

# Theory of Computer Science

## B3. Propositional Logic III

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B3.1 Logical Consequences

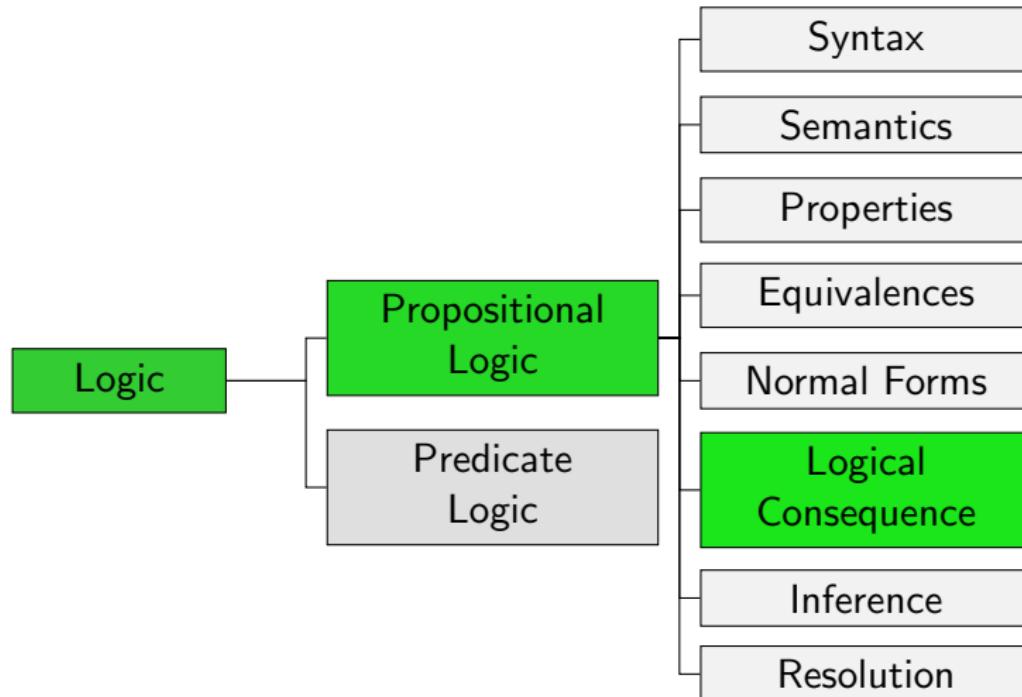
B3.2 Inference

B3.3 Resolution Calculus

B3.4 Summary

## B3.1 Logical Consequences

# Logic: Overview



# Knowledge Bases: Example



If not DrinkBeer, then EatFish.  
If EatFish and DrinkBeer,  
then not EatIceCream.  
If EatIceCream or not DrinkBeer,  
then not EatFish.

$$\begin{aligned} \text{KB} = \{ & (\neg \text{DrinkBeer} \rightarrow \text{EatFish}), \\ & ((\text{EatFish} \wedge \text{DrinkBeer}) \rightarrow \neg \text{EatIceCream}), \\ & ((\text{EatIceCream} \vee \neg \text{DrinkBeer}) \rightarrow \neg \text{EatFish}) \} \end{aligned}$$

Exercise from U. Schöning: Logik für Informatiker  
Picture courtesy of graur razvan ionut / FreeDigitalPhotos.net

# Models for Sets of Formulas

## Definition (Model for Knowledge Base)

Let  $\text{KB}$  be a **knowledge base** over  $A$ ,  
i. e., a set of propositional formulas over  $A$ .

A truth assignment  $\mathcal{I}$  for  $A$  is a **model for  $\text{KB}$**  (written:  $\mathcal{I} \models \text{KB}$ )  
if  $\mathcal{I}$  is a **model for every formula**  $\varphi \in \text{KB}$ .

German: Wissensbasis, Modell

# Properties of Sets of Formulas

A knowledge base KB is

- ▶ **satisfiable** if KB has at least one model
- ▶ **unsatisfiable** if KB is not satisfiable
- ▶ **valid** (or a **tautology**) if every interpretation is a model for KB
- ▶ **falsifiable** if KB is no tautology

German: erfüllbar, unerfüllbar, gültig, gültig/eine Tautologie, falsifizierbar

## Example I

Which of the properties does  $KB = \{(A \wedge \neg B), \neg(B \vee A)\}$  have?

