

# Planning and Optimization

## F3. Post-hoc Optimization & Operator Counting

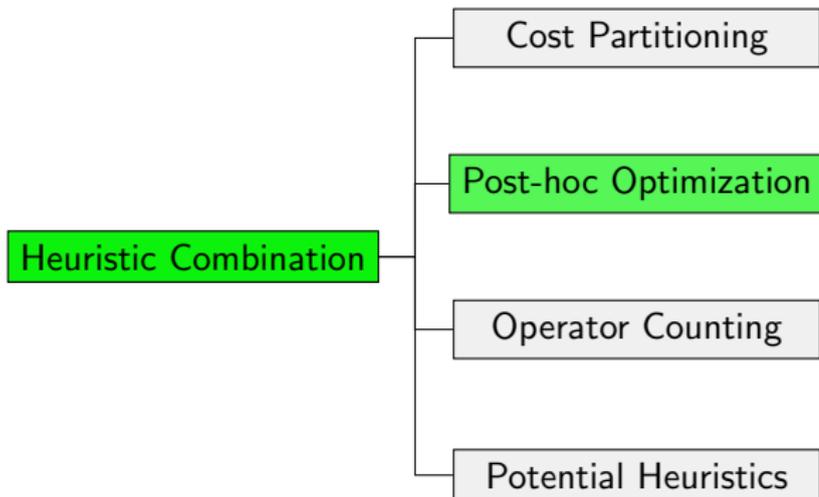
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# Post-hoc Optimization Heuristic

# Content of this Course: Heuristic Combination



# Combining Estimates from Abstraction Heuristics

- Pattern databases grow exponentially with the number of variables in the pattern.
- Instead of one large pattern, planners use collections of multiple smaller patterns.
- We already know two approaches to derive heuristic estimates from a pattern collection:
  - Canonical heuristic
  - Optimal cost partitioning

Can we do better than these approaches?

# Reminder: The Canonical Heuristic Function

If for a set of patterns no operator affects more than one pattern, the sum of the heuristic estimates is admissible.

## Definition (Canonical Heuristic Function)

Let  $\Pi$  be an FDR planning task. Let  $\mathcal{C}$  be a pattern collection for  $\Pi$  and let  $cliques(\mathcal{C})$  denote the set of all maximal additive subsets of  $\mathcal{C}$ . The **canonical heuristic**  $h^{\mathcal{C}}$  for  $\mathcal{C}$  is defined as

$$h^{\mathcal{C}}(s) = \max_{\mathcal{D} \in cliques(\mathcal{C})} \sum_{P \in \mathcal{D}} h^P(s).$$

For a given pattern collection, the canonical heuristic is the best possible admissible heuristic not using cost partitioning.

# Reminder: Optimal Cost Partitioning for Abstractions

Optimal cost partitioning for abstractions. . .

- . . . uses a **state-specific LP** to find the **best possible cost partitioning**, and sums up the heuristic estimates.
- . . . **dominates the canonical heuristic**, i.e.. for the same pattern collection, it never gives lower estimates than  $h^{\mathcal{C}}$ .
- . . . is **very expensive** to compute (recomputing the PDBs in every state).

# Example Task (1)

## Example (Example Task)

SAS<sup>+</sup> task  $\Pi = \langle V, I, O, \gamma \rangle$  with

- $V = \{A, B, C\}$  with  $\text{dom}(v) = \{0, 1, 2, 3, 4\}$  for all  $v \in V$
- $I = \{A \mapsto 0, B \mapsto 0, C \mapsto 0\}$
- $O = \{inc_x^v \mid v \in V, x \in \{0, 1, 2\}\} \cup \{jump^v \mid v \in V\}$ 
  - $inc_x^v = \langle v = x, v := x + 1, 1 \rangle$
  - $jump^v = \langle \bigwedge_{v' \in V: v' \neq v} v' = 4, v := 3, 1 \rangle$
- $\gamma = A = 3 \wedge B = 3 \wedge C = 3$

- Each optimal plan consists of three increment operators for each variable  $\rightsquigarrow h^*(I) = 9$
- Each operator affects only one variable.

