

# Planning and Optimization

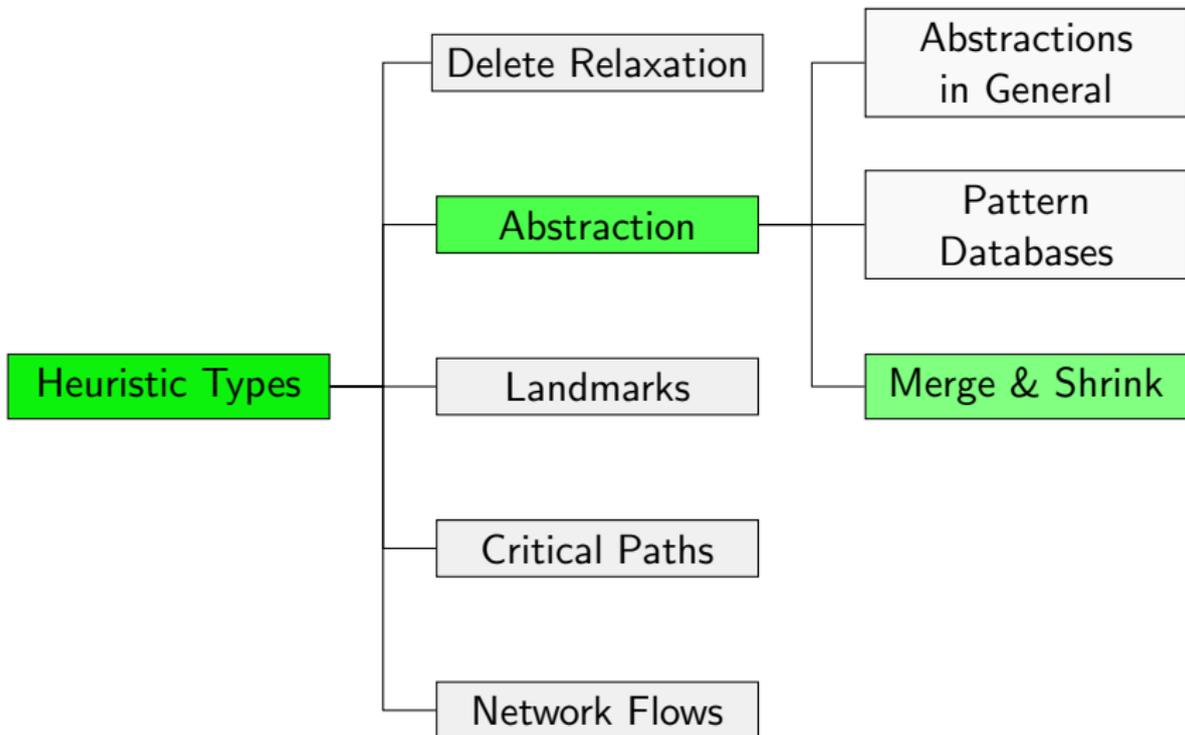
## D7. Merge-and-Shrink Abstractions: Synchronized Product

