

# Theory of Computer Science

## C3. Regular Languages: Regular Expressions

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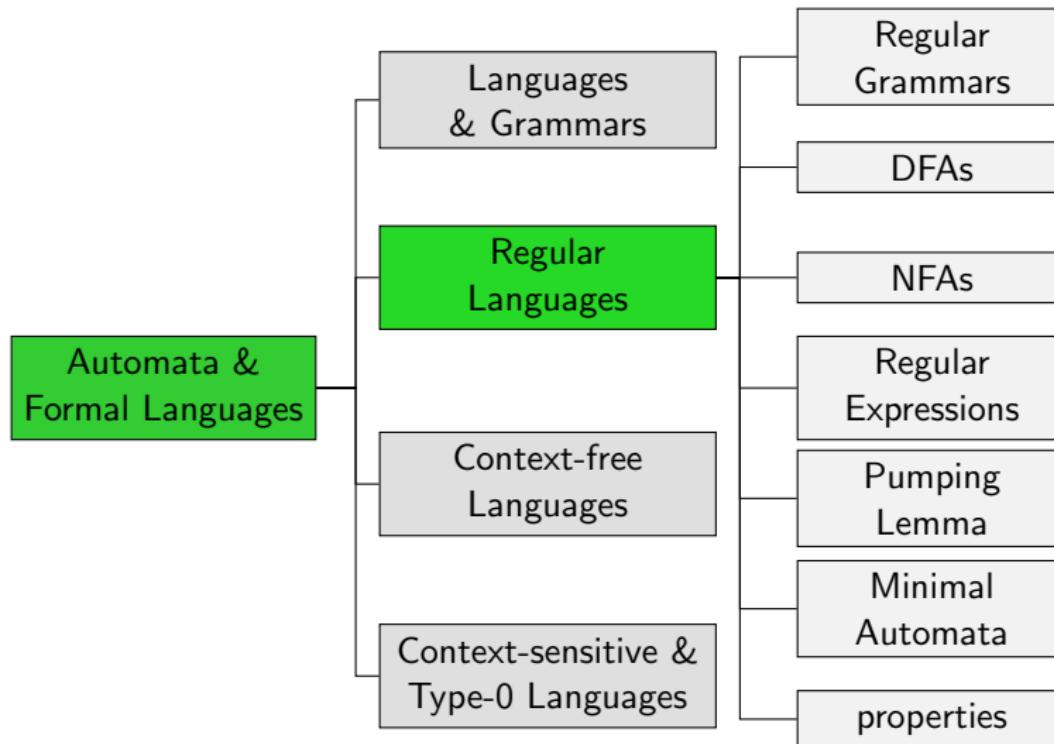
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## C3.1 Regular Expressions

