

# Theory of Computer Science

## B3. Propositional Logic III

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B3.1 Logical Consequences

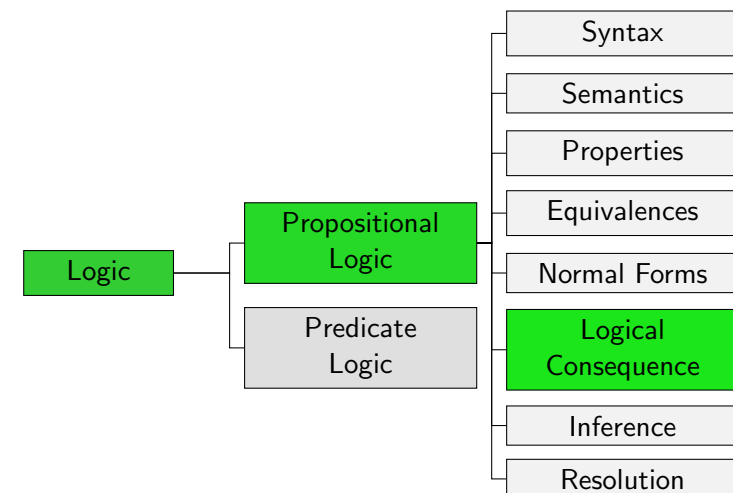
B3.2 Inference

B3.3 Resolution Calculus

B3.4 Summary

## B3.1 Logical Consequences

## Logic: Overview



## Knowledge Bases: Example



If not DrinkBeer, then EatFish.  
 If EatFish and DrinkBeer,  
 then not EatIceCream.  
 If EatIceCream or not DrinkBeer,  
 then not EatFish.

$$\text{KB} = \{(\neg\text{DrinkBeer} \rightarrow \text{EatFish}), \\ ((\text{EatFish} \wedge \text{DrinkBeer}) \rightarrow \neg\text{EatIceCream}), \\ ((\text{EatIceCream} \vee \neg\text{DrinkBeer}) \rightarrow \neg\text{EatFish})\}$$

Exercise from U. Schöning: Logik für Informatiker  
 Picture courtesy of graur razvan ionut / FreeDigitalPhotos.net

## Models for Sets of Formulas

### Definition (Model for Knowledge Base)

Let KB be a **knowledge base** over  $A$ ,  
 i. e., a set of propositional formulas over  $A$ .

A truth assignment  $\mathcal{I}$  for  $A$  is a **model for KB** (written:  $\mathcal{I} \models \text{KB}$ )  
 if  $\mathcal{I}$  is a **model for every formula**  $\varphi \in \text{KB}$ .

**German:** Wissensbasis, Modell

## Properties of Sets of Formulas

A knowledge base KB is

- ▶ **satisfiable** if KB has at least one model
- ▶ **unsatisfiable** if KB is not satisfiable
- ▶ **valid** (or a **tautology**) if every interpretation is a model for KB
- ▶ **falsifiable** if KB is no tautology

**German:** erfüllbar, unerfüllbar, gültig, gültig/eine Tautologie, falsifizierbar

## Example I

Which of the properties does  $\text{KB} = \{(A \wedge \neg B), \neg(B \vee A)\}$  have?

KB is **unsatisfiable**:

For every model  $\mathcal{I}$  with  $\mathcal{I} \models (A \wedge \neg B)$  we have  $\mathcal{I}(A) = 1$ .  
 This means  $\mathcal{I} \models (B \vee A)$  and thus  $\mathcal{I} \not\models \neg(B \vee A)$ .

This directly implies that KB is **falsifiable**, **not satisfiable**  
 and **no tautology**.

## Example II

Which of the properties does

$$\text{KB} = \{(\neg\text{DrinkBeer} \rightarrow \text{EatFish}), \\ ((\text{EatFish} \wedge \text{DrinkBeer}) \rightarrow \neg\text{EatIceCream}), \\ ((\text{EatIceCream} \vee \neg\text{DrinkBeer}) \rightarrow \neg\text{EatFish})\}$$
 have?

## Logical Consequences: Motivation

What's the secret of your long life?



I am on a strict diet: If I don't drink beer to a meal, then I always eat fish. Whenever I have fish and beer with the same meal, I abstain from ice cream. When I eat ice cream or don't drink beer, then I never touch fish.

**Claim:** the woman drinks beer to every meal.

How can we prove this?