Malte Helmert and Gabriele Röger

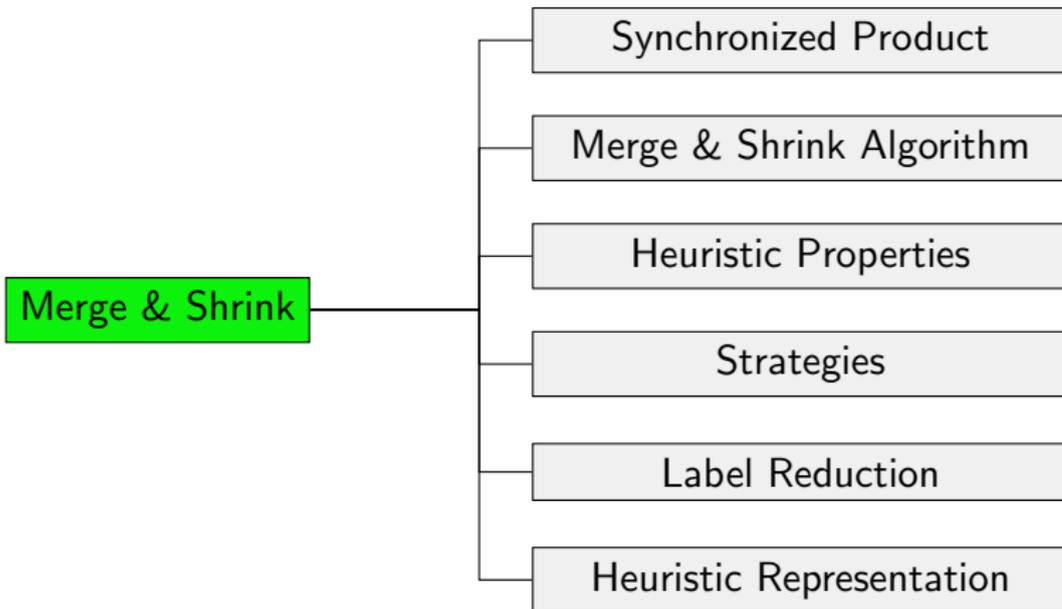
Universität Basel

November 13, 2017

# Content of this Course: Heuristic Types



# Content of this Course: Merge & Shrink



# Motivation

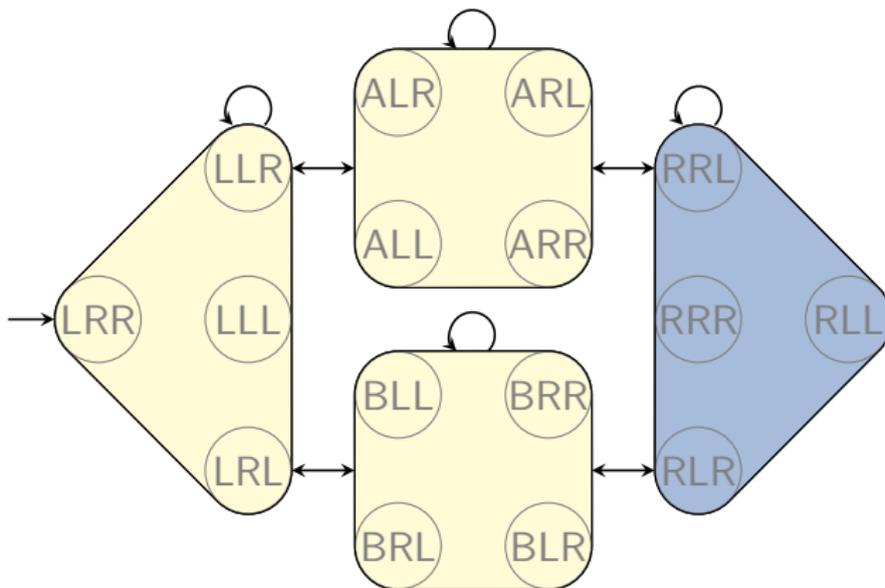
# Beyond Pattern Databases

- Despite their popularity, pattern databases have some **fundamental limitations** ( $\rightsquigarrow$  example on next slides).
- This week, we study a class of abstractions called **merge-and-shrink abstractions**.
- Merge-and-shrink abstractions can be seen as a **proper generalization** of pattern databases.
  - They can do everything that pattern databases can do (modulo polynomial extra effort).
  - They can do some things that pattern databases cannot.



