# Theory of Computer Science B2. Grammars 

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## Introduction

## Reminder: Alphabets and Formal Languages

- An alphabet $\Sigma$ is a finite non-empty set of symbols.
- A word over $\Sigma$ is a finite sequence of elements from $\Sigma$.

■ The empty word is denoted by $\varepsilon$.
■ $\Sigma^{*}$ denotes the set of all words over $\Sigma$.
■ $\Sigma^{+}$denotes the set of all non-empty words over $\Sigma$.

- A formal language (over alphabet $\Sigma$ ) is a subset of $\Sigma^{*}$.


## Reminder: Finite Automata and Formal Languages

## Example



The DFA recognizes the language $\left\{w \in\{0,1\}^{*} \mid w\right.$ ends with 00$\}$.

- A finite automaton defines a language, the language it recognizes.
- The specification of the automaton is always finite.
- The recognized language can be infinite.


## Other Ways to Specify Formal Languages?

Sought: General concepts to define (often infinite) formal languages with finite descriptions.

- today: grammars

■ later: more automata, regular expressions, ...

## Grammar: Example

Variables $V=\{\mathrm{S}, \mathrm{X}, \mathrm{Y}\}$
Alphabet $\Sigma=\{\mathrm{a}, \mathrm{b}, \mathrm{c}\}$.
Production rules:

$$
\begin{array}{lll}
\mathrm{S} \rightarrow \varepsilon & \mathrm{X} \rightarrow \mathrm{aXYc} & \mathrm{cY} \rightarrow \mathrm{Yc} \\
\mathrm{~S} \rightarrow \mathrm{abc} & \mathrm{X} \rightarrow \mathrm{abc} & \mathrm{bY} \rightarrow \mathrm{bb} \\
\mathrm{~S} \rightarrow \mathrm{X} & &
\end{array}
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You start from S and may in each step replace the left-hand side of a rule with the right-hand side of the same rule. This way, derive a word over $\Sigma$.

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## Exercise

Variables $V=\{\mathrm{S}, \mathrm{X}, \mathrm{Y}\}$
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| $\mathrm{S} \rightarrow \varepsilon$ | $\mathrm{X} \rightarrow \mathrm{aXYc}$ | $\mathrm{cY} \rightarrow \mathrm{Yc}$ |
| :--- | :--- | :--- |
| $\mathrm{S} \rightarrow \mathrm{abc}$ | $\mathrm{X} \rightarrow \mathrm{abc}$ | $\mathrm{bY} \rightarrow \mathrm{bb}$ |

$S \rightarrow X$

Derive word aabbcc starting from $S$.

## Application: Content Generation in Games

■ http://www.gameaipro.com/
■ GameAIPro 2, chapter 40
Procedural Content Generation:
An Overview by Gillian Smith


## Questions



## Questions?

Grammars

## Grammars

## Definition (Grammars)

A grammar is a 4-tuple $\langle V, \Sigma, R, S\rangle$ with:

- $V$ finite set of variables (nonterminal symbols)
- $\Sigma$ finite alphabet of terminal symbols with $V \cap \Sigma=\emptyset$
$\square R \subseteq(V \cup \Sigma)^{*} V(V \cup \Sigma)^{*} \times(V \cup \Sigma)^{*}$ finite set of rules
- $S \in V$ start variable

A rule is sometimes also called a production or a production rule.

## Rule Sets

What exactly does $R \subseteq(V \cup \Sigma)^{*} V(V \cup \Sigma)^{*} \times(V \cup \Sigma)^{*}$ mean?

- $(V \cup \Sigma)^{*}$ : all words over $(V \cup \Sigma)$


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- $(V \cup \Sigma)^{*} V(V \cup \Sigma)^{*} \times(V \cup \Sigma)^{*}$ : set of all pairs $\langle x, y\rangle$, where $x$ word over $(V \cup \Sigma)$ with at least one variable and $y$ word over $(V \cup \Sigma)$


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■ Instead of $\langle x, y\rangle$ we usually write rules in the form $x \rightarrow y$.


## Rules: Examples

> Example
> Let $\Sigma=\{\mathrm{a}, \mathrm{b}, \mathrm{c}\}$ and $V=\{\mathrm{X}, \mathrm{Y}, \mathrm{Z}\}$.

Some examples of rules in $(V \cup \Sigma)^{*} V(V \cup \Sigma)^{*} \times(V \cup \Sigma)^{*}$ :

$$
\begin{aligned}
\mathrm{X} & \rightarrow \mathrm{XaY} \\
\mathrm{Yb} & \rightarrow \mathrm{a} \\
\mathrm{XY} & \rightarrow \varepsilon \\
\mathrm{XYZ} & \rightarrow \mathrm{abc} \\
\mathrm{abXc} & \rightarrow \mathrm{XYZ}
\end{aligned}
$$

## Derivations

## Definition (Derivations)

Let $\langle V, \Sigma, R, S\rangle$ be a grammar. A word $v \in(V \cup \Sigma)^{*}$ can be derived from word $u \in(V \cup \Sigma)^{+}$(written as $u \Rightarrow v$ ) if
(1) $u=x y z, v=x y^{\prime} z$ with $x, z \in(V \cup \Sigma)^{*}$ and
(2) there is a rule $y \rightarrow y^{\prime} \in R$.

We write: $u \Rightarrow^{*} v$ if $v$ can be derived from $u$ in finitely many steps (i. e., by using $n$ derivations for $n \in \mathbb{N}_{0}$ ).