## Example Task (2)

- In projections on single variables we can reach the goal with a *jump* operator:  $h^{\{A\}}(I) = h^{\{B\}}(I) = h^{\{C\}}(I) = 1$ .
- In projections on more variables, we need for each variable three applications of increment operators to reach the abstract goal from the abstract initial state:  
 $h^{\{A,B\}}(I) = h^{\{A,C\}}(I) = h^{\{B,C\}}(I) = 6$

### Example (Canonical Heuristic)

$$\mathcal{C} = \{\{A\}, \{B\}, \{C\}, \{A, B\}, \{A, C\}, \{B, C\}\}$$

$$h^{\mathcal{C}}(s) = \max\{h^{\{A\}}(s) + h^{\{B\}}(s) + h^{\{C\}}(s), h^{\{A\}}(s) + h^{\{B,C\}}(s), \\ h^{\{B\}}(s) + h^{\{A,C\}}(s), h^{\{C\}}(s) + h^{\{A,B\}}(s)\}$$

$$h^{\mathcal{C}}(I) = 7$$

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- ⇒ **at least 9 operators** in any plan

Can we generalize this kind of reasoning?

# Post-hoc Optimization Heuristic: Linear Program (1)

Construct **linear program** for pattern collection  $\mathcal{C}$ :

- variable  $X_o$  for each operator  $o \in O$
- intuitively  $X_o$  is cost incurred by operator  $o$
- PDB heuristics are admissible

$$h^P(s) \leq \sum_{o \in O} X_o \text{ for each pattern } P \in \mathcal{C}$$

- can tighten these constraints to

$$h^P(s) \leq \sum_{o \in O: o \text{ affects } P} X_o$$

# Post-hoc Optimization Heuristic: Linear Program (2)

For pattern collection  $\mathcal{C}$ :

## Variables

$X_o$  for each operator  $o \in O$

## Objective

Minimize  $\sum_{o \in O} X_o$

## Subject to

$$\sum_{o \in O: o \text{ affects } P} X_o \geq h^P(s) \quad \text{for all patterns } P \in \mathcal{C}$$
$$X_o \geq 0 \quad \text{for all } o \in O$$

# Post-hoc Optimization Heuristic: Simplifying the LP

- Reduce size of LP by aggregating variables which always occur together in constraints.
- Happens when several operators are relevant for exactly the same PDBs.
- Partitioning  $O/\sim$  induced by this equivalence relation
- One variable  $X_{[o]}$  for each  $[o] \in O/\sim$

# Post-hoc Optimization Heuristic: Definition

## Definition (Post-hoc Optimization Heuristic)

The post-hoc optimization heuristic  $h_C^{\text{PhO}}$  for pattern collection  $\mathcal{C}$  is the objective value of the following linear program:

$$\begin{aligned} & \text{Minimize} && \sum_{[o] \in O/\sim} X_{[o]} \text{ subject to} \\ & \sum_{[o] \in O/\sim: o \text{ affects } P} X_{[o]} \geq h^P(s) && \text{for all } P \in \mathcal{C} \\ & X_{[o]} \geq 0 && \text{for all } [o] \in O/\sim, \end{aligned}$$

where  $o \sim o'$  iff  $o$  and  $o'$  affect the same patterns in  $\mathcal{C}$ .

- Precompute PDBs for all  $P \in \mathcal{C}$ .
- Create LP for initial state.
- For each new state, just change the bounds  $h^P(s)$ .

# Post-hoc Optimization Heuristic: Admissibility

## Theorem (Admissibility)

*The post-hoc optimization heuristic is **admissible**.*

## Proof.

Let  $\Pi$  be a planning task and  $\mathcal{C}$  be a pattern collection.

Let  $\pi$  be an optimal plan for state  $s$  and let  $cost_{\pi}(O')$  be the cost incurred by operators from  $O' \subseteq O$  in  $\pi$ .

Setting each  $X_{[o]}$  to  $cost_{\pi}([o])$  is a feasible variable assignment: Constraints  $X_{[o]} \geq 0$  are satisfied. For each  $P \in \mathcal{C}$ ,  $\pi$  is a solution in the abstract transition system and the sum in the corresponding constraint equals the cost of the “true” abstract state transitions (i.e., not accounting for self-loops). As  $h^P(s)$  corresponds to the cost of an optimal solution in the abstraction, the inequality holds.

For this assignment the objective function has value  $h^*(s)$  (cost of  $\pi$ ), so the objective value of the LP is admissible. □

# Post-hoc Optimization Heuristic: Insight

Corresponding dual program to  $h^{\text{PhO}}$  LP:

Maximize  $\sum_{P \in \mathcal{C}} Y_P h^P(s)$  subject to

$$\sum_{P \in \mathcal{C}: o \text{ affects } P} Y_P \leq 1 \quad \text{for all } [o] \in \mathcal{O}/\sim$$

$$Y_P \geq 0 \quad \text{for all } P \in \mathcal{C}.$$

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$$Y_P \geq 0 \quad \text{for all } P \in \mathcal{C}.$$

We compute a state-specific cost partitioning that can only scale the operator costs within each heuristic by a factor  $Y_i$ .