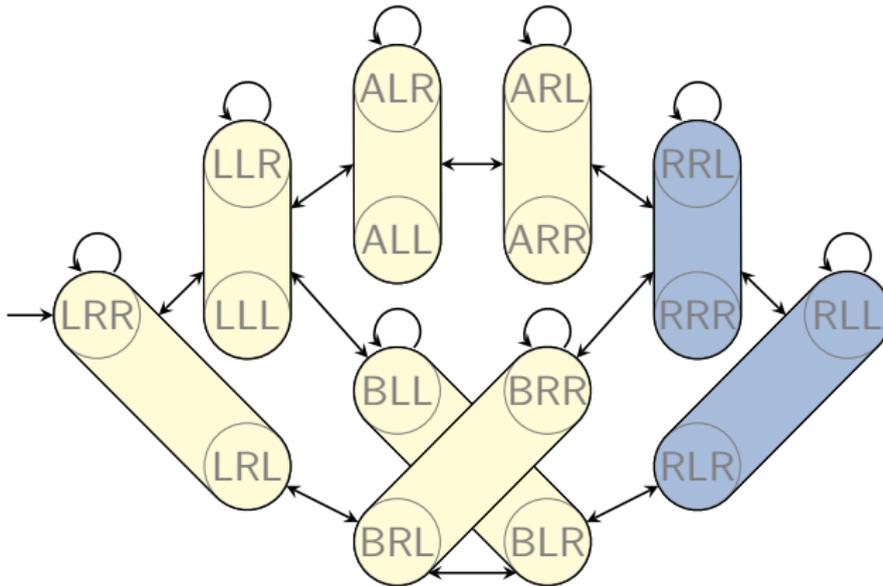
# Example: Projection

$\mathcal{T}^{\pi}\{\text{package}\};$



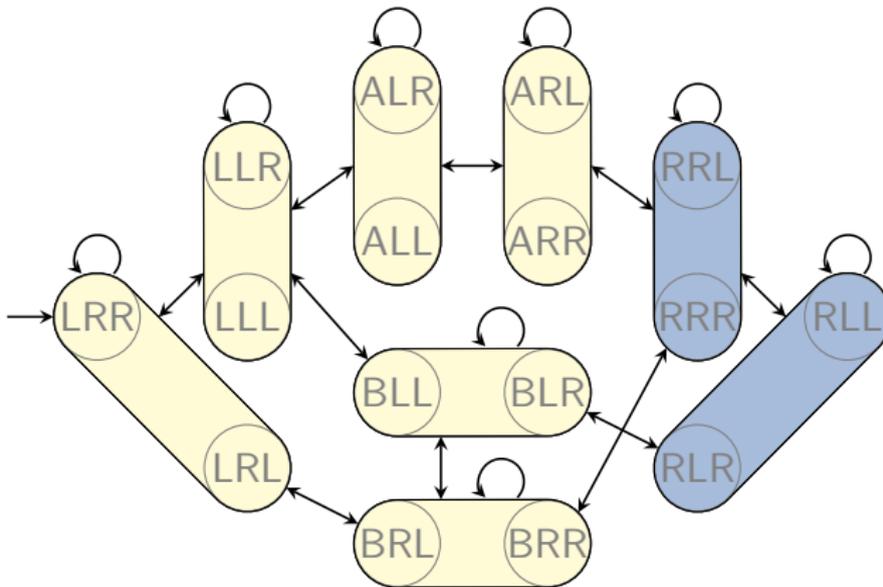
# Example: Projection (2)

$\mathcal{T}^\pi\{\text{package, truck A}\}$ :



# Example: Projection (2)

$\mathcal{T}^\pi\{\text{package, truck A}\}$ :



# Limitations of Projections

How accurate is the PDB heuristic?

- consider **generalization of the example**:  
 $N$  trucks,  $M$  locations (fully connected), still one package
- consider **any** pattern that is a proper subset of variable set  $V$ .
- $h(s_0) \leq 2 \rightsquigarrow$  **no better** than atomic projection to **package**

These values cannot be improved by maximizing over several patterns or using additive patterns.

**Merge-and-shrink abstractions** can represent heuristics with  $h(s_0) \geq 3$  for tasks of this kind of any size.

Time and space requirements are **polynomial in  $N$  and  $M$** .

# Merge-and-Shrink Abstractions: Main Idea

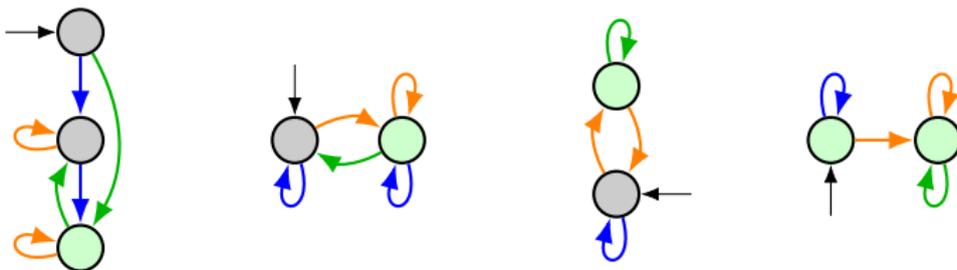
## Main Idea of Merge-and-shrink Abstractions

(due to Dräger, Finkbeiner & Podelski, 2006):

Instead of **perfectly** reflecting **a few** state variables, reflect **all** state variables, but in a **potentially lossy** way.

