

Foundations of Artificial Intelligence

B15. State-Space Search: Properties of A*, Part II

Malte Helmert

University of Basel

March 31, 2025

Foundations of Artificial Intelligence

March 31, 2025 — B15. State-Space Search: Properties of A*, Part II

B15.1 Introduction

B15.2 Monotonicity Lemma

B15.3 Optimality of A* without Reopening

B15.4 Time Complexity of A*

B15.5 Summary

State-Space Search: Overview

Chapter overview: state-space search

- ▶ B1–B3. Foundations
- ▶ B4–B8. Basic Algorithms
- ▶ B9–B15. Heuristic Algorithms
 - ▶ B9. Heuristics
 - ▶ B10. Analysis of Heuristics
 - ▶ B11. Best-first Graph Search
 - ▶ B12. Greedy Best-first Search, A*, Weighted A*
 - ▶ B13. IDA*
 - ▶ B14. Properties of A*, Part I
 - ▶ B15. Properties of A*, Part II

B15.1 Introduction

Optimality of A* without Reopening

We now study A* **without reopening**.

- ▶ For A* without reopening, admissibility and consistency together guarantee optimality.
- ▶ We prove this on the following slides, again beginning with a basic lemma.
- ▶ Either of the two properties on its own would **not** be sufficient for optimality. (How would one prove this?)

Reminder: A* without Reopening

reminder from Chapter B11/B12: A* without reopening

A* without Reopening

```

open := new MinHeap ordered by ⟨f, h⟩
if h(init()) < ∞:
    open.insert(make_root_node())
closed := new HashSet
while not open.is_empty():
    n := open.pop_min()
    if n.state ∉ closed:
        closed.insert(n)
        if is_goal(n.state):
            return extract_path(n)
        for each ⟨a, s'⟩ ∈ succ(n.state):
            if h(s') < ∞:
                n' := make_node(n, a, s')
                open.insert(n')
return unsolvable
  
```

B15.2 Monotonicity Lemma

A*: Monotonicity Lemma (1)

Lemma (monotonicity of A* with consistent heuristics)

Consider A* with a **consistent** heuristic.

Then:

- 1 If n' is a child node of n , then $f(n') \geq f(n)$.
- 2 On all paths generated by A*, f values are non-decreasing.
- 3 The sequence of f values of the nodes expanded by A* is non-decreasing.

German: Monotonielemma

A*: Monotonicity Lemma (2)

Proof.

on 1.:

Let n' be a child node of n via action a .Let $s = n.state$, $s' = n'.state$.

- ▶ by definition of f : $f(n) = g(n) + h(s)$, $f(n') = g(n') + h(s')$
 - ▶ by definition of g : $g(n') = g(n) + cost(a)$
 - ▶ by consistency of h : $h(s) \leq cost(a) + h(s')$
- $$\rightsquigarrow f(n) = g(n) + h(s) \leq g(n) + cost(a) + h(s')$$
- $$= g(n') + h(s') = f(n')$$

on 2.: follows directly from 1. ...

A*: Monotonicity Lemma (3)

Proof (continued).

on 3:

- ▶ Let f_b be the minimal f value in *open* at the beginning of a **while** loop iteration in A*.
Let n be the removed node with $f(n) = f_b$.
- ▶ to show: at the end of the iteration the minimal f value in *open* is at least f_b .
- ▶ We must consider the operations modifying *open*: *open.pop_min* and *open.insert*.
- ▶ *open.pop_min* can never decrease the minimal f value in *open* (only potentially increase it).
- ▶ The nodes n' added with *open.insert* are children of n and hence satisfy $f(n') \geq f(n) = f_b$ according to part 1.

□

B15.3 Optimality of A* without Reopening

Optimality of A* without Reopening

Theorem (optimality of A* without reopening)

A* without reopening is optimal when using an *admissible* and *consistent* heuristic.

Proof.

From the monotonicity lemma, the sequence of f values of nodes removed from the open list is non-decreasing.

- ↪ If multiple nodes with the same state s are removed from the open list, then their g values are non-decreasing.
- ↪ If we allowed reopening, it would never happen.
- ↪ With consistent heuristics, A* without reopening behaves the same way as A* with reopening.