# Relation to Canonical Heuristic

## Theorem

Consider the *dual*  $D$  of the LP solved by  $h_c^{\text{PhO}}$  in state  $s$  for a given pattern collection  $\mathcal{C}$ . If we *restrict the variables in  $D$  to integers*, the *objective value is the canonical heuristic value  $h^{\mathcal{C}}(s)$* .

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## Corollary

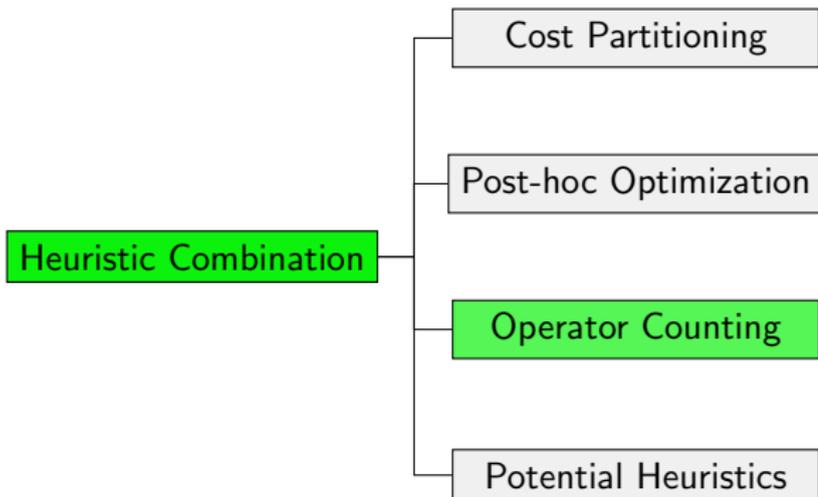
The post-hoc optimization heuristic  $h_c^{\text{PhO}}$  *dominates the canonical heuristic  $h^c$*  for the same pattern collection  $\mathcal{C}$ .

## Post-hoc Optimization Heuristic: Remarks

- For the canonical heuristic, we need to find all maximal cliques, which is an **NP-hard** problem.
- The post-hoc optimization heuristic **dominates the canonical heuristic** and can be computed in **polynomial time**.
- With post-hoc optimization, we can handle much **larger pattern collections** than found with the iPDB procedure.
- For the approach it is better to use a large number of small patterns, e.g., all patterns up to size 2 that satisfy the same relevance criteria as used for the iPDB patterns.
- Post-hoc optimization is not limited to PDBs but there is a straightforward **extension to any admissible heuristic for which we can determine the “relevant” operators**.

# Operator-counting Framework

# Content of this Course: Heuristic Combination



# Reminder: Optimal Cost Partitioning for Landmarks

## Variables

$\text{Count}_o$  for each operator  $o$

## Objective

Minimize  $\sum_o \text{Count}_o \cdot \text{cost}(o)$

## Subject to

$$\sum_{o \in L} \text{Count}_o \geq 1 \text{ for all landmarks } L$$

$$\text{Count}_o \geq 0 \text{ for all operators } o$$

Numbers of operator occurrences in any plan satisfy constraints.  
Minimizing the total plan cost gives an admissible estimate.

Can we apply this idea more generally?

# Operator Counting

## Operator-counting Constraints

- **linear constraints** whose variables denote **number of occurrences** of a given operator
- must be satisfied by every plan

