

# Theory of Computer Science

## B9. Context-free Languages: Push-Down Automata

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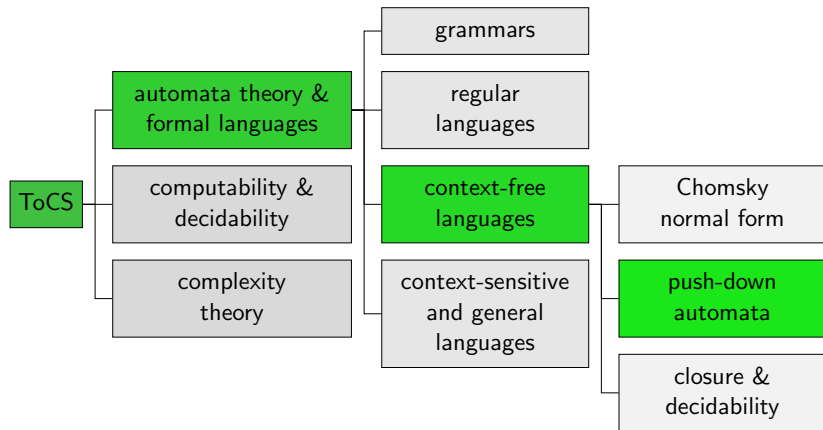
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March 27, 2024 — B9. Context-free Languages: Push-Down Automata

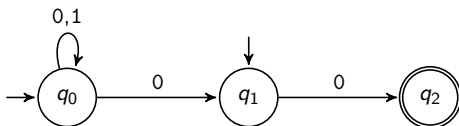
## B9.1 Push-Down Automata

# B9.1 Push-Down Automata

# Content of the Course



# Limitations of Finite Automata

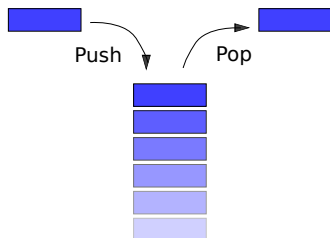


- ▶ Language  $L$  is regular.  
 $\iff$  There is a finite automaton that recognizes  $L$ .
- ▶ What information can a finite automaton “store” about the already read part of the word?
- ▶ Infinite memory would be required for  
 $L = \{x_1x_2 \dots x_nx_n \dots x_2x_1 \mid n > 0, x_i \in \{a, b\}\}$ .
- ▶ therefore: extension of the automata model with memory

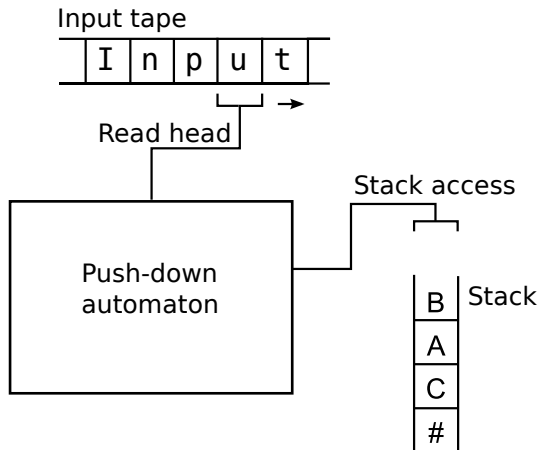
# Stack

A **stack** is a data structure following the **last-in-first-out (LIFO)** principle supporting the following operations:

- ▶ **push**: puts an object on top of the stack
- ▶ **pop**: removes the object at the top of the stack
- ▶ **peek**: returns the top object without removing it



# Push-down Automata: Visually



## Push-down Automaton for $\{a^n b^n \mid n \in \mathbb{N}_0\}$ : Idea

- ▶ As long as you read symbols a, push an A on the stack.
- ▶ As soon as you read a symbol b, pop an A off the stack as long as you read b.
- ▶ If reading the input is finished exactly when the stack becomes empty, accept the input.
- ▶ If there is no A to pop when reading a b, or there is still an A on the stack after reading all input symbols, or if you read an a following a b then reject the input.

