Foundations of Artificial Intelligence

E5. Propositional Logic: Local Search and Outlook

Malte Helmert

University of Basel

April 28, 2025

M. Helmert (University of Basel)

Foundations of Artificial Intelligence

April 28, 2025 1 / 24

Foundations of Artificial Intelligence

April 28, 2025 — E5. Propositional Logic: Local Search and Outlook

E5.1 Local Search: GSAT

E5.2 Local Search: Walksat

E5.3 How Difficult Is SAT?

E5.4 Outlook

E5.5 Summary

M. Helmert (University of Basel)

Foundations of Artificial Intelligence

Foundations of Artificial Intelligence

April 28, 2025 2 / 24

April 28, 2025

Propositional Logic: Overview

Chapter overview: propositional logic

- ► E1. Syntax and Semantics
- ► E2. Equivalence and Normal Forms
- ► E3. Reasoning and Resolution
- ► E4. DPLL Algorithm
- ► E5. Local Search and Outlook

E5. Propositional Logic: Local Search and Outlook

Local Search: GSAT

E5.1 Local Search: GSAT

April 28, 2025 M. Helmert (University of Basel) Foundations of Artificial Intelligence M. Helmert (University of Basel)

Local Search: GSAT

Local Search for SAT

- ▶ Apart from systematic search, there are also successful local search methods for SAT.
- ► These are usually not complete and in particular cannot prove unsatisfiability for a formula.
- ► They are often still interesting because they can find models for hard problems.
- ► However, all in all, DPLL-based methods have been more successful in recent years.

M. Helmert (University of Basel)

Foundations of Artificial Intelligence

April 28, 2025

E5. Propositional Logic: Local Search and Outlook

Local Search: GSAT

Local Search for SAT Ideas

local search methods directly applicable to SAT:

- candidates: (complete) assignments
- solutions: satisfying assignments
- ▶ search neighborhood: change assignment of one variable
- ▶ heuristic: depends on algorithm; e.g., #unsatisfied clauses

M. Helmert (University of Basel)

Foundations of Artificial Intelligence

April 28, 2025

E5. Propositional Logic: Local Search and Outlook

Local Search: GSAT

GSAT (Greedy SAT): Pseudo-Code

auxiliary functions:

- \triangleright violated(\triangle , I): number of clauses in \triangle not satisfied by I
- ightharpoonup flip(I, v): assignment that results from Iwhen changing the valuation of proposition v

```
function GSAT(\Delta):
repeat max-tries times:
      I := a random assignment
      repeat max-flips times:
           if I \models \Delta:
                 return /
            V_{\sf greedy} := {\sf the set of variables} \ v \ {\sf occurring in} \ \Delta
                       for which violated(\Delta, flip(I, v)) is minimal
            randomly select v \in V_{greedy}
           I := flip(I, v)
return no solution found
```

E5. Propositional Logic: Local Search and Outlook

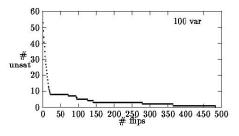
Local Search: GSAT

GSAT: Discussion

GSAT has the usual ingredients of local search methods:

- hill climbing
- randomness (although relatively little!)
- restarts

empirically, much time is spent on plateaus:



M. Helmert (University of Basel)

Foundations of Artificial Intelligence

April 28, 2025

Local Search: Walksat

E5.2 Local Search: Walksat.

M. Helmert (University of Basel)

Foundations of Artificial Intelligence

April 28, 2025

9 / 24

E5. Propositional Logic: Local Search and Outlook

Walksat: Pseudo-Code

 $lost(\Delta, I, v)$: #clauses in Δ satisfied by I, but not by flip(I, v)

```
function Walksat(\Delta):
repeat max-tries times:
      I := a random assignment
      repeat max-flips times:
             if I \models \Delta:
                    return /
             C := \text{randomly chosen unsatisfied clause in } \Delta
             if there is a variable v in C with lost(\Delta, I, v) = 0:
                    V_{\text{choices}} := \text{all such variables in } C
             else with probability p<sub>noise</sub>:
                    V_{\mathsf{choices}} := \mathsf{all} \; \mathsf{variables} \; \mathsf{occurring} \; \mathsf{in} \; C
             else:
                    V_{\text{choices}} := \text{variables } v \text{ in } C \text{ that minimize lost}(\Delta, I, v)
             randomly select v \in V_{\text{choices}}
             I := flip(I, v)
return no solution found
```

Foundations of Artificial Intelligence

E5. Propositional Logic: Local Search and Outlook

Local Search: Walksat

Walksat vs. GSAT

Comparison GSAT vs. Walksat:

- much more randomness in Walksat because of random choice of considered clause
- "counter-intuitive" steps that temporarily increase the number of unsatisfied clauses are possible in Walksat
- → smaller risk of getting stuck in local minima

E5. Propositional Logic: Local Search and Outlook

M. Helmert (University of Basel)

M. Helmert (University of Basel)

How Difficult Is SAT?

April 28, 2025

Local Search: Walksat

E5.3 How Difficult Is SAT?

M. Helmert (University of Basel) Foundations of Artificial Intelligence

April 28, 2025

Foundations of Artificial Intelligence

April 28, 2025

How Difficult Is SAT?

How Difficult is SAT in Practice?

