

# Foundations of Artificial Intelligence

## B15. State-Space Search: Properties of A\*, Part II

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# State-Space Search: Overview

## Chapter overview: state-space search

- B1–B3. Foundations
- B4–B8. Basic Algorithms
- B9–B15. Heuristic Algorithms
  - B9. Heuristics
  - B10. Analysis of Heuristics
  - B11. Best-first Graph Search
  - B12. Greedy Best-first Search,  $A^*$ , Weighted  $A^*$
  - B13. IDA<sup>\*</sup>
  - B14. Properties of  $A^*$ , Part I
  - B15. Properties of  $A^*$ , Part II

# Introduction

# Optimality of $A^*$ without Reopening

We now study  $A^*$  without reopening.

- For  $A^*$  without reopening, admissibility and consistency together guarantee optimality.
- We prove this on the following slides, again beginning with a basic lemma.
- Either of the two properties on its own would **not** be sufficient for optimality. (How would one prove this?)

# Reminder: A\* without Reopening

reminder from Chapter B11/B12: A\* without reopening

## A\* without Reopening

```
open := new MinHeap ordered by  $\langle f, h \rangle$ 
if  $h(\text{init}()) < \infty$ :
    open.insert(make_root_node())
closed := new HashSet
while not open.is_empty():
    n := open.pop_min()
    if  $n.\text{state} \notin \text{closed}$ :
        closed.insert(n)
        if is_goal(n.state):
            return extract_path(n)
        for each  $\langle a, s' \rangle \in \text{succ}(n.\text{state})$ :
            if  $h(s') < \infty$ :
                n' := make_node(n, a, s')
                open.insert(n')
return unsolvable
```

# Monotonicity Lemma

# $A^*$ : Monotonicity Lemma (1)

## Lemma (monotonicity of $A^*$ with consistent heuristics)

Consider  $A^*$  with a **consistent** heuristic.

Then:

- 1 If  $n'$  is a child node of  $n$ , then  $f(n') \geq f(n)$ .
- 2 On all paths generated by  $A^*$ ,  $f$  values are non-decreasing.
- 3 The sequence of  $f$  values of the nodes expanded by  $A^*$  is non-decreasing.

German: Monotonielemma

# $A^*$ : Monotonicity Lemma (2)

Proof.

on 1.:

Let  $n'$  be a child node of  $n$  via action  $a$ .

Let  $s = n.\text{state}$ ,  $s' = n'.\text{state}$ .



## A\*: Monotonicity Lemma (2)

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Let  $s = n.state$ ,  $s' = n'.state$ .

- by definition of  $f$ :  $f(n) = g(n) + h(s)$ ,  $f(n') = g(n') + h(s')$

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- by definition of  $g$ :  $g(n') = g(n) + cost(a)$
- by consistency of  $h$ :  $h(s) \leq cost(a) + h(s')$

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$$\rightsquigarrow f(n) = g(n) + h(s) \leq g(n) + cost(a) + h(s') \\ = g(n') + h(s') = f(n')$$

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on 2.: follows directly from 1.

...

# $A^*$ : Monotonicity Lemma (3)

Proof (continued).

on 3:

- Let  $f_b$  be the minimal  $f$  value in *open*  
**at the beginning** of a **while** loop iteration in  $A^*$ .  
Let  $n$  be the removed node with  $f(n) = f_b$ .

# $A^*$ : Monotonicity Lemma (3)

Proof (continued).

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- Let  $f_b$  be the minimal  $f$  value in *open* at the beginning of a **while** loop iteration in  $A^*$ .  
Let  $n$  be the removed node with  $f(n) = f_b$ .
- to show: at the end of the iteration the minimal  $f$  value in *open* is at least  $f_b$ .

# A\*: Monotonicity Lemma (3)

## Proof (continued).

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- Let  $f_b$  be the minimal  $f$  value in *open* at the beginning of a **while** loop iteration in A\*.  
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- We must consider the operations modifying *open*: *open.pop\_min* and *open.insert*.



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- We must consider the operations modifying *open*: *open.pop\_min* and *open.insert*.
- *open.pop\_min* can never decrease the minimal  $f$  value in *open* (only potentially increase it).

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- Let  $f_b$  be the minimal  $f$  value in *open* at the beginning of a **while** loop iteration in A\*.  
Let  $n$  be the removed node with  $f(n) = f_b$ .
- to show: at the end of the iteration the minimal  $f$  value in *open* is at least  $f_b$ .
- We must consider the operations modifying *open*: *open.pop\_min* and *open.insert*.
- *open.pop\_min* can never decrease the minimal  $f$  value in *open* (only potentially increase it).
- The nodes  $n'$  added with *open.insert* are children of  $n$  and hence satisfy  $f(n') \geq f(n) = f_b$  according to part 1.