$KB$  is **unsatisfiable**:

For every model  $\mathcal{I}$  with  $\mathcal{I} \models (A \wedge \neg B)$  we have  $\mathcal{I}(A) = 1$ .  
This means  $\mathcal{I} \models (B \vee A)$  and thus  $\mathcal{I} \not\models \neg(B \vee A)$ .

This directly implies that  $KB$  is **falsifiable, not satisfiable** and **no tautology**.

## Example II

Which of the properties does

$KB = \{(\neg \text{DrinkBeer} \rightarrow \text{EatFish}),$   
 $((\text{EatFish} \wedge \text{DrinkBeer}) \rightarrow \neg \text{EatIceCream}),$   
 $((\text{EatIceCream} \vee \neg \text{DrinkBeer}) \rightarrow \neg \text{EatFish})\}$  have?

# Logical Consequences: Motivation

What's the secret of your long life?



I am on a strict diet: If I don't drink beer to a meal, then I always eat fish. Whenever I have fish and beer with the same meal, I abstain from ice cream. When I eat ice cream or don't drink beer, then I never touch fish.

Claim: the woman drinks beer to every meal.

How can we prove this?

Exercise from U. Schöning: Logik für Informatiker  
Picture courtesy of graur razvan ionut/FreeDigitalPhotos.net

# Logical Consequences

## Definition (Logical Consequence)

Let  $\text{KB}$  be a set of formulas and  $\varphi$  a formula.

We say that  $\text{KB}$  logically implies  $\varphi$  (written as  $\text{KB} \models \varphi$ ) if all models of  $\text{KB}$  are also models of  $\varphi$ .

also:  $\text{KB}$  logically entails  $\varphi$ ,  $\varphi$  logically follows from  $\text{KB}$ ,  
 $\varphi$  is a logical consequence of  $\text{KB}$

German:  $\text{KB}$  impliziert  $\varphi$  logisch,  $\varphi$  folgt logisch aus  $\text{KB}$ ,  
 $\varphi$  ist logische Konsequenz von  $\text{KB}$

**Attention:** the symbol  $\models$  is “overloaded”:  $\text{KB} \models \varphi$  vs.  $\mathcal{I} \models \varphi$ .

What if  $\text{KB}$  is unsatisfiable or the empty set?

## Logical Consequences: Example

Let  $\varphi = \text{DrinkBeer}$  and

$$\begin{aligned} \text{KB} = \{ & (\neg \text{DrinkBeer} \rightarrow \text{EatFish}), \\ & ((\text{EatFish} \wedge \text{DrinkBeer}) \rightarrow \neg \text{EatIceCream}), \\ & ((\text{EatIceCream} \vee \neg \text{DrinkBeer}) \rightarrow \neg \text{EatFish}) \}. \end{aligned}$$

Show:  $\text{KB} \models \varphi$

Proof sketch.

Proof by contradiction: assume  $\mathcal{I} \models \text{KB}$ , but  $\mathcal{I} \not\models \text{DrinkBeer}$ .

Then it follows that  $\mathcal{I} \models \neg \text{DrinkBeer}$ .

Because  $\mathcal{I}$  is a model of KB, we also have

$\mathcal{I} \models (\neg \text{DrinkBeer} \rightarrow \text{EatFish})$  and thus  $\mathcal{I} \models \text{EatFish}$ . (Why?)

With an analogous argumentation starting from

$\mathcal{I} \models ((\text{EatIceCream} \vee \neg \text{DrinkBeer}) \rightarrow \neg \text{EatFish})$

we get  $\mathcal{I} \models \neg \text{EatFish}$  and thus  $\mathcal{I} \not\models \text{EatFish}$ .  $\rightsquigarrow$  Contradiction!

