

# Theory of Computer Science

## B3. Propositional Logic III

Gabriele Röger

University of Basel

March 4, 2019

# Theory of Computer Science

March 4, 2019 — B3. Propositional Logic III

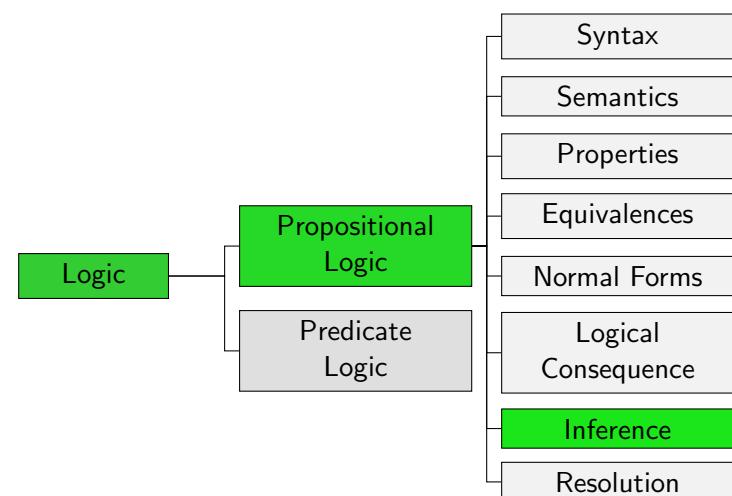
## B3.1 Inference

## B3.2 Resolution Calculus

## B3.3 Summary

## B3.1 Inference

## Logic: Overview



## Inference: Motivation

- ▶ up to now: proof of **logical consequence** with **semantic arguments**
- ▶ no general algorithm
- ▶ **solution:** produce with **syntactic inference rules** formulas that are logical consequences of given formulas.
- ▶ **advantage:** **mechanical method** can easily be implemented as an algorithm

## Some Inference Rules for Propositional Logic

Modus ponens 
$$\frac{\varphi, (\varphi \rightarrow \psi)}{\psi}$$

Modus tollens 
$$\frac{\neg\psi, (\varphi \rightarrow \psi)}{\neg\varphi}$$

$\wedge$ -elimination 
$$\frac{(\varphi \wedge \psi)}{\varphi} \quad \frac{(\varphi \wedge \psi)}{\psi}$$

$\wedge$ -introduction 
$$\frac{\varphi, \psi}{(\varphi \wedge \psi)}$$

$\vee$ -introduction 
$$\frac{\varphi}{(\varphi \vee \psi)}$$

$\leftrightarrow$ -elimination 
$$\frac{(\varphi \leftrightarrow \psi)}{(\varphi \rightarrow \psi)} \quad \frac{(\varphi \leftrightarrow \psi)}{(\psi \rightarrow \varphi)}$$

## Inference Rules

- ▶ **Inference rules** have the form

$$\frac{\varphi_1, \dots, \varphi_k}{\psi}.$$

- ▶ Meaning: "Every model of  $\varphi_1, \dots, \varphi_k$  is a model of  $\psi$ ."
- ▶ An **axiom** is an inference rule with  $k = 0$ .
- ▶ A set of syntactic inference rules is called a **calculus** or **proof system**.

German: Inferenzregel, Axiom, Kalkül, Beweissystem

## Derivation

### Definition (Derivation)

A **derivation** or **proof** of a formula  $\varphi$  from a knowledge base KB is a sequence of formulas  $\psi_1, \dots, \psi_k$  with

- ▶  $\psi_k = \varphi$  and
- ▶ for all  $i \in \{1, \dots, k\}$ :
  - ▶  $\psi_i \in \text{KB}$ , or
  - ▶  $\psi_i$  is the result of the application of an inference rule to elements from  $\{\psi_1, \dots, \psi_{i-1}\}$ .