# Optimality of $A^*$ without Reopening

# Optimality of $A^*$ without Reopening

Theorem (optimality of  $A^*$  without reopening)

$A^*$  *without reopening* is optimal when using an *admissible* and *consistent* heuristic.

Proof.

From the monotonicity lemma, the sequence of  $f$  values of nodes removed from the open list is non-decreasing.

- ↪ If multiple nodes with the same state  $s$  are removed from the open list, then their  $g$  values are non-decreasing.
- ↪ If we allowed reopening, it would never happen.
- ↪ With consistent heuristics,  $A^*$  without reopening behaves the same way as  $A^*$  with reopening.

The result follows because  $A^*$  with reopening and admissible heuristics is optimal.



# Time Complexity of $A^*$

# Time Complexity of A\* (1)

What is the time complexity of A\*?

- depends strongly on the quality of the heuristic
- an extreme case:  $h = 0$  for all states
  - ↪ A\* identical to uniform cost search
- another extreme case:  $h = h^*$  and  $cost(a) > 0$  for all actions  $a$ 
  - ↪ A\* only expands nodes along an optimal solution
  - ↪  $O(l^*)$  expanded nodes,  $O(l^* b)$  generated nodes, where
    - $l^*$ : length of the found optimal solution
    - $b$ : branching factor

## Time Complexity of A\* (2)

more precise analysis:

- dependency of the runtime of A\* on **heuristic error**

example:

- unit cost problems with
- **constant branching factor** and
- **constant absolute error**:  $|h^*(s) - h(s)| \leq c$  for all  $s \in S$

time complexity:

- **if state space is a tree**: time complexity of A\* grows linearly in solution length (Pohl 1969; Gaschnig 1977)
- **general search spaces**: runtime of A\* grows exponentially in solution length (Helmert & Röger 2008)

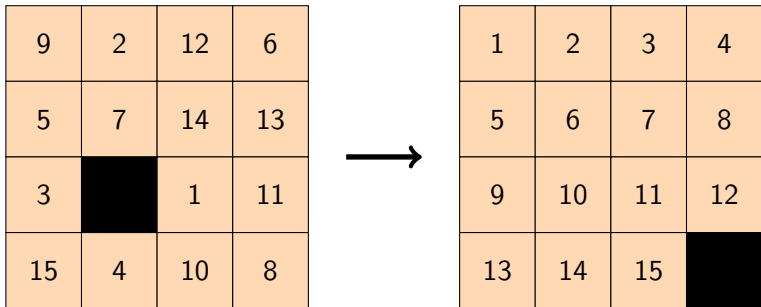
# Overhead of Reopening

## How does reopening affect runtime?

- For most practical state spaces and inconsistent admissible heuristics, the number of reopened nodes is **negligible**.
- **exceptions** exist:  
Martelli (1977) constructed state spaces with  $n$  states where **exponentially** many (in  $n$ ) node reopenings occur in  $A^*$ .  
( $\rightsquigarrow$  exponentially worse than uniform cost search)



# Practical Evaluation of $A^*$ (1)



$h_1$ : number of tiles in wrong cell (**misplaced tiles**)

$h_2$ : sum of distances of tiles to their goal cell (**Manhattan distance**)

# Practical Evaluation of A\* (2)

- experiments with random initial states, generated by **random walk** from goal state
- entries show **median** of number of **generated nodes** for 101 random walks of the same length  $N$

	generated nodes		
$N$	BFS-Graph	A* with $h_1$	A* with $h_2$
10	63	15	15
20	1,052	28	27
30	7,546	77	42
40	72,768	227	64
50	359,298	422	83
60	> 1,000,000	7,100	307
70	> 1,000,000	12,769	377
80	> 1,000,000	62,583	849
90	> 1,000,000	162,035	1,522
100	> 1,000,000	690,497	4,964

# Summary

# Summary

- A\* without reopening using an admissible and consistent heuristic is optimal
- key property **monotonicity lemma** (with consistent heuristics):
  - $f$  values never decrease along paths considered by A\*
  - sequence of  $f$  values of expanded nodes is non-decreasing
- time complexity depends on heuristic and shape of state space
  - precise details complex and depend on many aspects
  - reopening increases runtime exponentially in degenerate cases, but usually negligible overhead
  - small improvements in heuristic values often lead to exponential improvements in runtime