# Important Theorems about Logical Consequences

**Theorem (Deduction Theorem)**

$$KB \cup \{\varphi\} \models \psi \text{ iff } KB \models (\varphi \rightarrow \psi)$$

German: Deduktionssatz

**Theorem (Contraposition Theorem)**

$$KB \cup \{\varphi\} \models \neg\psi \text{ iff } KB \cup \{\psi\} \models \neg\varphi$$

German: Kontrapositionssatz

**Theorem (Contradiction Theorem)**

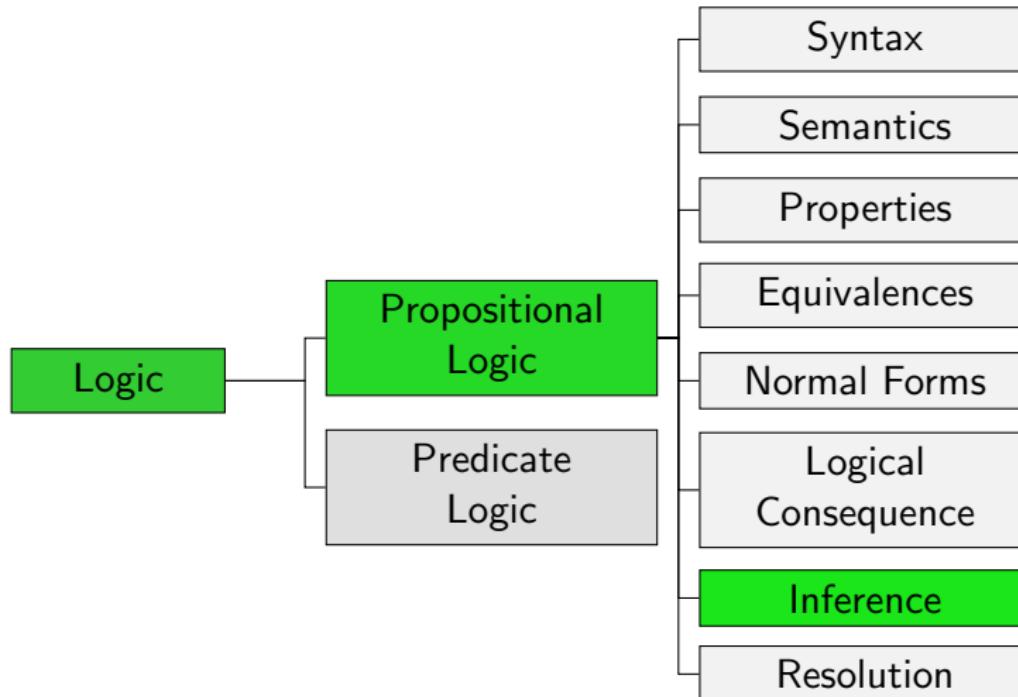
$$KB \cup \{\varphi\} \text{ is unsatisfiable iff } KB \models \neg\varphi$$

German: Widerlegungssatz

(without proof)

## B3.2 Inference

# Logic: Overview



# Inference: Motivation

- ▶ up to now: proof of logical consequence with semantic arguments
- ▶ no general algorithm
- ▶ solution: produce with syntactic inference rules formulas that are logical consequences of given formulas.
- ▶ advantage: mechanical method can easily be implemented as an algorithm

# Inference Rules

- ▶ Inference rules have the form

$$\frac{\varphi_1, \dots, \varphi_k}{\psi}.$$

- ▶ Meaning: "Every model of  $\varphi_1, \dots, \varphi_k$  is a model of  $\psi$ ."
- ▶ An **axiom** is an inference rule with  $k = 0$ .
- ▶ A set of syntactic inference rules is called a **calculus** or **proof system**.

German: Inferenzregel, Axiom, Kalkül, Beweissystem

# Some Inference Rules for Propositional Logic

Modus ponens 
$$\frac{\varphi, (\varphi \rightarrow \psi)}{\psi}$$

Modus tollens 
$$\frac{\neg\psi, (\varphi \rightarrow \psi)}{\neg\varphi}$$

$\wedge$ -elimination 
$$\frac{(\varphi \wedge \psi)}{\varphi} \quad \frac{(\varphi \wedge \psi)}{\psi}$$

$\wedge$ -introduction 
$$\frac{\varphi, \psi}{(\varphi \wedge \psi)}$$

$\vee$ -introduction 
$$\frac{\varphi}{(\varphi \vee \psi)}$$

$\leftrightarrow$ -elimination 
$$\frac{(\varphi \leftrightarrow \psi)}{(\varphi \rightarrow \psi)} \quad \frac{(\varphi \leftrightarrow \psi)}{(\psi \rightarrow \varphi)}$$

# Derivation

## Definition (Derivation)

A **derivation** or **proof** of a formula  $\varphi$  from a knowledge base KB is a sequence of formulas  $\psi_1, \dots, \psi_k$  with

- ▶  $\psi_k = \varphi$  and
- ▶ for all  $i \in \{1, \dots, k\}$ :
  - ▶  $\psi_i \in \text{KB}$ , or
  - ▶  $\psi_i$  is the result of the application of an inference rule to elements from  $\{\psi_1, \dots, \psi_{i-1}\}$ .