Exercise from U. Schöning: Logik für Informatiker  
Picture courtesy of graur razvan ionut/FreeDigitalPhotos.net

## Logical Consequences

### Definition (Logical Consequence)

Let  $\text{KB}$  be a set of formulas and  $\varphi$  a formula.

We say that  $\text{KB}$  **logically implies**  $\varphi$  (written as  $\text{KB} \models \varphi$ ) if **all models** of  $\text{KB}$  are also models of  $\varphi$ .

**also:**  $\text{KB}$  **logically entails**  $\varphi$ ,  $\varphi$  **logically follows** from  $\text{KB}$ ,  $\varphi$  is a **logical consequence** of  $\text{KB}$

**German:**  $\text{KB}$  impliziert  $\varphi$  logisch,  $\varphi$  folgt logisch aus  $\text{KB}$ ,  $\varphi$  ist logische Konsequenz von  $\text{KB}$

**Attention:** the symbol  $\models$  is "overloaded":  $\text{KB} \models \varphi$  vs.  $\mathcal{I} \models \varphi$ .

What if  $\text{KB}$  is unsatisfiable or the empty set?

## Logical Consequences: Example

Let  $\varphi = \text{DrinkBeer}$  and

$$\text{KB} = \{(\neg\text{DrinkBeer} \rightarrow \text{EatFish}), \\ ((\text{EatFish} \wedge \text{DrinkBeer}) \rightarrow \neg\text{EatIceCream}), \\ ((\text{EatIceCream} \vee \neg\text{DrinkBeer}) \rightarrow \neg\text{EatFish})\}.$$

**Show:**  $\text{KB} \models \varphi$

**Proof sketch.**

**Proof by contradiction:** assume  $\mathcal{I} \models \text{KB}$ , but  $\mathcal{I} \not\models \text{DrinkBeer}$ .

Then it follows that  $\mathcal{I} \models \neg\text{DrinkBeer}$ .

Because  $\mathcal{I}$  is a model of  $\text{KB}$ , we also have

$\mathcal{I} \models (\neg\text{DrinkBeer} \rightarrow \text{EatFish})$  and thus  $\mathcal{I} \models \text{EatFish}$ . (Why?)

With an analogous argumentation starting from  $\mathcal{I} \models ((\text{EatIceCream} \vee \neg\text{DrinkBeer}) \rightarrow \neg\text{EatFish})$

we get  $\mathcal{I} \models \neg\text{EatFish}$  and thus  $\mathcal{I} \not\models \text{EatFish}$ .  $\rightsquigarrow$  **Contradiction!**

## Important Theorems about Logical Consequences

### Theorem (Deduction Theorem)

$KB \cup \{\varphi\} \models \psi$  iff  $KB \models (\varphi \rightarrow \psi)$

German: Deduktionssatz

### Theorem (Contraposition Theorem)

$KB \cup \{\varphi\} \models \neg\psi$  iff  $KB \cup \{\psi\} \models \neg\varphi$

German: Kontrapositionssatz

### Theorem (Contradiction Theorem)

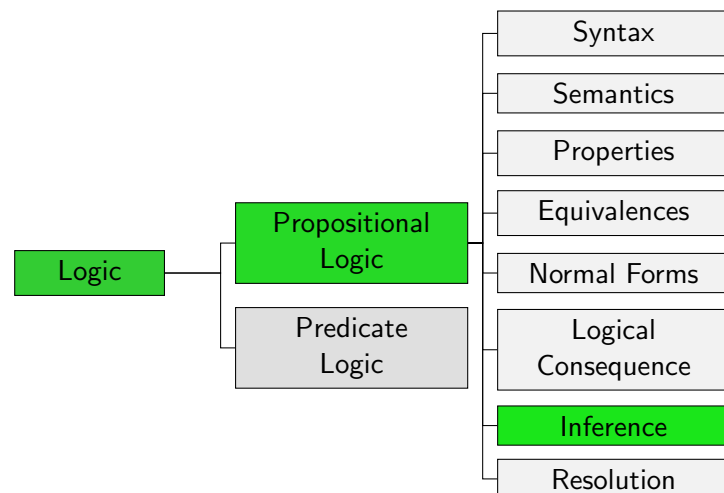
$KB \cup \{\varphi\}$  is *unsatisfiable* iff  $KB \models \neg\varphi$

German: Widerlegungssatz

(without proof)

## B3.2 Inference

## Logic: Overview



## Inference: Motivation

- ▶ up to now: proof of **logical consequence** with **semantic arguments**
- ▶ no general algorithm
- ▶ **solution**: produce with **syntactic inference rules** formulas that are logical consequences of given formulas.
- ▶ **advantage**: **mechanical method** can easily be implemented as an algorithm

## Inference Rules

- ▶ **Inference rules** have the form

$$\frac{\varphi_1, \dots, \varphi_k}{\psi}$$

- ▶ Meaning: "Every model of  $\varphi_1, \dots, \varphi_k$  is a model of  $\psi$ ."
- ▶ An **axiom** is an inference rule with  $k = 0$ .
- ▶ A set of syntactic inference rules is called a **calculus** or **proof system**.

