

# Algorithms and Data Structures

## C5. Shortest Paths: Foundations

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May 15, 2025 — C5. Shortest Paths: Foundations

## C5.1 Introduction

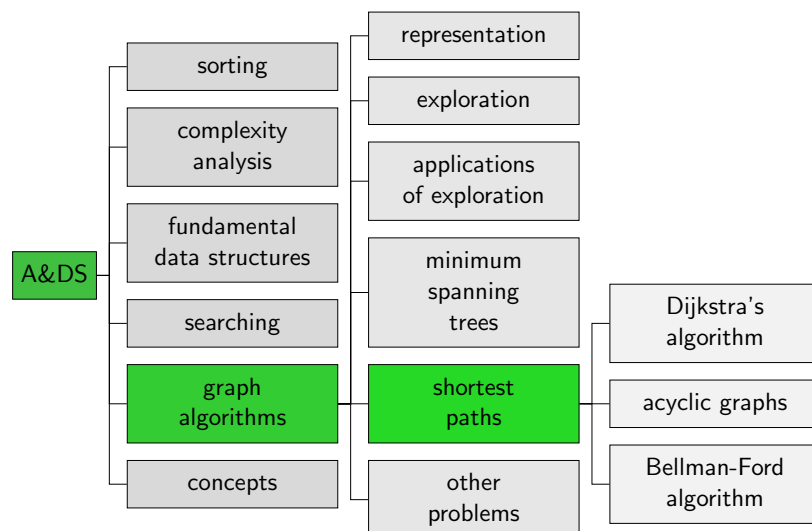
## C5.2 Foundations

## C5.3 Optimality Criterion and Generic Algorithm

## C5.4 Summary

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## Content of the Course



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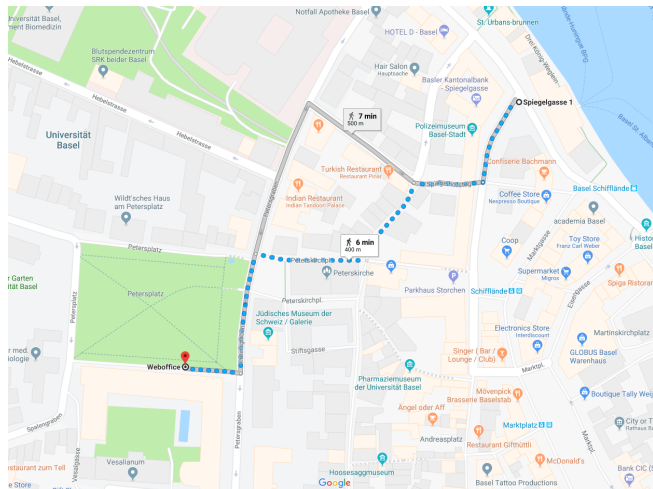
C5. Shortest Paths: Foundations

Introduction

## C5.1 Introduction

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## Google Maps



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## Seam Carving



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## Applications

- ▶ Route planning
- ▶ Path planning in games
- ▶ robot navigation
- ▶ seam carving
- ▶ automated planning
- ▶ typesetting in TeX
- ▶ routing protocols in networks (OSPF, BGP, RIP)
- ▶ routing of telecommunication messages
- ▶ traffic routing

Source (partially): Network Flows: Theory, Algorithms, and Applications,  
R. K. Ahuja, T. L. Magnanti, and J. B. Orlin, Prentice Hall, 1993

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## Variants

What are we interested in?

- ▶ **Single source:** from one vertex  $s$  to all other vertices
- ▶ **Single sink:** from all vertices to one vertex  $t$
- ▶ **Source-sink:** from vertex  $s$  to vertex  $t$
- ▶ **All pairs:** from every vertex to every vertex

Graph properties

- ▶ arbitrary / non-negative / Euclidean weights
- ▶ arbitrary / non-negative / no cycles

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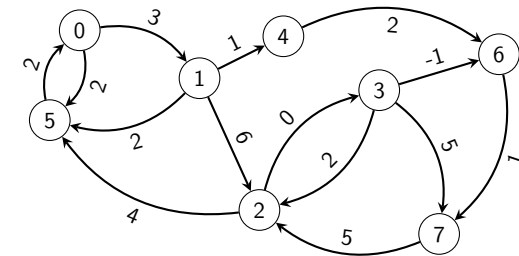
## C5.2 Foundations

## Weighted Directed Graphs

Same (high-level) definition of weighted graphs as before, but now we consider directed graphs.