German: Ableitung, Beweis

# Derivation: Example

## Example

Given:  $\text{KB} = \{P, (P \rightarrow Q), (P \rightarrow R), ((Q \wedge R) \rightarrow S)\}$

Task: Find derivation of  $(S \wedge R)$  from KB.

- ①  $P$  (KB)
- ②  $(P \rightarrow Q)$  (KB)
- ③  $Q$  (1, 2, Modus ponens)
- ④  $(P \rightarrow R)$  (KB)
- ⑤  $R$  (1, 4, Modus ponens)
- ⑥  $(Q \wedge R)$  (3, 5,  $\wedge$ -introduction)
- ⑦  $((Q \wedge R) \rightarrow S)$  (KB)
- ⑧  $S$  (6, 7, Modus ponens)
- ⑨  $(S \wedge R)$  (8, 5,  $\wedge$ -introduction)

# Correctness and Completeness

## Definition (Correctness and Completeness of a Calculus)

We write  $\text{KB} \vdash_C \varphi$  if there is a derivation of  $\varphi$  from  $\text{KB}$  in calculus  $C$ .

(If calculus  $C$  is clear from context, also only  $\text{KB} \vdash \varphi$ .)

A calculus  $C$  is **correct** if for all  $\text{KB}$  and  $\varphi$

$\text{KB} \vdash_C \varphi$  implies  $\text{KB} \models \varphi$ .

A calculus  $C$  is **complete** if for all  $\text{KB}$  and  $\varphi$

$\text{KB} \models \varphi$  implies  $\text{KB} \vdash_C \varphi$ .

Consider calculus  $C$ , consisting of the derivation rules seen earlier.

**Question:** Is  $C$  correct?

**Question:** Is  $C$  complete?

**German:** korrekt, vollständig

# Refutation-completeness

- ▶ We obviously want **correct** calculi.
- ▶ Do we always need a **complete** calculus?
- ▶ **Contradiction theorem:**  
 $\text{KB} \cup \{\varphi\}$  is unsatisfiable iff  $\text{KB} \models \neg\varphi$
- ▶ This implies that  $\text{KB} \models \varphi$  iff  $\text{KB} \cup \{\neg\varphi\}$  is unsatisfiable.
- ▶ We can reduce the **general** implication problem to a **test of unsatisfiability**.
- ▶ In calculi, we use the special symbol  $\Box$  for (provably) unsatisfiable formulas.

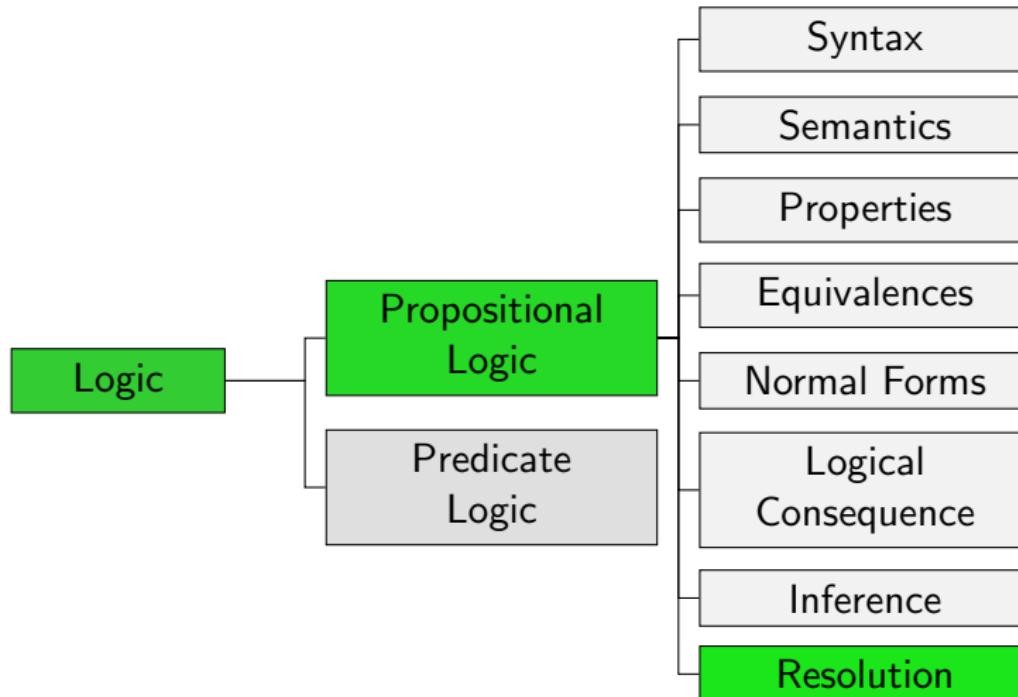
## Definition (Refutation-Completeness)