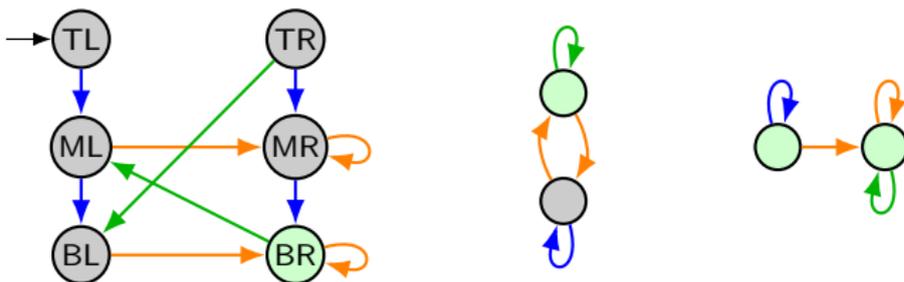
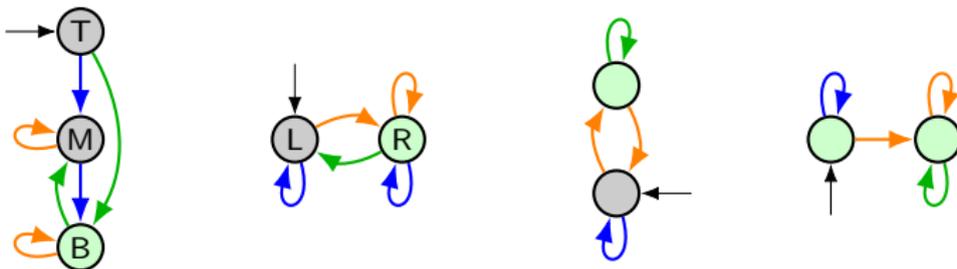
# Merge-and-Shrink Abstractions: Idea

Start from projections to single state variables



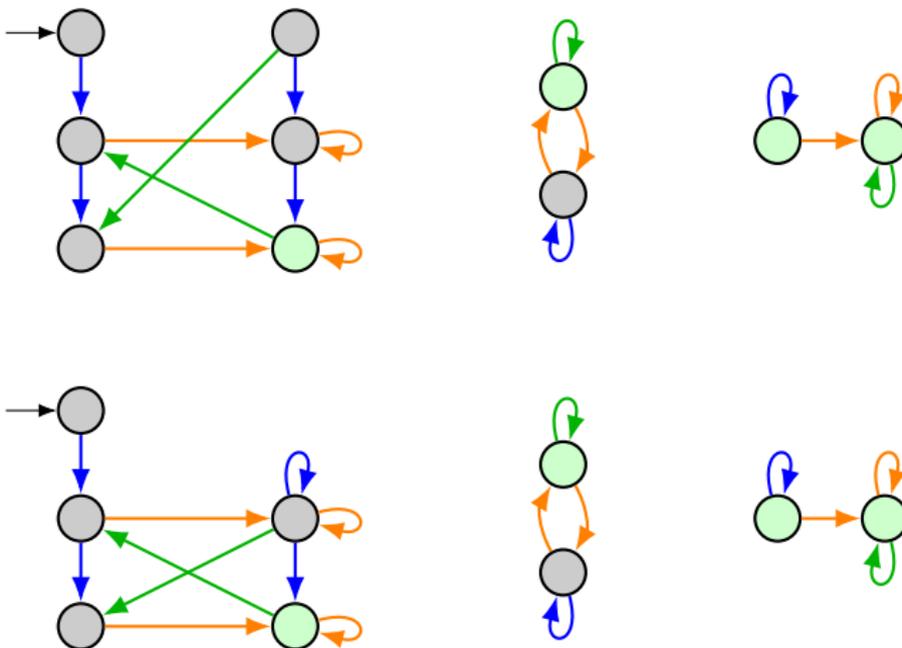
# Merge-and-Shrink Abstractions: Idea

Successively replace two transition systems with their product.