The result follows because A* with reopening and admissible heuristics is optimal.

□

B15.4 Time Complexity of A*

Time Complexity of A* (1)

What is the time complexity of A*?

- ▶ depends strongly on the quality of the heuristic
- ▶ **an extreme case:** $h = 0$ for all states
 - ↪ A* identical to uniform cost search
- ▶ **another extreme case:** $h = h^*$ and $cost(a) > 0$ for all actions a
 - ↪ A* only expands nodes along an optimal solution
 - ↪ $O(\ell^*)$ expanded nodes, $O(\ell^* b)$ generated nodes, where
 - ▶ ℓ^* : length of the found optimal solution
 - ▶ b : branching factor

Time Complexity of A* (2)

more precise analysis:

- ▶ dependency of the runtime of A* on **heuristic error**

example:

- ▶ unit cost problems with
- ▶ **constant branching factor** and
- ▶ **constant absolute error:** $|h^*(s) - h(s)| \leq c$ for all $s \in S$

time complexity:

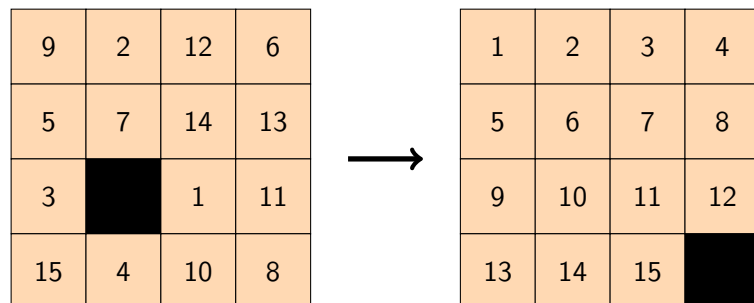
- ▶ **if state space is a tree:** time complexity of A* grows linearly in solution length (Pohl 1969; Gaschnig 1977)
- ▶ **general search spaces:** runtime of A* grows exponentially in solution length (Helmert & Röger 2008)

Overhead of Reopening

How does reopening affect runtime?

- ▶ For most practical state spaces and inconsistent admissible heuristics, the number of reopened nodes is **negligible**.
- ▶ **exceptions** exist:
 - Martelli (1977) constructed state spaces with n states where **exponentially** many (in n) node reopenings occur in A*.
 - (↪ exponentially worse than uniform cost search)

Practical Evaluation of A* (1)



h_1 : number of tiles in wrong cell (**misplaced tiles**)

h_2 : sum of distances of tiles to their goal cell (**Manhattan distance**)

Practical Evaluation of A* (2)

- ▶ experiments with random initial states, generated by **random walk** from goal state
- ▶ entries show **median** of number of **generated nodes** for 101 random walks of the same length N

| N | generated nodes | | |
|-----|-----------------|---------------|---------------|
| | BFS-Graph | A* with h_1 | A* with h_2 |
| 10 | 63 | 15 | 15 |
| 20 | 1,052 | 28 | 27 |
| 30 | 7,546 | 77 | 42 |
| 40 | 72,768 | 227 | 64 |
| 50 | 359,298 | 422 | 83 |
| 60 | > 1,000,000 | 7,100 | 307 |
| 70 | > 1,000,000 | 12,769 | 377 |
| 80 | > 1,000,000 | 62,583 | 849 |
| 90 | > 1,000,000 | 162,035 | 1,522 |
| 100 | > 1,000,000 | 690,497 | 4,964 |

B15.5 Summary

Summary

- ▶ **A* without reopening** using an **admissible and consistent** heuristic is optimal
- ▶ key property **monotonicity lemma** (with consistent heuristics):
 - ▶ f values never decrease along paths considered by A*
 - ▶ sequence of f values of expanded nodes is non-decreasing
- ▶ time complexity depends on heuristic and shape of state space
 - ▶ precise details complex and depend on many aspects
 - ▶ reopening increases runtime exponentially in degenerate cases, but usually negligible overhead
 - ▶ small improvements in heuristic values often lead to exponential improvements in runtime