A calculus  $C$  is **refutation-complete** if it holds for all unsatisfiable  $\text{KB}$  that  $\text{KB} \vdash_C \Box$ .

German: widerlegungsvollständig

## B3.3 Resolution Calculus

# Logic: Overview



# Resolution: Idea

- ▶ Resolution is a refutation-complete calculus for knowledge bases in conjunctive normal form.
- ▶ Every knowledge base can be transformed into equivalent formulas in CNF.
  - ▶ Transformation can require exponential time.
  - ▶ Alternative: efficient transformation in **equisatisfiable** formulas (not part of this course)
- ▶ Show  $\text{KB} \models \varphi$  by derivability of  $\text{KB} \cup \{\neg\varphi\} \vdash_R \square$  with **resolution calculus  $R$** .
- ▶ Resolution can require exponential time.
- ▶ This is probably the case for **all** refutation-complete proof methods. ↵ complexity theory

German: Resolution, erfüllbarkeitsäquivalent

# Knowledge Base as Set of Clauses

Simplified notation of knowledge bases in CNF

- ▶ Formula in CNF as **set of clauses**  
(due to commutativity, idempotence, associativity of  $\wedge$ )
- ▶ Set of formulas as **set of clauses**
- ▶ Clause as **set of literals**  
(due to commutativity, idempotence, associativity of  $\vee$ )
- ▶ Knowledge base as **set of sets of literals**

## Example

$$\text{KB} = \{(P \vee P), ((\neg P \vee Q) \wedge (\neg P \vee R) \wedge (\neg P \vee Q) \wedge R), \\ ((\neg Q \vee \neg R \vee S) \wedge P)\}$$

as set of clauses:

$$\Delta = \{\{P\}, \{\neg P, Q\}, \{\neg P, R\}, \{R\}, \{\neg Q, \neg R, S\}\}$$

## Resolution Rule

The **resolution calculus** consists of a single rule, called **resolution rule**:

$$\frac{C_1 \cup \{L\}, \ C_2 \cup \{\neg L\}}{C_1 \cup C_2},$$

where  $C_1$  und  $C_2$  are (possibly empty) clauses and  $L$  is an atomic proposition.

If we derive the empty clause, we write  $\square$  instead of  $\{\}$ .

Terminology:

- ▶  $L$  and  $\neg L$  are the **resolution literals**,
- ▶  $C_1 \cup \{L\}$  and  $C_2 \cup \{\neg L\}$  are the **parent clauses**, and
- ▶  $C_1 \cup C_2$  is the **resolvent**.

German: Resolutionskalkül, Resolutionsregel, Resolutionsliterale, Elternklauseln, Resolvent

# Proof by Resolution

## Definition (Proof by Resolution)

A **proof by resolution** of a clause  $D$  from a knowledge base  $\Delta$  is a sequence of clauses  $C_1, \dots, C_n$  with

- ▶  $C_n = D$  and
- ▶ for all  $i \in \{1, \dots, n\}$ :
  - ▶  $C_i \in \Delta$ , or
  - ▶  $C_i$  is resolvent of two clauses from  $\{C_1, \dots, C_{i-1}\}$ .

If there is a proof of  $D$  by resolution from  $\Delta$ , we say that  $D$  can be **derived with resolution** from  $\Delta$  and write  $\Delta \vdash_R D$ .

**Remark:** Resolution is a **correct, refutation-complete**,  
but **incomplete** calculus.

**German:** Resolutionsbeweis, “mit Resolution aus  $\Delta$  abgeleitet”

# Proof by Resolution: Example

## Proof by Resolution for Testing a Logical Consequence: Example

Given:  $\text{KB} = \{P, (P \rightarrow (Q \wedge R))\}$ .