### Examples:

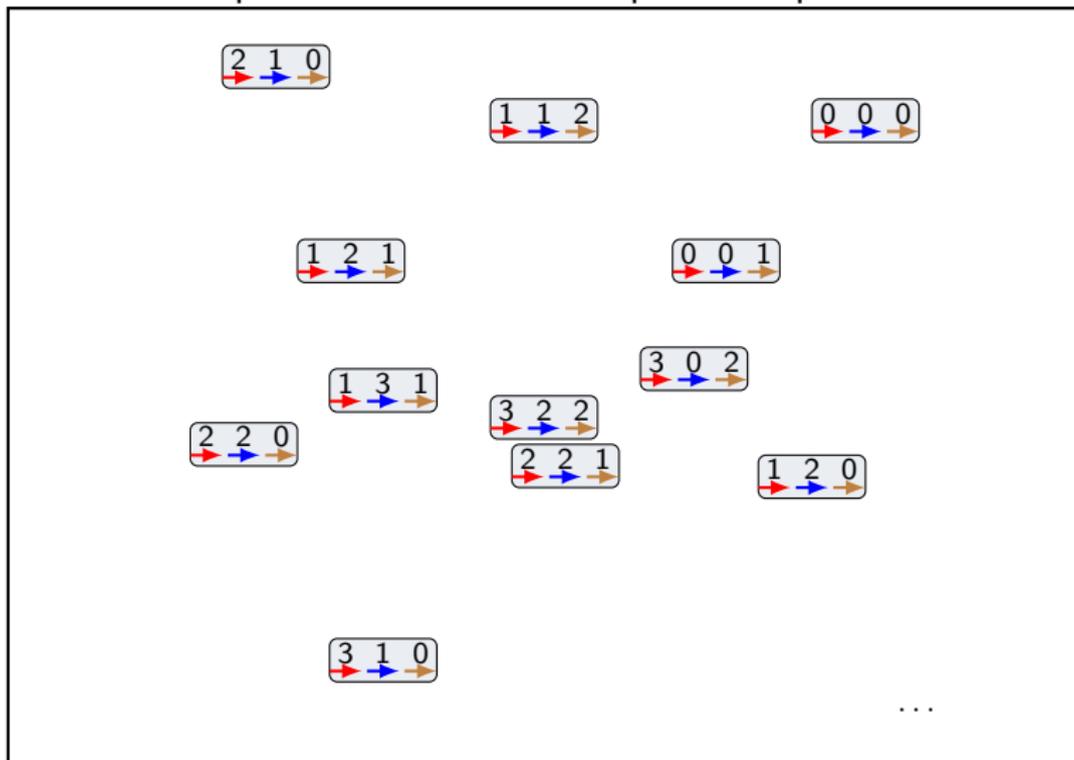
- $\text{Count}_{o_1} + \text{Count}_{o_2} \geq 1$  “must use  $o_1$  or  $o_2$  at least once”
- $\text{Count}_{o_1} - \text{Count}_{o_3} \leq 0$  “cannot use  $o_1$  more often than  $o_3$ ”

### Motivation:

- declarative way to **represent knowledge** about solutions
- allows **reasoning about solutions** to derive heuristic estimates

