## Foundations of Artificial Intelligence E5. Propositional Logic: Local Search and Outlook

#### Malte Helmert

University of Basel

April 24, 2024

M. Helmert (University of Basel)

Foundations of Artificial Intelligence

April 24, 2024 1 / 24

Foundations of Artificial Intelligence April 24, 2024 — E5. Propositional Logic: Local Search and Outlook

E5.1 Local Search: GSAT

E5.2 Local Search: Walksat

E5.3 How Difficult Is SAT?

E5.4 Outlook

E5.5 Summary

M. Helmert (University of Basel)

Foundations of Artificial Intelligence

## Propositional Logic: Overview

#### Chapter overview: propositional logic

- ▶ E1. Syntax and Semantics
- ► E2. Equivalence and Normal Forms
- E3. Reasoning and Resolution
- E4. DPLL Algorithm
- E5. Local Search and Outlook

# E5.1 Local Search: GSAT

### Local Search for SAT

- Apart from systematic search, there are also successful local search methods for SAT.
- These are usually not complete and in particular cannot prove unsatisfiability for a formula.
- They are often still interesting because they can find models for hard problems.
- However, all in all, DPLL-based methods have been more successful in recent years.

### Local Search for SAT: Ideas

local search methods directly applicable to SAT:

- candidates: (complete) assignments
- solutions: satisfying assignments
- search neighborhood: change assignment of one variable
- heuristic: depends on algorithm; e.g., #unsatisfied clauses

# GSAT (Greedy SAT): Pseudo-Code

#### auxiliary functions:

- ▶ violated( $\Delta$ , *I*): number of clauses in  $\Delta$  not satisfied by *I*
- flip(1, v): assignment that results from 1 when changing the valuation of proposition v

```
function GSAT(\Delta):
repeat max-tries times:
     I := a random assignment
     repeat max-flips times:
          if I \models \Delta:
                return /
           V_{\text{greedy}} := the set of variables v occurring in \Delta
                      for which violated(\Delta, flip(I, v)) is minimal
          randomly select v \in V_{greedv}
           I := flip(I, v)
return no solution found
```

## GSAT: Discussion

GSAT has the usual ingredients of local search methods:

- hill climbing
- randomness (although relatively little!)

restarts

empirically, much time is spent on plateaus:



# E5.2 Local Search: Walksat

## Walksat: Pseudo-Code

 $lost(\Delta, I, v)$ : #clauses in  $\Delta$  satisfied by I, but not by flip(I, v)

```
function Walksat(\Delta):
repeat max-tries times:
      I := a random assignment
      repeat max-flips times:
            if I \models \Delta:
                  return /
            C := randomly chosen unsatisfied clause in \Delta
            if there is a variable v in C with lost(\Delta, I, v) = 0:
                   V_{\text{choices}} := \text{all such variables in } C
            else with probability p<sub>noise</sub>:
                   V_{\text{choices}} := \text{all variables occurring in } C
            else:
                   V_{\text{choices}} := \text{variables } v \text{ in } C \text{ that minimize } \text{lost}(\Delta, I, v)
            randomly select v \in V_{choices}
            I := flip(I, v)
return no solution found
```

### Walksat vs. GSAT

Comparison GSAT vs. Walksat:

- much more randomness in Walksat because of random choice of considered clause
- "counter-intuitive" steps that temporarily increase the number of unsatisfied clauses are possible in Walksat
- $\rightsquigarrow$  smaller risk of getting stuck in local minima

# E5.3 How Difficult Is SAT?

## How Difficult is SAT in Practice?

- SAT is NP-complete.
- whow algorithms like DPLL need exponential time in the worst case
- What about the average case?
- depends on how the average is computed (no "obvious" way to define the average)

# SAT: Polynomial Average Runtime

#### Good News (Goldberg 1979)

construct random CNF formulas with n variables and k clauses as follows:

In every clause, every variable occurs

- positively with probability  $\frac{1}{3}$ ,
- negatively with probability  $\frac{1}{3}$ ,
- not at all with probability  $\frac{1}{3}$ .

Then the runtime of DPLL in the average case is polynomial in n and k.

 $\rightsquigarrow$  not a realistic model for practically relevant CNF formulas (because almost all of the random formulas are satisfiable)

### Phase Transitions

How to find interesting random problems?

conjecture of Cheeseman et al.:

Cheeseman et al., IJCAI 1991

Every NP-complete problem has at least one size parameter such that the difficult instances are close to a critical value of this parameter.

This so-called phase transition separates two problem regions, e.g., an over-constrained and an under-constrained region.

 $\rightsquigarrow$  confirmed for, e.g., graph coloring, Hamiltonian paths and SAT

## Phase Transitions for 3-SAT

#### Problem Model of Mitchell et al., AAAI 1992

- fixed clause size of 3
- in every clause, choose the variables randomly
- literals positive or negative with equal probability

critical parameter: #clauses divided by #variables phase transition at ratio  $\approx 4.3$ 



## Phase Transition of DPLL

#### DPLL shows high runtime close to the phase transition region:



### Phase Transition: Intuitive Explanation

- If there are many clauses and hence the instance is unsatisfiable with high probability, this can be shown efficiently with unit propagation.
- If there are few clauses, there are many satisfying assignments, and it is easy to find one of them.
- Close to the phase transition, there are many "almost-solutions" that have to be considered by the search algorithm.

# E5.4 Outlook

M. Helmert (University of Basel)

Foundations of Artificial Intelligence

April 24, 2024 19 / 24

## State of the Art

- - largest instances have more than 1 000 000 literals
  - different tracks (e.g., SAT vs. SAT+UNSAT; industrial vs. random instances)

## More Advanced Topics

#### DPLL-based SAT algorithms:

- efficient implementation techniques
- accurate variable orders
- clause learning

#### local search algorithms:

- efficient implementation techniques
- adaptive search methods ("difficult" clauses are recognized after some time and then prioritized)

#### SAT modulo theories:

 extension with background theories (e.g., real numbers, data structures, ...)

# E5.5 Summary

# Summary (1)

- local search for SAT searches in the space of interpretations; neighbors: assignments that differ only in one variable
- has typical properties of local search methods: evaluation functions, randomization, restarts
- example: GSAT (Greedy SAT)
  - hill climbing with heuristic function: #unsatisfied clauses
  - randomization through tie-breaking and restarts
- example: Walksat
  - focuses on randomly selected unsatisfied clauses
  - does not follow the heuristic always, but also injects noise
  - consequence: more randomization as GSAT and lower risk of getting stuck in local minima

# Summary (2)

- more detailed analysis of SAT shows: the problem is NP-complete, but not all instances are difficult
- randomly generated SAT instances are easy to satisfy if they contain few clauses, and easy to prove unsatisfiable if they contain many clauses
- in between: phase transition