

# Theory of Computer Science

## F2. WHILE-Computability

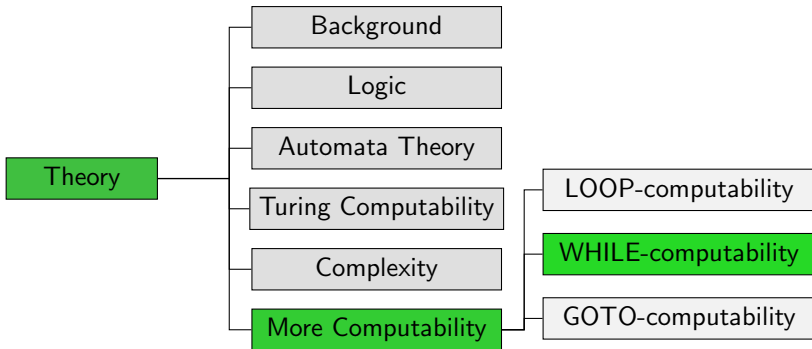
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# Introduction

# Course Overview



# LOOP, WHILE and GOTO Programs: Basic Concepts

Reminder:

- LOOP, WHILE and GOTO programs are structured like programs in (simple) “traditional” programming languages
- use finitely many variables from the set  $\{x_0, x_1, x_2, \dots\}$  that can take on values in  $\mathbb{N}_0$
- differ from each other in the allowed “statements”

# WHILE Programs

# WHILE Programs: Syntax

## Definition (WHILE Program)

**WHILE programs** are inductively defined as follows:

- $x_i := x_j + c$  is a WHILE program for every  $i, j, c \in \mathbb{N}_0$  (**addition**)
- $x_i := x_j - c$  is a WHILE program for every  $i, j, c \in \mathbb{N}_0$  (**modified subtraction**)
- If  $P_1$  and  $P_2$  are WHILE programs, then so is  $P_1; P_2$  (**composition**)
- If  $P$  is a WHILE program, then so is **WHILE**  $x_i \neq 0$  **DO**  $P$  **END** for every  $i \in \mathbb{N}_0$  (**WHILE loop**)

German: WHILE-Programm, WHILE-Schleife

# WHILE Programs: Semantics

## Definition (Semantics of WHILE Programs)

The semantics of WHILE programs is defined exactly as for LOOP programs.

effect of **WHILE**  $x_i \neq 0$  **DO**  $P$  **END**:

- If  $x_i$  holds the value 0, program execution finishes.
- Otherwise execute  $P$ .
- Repeat these steps until execution finishes (potentially infinitely often).

# WHILE-Computable Functions

## Definition (WHILE-Computable)

A function  $f : \mathbb{N}_0^k \rightarrow_p \mathbb{N}_0$  is called **WHILE-computable** if a WHILE program that computes  $f$  exists.

**German:**  $f$  ist WHILE-berechenbar



# WHILE-Program: Example

## Example

```
WHILE  $x_1 \neq 0$  DO
```

```
   $x_1 := x_1 - x_2;$ 
```

```
   $x_0 := x_0 + 1$ 
```

```
END
```

What function does this program compute?

# Questions



Questions?

# WHILE vs. LOOP

# WHILE-Computability vs. LOOP-Computability

## Theorem

*Every LOOP-computable function is WHILE-computable.*

*The converse is not true.*

WHILE programs are therefore **strictly more powerful** than LOOP programs.

**German:** echt mächtiger

# WHILE-Computability vs. LOOP-Computability

## Proof.

Part 1: Every LOOP-computable function is WHILE-computable.

Given any LOOP program, we construct an equivalent WHILE program, i. e., one computing the same function.

To do so, replace each occurrence of **LOOP  $x_j$  DO  $P$  END** with

```

 $x_j := x_i$ ;
WHILE  $x_j \neq 0$  DO
   $x_j := x_j - 1$ ;
   $P$ 
END

```

where  $x_j$  is a fresh variable.

...

# WHILE-Computability vs. LOOP-Computability

Proof (continued).

Part 2: Not all WHILE-computable functions are LOOP-computable.

The WHILE program

```
x1 := 1;  
WHILE x1 ≠ 0 DO  
  x1 := 1  
END
```

computes the function  $\Omega : \mathbb{N}_0 \rightarrow_p \mathbb{N}_0$  that is **undefined everywhere**.

$\Omega$  is hence WHILE-computable, but not LOOP-computable (because LOOP-computable functions are always total). □

## Syntactic Sugar

As we can simulate LOOP loops from LOOP programs with WHILE programs, we can use all syntactic sugar we have seen for LOOP programs in WHILE programs e.g.

- $x_i := x_j$  for  $i, j \in \mathbb{N}_0$
- $x_i := c$  for  $i, c \in \mathbb{N}_0$
- $x_i := x_j + x_k$  for  $i, j, k \in \mathbb{N}_0$
- IF  $x_i \neq 0$  THEN  $P$  END for  $i \in \mathbb{N}_0$
- IF  $x_i = c$  THEN  $P$  END for  $i, c \in \mathbb{N}_0$
- Additional syntactic sugar from the exercises

# Questions



Questions?



# LOOP vs. WHILE: Is There a Practical Difference?

- We have shown that WHILE programs are **strictly more powerful** than LOOP programs.
- The **example** we used is not very relevant in practice because our argument only relied on the fact that LOOP-computable functions are always **total**.
- To terminate for every input is not much of a problem in practice. (Quite the opposite.)
- Are there any **total** functions that are WHILE-computable, but not LOOP-computable?