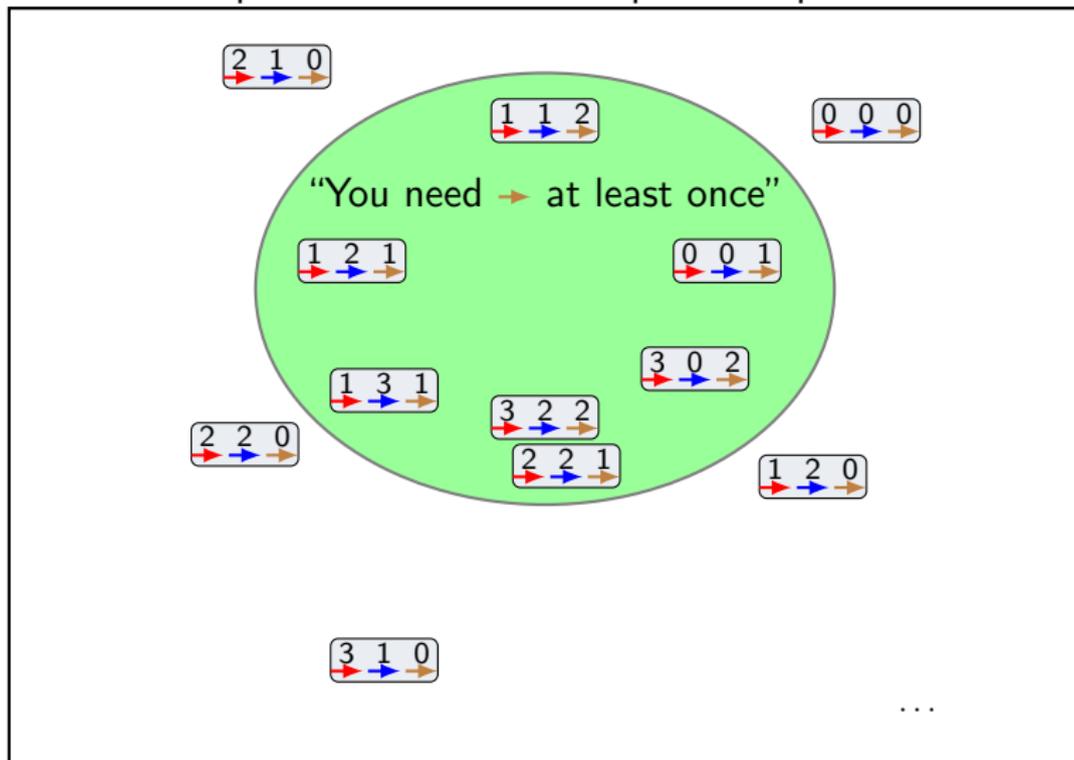
# Operator Counting Heuristics

Operator occurrences in potential plans



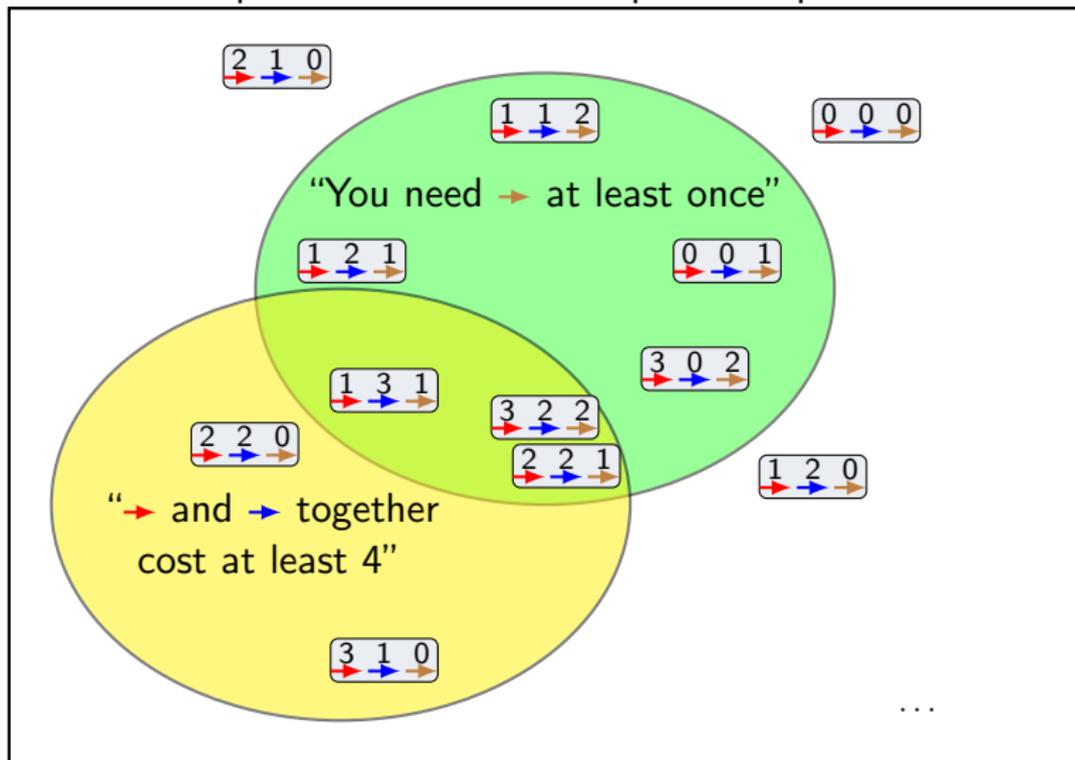
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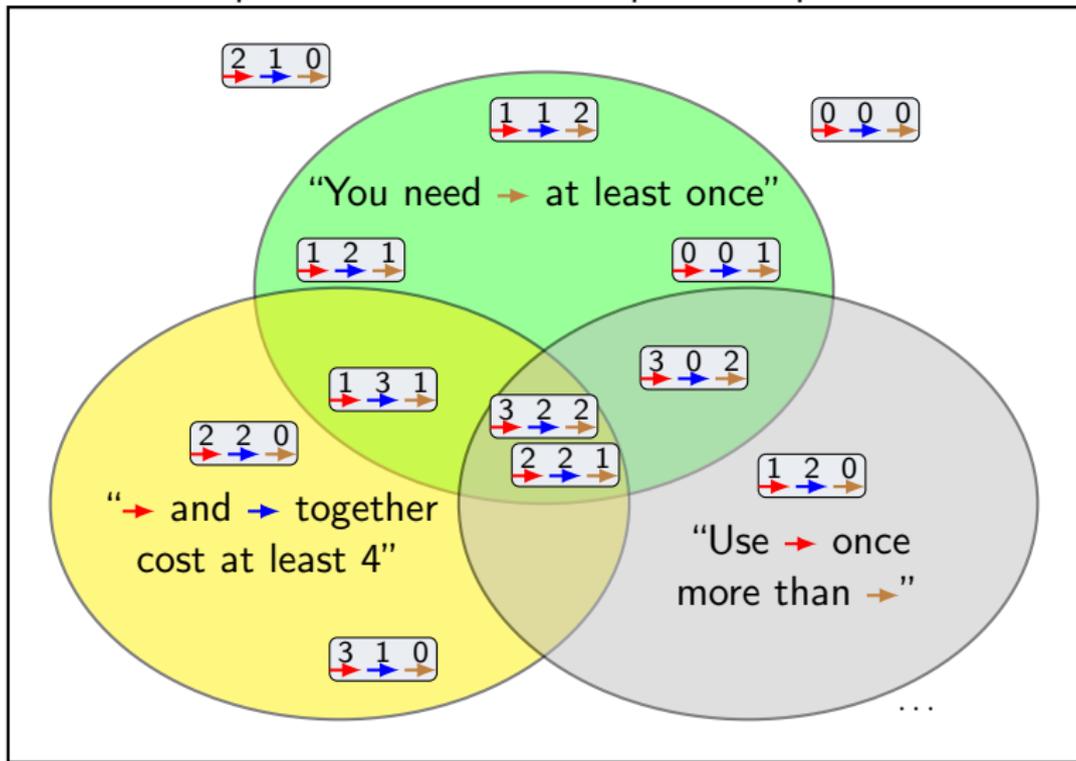