# Merge-and-Shrink Abstractions: Idea

If too large, replace a transition system with an abstract system.

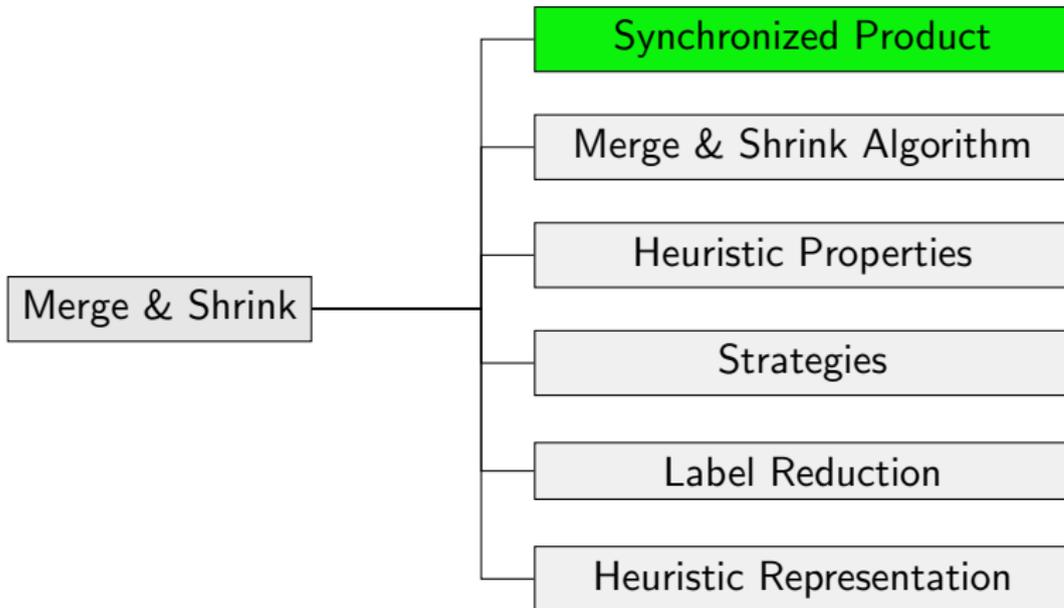


# Merge-and-Shrink Abstractions: Idea

- Given two abstract transition systems, we can **merge** them into a new abstract **product transition system**.
- The product transition system **captures all information** of both transition systems and can be **better informed than either**.
- It can even be better informed than their **sum**.
- If merging with another abstract transition system exceeded memory limitations, we can **shrink** an intermediate result using **any abstraction** and then **continue the merging process**.

# Synchronized Product

# Synchronized Product

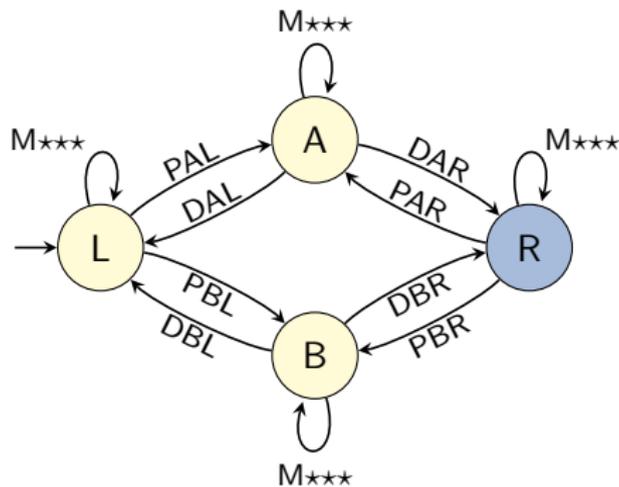


## Running Example: Explanations

- **Atomic projections** – projections to a single state variable – play an important role for merge-and-shrink abstractions.
- Unlike previous chapters, **transition labels** are critically important for this topic.
- Hence we now look at the transition systems for atomic projections of our example task, including transition labels.
- We abbreviate operator names as in these examples:
  - **MALR**: move truck **A** from left to right
  - **DAR**: drop package from truck **A** at right location
  - **PBL**: pick up package with truck **B** at left location
- We abbreviate parallel arcs with **commas** and **wildcards** (**\***) in the labels as in these examples:
  - **PAL, DAL**: two parallel arcs labeled **PAL** and **DAL**
  - **MA\*\***: two parallel arcs labeled **MALR** and **MARL**