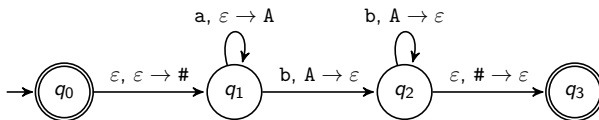


# Push-down Automata: Non-determinism

- ▶ PDAs are **non-deterministic** and can allow several next transitions from a configuration.
- ▶ Like NFAs, PDAs can have transitions that do not read a symbol from the input.
- ▶ Similarly, there can be transitions that do not pop and/or push a symbol off/to the stack.

Deterministic variants of PDAs are strictly less expressive, i. e. there are languages that can be recognized by a (non-deterministic) PDA but not the deterministic variant.

# Push-down Automaton for $\{a^n b^n \mid n \in \mathbb{N}_0\}$ : Diagram



# Push-down Automata: Definition

## Definition (Push-down Automaton)

A **push-down automaton (PDA)** is a 6-tuple

$M = \langle Q, \Sigma, \Gamma, \delta, q_0, F \rangle$  with

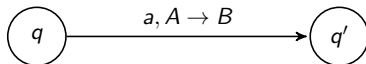
- ▶  $Q$  finite set of states
- ▶  $\Sigma$  the input alphabet
- ▶  $\Gamma$  the stack alphabet
- ▶  $\delta : Q \times (\Sigma \cup \{\varepsilon\}) \times (\Gamma \cup \{\varepsilon\}) \rightarrow \mathcal{P}(Q \times (\Gamma \cup \{\varepsilon\}))$  the transition function
- ▶  $q_0 \in Q$  the start state
- ▶  $F \subseteq Q$  is the set of **accept states**

# Push-down Automata: Transition Function

Let  $M = \langle Q, \Sigma, \Gamma, \delta, q_0, F \rangle$  be a push-down automaton.

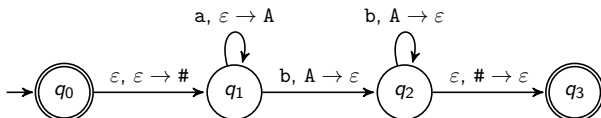
What is the Intuitive Meaning of the Transition Function  $\delta$ ?

- ▶  $\langle q', B \rangle \in \delta(q, a, A)$ : If  $M$  is in state  $q$ , reads symbol  $a$  and has  $A$  as the topmost stack symbol, then  $M$  can transition to  $q'$  in the next step popping  $A$  off the stack and pushing  $B$  on the stack.



- ▶ special case  $a = \varepsilon$  is allowed (spontaneous transition)
- ▶ special case  $A = \varepsilon$  is allowed (no pop)
- ▶ special case  $B = \varepsilon$  is allowed (no push)

# Push-down Automaton for $\{a^n b^n \mid n \in \mathbb{N}_0\}$ : Formally



$M = \langle \{q_0, q_1, q_2, q_3\}, \{a, b\}, \{A, \#\}, \delta, q_0, \{q_0, q_3\} \rangle$  with