# Ackermann Function: History

- **David Hilbert** conjectured that **all computable** total functions are primitive recursive (1926).
- **Wilhelm Ackermann** refuted the conjecture by supplying a counterexample (1928).
- The counterexample was simplified by **Rózsa Péter** (1935).

↪ [here](#): simplified version

# Ackermann Function

## Definition (Ackermann function)

The **Ackermann function**  $a : \mathbb{N}_0^2 \rightarrow \mathbb{N}_0$  is defined as follows:

$$a(0, y) = y + 1 \quad \text{for all } y \geq 0$$

$$a(x, 0) = a(x - 1, 1) \quad \text{for all } x > 0$$

$$a(x, y) = a(x - 1, a(x, y - 1)) \quad \text{for all } x, y > 0$$

**German:** Ackermannfunktion

**Note:** the recursion in the definition is bounded,  
so this defines a total function.

# Table of Values

	$y = 0$	$y = 1$	$y = 2$	$y = 3$	$y = k$
$a(0, y)$	1	2	3	4	$k + 1$
$a(1, y)$	2	3	4	5	$k + 2$
$a(2, y)$	3	5	7	9	$2k + 3$
$a(3, y)$	5	13	29	61	$2^{k+3} - 3$
$a(4, y)$	13	65533	$2^{65536} - 3$	$2^{2^{65536}} - 3$	$2^{\underbrace{2^{\dots^2}}_{k+3}} - 3$

# Computability of the Ackermann Function

## Theorem

*The Ackermann function is WHILE-computable,  
but not LOOP-computable.*

(Without proof.)

# Computability of the Ackermann Function: Proof Idea

proof idea:

## ■ WHILE-computability:

- show how WHILE programs can simulate a stack
- dual recursion by using a stack

↪ WHILE program is easy to specify

## ■ no LOOP-computability:

- show that there is a number  $k$  for every LOOP program such that the computed function value is smaller than  $a(k, n)$ , if  $n$  is the largest input value

- proof by structural induction; use  $k =$  “program length”

↪ Ackermann function grows faster than every LOOP-computable function

# Questions



Questions?

# WHILE vs. Turing



# WHILE-Computability vs. Turing-Computability

## Theorem

*Every WHILE-computable function is Turing-computable.*

(We will discuss the converse statement later.)

# WHILE-Computability vs. Turing-Computability

## Proof sketch.

Given any WHILE program, we construct an equivalent deterministic Turing machine.

Let  $x_1, \dots, x_k$  be the input variables of the WHILE program, and let  $x_0, \dots, x_m$  be all used variables.

### General ideas:

- The DTM simulates the individual execution steps of the WHILE program.
- Before and after each WHILE program step the tape contains the word  $bin(n_0)\#bin(n_1)\#\dots\#bin(n_m)$ , where  $n_i$  is the value of WHILE program variable  $x_i$ .
- It is enough to simulate “minimalistic” WHILE programs ( $x_i := x_i + 1$ ,  $x_i := x_i - 1$ , composition, WHILE loop).

# WHILE-Computability vs. Turing-Computability

## Proof sketch (continued).

The DTM consists of three sequential parts:

- **initialization:**
  - Write  $0\#$  in front of the used part of the tape.
  - $(m - k)$  times, write  $\#0$  behind the used part of the tape.
- **execution:**

Simulate the WHILE program (see next slide).
- **clean-up:**
  - Replace all symbols starting from the first  $\#$  with  $\square$ , then move to the first symbol that is not  $\square$ .

# WHILE-Computability vs. Turing-Computability

## Proof sketch (continued).

Simulation of  $x_i := x_i + 1$ :

- 1 Move left until a blank is reached, then one step to the right.
  - 2  $(i + 1)$  times: move right until # or  $\square$  is reached.
  - 3 Move one step to the left.
- ↪ We are now on the last digit of the encoding of  $x_i$ .
- 4 Execute DTM for increment by 1. (Most difficult part: “make room” if the number of binary digits increases.)

# WHILE-Computability vs. Turing-Computability

## Proof sketch (continued).

Simulation of  $x_i := x_i - 1$ :

- 1 Move to the last digit of  $x_i$  (see previous slide).
- 2 Test if the digit is a 0 and the symbol to its left is # or  $\square$ . If so: done.
- 3 Otherwise: execute DTM for decrement by 1.  
(Most difficult part: “contract” the tape if the decrement reduces the number of digits.)

...

# WHILE-Computability vs. Turing-Computability

Proof sketch (continued).

Simulation of  $P_1; P_2$ :

- 1 Recursively build DTMs  $M_1$  for  $P_1$  and  $M_2$  for  $P_2$ .
- 2 Combine these to a DTM for  $P_1; P_2$  by letting all transitions to end states of  $M_1$  instead go to the start state of  $M_2$ .

...

# WHILE-Computability vs. Turing-Computability

## Proof sketch (continued).

Simulation of `WHILE  $x_i \neq 0$  DO  $P$  END`:

- 1 Recursively build DTM  $M$  for  $P$ .
- 2 Build a DTM  $M'$  for `WHILE  $x_i \neq 0$  DO  $P$  END` that works as follows:
  - 1 Move to the last digit of  $x_i$ .
  - 2 Test if that symbol is 0 and the symbol to its left is # or  $\square$ .  
If so: done.
  - 3 Otherwise execute  $M$ , where all transitions to end states of  $M$  are replaced by transitions to the start state of  $M'$ .



# Summary



# Summary

- another new model of computation: **WHILE programs**
- **strictly more powerful** than **LOOP** programs.
- WHILE-, but not LOOP-computable functions:
  - simple example: function that is undefined everywhere
  - more interesting example (total function):  
**Ackermann** function, which grows too fast to be LOOP-computable
- Turing machines are at least as powerful as WHILE programs.