- ► SAT is NP-complete.
- need exponential time in the worst case
- ► What about the average case?
- depends on how the average is computed (no "obvious" way to define the average)

M. Helmert (University of Basel)

Foundations of Artificial Intelligence

April 28, 2025

13 / 24

E5. Propositional Logic: Local Search and Outlook

How Difficult Is SAT?

SAT: Polynomial Average Runtime

Good News (Goldberg 1979)

construct random CNF formulas with n variables and k clauses as follows:

In every clause, every variable occurs

- \triangleright positively with probability $\frac{1}{3}$,
- ightharpoonup negatively with probability $\frac{1}{3}$
- ightharpoonup not at all with probability $\frac{1}{3}$.

Then the runtime of DPLL in the average case is polynomial in n and k.

→ not a realistic model for practically relevant CNF formulas (because almost all of the random formulas are satisfiable)

M. Helmert (University of Basel)

Foundations of Artificial Intelligence

April 28, 2025

14 / 24

E5. Propositional Logic: Local Search and Outlook

How Difficult Is SAT?

Phase Transitions

How to find interesting random problems?

conjecture of Cheeseman et al.:

Cheeseman et al., IJCAI 1991

Every NP-complete problem has at least one size parameter such that the difficult instances are close to a critical value of this parameter.

This so-called phase transition separates two problem regions, e.g., an over-constrained and an under-constrained region.

→ confirmed for, e.g., graph coloring, Hamiltonian paths and SAT

E5. Propositional Logic: Local Search and Outlook

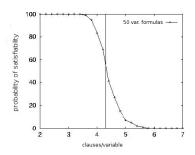
How Difficult Is SAT?

Phase Transitions for 3-SAT

Problem Model of Mitchell et al., AAAI 1992

- fixed clause size of 3
- in every clause, choose the variables randomly
- literals positive or negative with equal probability

critical parameter: #clauses divided by #variables phase transition at ratio ≈ 4.3



M. Helmert (University of Basel)

Foundations of Artificial Intelligence

April 28, 2025

16 / 24

M. Helmert (University of Basel)

Foundations of Artificial Intelligence

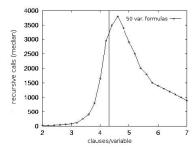
April 28, 2025

15 / 24

How Difficult Is SAT?

Phase Transition of DPLL

DPLL shows high runtime close to the phase transition region:



M. Helmert (University of Basel)

Foundations of Artificial Intelligence

April 28, 2025

17 / 2

E5. Propositional Logic: Local Search and Outlook

Phase Transition: Intuitive Explanation

- ▶ If there are many clauses and hence the instance is unsatisfiable with high probability, this can be shown efficiently with unit propagation.
- ► If there are few clauses, there are many satisfying assignments, and it is easy to find one of them.
- ► Close to the phase transition, there are many "almost-solutions" that have to be considered by the search algorithm.

M. Helmert (University of Basel)

Foundations of Artificial Intelligence

April 28, 2025

How Difficult Is SAT?

18 / 24

E5. Propositional Logic: Local Search and Outlook

Outloo

E5. Propositional Logic: Local Search and Outlook

Outloo

State of the Art

- research on SAT in general:
 - \leadsto http://www.satlive.org/
- ▶ conferences on SAT since 1996 (annually since 2000)
 - → http://www.satisfiability.org/
- ► competitions for SAT algorithms since 1992
 - → http://www.satcompetition.org/
 - ▶ largest instances have more than 1 000 000 literals
 - different tracks (e.g., SAT vs. SAT+UNSAT; industrial vs. random instances)

E5.4 Outlook

M. Helmert (University of Basel)

Foundations of Artificial Intelligence

April 28, 2025

19

M. Helmert (University of Basel)

Foundations of Artificial Intelligence

April 28, 2025

20 / 24

Outlook

More Advanced Topics

DPLL-based SAT algorithms:

- efficient implementation techniques
- accurate variable orders
- clause learning

local search algorithms:

- efficient implementation techniques
- adaptive search methods ("difficult" clauses are recognized after some time and then prioritized)

SAT modulo theories:

extension with background theories (e.g., real numbers, data structures, ...)

M. Helmert (University of Basel)

Foundations of Artificial Intelligence

April 28, 2025

21 / 24

E5. Propositional Logic: Local Search and Outlook

E5.5 Summary

M. Helmert (University of Basel)

Foundations of Artificial Intelligence

April 28, 2025

22 / 24

E5. Propositional Logic: Local Search and Outlook

Summary

Summary (1)

- ► local search for SAT searches in the space of interpretations; neighbors: assignments that differ only in one variable
- ► has typical properties of local search methods: evaluation functions, randomization, restarts
- example: GSAT (Greedy SAT)
 - ▶ hill climbing with heuristic function: #unsatisfied clauses
 - randomization through tie-breaking and restarts
- example: Walksat
 - ► focuses on randomly selected unsatisfied clauses
 - does not follow the heuristic always, but also injects noise
 - consequence: more randomization as GSAT and lower risk of getting stuck in local minima

E5. Propositional Logic: Local Search and Outlook

Summ

Summary (2)

- ► more detailed analysis of SAT shows: the problem is NP-complete, but not all instances are difficult
- ▶ randomly generated SAT instances are easy to satisfy if they contain few clauses, and easy to prove unsatisfiable if they contain many clauses
- ▶ in between: phase transition

M. Helmert (University of Basel)

Foundations of Artificial Intelligence

April 28, 2025

25 24 / 24

M. Helmert (University of Basel)

Foundations of Artificial Intelligence

April 28, 2025

2025 23