$$\delta(q_0, a, A) = \emptyset$$

$$\delta(q_0, b, A) = \emptyset$$

$$\delta(q_0, \varepsilon, A) = \emptyset$$

$$\delta(q_0, a, \#) = \emptyset$$

$$\delta(q_0, b, \#) = \emptyset$$

$$\delta(q_0, \varepsilon, \#) = \emptyset$$

$$\delta(q_0, a, \varepsilon) = \emptyset$$

$$\delta(q_0, b, \varepsilon) = \emptyset$$

$$\delta(q_0, \varepsilon, \varepsilon) = \{(q_1, \#)\}$$

$$\delta(q_1, a, A) = \emptyset$$

$$\delta(q_1, b, A) = \{(q_2, \varepsilon)\}$$

$$\delta(q_1, \varepsilon, A) = \emptyset$$

$$\delta(q_1, a, \#) = \emptyset$$

$$\delta(q_1, b, \#) = \emptyset$$

$$\delta(q_1, \varepsilon, \#) = \emptyset$$

$$\delta(q_1, a, \varepsilon) = \{(q_1, A)\}$$

$$\delta(q_1, b, \varepsilon) = \emptyset$$

$$\delta(q_1, \varepsilon, \varepsilon) = \emptyset$$

$$\delta(q_2, a, A) = \emptyset$$

$$\delta(q_2, b, A) = \{(q_2, \varepsilon)\}$$

$$\delta(q_2, \varepsilon, A) = \emptyset$$

$$\delta(q_2, a, \#) = \emptyset$$

$$\delta(q_2, b, \#) = \emptyset$$

$$\delta(q_2, \varepsilon, \#) = \{(q_3, \varepsilon)\}$$

$$\delta(q_2, a, \varepsilon) = \emptyset$$

$$\delta(q_2, b, \varepsilon) = \emptyset$$

$$\delta(q_2, \varepsilon, \varepsilon) = \emptyset$$

and  $\delta(q_3, x, y) = \emptyset$  for all  $x \in \{a, b, \varepsilon\}$ ,  $y \in \{A, \#, \varepsilon\}$

# Push-down Automata: Accepted Words

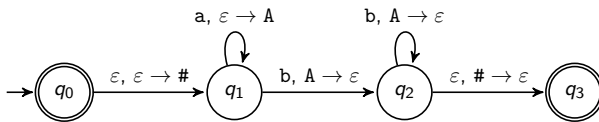
## Definition

A PDA  $M = \langle Q, \Sigma, \Gamma, \delta, q_0, F \rangle$  **accepts input  $w$**  if it can be written as  $w = w_1 w_2 \dots w_m$  where each  $w_i \in \Sigma \cup \{\varepsilon\}$  and sequences of states  $r_0, r_1, \dots, r_m \in Q$  and strings  $s_0, s_1, \dots, s_m \in \Gamma^*$  exist that satisfy the following three conditions:

- 1  $r_0 = q_0$  and  $s_0 = \varepsilon$
- 2 For  $i = 0, \dots, m - 1$ , we have  $(r_{i+1}, b) \in \delta(r_i, w_{i+1}, a)$ , where  $s_i = at$  and  $s_{i+1} = bt$  for some  $a, b \in \Gamma \cup \{\varepsilon\}$  and  $t \in \Gamma^*$ .
- 3  $r_m \in F$

The strings  $s_i$  represent the sequence of stack contents.

# Push-down Automaton for $\{a^n b^n \mid n \in \mathbb{N}_0\}$



The PDA accepts input aabb.

# PDA: Recognized Language

## Definition (Language Recognized by an NFA)

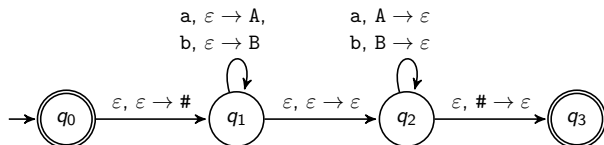
Let  $M$  be a PDA with input alphabet  $\Sigma$ .

The **language recognized by  $M$**  is defined as

$$\mathcal{L}(M) = \{w \in \Sigma^* \mid w \text{ is accepted by } M\}.$$



# Recognized Language: Exercise



What language does this PDA recognize?

# PDA's Recognize Exactly the Context-free Languages

## Theorem

*A language  $L$  is context-free if and only if  $L$  is recognized by a push-down automaton.*

## PDAs: Exercise (if time)

Assume you want to have a possible transition from state  $q$  to state  $q'$  in your PDA that

- ▶ processes symbol  $c$  from the input word,
- ▶ can only be taken if the top stack symbol is  $A$ ,
- ▶ does **not** pop  $A$  off the stack, and
- ▶ pushes  $B$ .



What problem do you encounter? How can you work around it?

# Summary

- ▶ **Push-down automata** (PDAs) extend NFAs with memory (only stack access)
- ▶ The **languages recognized by PDAs** are exactly the **context-free languages**.