Show with resolution that  $\text{KB} \models (R \vee S)$ .

Three steps:

- ① Reduce logical consequence to unsatisfiability.
- ② Transform knowledge base into clause form (CNF).
- ③ Derive empty clause  $\square$  with resolution.

**Step 1:** Reduce logical consequence to unsatisfiability.

$\text{KB} \models (R \vee S)$  iff  $\text{KB} \cup \{\neg(R \vee S)\}$  is unsatisfiable.

Thus, consider

$$\text{KB}' = \text{KB} \cup \{\neg(R \vee S)\} = \{P, (P \rightarrow (Q \wedge R)), \neg(R \vee S)\}.$$

...

## Proof by Resolution: Example (continued)

### Proof by Resolution for Testing a Logical Consequence: Example

$KB' = \{P, (P \rightarrow (Q \wedge R)), \neg(R \vee S)\}$ .

Step 2: Transform knowledge base into clause form (CNF).

►  $P$

~~> **Clauses:**  $\{P\}$

►  $P \rightarrow (Q \wedge R) \equiv (\neg P \vee (Q \wedge R)) \equiv ((\neg P \vee Q) \wedge (\neg P \vee R))$

~~> **Clauses:**  $\{\neg P, Q\}, \{\neg P, R\}$

►  $\neg(R \vee S) \equiv (\neg R \wedge \neg S)$

~~> **Clauses:**  $\{\neg R\}, \{\neg S\}$

$$\Delta = \{\{P\}, \{\neg P, Q\}, \{\neg P, R\}, \{\neg R\}, \{\neg S\}\}$$

...

## Proof by Resolution: Example (continued)

Proof by Resolution for Testing a Logical Consequence: Example

$$\Delta = \{\{P\}, \{\neg P, Q\}, \{\neg P, R\}, \{\neg R\}, \{\neg S\}\}$$

Step 3: Derive empty clause  $\square$  with resolution.

- ▶  $C_1 = \{P\}$  (from  $\Delta$ )
- ▶  $C_2 = \{\neg P, Q\}$  (from  $\Delta$ )
- ▶  $C_3 = \{\neg P, R\}$  (from  $\Delta$ )
- ▶  $C_4 = \{\neg R\}$  (from  $\Delta$ )
- ▶  $C_5 = \{Q\}$  (from  $C_1$  und  $C_2$ )
- ▶  $C_6 = \{\neg P\}$  (from  $C_3$  und  $C_4$ )
- ▶  $C_7 = \square$  (from  $C_1$  und  $C_6$ )

Note: There are shorter proofs. (For example?)

# Another Example

## Another Example for Resolution

Show with resolution, that  $\text{KB} \models \text{DrinkBeer}$ , where

$$\text{KB} = \{(\neg \text{DrinkBeer} \rightarrow \text{EatFish}), \\ ((\text{EatFish} \wedge \text{DrinkBeer}) \rightarrow \neg \text{EatIceCream}), \\ ((\text{EatIceCream} \vee \neg \text{DrinkBeer}) \rightarrow \neg \text{EatFish})\}.$$

## B3.4 Summary

# Summary

- ▶ **knowledge base**: set of formulas describing given information; satisfiable, valid etc. used like for individual formulas
- ▶ **logical consequence**  $KB \models \varphi$  means that  $\varphi$  is true whenever (= in all models where)  $KB$  is true
- ▶ A **logical consequence**  $KB \models \varphi$  allows to conclude that  $KB$  implies  $\varphi$  based on the semantics.
- ▶ A correct **calculus** supports such conclusions on the basis of **purely syntactical derivations**  $KB \vdash \varphi$ .
- ▶ **Complete calculi** often not necessary: For many questions **refutation-completeness** is sufficient.
- ▶ The **resolution calculus** is **correct** and **refutation-complete**.

# Further Topics

There are many aspects of propositional logic that we do not cover in this course.

- ▶ **resolution strategies** to make resolution as efficient as possible in practice,
- ▶ other proof systems, as for example **tableaux proofs**,
- ▶ algorithms for **model construction**, such as the Davis-Putnam-Logemann-Loveland (DPLL) algorithm.  
→ Foundations of AI course