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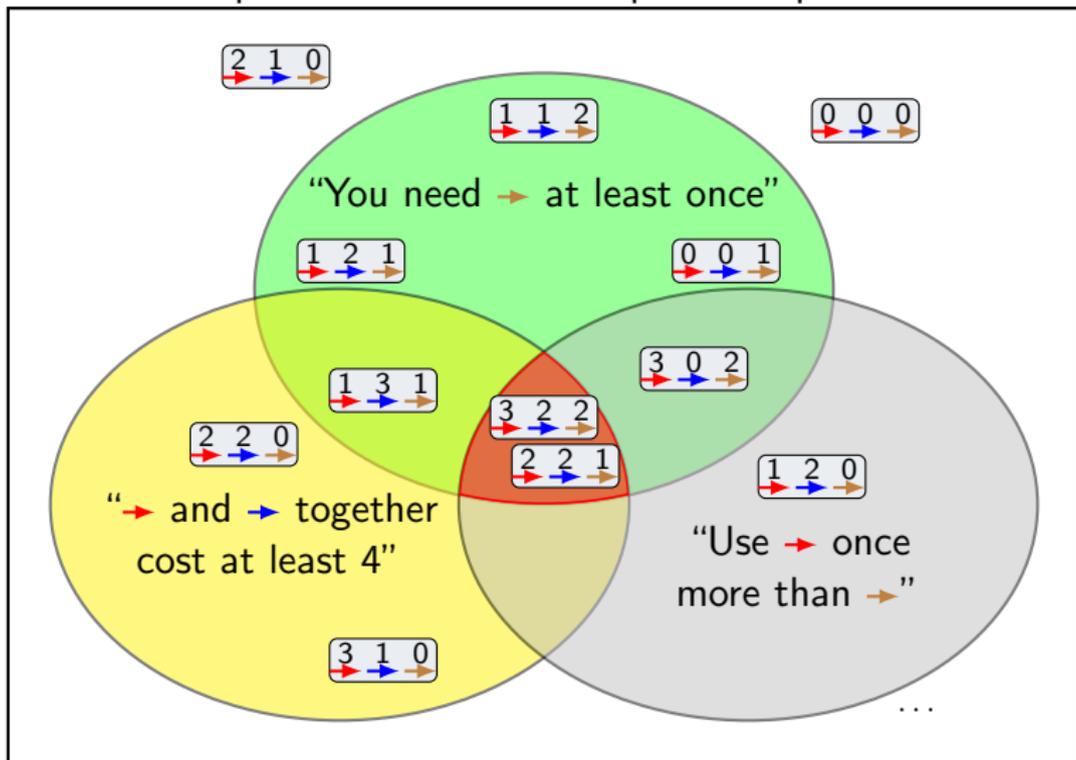
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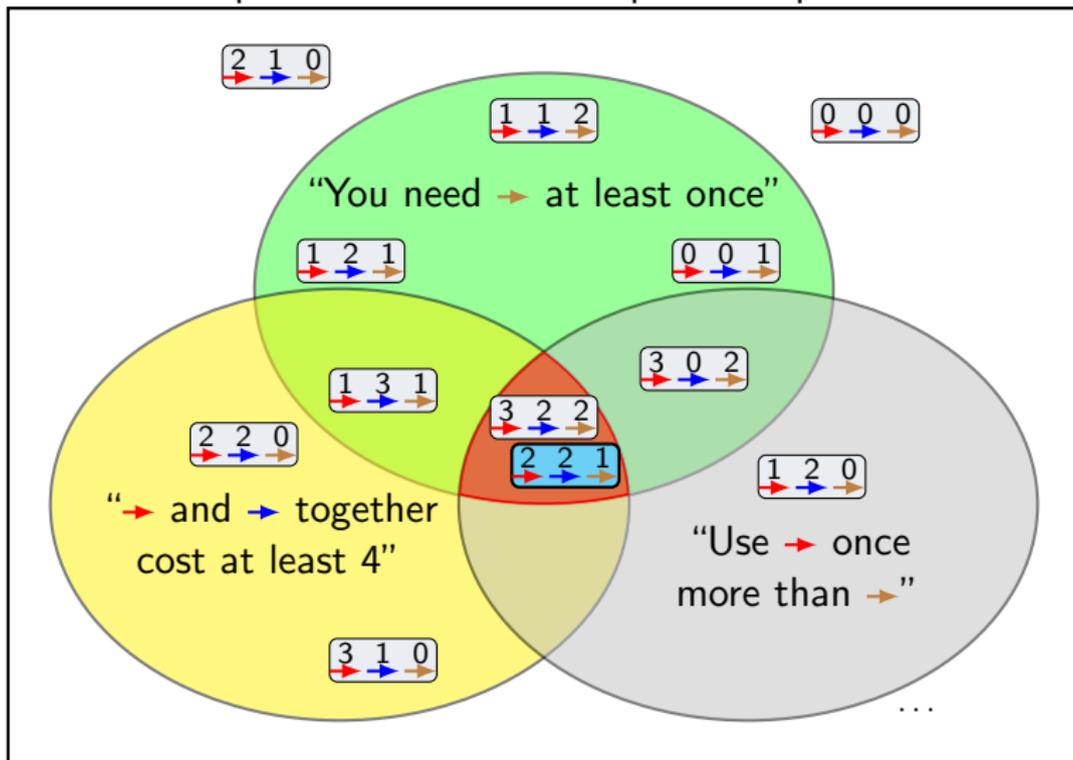
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# Operator Counting Heuristics

