 

that computes the word f(z) for a given  $z \in \{0, 1, \#\}^*$  as follows:

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- Test if z has the form w#x with  $w, x \in \{0, 1\}^*$ .
- If not, return any word that is not in H<sub>0</sub>
  (e.g., encoding of a TM that instantly starts an endless loop).
- If yes, split z into w and x.

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- If yes, split z into w and x.
- Decode w to a TM  $M_2$ .

### Proof (continued).

- Construct a TM  $M_1$  that behaves as follows:
  - If the input is empty: write x onto the tape and move the head to the first symbol of x (if x ≠ ε); then stop
  - otherwise, stop immediately

## Proof (continued).

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- Construct TM M that first runs  $M_1$  and then  $M_2$ .
  - $\rightarrow$  *M* started on empty tape simulates *M*<sub>2</sub> on input *x*.

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  - $\rightarrow$  *M* started on empty tape simulates *M*<sub>2</sub> on input *x*.
- Return the encoding of *M*.
- f is total and (with some effort) computable. Also:

 $z \in H$  iff z = w#x and  $M_w$  run on x terminates iff  $M_{f(z)}$  started on empty tape terminates iff  $f(z) \in H_0$ 

 $\rightsquigarrow H \leq H_0 \rightsquigarrow H_0 \text{ undecidable}$ 

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# Questions



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# Summary

- reductions: "embedding" a problem as a special case of another problem
- important method for proving undecidability: reduce from a known undecidable problem to a new problem