

# Theory of Computer Science

## B11. Type-1 and Type-0 Languages: Closure & Decidability

Gabriele Röger

University of Basel

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## B11.1 Turing Machines vs. Grammars

## B11.2 Closure Properties and Decidability

## B11.1 Turing Machines vs. Grammars

## Turing Machines

We have seen several variants of Turing machines:

- ▶ Deterministic TM with head movements left or right
- ▶ Deterministic TM with head movements left, right or neutral
- ▶ Multitape Turing machines
- ▶ Nondeterministic Turing machines

All variants recognize the same languages.

We mentioned earlier that we can relate Turing machines to the Type-1 and Type-0 languages.

## Reminder: Context-sensitive Grammar

Type-1 languages are also called **context-sensitive** languages.

### Definition (Context-sensitive Grammar)

A **context-sensitive grammar** is a 4-tuple  $\langle V, \Sigma, R, S \rangle$  with

- ▶  $V$  finite set of variables (nonterminal symbols)
- ▶  $\Sigma$  finite alphabet of terminal symbols with  $V \cap \Sigma = \emptyset$
- ▶  $R \subseteq (V \cup \Sigma)^* V (V \cup \Sigma)^* \times (V \cup \Sigma)^* V (V \cup \Sigma)^*$  finite set of rules, where all rules are of the form  $\alpha B \gamma \rightarrow \alpha \beta \gamma$  with  $B \in V$  and  $\alpha, \gamma \in (V \cup \Sigma)^*$  and  $\beta \in (V \cup \Sigma)^+$ .  
Exception:  $S \rightarrow \varepsilon$  is allowed if  $S$  never occurs on the right-hand side of a rule.
- ▶  $S \in V$  start variable.

## One Automata Model for Two Grammar Types?

Don't we need different automata models for context-sensitive and Type-0 languages?



Picture courtesy of stockimages / FreeDigitalPhotos.net

## Linear Bounded Automata: Idea

- ▶ **Linear bounded automata** are NTMs that may only use the **part of the tape occupied by the input word**.
- ▶ one way of formalizing this: NTMs where blank symbol may never be replaced by a different symbol

## Linear Bounded Turing Machines: Definition

### Definition (Linear Bounded Automata)

An NTM  $M = \langle Q, \Sigma, \Gamma, \delta, q_0, q_{\text{accept}}, q_{\text{reject}} \rangle$  is called a **linear bounded automaton (LBA)** if for all  $q \in Q \setminus \{q_{\text{accept}}, q_{\text{reject}}\}$  and all transition rules  $\langle q', c, y \rangle \in \delta(q, \square)$  we have  $c = \square$ .

**German:** linear beschränkte Turingmaschine

## LBAs Recognize Type-1 Languages

### Theorem

*The languages that can be recognized by linear bounded automata are exactly the context-sensitive (type-1) languages.*

Without proof.

proof sketch for grammar  $\Rightarrow$  NTM direction:

- ▶ computation of the NTM follows the production of the word in the grammar **in opposite order**
- ▶ accept when only the start symbol (and blanks) are left on the tape
- ▶ because the language is context-sensitive, we never need additional space on the tape (empty word needs special treatment)

## NTMs Recognize Type-0 Languages

### Theorem

*The languages that can be recognized by nondeterministic Turing machines are exactly the type-0 languages.*

Without proof.

proof sketch for grammar  $\Rightarrow$  NTM direction:

- ▶ analogous to previous proof
- ▶ for grammar rules  $w_1 \rightarrow w_2$  with  $|w_1| > |w_2|$ , we must “insert” symbols into the existing tape content; this is a bit tedious, but not very difficult

## What about the Deterministic Variants?

We know that DTMs and NTMs recognize the same languages.

Hence:

### Corollary

*The Turing-recognizable languages are exactly the Type-0 languages.*

Note: It is an open problem whether **deterministic** LBAs can recognize exactly the type-1 languages.

## B11.2 Closure Properties and Decidability

## Closure Properties

	Intersection	Union	Complement	Concatenation	Star
Type 3	Yes	Yes	Yes	Yes	Yes
Type 2	No	Yes	No	Yes	Yes
Type 1	Yes <sup>(2)</sup>	Yes <sup>(1)</sup>	Yes <sup>(2)</sup>	Yes <sup>(1)</sup>	Yes <sup>(1)</sup>
Type 0	Yes <sup>(2)</sup>	Yes <sup>(1)</sup>	No <sup>(3)</sup>	Yes <sup>(1)</sup>	Yes <sup>(1)</sup>

Proofs?

- (1) proof via grammars, similar to context-free cases
- (2) without proof
- (3) proof in later chapters (part C)

## Decidability

	Word problem	Emptiness problem	Equivalence problem	Intersection problem
Type 3	Yes	Yes	Yes	Yes
Type 2	Yes	Yes	No	No
Type 1	Yes <sup>(1)</sup>	No <sup>(3)</sup>	No <sup>(2)</sup>	No <sup>(2)</sup>
Type 0	No <sup>(4)</sup>	No <sup>(4)</sup>	No <sup>(4)</sup>	No <sup>(4)</sup>

Proofs?

- (1) same argument we used for context-free languages
- (2) because already undecidable for context-free languages
- (3) without proof
- (4) proofs in later chapters (part C)

## Summary

- ▶ Turing machines recognize exactly the **type-0** languages.
- ▶ Linear bounded automata recognize exactly the **context-sensitive** languages.
- ▶ The context-sensitive and type-0 languages are **closed** under **almost all** usual operations.
  - ▶ exception: **type-0** not closed under **complement**
- ▶ For context-sensitive and type-0 languages **almost no problem is decidable**.
  - ▶ exception: **word problem** for **context-sensitive** lang. decidable

## What's Next?

contents of this course:

- A. **background** ✓
  - ▷ mathematical foundations and proof techniques
- B. **automata theory and formal languages** ✓
  - ▷ What is a computation?
- C. **Turing computability**
  - ▷ What can be computed at all?
- D. **complexity theory**
  - ▷ What can be computed efficiently?
- E. **more computability theory**
  - ▷ Other models of computability