

Algorithms and Data Structures

A5. Runtime Analysis: Introduction and Selection Sort

Gabriele Röger

University of Basel

March 6, 2024

Algorithms and Data Structures

March 6, 2024 — A5. Runtime Analysis: Introduction and Selection Sort

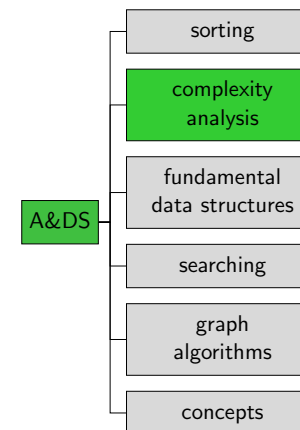
A5.1 Runtime Analysis in General

A5.2 Example: Selection Sort

A5.3 Summary

A5.1 Runtime Analysis in General

Content of the Course



Exact Runtime Analysis Unrealistic

- ▶ **Would be nice:** formula that determines for a specific input how long the computation will take.
- ▶ **Exact runtime prediction is hard** because of too many influencing factors.
 - ▶ Speed and architecture of the computer
 - ▶ Programming language
 - ▶ Compiler version
 - ▶ Current load (what else is running?)
 - ▶ Caching behavior

We neither can nor want to consider all this in a formula.

Runtime Analysis: 1st Simplification

Don't measure time but count operations

What is an operation?

- ▶ Ideally: one line of machine code or – even more precisely – one processor cycle
- ▶ Instead: constant-time operations
 - ▶ Constant time: running time independent of input.
 - ▶ Ignore runtime differences of different operations.
 - ▶ E.g. addition, assignments, branching, function call.
 - ▶ **Roughly:** operation = one line of code.
 - ▶ **But:** also consider what's behind it
e.g. steps inside the called function.

Running time roughly proportional to the number of operations

Runtime Analysis: 2nd Simplification

Don't count exactly but use bounds!

- ▶ Mostly considering upper bounds
How many steps does it take at most?
- ▶ Sometimes also lower bound
How many steps are at least executed?

„running time“ for bound on number of executed operations

Runtime Analysis: 3rd Simplification

Bounds only relative to the input size

- ▶ $T(n)$: running time for input of size n
- ▶ For adaptive algorithms we distinguish
 - ▶ **Best case**
running time for best possible input of size n
 - ▶ **Worst case**
running time for worst possible input of size n
 - ▶ **Average case**
average running time over all inputs of size n

Cost Models

Sometimes: analysis wrt. **cost model**

- ▶ Identify fundamental operations for the algorithm class
e.g. for sorting algorithms.
 - ▶ Key comparison
 - ▶ Swap of two elements or movement of an element
- ▶ Analyze number of these operations.

Example from C++ Reference

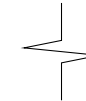
```
function template                                     <algorithm>
std::sort
default (1)   template <class RandomAccessIterator>
              void sort (RandomAccessIterator first, RandomAccessIterator last);
custom (2)   template <class RandomAccessIterator, class Compare>
              void sort (RandomAccessIterator first, RandomAccessIterator last, Compare comp);
```

Sort elements in range

Sorts the elements in the range `[first, last)` into ascending order.

The elements are compared using operator< for the first version, and `comp` for the second.

Equivalent elements are not guaranteed to keep their original relative order (see `stable_sort`).



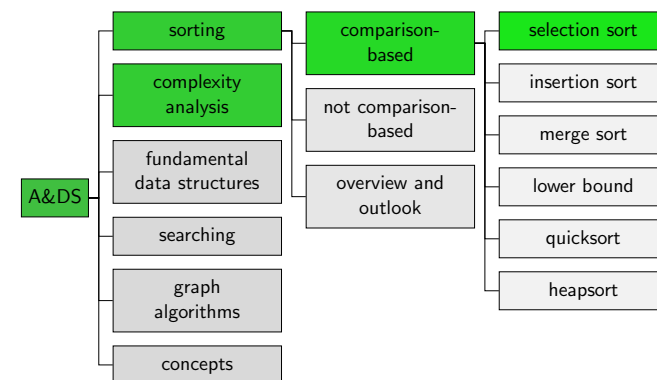
Complexity

On average, linearithmic in the distance between `first` and `last`: Performs approximately $N \cdot \log_2(N)$ (where N is this distance) comparisons of elements, and up to that many element swaps (or moves).