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# Operator-counting Constraint

## Definition (Operator-counting Constraints)

Let  $\Pi$  be a planning task with operators  $O$  and let  $s$  be a state. Let  $\mathcal{V}$  be the set of integer variables  $\text{Count}_o$  for each  $o \in O$ .

A linear inequality over  $\mathcal{V}$  is called an **operator-counting constraint** for  $s$  if for every plan  $\pi$  for  $s$  setting each  $\text{Count}_o$  to the number of occurrences of  $o$  in  $\pi$  is a feasible variable assignment.

# Operator-counting Heuristics

## Definition (Operator-counting IP/LP Heuristic)

The operator-counting integer program  $IP_C$  for a set  $C$  of operator-counting constraints for state  $s$  is

$$\text{Minimize } \sum_{o \in O} \text{Count}_o \cdot \text{cost}(o) \text{ subject to}$$

$$C \text{ and } \text{Count}_o \geq 0 \text{ for all } o \in O,$$

where  $O$  is the set of operators.

The **IP heuristic**  $h_C^{\text{IP}}$  is the objective value of  $IP_C$ ,  
the **LP heuristic**  $h_C^{\text{LP}}$  is the objective value of its LP-relaxation.

If the IP/LP is infeasible, the heuristic estimate is  $\infty$ .

# Admissibility

## Theorem (Operator-counting Heuristics are Admissible)

*The IP and the LP heuristic are **admissible**.*

### Proof.

Let  $C$  be a set of operator-counting constraints for state  $s$  and  $\pi$  be an optimal plan for  $s$ . The number of operator occurrences of  $\pi$  are a feasible solution for  $C$ . As the IP/LP minimizes the total plan cost, the objective value cannot exceed the cost of  $\pi$  and is therefore an admissible estimate. □

# Dominance

## Theorem

Let  $C$  and  $C'$  be operator-counting constraints for  $s$  and let  $C \subseteq C'$ . Then  $IP_C \leq IP_{C'}$  and  $LP_C \leq LP_{C'}$ .