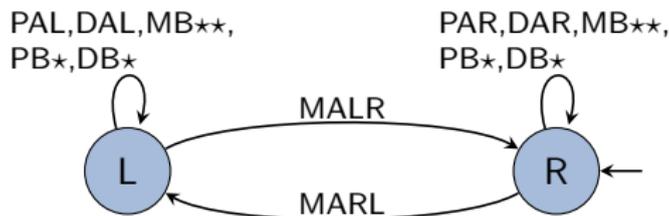
# Running Example: Atomic Projection for Package

$\mathcal{T}^{\pi\{\text{package}\}}:$



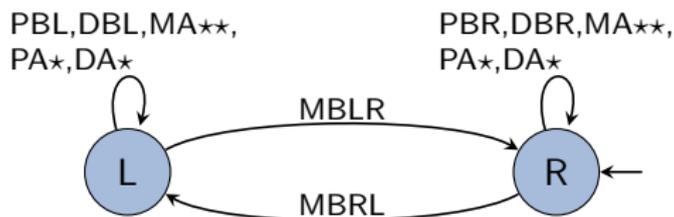
# Running Example: Atomic Projection for Truck A

$\mathcal{T}^\pi\{\text{truck A}\}$ :



# Running Example: Atomic Projection for Truck B

$\mathcal{T}^\pi\{\text{truck B}\}$ :



# Synchronized Product of Transition Systems

## Definition (Synchronized Product of Transition Systems)

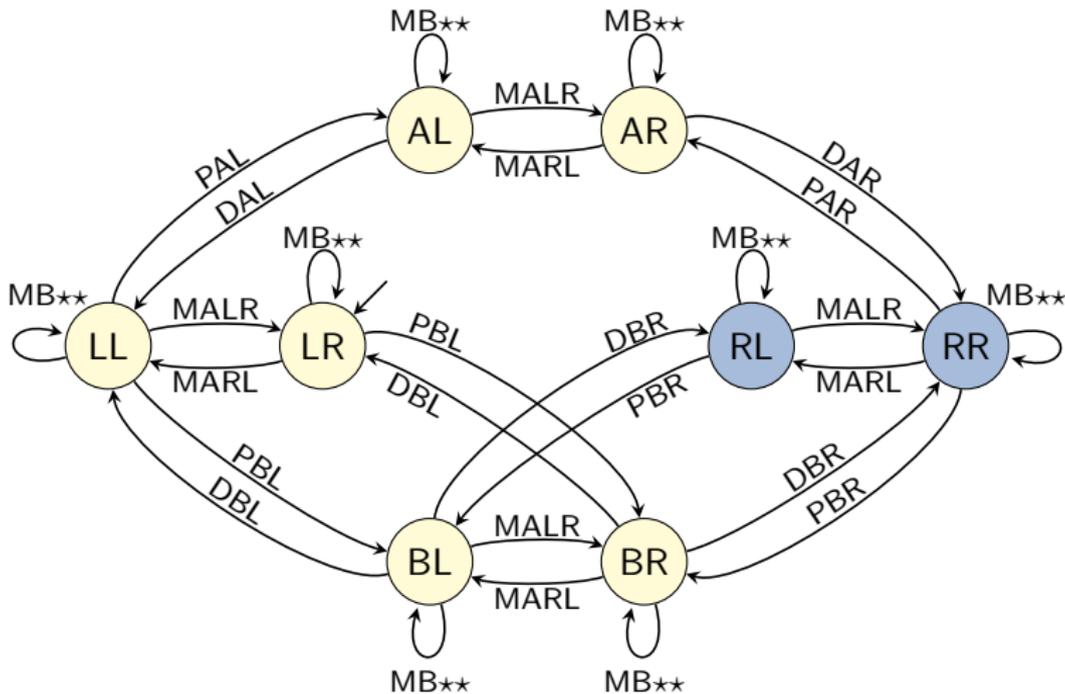
For  $i \in \{1, 2\}$ , let  $\mathcal{T}_i = \langle S_i, L, c, T_i, s_{0i}, S_{*i} \rangle$  be transition systems with identical label set and identical label cost function.