German: Ableitung, Beweis

## Derivation: Example

### Example

Given:  $KB = \{P, (P \rightarrow Q), (P \rightarrow R), ((Q \wedge R) \rightarrow S)\}$

Task: Find derivation of  $(S \wedge R)$  from  $KB$ .

- ➊  $P$  ( $KB$ )
- ➋  $(P \rightarrow Q)$  ( $KB$ )
- ➌  $Q$  (1, 2, Modus ponens)
- ➍  $(P \rightarrow R)$  ( $KB$ )
- ➎  $R$  (1, 4, Modus ponens)
- ➏  $(Q \wedge R)$  (3, 5,  $\wedge$ -introduction)
- ➐  $((Q \wedge R) \rightarrow S)$  ( $KB$ )
- ➑  $S$  (6, 7, Modus ponens)
- ➒  $(S \wedge R)$  (8, 5,  $\wedge$ -introduction)

## Refutation-completeness

- ▶ We obviously want **correct** calculi.
- ▶ Do we always need a **complete** calculus?
- ▶ **Contradiction theorem:**  
 $KB \cup \{\varphi\}$  is unsatisfiable iff  $KB \models \neg\varphi$
- ▶ This implies that  $KB \models \varphi$  iff  $KB \cup \{\neg\varphi\}$  is unsatisfiable.
- ▶ We can reduce the **general** implication problem to a **test of unsatisfiability**.
- ▶ In calculi, we use the special symbol  $\Box$  for (provably) unsatisfiable formulas.

### Definition (Refutation-Completeness)

A calculus  $C$  is **refutation-complete** if it holds for all unsatisfiable  $KB$  that  $KB \vdash_C \Box$ .

German: widerlegungsvollständig

## Correctness and Completeness

### Definition (Correctness and Completeness of a Calculus)

We write  $KB \vdash_C \varphi$  if there is a derivation of  $\varphi$  from  $KB$  in calculus  $C$ .

(If calculus  $C$  is clear from context, also only  $KB \vdash \varphi$ .)

A calculus  $C$  is **correct** if for all  $KB$  and  $\varphi$

$KB \vdash_C \varphi$  implies  $KB \models \varphi$ .

A calculus  $C$  is **complete** if for all  $KB$  and  $\varphi$

$KB \models \varphi$  implies  $KB \vdash_C \varphi$ .

Consider calculus  $C$ , consisting of the derivation rules seen earlier.

Question: Is  $C$  correct?

Question: Is  $C$  complete?

German: korrekt, vollständig

## Refutation-completeness

- ▶ We obviously want **correct** calculi.
- ▶ Do we always need a **complete** calculus?
- ▶ **Contradiction theorem:**  
 $KB \cup \{\varphi\}$  is unsatisfiable iff  $KB \models \neg\varphi$
- ▶ This implies that  $KB \models \varphi$  iff  $KB \cup \{\neg\varphi\}$  is unsatisfiable.
- ▶ We can reduce the **general** implication problem to a **test of unsatisfiability**.
- ▶ In calculi, we use the special symbol  $\Box$  for (provably) unsatisfiable formulas.

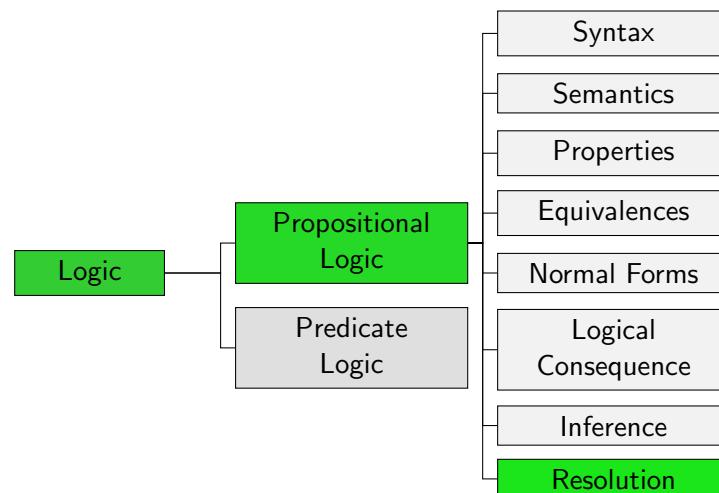
### Definition (Refutation-Completeness)

A calculus  $C$  is **refutation-complete** if it holds for all unsatisfiable  $KB$  that  $KB \vdash_C \Box$ .