German: Inferenzregel, Axiom, Kalkül, Beweissystem

## Some Inference Rules for Propositional Logic

$$\text{Modus ponens} \quad \frac{\varphi, (\varphi \rightarrow \psi)}{\psi}$$

$$\text{Modus tollens} \quad \frac{\neg\psi, (\varphi \rightarrow \psi)}{\neg\varphi}$$

$$\wedge\text{-elimination} \quad \frac{(\varphi \wedge \psi)}{\varphi} \quad \frac{(\varphi \wedge \psi)}{\psi}$$

$$\wedge\text{-introduction} \quad \frac{\varphi, \psi}{(\varphi \wedge \psi)}$$

$$\vee\text{-introduction} \quad \frac{\varphi}{(\varphi \vee \psi)}$$

$$\leftrightarrow\text{-elimination} \quad \frac{(\varphi \leftrightarrow \psi)}{(\varphi \rightarrow \psi)} \quad \frac{(\varphi \leftrightarrow \psi)}{(\psi \rightarrow \varphi)}$$

## Derivation

### Definition (Derivation)

A **derivation** or **proof** of a formula  $\varphi$  from a knowledge base KB is a sequence of formulas  $\psi_1, \dots, \psi_k$  with

- ▶  $\psi_k = \varphi$  and
- ▶ for all  $i \in \{1, \dots, k\}$ :
  - ▶  $\psi_i \in \text{KB}$ , or
  - ▶  $\psi_i$  is the result of the application of an inference rule to elements from  $\{\psi_1, \dots, \psi_{i-1}\}$ .

German: Ableitung, Beweis

## Derivation: Example

### Example

Given:  $\text{KB} = \{P, (P \rightarrow Q), (P \rightarrow R), ((Q \wedge R) \rightarrow S)\}$

Task: Find derivation of  $(S \wedge R)$  from KB.

- ①  $P$  (KB)
- ②  $(P \rightarrow Q)$  (KB)
- ③  $Q$  (1, 2, Modus ponens)
- ④  $(P \rightarrow R)$  (KB)
- ⑤  $R$  (1, 4, Modus ponens)
- ⑥  $(Q \wedge R)$  (3, 5,  $\wedge$ -introduction)
- ⑦  $((Q \wedge R) \rightarrow S)$  (KB)
- ⑧  $S$  (6, 7, Modus ponens)
- ⑨  $(S \wedge R)$  (8, 5,  $\wedge$ -introduction)

## Correctness and Completeness

### Definition (Correctness and Completeness of a Calculus)

We write  $KB \vdash_C \varphi$  if there is a derivation of  $\varphi$  from KB in calculus  $C$ .

(If calculus  $C$  is clear from context, also only  $KB \vdash \varphi$ .)

A calculus  $C$  is **correct** if for all KB and  $\varphi$   
 $KB \vdash_C \varphi$  implies  $KB \models \varphi$ .

A calculus  $C$  is **complete** if for all KB and  $\varphi$   
 $KB \models \varphi$  implies  $KB \vdash_C \varphi$ .

Consider calculus  $C$ , consisting of the derivation rules seen earlier.

**Question:** Is  $C$  correct?

**Question:** Is  $C$  complete?

**German:** korrekt, vollständig

## Refutation-completeness

- ▶ We obviously want **correct** calculi.
- ▶ Do we always need a **complete** calculus?
- ▶ **Contradiction theorem:**  
 $KB \cup \{\varphi\}$  is unsatisfiable iff  $KB \models \neg\varphi$
- ▶ This implies that  $KB \models \varphi$  iff  $KB \cup \{\neg\varphi\}$  is unsatisfiable.
- ▶ We can reduce the **general** implication problem to a **test of unsatisfiability**.
- ▶ In calculi, we use the special symbol  $\square$  for (provably) unsatisfiable formulas.

