## Foundations of Artificial Intelligence

C2. Combinatorial Optimization: Advanced Techniques

Malte Helmert

University of Basel

April 2, 2025

## Combinatorial Optimization: Overview

### Chapter overview: combinatorial optimization

- C1. Introduction and Hill-Climbing
- C2. Advanced Techniques

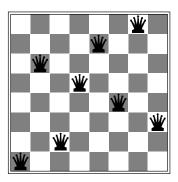
## Dealing with Local Optima

## Example: Local Minimum in the 8 Queens Problem

#### local minimum:

Dealing with Local Optima

- candidate has 1 conflict.
- all neighbors have at least 2



### Weaknesses of Local Search Algorithms

### difficult situations for hill climbing:

- local optima: all neighbors worse than current candidate
- plateaus: many neighbors equally good as current candidate; none better

German: lokale Optima, Plateaus

#### consequence:

Dealing with Local Optima

algorithm gets stuck at current candidate

### Combating Local Optima

#### possible remedies to combat local optima:

- allow stagnation (steps without improvement)
- include random aspects in the search neighborhood
- (sometimes) make random steps
- breadth-first search to better candidate
- restarts (with new random initial candidate)

## Allowing Stagnation

Dealing with Local Optima

### allowing stagnation:

- do not terminate when no neighbor is an improvement
- limit number of steps to guarantee termination
- at end, return best visited candidate
  - pure search problems: terminate as soon as solution found

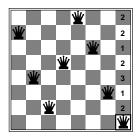
### Example 8 queens problem:

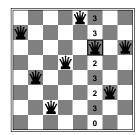
- with a bound of 100 steps solution found in 96% of the cases
- on average 22 steps until solution found
- → works very well for this problem; for more difficult problems often not good enough

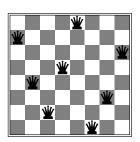
### Random Aspects in the Search Neighborhood

a possible variation of hill climbing for 8 queens:

Randomly select a file; move queen in this file to square with minimal number of conflicts (null move possible).







→ Good local search approaches often combine randomness (exploration) with heuristic guidance (exploitation).

German: Exploration, Exploitation

## Outlook: Simulated Annealing

## Simulated Annealing

Simulated annealing is a local search algorithm that systematically injects noise, beginning with high noise, then lowering it over time.

- walk with fixed number of steps N (variations possible)
- initially it is "hot", and the walk is mostly random
- over time temperature drops (controlled by a schedule)
- as it gets colder, moves to worse neighbors become less likely very successful in some applications, e.g., VLSI layout

German: simulierte Abkühlung, Rauschen

## Simulated Annealing: Pseudo-Code

### Simulated Annealing (for Maximization Problems)

```
curr := a random candidate
best := none
for each t ∈ \{1, ..., N\}:
     if is_solution(curr) and (best is none or v(curr) > v(best)):
          best := curr
     T := schedule(t)
     next := a random neighbor of curr
     \Delta E := h(next) - h(curr)
     if \Delta E > 0 or with probability e^{\frac{\Delta E}{T}}:
          curr := next
return best
```

## Outlook: Genetic Algorithms

## Genetic Algorithms

Evolution often finds good solutions.

idea: simulate evolution by selection, crossover and mutation of individuals

### ingredients:

- encode each candidate as a string of symbols (genome)
- fitness function: evaluates strength of candidates (= heuristic)
- population of k (e.g. 10–1000) individuals (candidates)

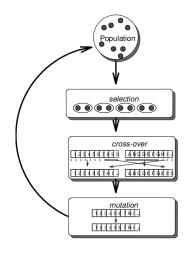
German: Evolution, Selektion, Kreuzung, Mutation, Genom, Fitnessfunktion, Population, Individuen

## Genetic Algorithm: Example

### example 8 queens problem:

- genome: encode candidate as string of 8 numbers
- fitness: number of non-attacking queen pairs
- use population of 100 candidates

### Selection, Mutation and Crossover



#### many variants:

How to select? How to perform crossover? How to mutate?

select according to fitness function, followed by pairing

determine crossover points. then recombine

mutation: randomly modify each string position with a certain probability

# Summary

### Summary

- weakness of local search: local optima and plateaus
- remedy: balance exploration against exploitation (e.g., with randomness and restarts)
- simulated annealing and genetic algorithms are more complex search algorithms using the typical ideas of local search (randomization, keeping promising candidates)