### Directed Graph

An (edge)-weighted graph associates every edge  $e$  with a weight (or cost)  $\text{weight}(e) \in \mathbb{R}$ .



Reminder: A directed graphs is also called a **digraph**.

## API for Weighted Directed Edge

```

1 class DirectedEdge:
2     # Edge from n1 to n2 with weight w
3     def __init__(n1: int, n2: int, w: float) -> None
4
5     # Weight of the edge
6     def weight() -> float
7
8     # Initial vertex of the edge
9     def from_node() -> int
10
11    # Terminal vertex of the edge
12    def to_node() -> int

```

## API for Weighted Digraphs

```

1 class EdgeWeightedDigraph:
2     # Graph with no_nodes vertices and no edges
3     def __init__(no_nodes: int) -> None
4
5     # Add weighted edge
6     def add_edge(e: DirectedEdge) -> None
7
8     # Number of vertices
9     def no_nodes() -> int
10
11    # Number of edges
12    def no_edges() -> int
13
14    # All outgoing edges of n
15    def outgoing_edges(n: int) -> Generator[DirectedEdge]
16
17    # All edges
18    def all_edges() -> Generator[DirectedEdge]

```

## Shortest Path Problem

### Single-source shortest path problem, SSSP

- ▶ Given: Graph and start vertex  $s$
- ▶ Query for vertex  $v$ 
  - ▶ Is there a path from  $s$  to  $v$ ?
  - ▶ If yes, what is the shortest path?
- ▶ In **weighted graphs**:  
**Shortest path** is the one with **lowest weight**  
 (= minimal sum of edge costs)

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## API for Shortest-path Implementation

The algorithms for shortest paths should implement the following interface:

```

1 class ShortestPaths:
2     # Initialization for start vertex s
3     def __init__(graph: EdgeWeightedDigraph, s: int) -> None
4
5     # Distance from s to v; infinity, if there is no path
6     def dist_to(v: int) -> float
7
8     # Is there a path from s to v?
9     def has_path_to(v: int) -> bool
10
11    # Path from s to v; None, if there is none
12    def path_to(v: int) -> Generator[DirectedEdge]
```

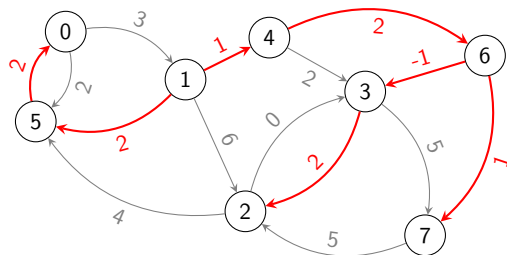
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## Shortest-path Tree

### Shortest-path Tree

For a weighted digraph  $G$  and vertex  $s$ , a **shortest-path tree** is a subgraph that

- ▶ forms a directed tree with root  $s$ ,
- ▶ contains all vertices that are reachable from  $s$ , and
- ▶ for which every path in the tree is a shortest path in  $G$ .



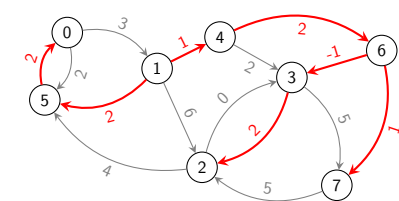
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## Shortest-path Tree: Representation

Representation: arrays indexed by vertex

- ▶ **parent** with reference to parent vertex  
 $\text{None}$  for unreachable vertices and start vertex
- ▶ **distance** with distance from the start vertex  
 $\infty$  for unreachable vertices

	0	1	2	3	4	5	6	7
parent	5	None	3	6	1	1	4	6
distance	4	0	4	2	1	2	3	4



What about parallel edges?

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## Extracting Shortest Paths

---

```

1  def path_to(self, node):
2      if self.distance[node] == float('inf'):
3          yield None
4      elif self.parent[node] is None:
5          yield node
6      else:
7          # output path from start to parent node
8          self.path_to(self.parent[node])
9          # finish with node
10         yield node

```

---

This implementation generates a sequence of vertices. What do we have to change to generate a corresponding sequence of edges?

