# Theory of Computer Science B6. Regular Languages: Regular Expressions

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## Theory of Computer Science

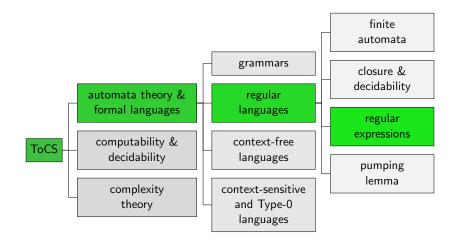
March 19, 2025 — B6. Regular Languages: Regular Expressions

**B6.1 Regular Expressions** 

B6.2 Regular Expressions vs. Regular Languages

## **B6.1 Regular Expressions**

#### Content of the Course



## Formalisms for Regular Languages

- ▶ DFAs, NFAs and regular grammars can all describe exactly the regular languages.
- Are there other concepts with the same expressiveness?
- ► Yes! ~ regular expressions

## Reminder: Concatenation of Languages and Kleene Star

#### Concatenation

For two languages  $L_1$  (over  $\Sigma_1$ ) and  $L_2$  (over  $\Sigma_2$ ), the concatenation of  $L_1$  and  $L_2$  is the language  $L_1L_2 = \{w_1w_2 \in (\Sigma_1 \cup \Sigma_2)^* \mid w_1 \in L_1, w_2 \in L_2\}.$ 

#### Kleene star

- ► For language *L* define
  - $ightharpoonup L^0 = \{\varepsilon\}$
  - $I^1 = \tilde{I}$
  - $ightharpoonup L^{i+1} = L^i L \text{ for } i \in \mathbb{N}_{>0}$
- ▶ The definition of Kleene star on *L* is  $L^* = \bigcup_{i>0} L^i$ .

## Regular Expressions: Definition

#### Definition (Regular Expressions)

Regular expressions over an alphabet  $\Sigma$  are defined inductively:

- ▶ ∅ is a regular expression
- ε is a regular expression
- ▶ If  $a \in \Sigma$ , then a is a regular expression

If  $\alpha$  and  $\beta$  are regular expressions, then so are:

- $\blacktriangleright$   $(\alpha\beta)$  (concatenation)
- $\triangleright$   $(\alpha|\beta)$  (alternative)
- $ightharpoonup (\alpha^*)$  (Kleene closure)

German: reguläre Ausdrücke, Verkettung, Alternative, kleenesche Hülle

## Regular Expressions: Omitting Parentheses

#### omitted parentheses by convention:

- ▶ Kleene closure  $\alpha^*$  binds more strongly than concatenation  $\alpha\beta$ .
- lacktriangle Concatenation binds more strongly than alternative lpha|eta.
- Parentheses for nested concatenations/alternatives are omitted (we can treat them as left-associative; it does not matter).

Example:  $ab^*c|\varepsilon|abab^*$  abbreviates  $((((a(b^*))c)|\varepsilon)|(((ab)a)(b^*)))$ .

## Regular Expressions: Examples

#### some regular expressions for $\Sigma = \{0, 1\}$ :

- ▶ 0\*10\*
- $(0|1)^*1(0|1)^*$
- ► ((0|1)(0|1))\*
- **01**|10
- ightharpoonup 0(0|1)\*0|1(0|1)\*1|0|1

## Regular Expressions: Language

#### Definition (Language Described by a Regular Expression)

The language described by a regular expression  $\gamma$ , written  $\mathcal{L}(\gamma)$ , is inductively defined as follows:

- ▶ If  $\gamma = a$  with  $a \in \Sigma$ , then  $\mathcal{L}(\gamma) = \{a\}$ .
- If  $\gamma = (\alpha \beta)$ , where  $\alpha$  and  $\beta$  are regular expressions, then  $\mathcal{L}(\gamma) = \mathcal{L}(\alpha)\mathcal{L}(\beta)$ .
- ▶ If  $\gamma = (\alpha | \beta)$ , where  $\alpha$  and  $\beta$  are regular expressions, then  $\mathcal{L}(\gamma) = \mathcal{L}(\alpha) \cup \mathcal{L}(\beta)$ .
- ▶ If  $\gamma = (\alpha^*)$  where  $\alpha$  is a regular expression, then  $\mathcal{L}(\gamma) = \mathcal{L}(\alpha)^*$ .

#### Examples: blackboard

#### Regular Expressions: Exercise

Specify a regular expression that describes  $L = \{w \in \{0,1\}^* \mid \text{every 0 in } w \text{ is followed by at least one 1}\}.$ 



# B6.2 Regular Expressions vs. Regular Languages

## Finite Languages Can Be Described By Regular Expressions

#### **Theorem**

Every finite language can be described by a regular expression.

#### Proof.

For every word  $w \in \Sigma^*$ , a regular expression describing the language  $\{w\}$  can be built from regular expressions  $a \in \Sigma$  by using concatenations.

(Use 
$$\varepsilon$$
 if  $w = \varepsilon$ .)

For every finite language  $L = \{w_1, w_2, \dots, w_n\}$ , a regular expression describing L can be built from the regular expressions for  $\{w_i\}$  by using alternatives.