## Language Generated by a Grammar

## Definition (Languages)

The language generated by a grammar $G=\langle V, \Sigma, P, S\rangle$

$$
\mathcal{L}(G)=\left\{w \in \Sigma^{*} \mid S \Rightarrow^{*} w\right\}
$$

is the set of all words from $\Sigma^{*}$ that can be derived from $S$ with finitely many rule applications.

## Grammars

> Example (Languages over $\Sigma=\{\mathrm{a}, \mathrm{b}\}$ ) $$
L_{1}=\{\mathrm{a}, \mathrm{aa}, \text { aaa, aaaa }, \ldots\}=\{\mathrm{a}\}^{+}
$$

Example grammars: blackboard

## Grammars

## Example (Languages over $\Sigma=\{\mathrm{a}, \mathrm{b}\}$ )

- $L_{2}=\Sigma^{*}$

Example grammars: blackboard

## Grammars

Example (Languages over $\Sigma=\{\mathrm{a}, \mathrm{b}\}$ )

- $L_{3}=\left\{\mathrm{a}^{n} \mathrm{~b}^{n} \mid n \geq 0\right\}=\{\varepsilon, \mathrm{ab}$, aabb, aaabbb,$\ldots\}$

Example grammars: blackboard

## Grammars

## Example (Languages over $\Sigma=\{\mathrm{a}, \mathrm{b}\}$ )

- $L_{4}=\{\varepsilon\}$

Example grammars: blackboard

## Grammars

Example (Languages over $\Sigma=\{\mathrm{a}, \mathrm{b}\}$ )

- $L_{5}=\emptyset$

Example grammars: blackboard

## Grammars

## Example (Languages over $\Sigma=\{\mathrm{a}, \mathrm{b}\}$ )

$$
\text { - } \begin{aligned}
L_{6} & =\left\{w \in \Sigma^{*} \mid w \text { contains twice as many as as bs }\right\} \\
& =\{\varepsilon, \text { aab }, \text { aba }, \text { baa }, \ldots\}
\end{aligned}
$$

## Exercise

Specify a grammar that generates language

$$
L=\left\{w \in\{\mathrm{a}, \mathrm{~b}\}^{*}| | w \mid=3\right\} .
$$

## Questions



## Questions?

## Chomsky Hierarchy

## Noam Chomsky

- Avram Noam Chomsky (*1928)
- "the father of modern linguistics"
- American linguist, philosopher, cognitive scientist, social critic, and political activist

- combined linguistics, cognitive science and computer science
- opponent of U.S. involvement in the Vietnam war
- there is a wikipedia page solemnly on his political positions
$\rightarrow$ Organized grammars into the Chomsky hierarchy.


## Chomsky Hierarchy

## Definition (Chomsky Hierarchy)

■ Every grammar is of type 0 (all rules allowed).

- Grammar is of type 1 (context-sensitive)
if all rules are of the form $\alpha B \gamma \rightarrow \alpha \beta \gamma$
with $B \in V$ and $\alpha, \gamma \in(V \cup \Sigma)^{*}$ and $\beta \in(V \cup \Sigma)^{+}$
- Grammar is of type 2 (context-free) if all rules are of the form $A \rightarrow w$, where $A \in V$ and $w \in(V \cup \Sigma)^{+}$.
- Grammar is of type 3 (regular)
if all rules are of the form $A \rightarrow w$, where $A \in V$ and $w \in \Sigma \cup \Sigma V$.
special case: rule $S \rightarrow \varepsilon$ is always allowed if $S$ is the start variable and never occurs on the right-hand side of any rule.


## Chomsky Hierarchy: Examples

Examples: blackboard

## Chomsky Hierarchy

## Definition (Type 0-3 Languages)

A language $L \subseteq \Sigma^{*}$ is of type 0 (type 1 , type 2, type 3) if there exists a type-0 (type-1, type-2, type-3) grammar $G$ with $\mathcal{L}(G)=L$.

## Type $k$ Language: Example (slido)

## Example

Consider the language $L$ generated by the grammar $\langle\{\mathrm{F}, \mathrm{A}, \mathrm{N}, \mathrm{C}, \mathrm{D}\},\{\mathrm{a}, \mathrm{b}, \mathrm{c}, \neg, \wedge, \vee,()\}, R, \mathrm{~F}$,
with the following rules $R$ :

$$
\begin{array}{lll}
\mathrm{F} \rightarrow \mathrm{~A} & \mathrm{~A} \rightarrow \mathrm{a} & \mathrm{~N} \rightarrow \neg \mathrm{~F} \\
\mathrm{~F} \rightarrow \mathrm{~N} & \mathrm{~A} \rightarrow \mathrm{~b} & \mathrm{C} \rightarrow(\mathrm{~F} \wedge \mathrm{~F}) \\
\mathrm{F} \rightarrow \mathrm{C} & \mathrm{~A} \rightarrow \mathrm{c} & \mathrm{D} \rightarrow(\mathrm{~F} \vee \mathrm{~F}) \\
\mathrm{F} \rightarrow \mathrm{D} & &
\end{array}
$$

## Questions:

■ Is $L$ a type-0 language?

- Is $L$ a type-1 language?

■ Is $L$ a type-2 language?
■ Is $L$ a type-3 language?


## Chomsky Hierarchy



## Chomsky Hierarchy



Note: Not all languages can be described by grammars. (Proof?)

## Questions



## Questions?

## Summary

## Summary

■ Languages are sets of symbol sequences.
■ Grammars are one possible way to specify languages.
■ Language generated by a grammar is the set of all words (of terminal symbols) derivable from the start symbol.
■ Chomsky hierarchy distinguishes between languages at different levels of expressiveness.