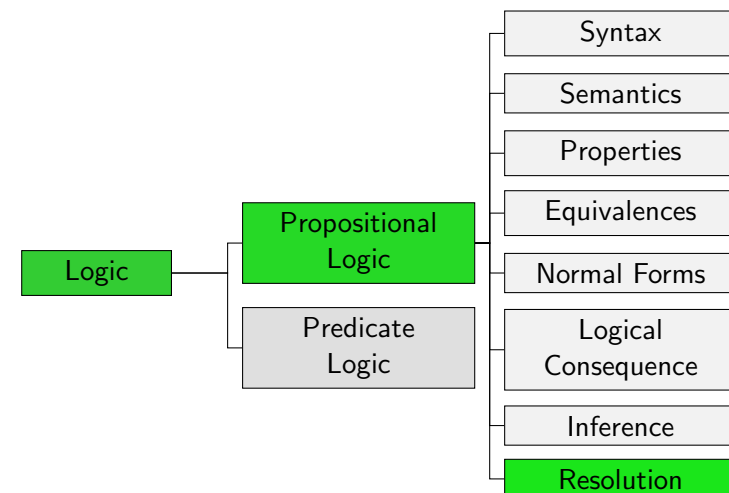
### Definition (Refutation-Completeness)

A calculus  $C$  is **refutation-complete** if it holds for all unsatisfiable KB that  $KB \vdash_C \square$ .

**German:** widerlegungsvollständig

## B3.3 Resolution Calculus

## Logic: Overview



## Resolution: Idea

- ▶ **Resolution** is a refutation-complete calculus for knowledge bases in **conjunctive normal form**.
- ▶ Every knowledge base can be transformed into equivalent formulas in CNF.
  - ▶ Transformation can require exponential time.
  - ▶ Alternative: efficient transformation in **equisatisfiable** formulas (not part of this course)
- ▶ Show  $\text{KB} \models \varphi$  by derivability of  $\text{KB} \cup \{\neg\varphi\} \vdash_R \square$  with **resolution calculus  $R$** .
- ▶ Resolution can require exponential time.
- ▶ This is probably the case for **all** refutation-complete proof methods.  $\rightsquigarrow$  **complexity theory**

German: Resolution, erfüllbarkeitsäquivalent

## Knowledge Base as Set of Clauses

Simplified notation of knowledge bases in CNF

- ▶ **Formula** in CNF as **set of clauses** (due to commutativity, idempotence, associativity of  $\wedge$ )
- ▶ **Set of formulas** as **set of clauses**
- ▶ **Clause** as **set of literals** (due to commutativity, idempotence, associativity of  $\vee$ )
- ▶ Knowledge base as **set of sets of literals**

### Example

$$\text{KB} = \{(P \vee P), ((\neg P \vee Q) \wedge (\neg P \vee R) \wedge (\neg P \vee Q) \wedge R), ((\neg Q \vee \neg R \vee S) \wedge P)\}$$

as set of clauses:

$$\Delta = \{\{P\}, \{\neg P, Q\}, \{\neg P, R\}, \{R\}, \{\neg Q, \neg R, S\}\}$$

## Resolution Rule

The **resolution calculus** consists of a single rule, called **resolution rule**:

$$\frac{C_1 \cup \{L\}, C_2 \cup \{\neg L\}}{C_1 \cup C_2},$$

where  $C_1$  and  $C_2$  are (possibly empty) clauses and  $L$  is an atomic proposition.

If we derive the empty clause, we write  $\square$  instead of  $\{\}$ .

Terminology:

- ▶  $L$  and  $\neg L$  are the **resolution literals**,
- ▶  $C_1 \cup \{L\}$  and  $C_2 \cup \{\neg L\}$  are the **parent clauses**, and
- ▶  $C_1 \cup C_2$  is the **resolvent**.

German: Resolutionskalkül, Resolutionsregel, Resolutionsliterals, Elternklauseln, Resolvent

## Proof by Resolution

### Definition (Proof by Resolution)

A **proof by resolution** of a clause  $D$  from a knowledge base  $\Delta$  is a sequence of clauses  $C_1, \dots, C_n$  with

- ▶  $C_n = D$  and
- ▶ for all  $i \in \{1, \dots, n\}$ :
  - ▶  $C_i \in \Delta$ , or
  - ▶  $C_i$  is resolvent of two clauses from  $\{C_1, \dots, C_{i-1}\}$ .

If there is a proof of  $D$  by resolution from  $\Delta$ , we say that  $D$  can be **derived with resolution from  $\Delta$**  and write  $\Delta \vdash_R D$ .