<http://www.cplusplus.com/reference/algorithm/sort/>

A5.2 Example: Selection Sort

Content of the Course



Selection Sort: Algorithm

```

1 def selection_sort(array):
2     n = len(array)
3     for i in range(n - 1): # i = 0, ..., n-2
4         # find index of minimum element at positions i, ..., n-1
5         min_index = i
6         for j in range(i + 1, n): # j = i+1, ..., n-1
7             if array[j] < array[min_index]:
8                 min_index = j
9         # swap element at position i with minimum element
10        array[i], array[min_index] = array[min_index], array[i]

```

Selection Sort with Cost Model

```

1 def selection_sort(array):
2     n = len(array)
3     for i in range(n - 1): # i = 0, ..., n-2
4         # find index of minimum element at positions i, ..., n-1
5         min_index = i
6         for j in range(i + 1, n): # j = i+1, ..., n-1
7             if array[j] < array[min_index]:
8                 min_index = j
9         # swap element at position i with minimum element
10        array[i], array[min_index] = array[min_index], array[i]

```

→ $n-1$ swaps of two elements (“linear”)

→ $0.5(n-1)n$ key comparisons (“quadratic”)

Selection Sort: Analysis I

We show: $T(n) \leq c' \cdot n^2$ for $n \geq 1$ and some constant c'

- ▶ Outer loop (3-10) and inner loop (6-8)
- ▶ Number of operations for each iteration of the outer loop:
 - ▶ Constant a for no. of operations in lines 7 and 8
 - ▶ Constant b for no. of operations in lines 5 and 10

i	# operations
0	$a(n-1) + b$
1	$a(n-2) + b$
	...
$n-2$	$a \cdot 1 + b$

- ▶ Total: $T(n) = \sum_{i=0}^{n-2} (a(n - (i + 1)) + b)$

Selection Sort: Analysis II

$$\begin{aligned}
 T(n) &= \sum_{i=0}^{n-2} (a(n - (i + 1)) + b) \\
 &= \sum_{i=1}^{n-1} (a(n - i) + b) \\
 &= a \sum_{i=1}^{n-1} (n - i) + b(n - 1) \\
 &= 0.5a(n - 1)n + b(n - 1) \\
 &\leq 0.5an^2 + b(n - 1) \\
 &\leq 0.5an^2 + b(n - 1)n \\
 &\leq 0.5an^2 + bn^2 \\
 &= (0.5a + b)n^2
 \end{aligned}$$

⇒ with $c' = (0.5a + b)$ it holds for $n \geq 1$ that $T(n) \leq c' \cdot n^2$

Selection Sort: Analysis III

Too generous bound?

We show for $n \geq 2$: $T(n) \geq c \cdot n^2$ for some constant c

$$\begin{aligned} T(n) &= \dots = 0.5a(n-1)n + b(n-1) \\ &\geq 0.5a(n-1)n \\ &\geq 0.25an^2 \quad (n-1 \geq 0.5n \text{ for } n \geq 2) \end{aligned}$$

\Rightarrow with $c = 0.25a$ it holds for $n \geq 2$ that $T(n) \geq c \cdot n^2$

Theorem

Selection sort has **quadratic running time**, i.e., there are constants $c > 0$, $c' > 0$, $n_0 > 0$ such that for $n \geq n_0$: $cn^2 \leq T(n) \leq c'n^2$.

Selection Sort: Analysis IV

Quadratic running time: twice as large input, fourfold running time

What does this mean in practice?

- ▶ Assumption: $c = 1$, one operation takes on average 10^{-8} sec.
- ▶ With 1000 elements, we wait $10^{-8} \cdot (10^3)^2 = 10^{-8} \cdot 10^6 = 10^{-2} = 0.02$ seconds.
- ▶ With 10 thousand elements, we wait $10^{-8} \cdot (10^4)^2 = 1$ second.
- ▶ With 100 thousand elements $10^{-8} \cdot (10^5)^2 = 100$ seconds.
- ▶ With 1 million elements $10^{-8} \cdot (10^6)^2$ seconds = 2.77 hours.
- ▶ With 1 billion elements $10^{-8} \cdot (10^9)^2$ seconds = 317 years.
1 billion numbers with 4 bytes/number are „only“ 4 GB.

Quadratic running time problematic for large inputs

A5.3 Summary

Summary

- ▶ Runtime analysis considers **bounds** on the **number of executed operations**.
 - ▶ We don't count exactly.
 - ▶ We ignore how long each operation actually takes.
 - ▶ Running time should be roughly proportional to the number of operations.
- ▶ **Selection sort** has **quadratic running time** and performs a linear number of swaps and a quadratic number of key comparisons.