## C3.2 Summary

# C3.1 Regular Expressions

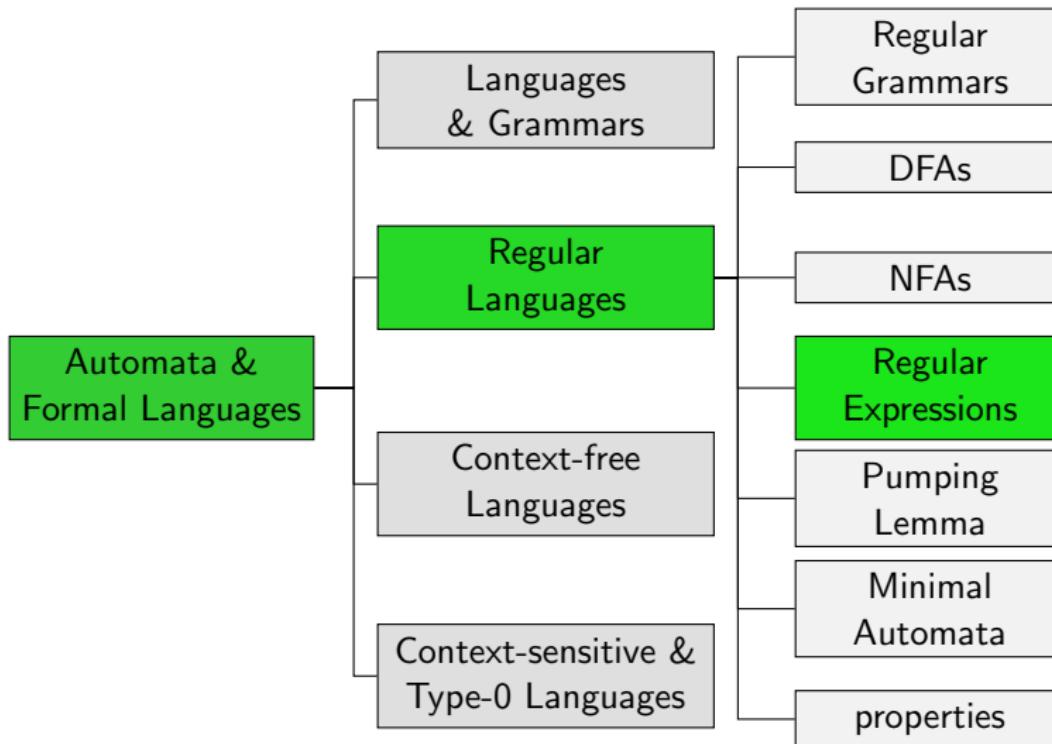
# Overview



# Formalisms for Regular Languages

- ▶ DFAs, NFAs and regular grammars can all describe exactly the regular languages.
- ▶ Are there other concepts with the same expressiveness?
- ▶ **Yes!**  $\rightsquigarrow$  regular expressions

# Overview



# Concatenation of Languages and Kleene Star

## Concatenation

- ▶ For two languages  $L_1$  (over  $\Sigma_1$ ) and  $L_2$  (over  $\Sigma_2$ ), the **concatenation** of  $L_1$  and  $L_2$  is the language  $L_1 L_2 = \{w_1 w_2 \in (\Sigma_1 \cup \Sigma_2)^* \mid w_1 \in L_1, w_2 \in L_2\}$ .

## Kleene star

- ▶ For language  $L$  define
  - ▶  $L^0 = \{\varepsilon\}$
  - ▶  $L^1 = L$
  - ▶  $L^{i+1} = L^i L$  for  $i \in \mathbb{N}_{>0}$
- ▶ The definition of Kleene star on  $L$  is  $L^* = \bigcup_{i \geq 0} L^i$ .

# Regular Expressions: Definition

## Definition (Regular Expressions)

Regular expressions over an alphabet  $\Sigma$  are defined inductively:

- ▶  $\emptyset$  is a regular expression
- ▶  $\varepsilon$  is a regular expression
- ▶ If  $a \in \Sigma$ , then  $a$  is a regular expression

If  $\alpha$  and  $\beta$  are regular expressions, then so are:

- ▶  $(\alpha\beta)$  (concatenation)
- ▶  $(\alpha|\beta)$  (alternative)
- ▶  $(\alpha^*)$  (Kleene closure)

German: reguläre Ausdrücke, Verkettung, Alternative, kleenesche Hülle

# Regular Expressions: Omitting Parentheses

omitted parentheses by convention:

- ▶ Kleene closure  $\alpha^*$  binds more strongly than concatenation  $\alpha\beta$ .
- ▶ Concatenation binds more strongly than alternative  $\alpha|\beta$ .
- ▶ Parentheses for nested concatenations/alternatives are omitted (we can treat them as left-associative; it does not matter).

Example:  $ab^*c|\varepsilon|abab^*$  abbreviates  $((((a(b^*))c)|\varepsilon))|(((ab)a)(b^*))$ .

# Regular Expressions: Examples

some regular expressions for  $\Sigma = \{0, 1\}$ :

- ▶  $0^*10^*$
- ▶  $(0|1)^*1(0|1)^*$
- ▶  $((0|1)(0|1))^*$
- ▶  $01|10$
- ▶  $0(0|1)^*0|1(0|1)^*1|0|1$

# Regular Expressions: Language

## Definition (Language Described by a Regular Expression)

The **language described by a regular expression**  $\gamma$ , written  $\mathcal{L}(\gamma)$ , is inductively defined as follows:

- ▶ If  $\gamma = \emptyset$ , then  $\mathcal{L}(\gamma) = \emptyset$ .
- ▶ If  $\gamma = \varepsilon$ , then  $\mathcal{L}(\gamma) = \{\varepsilon\}$ .
- ▶ If  $\gamma = a$  with  $a \in \Sigma$ , then  $\mathcal{L}(\gamma) = \{a\}$ .
- ▶ If  $\gamma = (\alpha\beta)$ , where  $\alpha$  and  $\beta$  are regular expressions, then  $\mathcal{L}(\gamma) = \mathcal{L}(\alpha)\mathcal{L}(\beta)$ .
- ▶ If  $\gamma = (\alpha|\beta)$ , where  $\alpha$  and  $\beta$  are regular expressions, then  $\mathcal{L}(\gamma) = \mathcal{L}(\alpha) \cup \mathcal{L}(\beta)$ .
- ▶ If  $\gamma = (\alpha^*)$  where  $\alpha$  is a regular expression, then  $\mathcal{L}(\gamma) = \mathcal{L}(\alpha)^*$ .