**Remark:** Resolution is a **correct, refutation-complete**, but **incomplete** calculus.

German: Resolutionsbeweis, "mit Resolution aus  $\Delta$  abgeleitet"

## Proof by Resolution: Example

### Proof by Resolution for Testing a Logical Consequence: Example

Given:  $KB = \{P, (P \rightarrow (Q \wedge R))\}$ .

Show with resolution that  $KB \models (R \vee S)$ .

Three steps:

- 1 Reduce logical consequence to unsatisfiability.
- 2 Transform knowledge base into clause form (CNF).
- 3 Derive empty clause  $\square$  with resolution.

**Step 1:** Reduce logical consequence to unsatisfiability.

$KB \models (R \vee S)$  iff  $KB \cup \{\neg(R \vee S)\}$  is unsatisfiable.

Thus, consider

$KB' = KB \cup \{\neg(R \vee S)\} = \{P, (P \rightarrow (Q \wedge R)), \neg(R \vee S)\}$ .

...

## Proof by Resolution: Example (continued)

### Proof by Resolution for Testing a Logical Consequence: Example

$KB' = \{P, (P \rightarrow (Q \wedge R)), \neg(R \vee S)\}$ .

**Step 2:** Transform knowledge base into clause form (CNF).

- ▶  $P$   
 $\rightsquigarrow$  Clauses:  $\{P\}$
- ▶  $P \rightarrow (Q \wedge R) \equiv (\neg P \vee (Q \wedge R)) \equiv ((\neg P \vee Q) \wedge (\neg P \vee R))$   
 $\rightsquigarrow$  Clauses:  $\{\neg P, Q\}, \{\neg P, R\}$
- ▶  $\neg(R \vee S) \equiv (\neg R \wedge \neg S)$   
 $\rightsquigarrow$  Clauses:  $\{\neg R\}, \{\neg S\}$

$\Delta = \{\{P\}, \{\neg P, Q\}, \{\neg P, R\}, \{\neg R\}, \{\neg S\}\}$

...

## Proof by Resolution: Example (continued)

### Proof by Resolution for Testing a Logical Consequence: Example

$\Delta = \{\{P\}, \{\neg P, Q\}, \{\neg P, R\}, \{\neg R\}, \{\neg S\}\}$

**Step 3:** Derive empty clause  $\square$  with resolution.

- ▶  $C_1 = \{P\}$  (from  $\Delta$ )
- ▶  $C_2 = \{\neg P, Q\}$  (from  $\Delta$ )
- ▶  $C_3 = \{\neg P, R\}$  (from  $\Delta$ )
- ▶  $C_4 = \{\neg R\}$  (from  $\Delta$ )
- ▶  $C_5 = \{Q\}$  (from  $C_1$  und  $C_2$ )
- ▶  $C_6 = \{\neg P\}$  (from  $C_3$  und  $C_4$ )
- ▶  $C_7 = \square$  (from  $C_1$  und  $C_6$ )

**Note:** There are shorter proofs. (For example?)

## Another Example

### Another Example for Resolution

Show with resolution, that  $KB \models \text{DrinkBeer}$ , where

$$KB = \{(\neg \text{DrinkBeer} \rightarrow \text{EatFish}),$$

$$((\text{EatFish} \wedge \text{DrinkBeer}) \rightarrow \neg \text{EatIceCream}),$$

$$((\text{EatIceCream} \vee \neg \text{DrinkBeer}) \rightarrow \neg \text{EatFish})\}.$$



## B3.4 Summary

## Summary

- ▶ **knowledge base**: set of formulas describing given information; satisfiable, valid etc. used like for individual formulas
- ▶ **logical consequence**  $KB \models \varphi$  means that  $\varphi$  is true whenever (= in all models where)  $KB$  is true
- ▶ A **logical consequence**  $KB \models \varphi$  allows to conclude that  $KB$  implies  $\varphi$  based on the semantics.
- ▶ A correct **calculus** supports such conclusions on the basis of **purely syntactical derivations**  $KB \vdash \varphi$ .
- ▶ **Complete calculi** often not necessary: For many questions **refutation-completeness** is sufficient.
- ▶ The **resolution calculus** is **correct** and **refutation-complete**.

## Further Topics

There are many aspects of propositional logic that we do not cover in this course.

- ▶ **resolution strategies** to make resolution as efficient as possible in practice,
- ▶ other proof systems, as for example **tableaux proofs**,
- ▶ algorithms for **model construction**, such as the Davis-Putnam-Logemann-Loveland (DPLL) algorithm.  
→ [Foundations of AI course](#)