The **synchronized product** of  $\mathcal{T}_1$  and  $\mathcal{T}_2$ , in symbols  $\mathcal{T}_1 \otimes \mathcal{T}_2$ , is the transition system  $\mathcal{T}_\otimes = \langle S_\otimes, L, c, T_\otimes, s_{0\otimes}, S_{*\otimes} \rangle$  with

- $S_\otimes := S_1 \times S_2$
- $T_\otimes := \{ \langle \langle s_1, s_2 \rangle, l, \langle t_1, t_2 \rangle \rangle \mid \langle s_1, l, t_1 \rangle \in T_1 \text{ and } \langle s_2, l, t_2 \rangle \in T_2 \}$
- $s_{0\otimes} := \langle s_{01}, s_{02} \rangle$
- $S_{*\otimes} := S_{*1} \times S_{*2}$

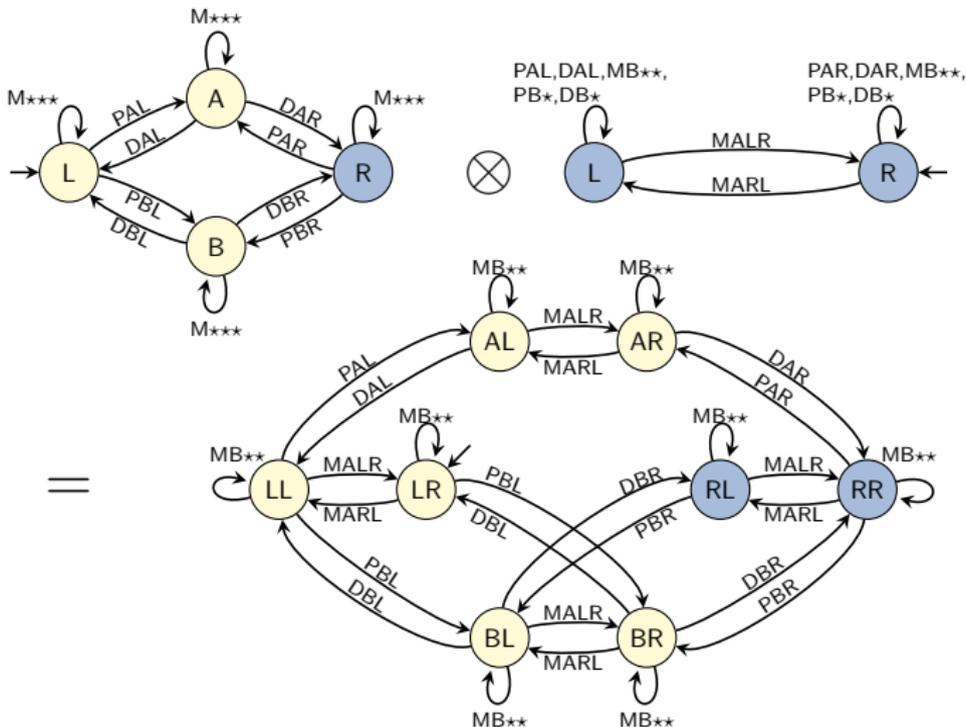
# Example: Synchronized Product

$$\mathcal{T}^{\pi}\{\text{package}\} \otimes \mathcal{T}^{\pi}\{\text{truck A}\};$$