## Relaxation

Relaxing edge  $(u, v)$

- ▶  $\text{distance}[u]$ : cost of the shortest **known** path to  $u$
- ▶  $\text{distance}[v]$ : cost of the shortest **known** path to  $v$
- ▶  $\text{parent}[v]$ : predecessor of  $v$  in the shortest known paths to  $v$
- ▶ Does edge  $(u, v)$  establish a shorter path to  $v$  (through  $u$ )?
- ▶ If yes, update  $\text{distance}[v]$  and  $\text{parent}[v]$ .

Illustration: Whiteboard

## Relaxation

---

```

1  def relax(self, edge):
2      u = edge.from_node()
3      v = edge.to_node()
4      if self.distance[v] > self.distance[u] + edge.weight():
5          self.parent[v] = u
6          self.distance[v] = self.distance[u] + edge.weight()

```

---

## C5.3 Optimality Criterion and Generic Algorithm

## Optimality Criterion

### Theorem

Let  $G$  be a weighted digraph without negative cycles.

Array  $\text{distance}[]$  contains the cost of the shortest paths from  $s$  if and only if

- ①  $\text{distance}[s] = 0$
- ②  $\text{distance}[w] \leq \text{distance}[v] + \text{weight}(e)$   
for all edges  $e = (v, w)$ , and
- ③ for all vertices  $v$ ,  $\text{distance}[v]$  is the cost of *some* path from  $s$  to  $v$ , or  $\infty$  if there is no such path.

## Optimality Criterion (Continued)

### Proof

" $\Rightarrow$ "

- ① Since the graph has no cycles of negative cost, no path from  $s$  to  $s$  can have negative cost. Thus, the empty path is optimal and  $\text{distance}[s]$  is 0.
- ② Consider an arbitrary edge  $e$  from  $u$  to  $v$ .  
The shortest path from  $s$  to  $u$  has cost  $\text{distance}[u]$ . If we extend this path by edge  $e$ , we have a path from  $s$  to  $v$  of cost  $\text{distance}[u] + \text{weight}(e)$ . Thus, the cost of a shortest path from  $s$  to  $v$  cannot be larger and it holds that  $\text{distance}[v] \leq \text{distance}[u] + \text{weight}(e)$ .
- ③ Trivially true.

...

## Optimality Criterion (Continued)

### Proof (continued).

" $\Leftarrow$ "

For unreachable vertices, the value is infinity by definition.

Consider an arbitrary vertex  $v$  and a shortest path  $p = (v_0, \dots, v_n)$  from  $s$  to  $v$ , i.e.  $v_0 = s$ ,  $v_n = v$ .

For  $i \in \{1, \dots, n\}$ , let  $e_i$  be a cheapest edge from  $v_{i-1}$  to  $v_i$ .

Since all inequalities are satisfied, we have

$$\begin{aligned} \text{distance}[v_n] &\leq \text{distance}[v_{n-1}] + \text{weight}(e_n) \\ &\leq \text{distance}[v_{n-2}] + \text{weight}(e_{n-1}) + \text{weight}(e_n) \\ &\leq \dots \leq \text{weight}(e_1) + \dots + \text{weight}(e_n) \\ &= \text{cost of an optimal path.} \end{aligned}$$

Due to 3,  $\text{distance}[v_n]$  cannot be lower than the optimal cost.  $\square$

## Generic Algorithm

### Generic Algorithm for Start Vertex $s$

- ▶ Initialize  $\text{distance}[s] = 0$  and  $\text{distance}[v] = \infty$  for all other vertices
- ▶ As long as the optimality criterion is not satisfied:  
Relax an arbitrary edge

Correct:

- ▶ Finite  $\text{distance}[v]$  always corresponds to the cost of a path from  $s$  to  $v$ .
- ▶ Every successful relaxation reduces  $\text{distance}[v]$  for some  $v$ .
- ▶ For every vertex, the distance can only be reduced finitely often.

## C5.4 Summary

## Summary

- ▶ **Single-source shortest paths:** Compute in a weighted digraph the shortest paths from a given vertex to all reachable vertices.
- ▶ **Relaxation:** If for edge  $(u,v)$  the best known distance to  $v$  is larger than the one to  $u$  plus the edge cost, then update the distance to  $v$  (with predecessor  $u$ ).
- ▶ **Generic algorithm**
  - ▶ Based on relaxation and optimality criterion.
  - ▶ Every instantiation is correct for all weighted digraphs **without negative-cost cycles**.
  - ▶ Specific instantiations: next chapter.