**Examples:** blackboard

# Finite Languages Can Be Described By Regular Expressions

## Theorem

*Every finite language can be described by a regular expression.*

## Proof.

For every word  $w \in \Sigma^*$ , a regular expression describing the language  $\{w\}$  can be built from regular expressions  $a \in \Sigma$  by using concatenations.

(Use  $\varepsilon$  if  $w = \varepsilon$ .)

For every finite language  $L = \{w_1, w_2, \dots, w_n\}$ , a regular expression describing  $L$  can be built from the regular expressions for  $\{w_i\}$  by using alternatives.

(Use  $\emptyset$  if  $L = \emptyset$ .)



# Regular Expressions Not More Powerful Than NFAs

## Theorem

*For every language that can be described by a regular expression, there is an NFA that accepts it.*

## Proof.

Let  $\gamma$  be a regular expression.

We show the statement by induction over the structure of regular expressions.

For  $\gamma = \emptyset, \gamma = \varepsilon$  and  $\gamma = a$ ,

NFAs that accept  $\mathcal{L}(\gamma)$  are obvious.

...

# Regular Expressions Not More Powerful Than NFAs

## Theorem

*For every language that can be described by a regular expression, there is an NFA that accepts it.*

## Proof (continued).

For  $\gamma = (\alpha\beta)$ , let  $M_\alpha$  and  $M_\beta$  be NFAs that (by ind. hypothesis) accept  $\mathcal{L}(\alpha)$  and  $\mathcal{L}(\beta)$ . W.l.o.g., their states are disjoint.

Construct NFA  $M$  for  $\mathcal{L}(\gamma)$  by “daisy-chaining”  $M_\alpha$  and  $M_\beta$ :

- ▶ states: union of states of  $M_\alpha$  and  $M_\beta$
- ▶ start states: those of  $M_\alpha$ ; if  $\varepsilon \in \mathcal{L}(\alpha)$ , also those of  $M_\beta$
- ▶ end states: end states of  $M_\beta$
- ▶ state transitions: all transitions of  $M_\alpha$  and of  $M_\beta$ ;  
additionally: for every transition to an end state of  $M_\alpha$ ,  
an equally labeled transition to all start states of  $M_\beta$

...

# Regular Expressions Not More Powerful Than NFAs

## Theorem

*For every language that can be described by a regular expression, there is an NFA that accepts it.*

## Proof (continued).

For  $\gamma = (\alpha|\beta)$ , by the induction hypothesis let  $M_\alpha = \langle Q_\alpha, \Sigma, \delta_\alpha, S_\alpha, E_\alpha \rangle$  and  $M_\beta = \langle Q_\beta, \Sigma, \delta_\beta, S_\beta, E_\beta \rangle$  be NFAs that accept  $\mathcal{L}(\alpha)$  and  $\mathcal{L}(\beta)$ .  
W.l.o.g.,  $Q_\alpha \cap Q_\beta = \emptyset$ .

Then the “union automaton”

$$M = \langle Q_\alpha \cup Q_\beta, \Sigma, \delta_\alpha \cup \delta_\beta, S_\alpha \cup S_\beta, E_\alpha \cup E_\beta \rangle$$

accepts the language  $\mathcal{L}(\gamma)$ .

...

German: Vereinigungsautomat

# Regular Expressions Not More Powerful Than NFAs

## Theorem

*For every language that can be described by a regular expression, there is an NFA that accepts it.*

### Proof (continued).

For  $\gamma = (\alpha^*)$ , by the induction hypothesis let  $M_\alpha = \langle Q_\alpha, \Sigma, \delta_\alpha, S_\alpha, E_\alpha \rangle$  be an NFA that accepts  $\mathcal{L}(\alpha)$ .

If  $\varepsilon \notin \mathcal{L}(\alpha)$ , add an additional state to  $M_\alpha$  that is a start and end state and not connected to other states.  $M_\alpha$  now recognizes  $\mathcal{L}(\alpha) \cup \{\varepsilon\}$ .

$M$  is constructed from  $M_\alpha$  by adding the following new transitions: whenever  $M_\alpha$  has a transition from  $s$  to end state  $s'$  with symbol  $a$ , add transitions from  $s$  to every start state with symbol  $a$ .

Then  $\mathcal{L}(M) = \mathcal{L}(\gamma)$ .



# DFAs Not More Powerful Than Regular Expressions

## Theorem

*Every language accepted by a DFA can be described by a regular expression.*

Without proof.

# Regular Languages vs. Regular Expressions

## Theorem (Kleene)

*The set of languages that can be described by regular expressions is exactly the set of regular languages.*

This follows directly from the previous two theorems.

## C3.2 Summary

# Summary

- ▶ **Regular expressions** are another way to describe languages.
- ▶ All regular languages can be described by regular expressions, and all regular expressions describe regular languages.
- ▶ Hence, they are equivalent to finite automata.