German: widerlegungsvollständig

## B3.2 Resolution Calculus

## Logic: Overview



## Knowledge Base as Set of Clauses

Simplified notation of knowledge bases in CNF

- ▶ **Formula in CNF as set of clauses**  
(due to commutativity, idempotence, associativity of  $\wedge$ )
- ▶ **Set of formulas as set of clauses**
- ▶ **Clause as set of literals**  
(due to commutativity, idempotence, associativity of  $\vee$ )
- ▶ **Knowledge base as set of sets of literals**

### Example

$$KB = \{(P \vee P), ((\neg P \vee Q) \wedge (\neg P \vee R) \wedge (\neg P \vee Q) \wedge R), ((\neg Q \vee \neg R \vee S) \wedge P)\}$$

as set of clauses:

$$\Delta = \{\{P\}, \{\neg P, Q\}, \{\neg P, R\}, \{R\}, \{\neg Q, \neg R, S\}\}$$

## Resolution: Idea

- ▶ **Resolution** is a refutation-complete calculus for knowledge bases in **conjunctive normal form**.
- ▶ Every knowledge base can be transformed into equivalent formulas in CNF.
  - ▶ Transformation can require exponential time.
  - ▶ Alternative: efficient transformation in **equisatisfiable** formulas (not part of this course)
- ▶ Show  $KB \models \varphi$  by derivability of  $KB \cup \{\neg \varphi\} \vdash_R \square$  with **resolution calculus R**.
- ▶ Resolution can require exponential time.
- ▶ This is probably the case for **all** refutation-complete proof methods.  $\rightsquigarrow$  **complexity theory**

German: Resolution, erfüllbarkeitsäquivalent

## Resolution Rule

The **resolution calculus** consists of a single rule, called **resolution rule**:

$$\frac{C_1 \cup \{L\}, C_2 \cup \{\neg L\}}{C_1 \cup C_2},$$

where  $C_1$  und  $C_2$  are (possibly empty) clauses and  $L$  is an atomic proposition.

If we derive the empty clause, we write  $\square$  instead of  $\{\}$ .

Terminology:

- ▶  $L$  and  $\neg L$  are the **resolution literals**,
- ▶  $C_1 \cup \{L\}$  and  $C_2 \cup \{\neg L\}$  are the **parent clauses**, and
- ▶  $C_1 \cup C_2$  is the **resolvent**.

German: Resolutionskalkül, Resolutionsregel, Resolutionsliterale, Elternklauseln, Resolvent

## Proof by Resolution

### Definition (Proof by Resolution)

A **proof by resolution** of a clause  $D$  from a knowledge base  $\Delta$  is a sequence of clauses  $C_1, \dots, C_n$  with

- ▶  $C_n = D$  and
- ▶ for all  $i \in \{1, \dots, n\}$ :
  - ▶  $C_i \in \Delta$ , or
  - ▶  $C_i$  is resolvent of two clauses from  $\{C_1, \dots, C_{i-1}\}$ .

If there is a proof of  $D$  by resolution from  $\Delta$ , we say that  $D$  can be **derived with resolution from  $\Delta$**  and write  $\Delta \vdash_R D$ .

**Remark:** Resolution is a **correct, refutation-complete, but incomplete** calculus.

**German:** Resolutionsbeweis, "mit Resolution aus  $\Delta$  abgeleitet"

## Proof by Resolution: Example

### Proof by Resolution for Testing a Logical Consequence: Example

Given:  $KB = \{P, (P \rightarrow (Q \wedge R))\}$ .