## Proof.

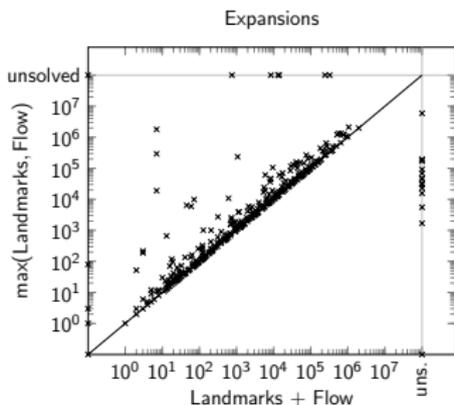
Every feasible solution of  $C'$  is also feasible for  $C$ . As the LP/IP is a minimization problem, the objective value subject to  $C$  can therefore not be larger than the one subject to  $C'$ . □

Adding more constraints can only improve the heuristic estimate.

# Combining Heuristics

## Combination of two heuristics

- Use both operator-counting constraints
- Combination always **dominates individual heuristics**
- **Positive interaction** between constraints



Combination often better than best individual heuristic

# Constraints from Disjunctive Action Landmarks

Optimal cost partitioning for disjunctive action landmarks

- Use one landmark constraint per landmark

Landmark constraint for landmark  $L$

$$\sum_{o \in L} \text{Count}_o \geq 1$$

# Constraints from Flow Heuristic

## Flow heuristic

- Use one flow constraint per atom

### Flow Constraint for atom $a$

$$[a \in s] + \sum_{o \in O: a \in \text{eff}(o)} \text{Count}_o = [a \in \gamma] + \sum_{o \in O: a \in \text{pre}(o)} \text{Count}_o$$

**Remark:** Assumes transition normal form (not a limitation)

# Constraints from Post-hoc Optimization Heuristic

## Post-hoc optimization heuristic

- $X_o$  for cost incurred by operator  $o$
- Replace each such variable with  $\text{Count}_o \cdot \text{cost}(o)$  to fit the operator-counting framework.
- Use one post-hoc optimization constraint per sub-heuristic

## Post-hoc optimization constraint for heuristic $h$

$$\sum_{o \text{ is relevant for } h} \text{Count}_o \cdot \text{cost}(o) \geq h(s)$$

## Further Examples?

- The definition of operator-counting constraints can be extended to groups of constraints and auxiliary variables.
- With this extended definition we could also cover
  - optimal cost partitioning for abstractions, and
  - the perfect relaxation heuristic  $h^+$ .

# Summary

# Summary

- **Post-hoc optimization heuristic** explores the middle ground between canonical heuristic and optimal cost partitioning.
- For the same pattern collection the post-hoc optimization heuristic **dominates the canonical heuristic**.
- The computation can be done in **polynomial time**.
- Many heuristics can be formulated in terms of **operator-counting constraints**.
- The operator-counting heuristic framework allows to **combine the constraints** and to reason on the entire encoded declarative knowledge.
- The heuristic estimate for the combined constraints **can be better than the one of the best ingredient heuristic** but never worse.

# Literature (1)



Florian Pommerening, Gabriele Röger and Malte Helmert.  
Getting the Most Out of Pattern Databases for Classical  
Planning.

*Proc. IJCAI 2013*, pp. 2357–2364, 2013.

**Introduces** post-hoc optimization and points out **relation to canonical heuristic**.



Blai Bonet.

An Admissible Heuristic for SAS+ Planning Obtained from the  
State Equation.

*Proc. IJCAI 2013*, pp. 2268–2274, 2013.

**Suggests combination** of flow constraints and landmark  
constraints.

## Literature (2)



Tatsuya Imai and Alex Fukunaga.

A Practical, Integer-linear Programming Model for the Delete-relaxation in Cost-optimal Planning.

*Proc. ECAI 2014*, pp. 459–464, 2014.

**IP formulation of  $h^+$ .**



Florian Pommerening, Gabriele Röger, Malte Helmert and Blai Bonet.

LP-based Heuristics for Cost-optimal Planning.

*Proc. ICAPS 2014*, pp. 226–234, 2014.

**Systematic introduction** of operator-counting framework.