(Use 
$$\emptyset$$
 if  $L = \emptyset$ .)

We will see that this implies that all finite languages are regular.

## Regular Expressions Not More Powerful Than NFAs

#### **Theorem**

For every language that can be described by a regular expression, there is an NFA that recognizes it.

#### Proof.

Let  $\gamma$  be a regular expression.

We show the statement by induction over the structure of regular expressions.

For  $\gamma = \emptyset$ ,  $\gamma = \varepsilon$  and  $\gamma = a$ , the following three NFAs recognize  $\mathcal{L}(\gamma)$ :

For  $\gamma = (\alpha \beta)$ ,  $\gamma = (\alpha | \beta)$  and  $\gamma = (\alpha^*)$  we use the constructions that we used to show that the regular languages are closed under concatenation, union, and star, respectively.

## Regular Expression to NFA: Exercise

Construct an NFA that recognizes the language that is described by the regular expression  $(ab|a)^*$ .



## DFAs Not More Powerful Than Regular Expressions

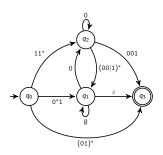
#### Theorem

Every language recognized by a DFA can be described by a regular expression.

We can prove this using a generalization of NFAs. We specify the corresponding algorithm.

## Generalized Nondeterministic Finite Automata (GNFAs)

GNFAs are like NFAs but the transition labels can be arbitrary regular expressions over the input alphabet.



For convenience, we require a special form:

- ► The start state has a transition to every other state but no incoming one.
- ightharpoonup One accept state (eq start state)
- The accept state has an incoming transition from every other state but no outgoing one.
- ► For all other states, one transition goes from every state to every other state and also to itself.

#### Generalized Nondeterministic Finite Automaton: Definition

#### Definition (Generalized Nondeterministic Finite Automata)

A generalized nondeterministic finite automaton (GNFA) is a 5-tuple  $M=\langle Q, \Sigma, \delta, q_s, q_a \rangle$  where

- Q is the finite set of states
- $\triangleright$   $\Sigma$  is the input alphabet
- ▶  $\delta: (Q \setminus \{q_a\}) \times (Q \setminus \{q_s\}) \to \mathcal{R}_{\Sigma}$  is the transition function (with  $\mathcal{R}_{\Sigma}$  the set of all regular expressions over  $\Sigma$ )
- $ightharpoonup q_s \in Q$  is the start state
- ▶  $q_a \in Q$  is the accept state with  $q_a \neq q_s$ .

## **GNFA**: Accepted Words

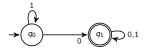
#### Definition (Words Accepted by a GNFA)

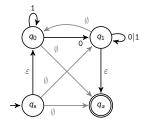
GNFA  $M = \langle Q, \Sigma, \delta, q_s, q_a \rangle$  accepts the word w if  $w = w_1 \dots w_k$ , where each  $w_i$  is in  $\Sigma^*$  and a sequence of states  $q_0, q_1, \dots, q_k \in Q$  exists with

- ② for each i, we have  $w_i \in \mathcal{L}(R_i)$ , where  $R_i = \delta(q_{i-1}, q_i)$ , and
- $q_k = q_a.$

#### DFA to GNFA

We can transform every DFA into a GNFA of the special form:





- Add a new start state with an
   ε-transition to the original start state.
- Add a new accept state with ε-transitions from the original accept states.
- Combine parallel transitions into one, labelled with the alternative of the original labels.
- ▶ If required transitions are missing, add transitions labelled with  $\emptyset$ .

## Conversion of GNFA to a Regular Expressions

#### $\mathsf{Convert}(M = \langle Q, \Sigma, \delta, q_s, q_a \rangle)$

- ② Select any state  $q \in Q \setminus \{q_s, q_a\}$  and let  $M' = \langle Q \setminus \{q\}, \Sigma, \delta', q_s, q_a \rangle$ , where for any  $q_i \neq q_a$  and  $q_j \neq q_s$  we define

$$\delta'(q_i,q_j) = (\gamma_1)(\gamma_2)^*(\gamma_3)|(\gamma_4)$$

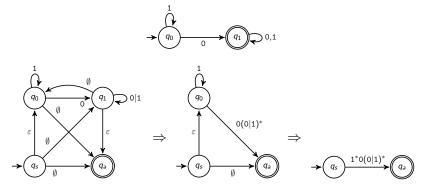
with

$$\gamma_1 = \delta(q_i, q), \ \gamma_2 = \delta(q, q), \ \gamma_3 = \delta(q, q_i), \ \gamma_4 = \delta(q_i, q_i).$$

Return Convert(M')

#### Example

#### For DFA:



Regular expression: 1\*0(0|1)\*

#### Regular Languages vs. Regular Expressions

#### Theorem (Kleene)

The set of languages that can be described by regular expressions is exactly the set of regular languages.

This follows directly from the previous two theorems.

## Summary

- ► Regular expressions are another way to describe languages.
- ► All regular languages can be described by regular expressions, and all regular expressions describe regular languages.
- ► Hence, they are equivalent to finite automata.