Show with resolution that  $KB \models (R \vee S)$ .

Three steps:

- ① Reduce logical consequence to unsatisfiability.
- ② Transform knowledge base into clause form (CNF).
- ③ Derive empty clause  $\square$  with resolution.

**Step 1:** Reduce logical consequence to unsatisfiability.

$KB \models (R \vee S)$  iff  $KB \cup \{\neg(R \vee S)\}$  is unsatisfiable.

Thus, consider

$KB' = KB \cup \{\neg(R \vee S)\} = \{P, (P \rightarrow (Q \wedge R)), \neg(R \vee S)\}$ .

...

## Proof by Resolution: Example (continued)

### Proof by Resolution for Testing a Logical Consequence: Example

$KB' = \{P, (P \rightarrow (Q \wedge R)), \neg(R \vee S)\}$ .

**Step 2:** Transform knowledge base into clause form (CNF).

- ▶  $P$   
~~ **Clauses:**  $\{P\}$
- ▶  $P \rightarrow (Q \wedge R) \equiv (\neg P \vee (Q \wedge R)) \equiv ((\neg P \vee Q) \wedge (\neg P \vee R))$   
~~ **Clauses:**  $\{\neg P, Q\}, \{\neg P, R\}$
- ▶  $\neg(R \vee S) \equiv (\neg R \wedge \neg S)$   
~~ **Clauses:**  $\{\neg R\}, \{\neg S\}$

$\Delta = \{\{P\}, \{\neg P, Q\}, \{\neg P, R\}, \{\neg R\}, \{\neg S\}\}$

...

## Proof by Resolution: Example (continued)

### Proof by Resolution for Testing a Logical Consequence: Example

$\Delta = \{\{P\}, \{\neg P, Q\}, \{\neg P, R\}, \{\neg R\}, \{\neg S\}\}$

**Step 3:** Derive empty clause  $\square$  with resolution.

- ▶  $C_1 = \{P\}$  (from  $\Delta$ )
- ▶  $C_2 = \{\neg P, Q\}$  (from  $\Delta$ )
- ▶  $C_3 = \{\neg P, R\}$  (from  $\Delta$ )
- ▶  $C_4 = \{\neg R\}$  (from  $\Delta$ )
- ▶  $C_5 = \{Q\}$  (from  $C_1$  und  $C_2$ )
- ▶  $C_6 = \{\neg P\}$  (from  $C_3$  und  $C_4$ )
- ▶  $C_7 = \square$  (from  $C_1$  und  $C_6$ )

**Note:** There are shorter proofs. (For example?)

## Another Example

### Another Example for Resolution

Show with resolution, that  $\text{KB} \models \text{DrinkBeer}$ , where

$$\text{KB} = \{(\neg \text{DrinkBeer} \rightarrow \text{EatFish}), \\ ((\text{EatFish} \wedge \text{DrinkBeer}) \rightarrow \neg \text{EatIceCream}), \\ ((\text{EatIceCream} \vee \neg \text{DrinkBeer}) \rightarrow \neg \text{EatFish})\}.$$

## B3.3 Summary

## Summary

- ▶ A **logical consequence**  $\text{KB} \models \varphi$  allows to conclude that  $\text{KB}$  implies  $\varphi$  based on the semantics.
- ▶ A correct **calculus** supports such conclusions on the basis of **purely syntactical derivations**  $\text{KB} \vdash \varphi$ .
- ▶ **Complete calculi** often not necessary: For many questions **refutation-completeness** is sufficient.
- ▶ The **resolution calculus** is **correct** and **refutation-complete**.

## Further Topics

There are many aspects of propositional logic that we do not cover in this course.

- ▶ **resolution strategies** to make resolution as efficient as possible in practice,
- ▶ other proof systems, as for example **tableaux proofs**,
- ▶ algorithms for **model construction**, such as the Davis-Putnam-Logemann-Loveland (DPLL) algorithm.  
→ Foundations of AI course