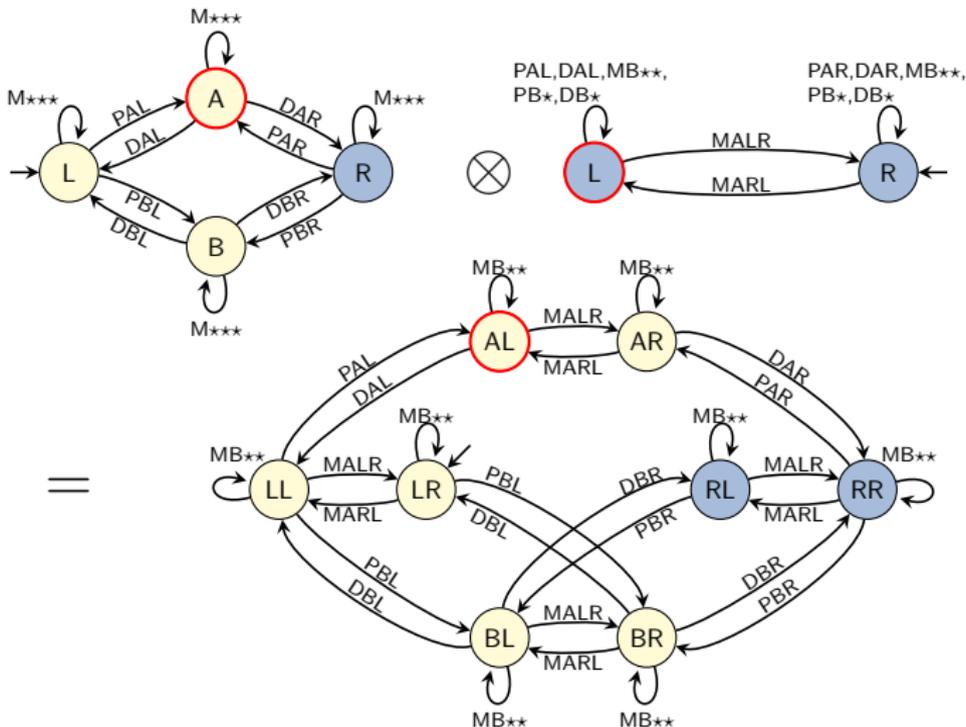
# Example: Computation of Synchronized Product

$$\mathcal{T}^\pi\{\text{package}\} \otimes \mathcal{T}^\pi\{\text{truck A}\}:$$



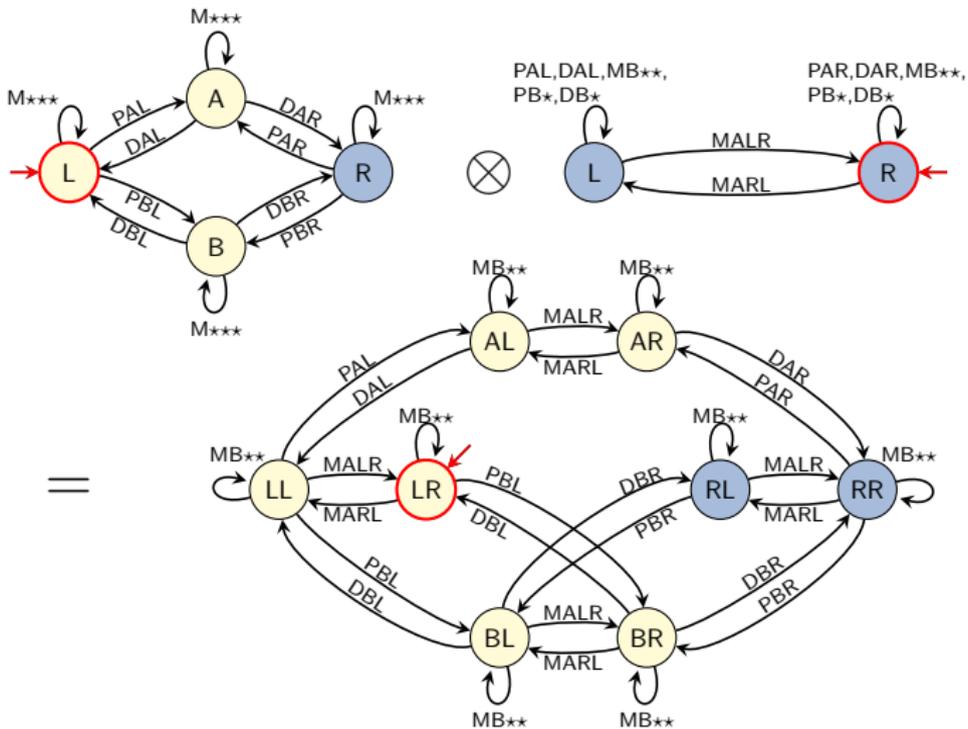
# Example: Computation of Synchronized Product

$$\mathcal{T}^\pi\{\text{package}\} \otimes \mathcal{T}^\pi\{\text{truck A}\}: S_\otimes = S_1 \times S_2$$



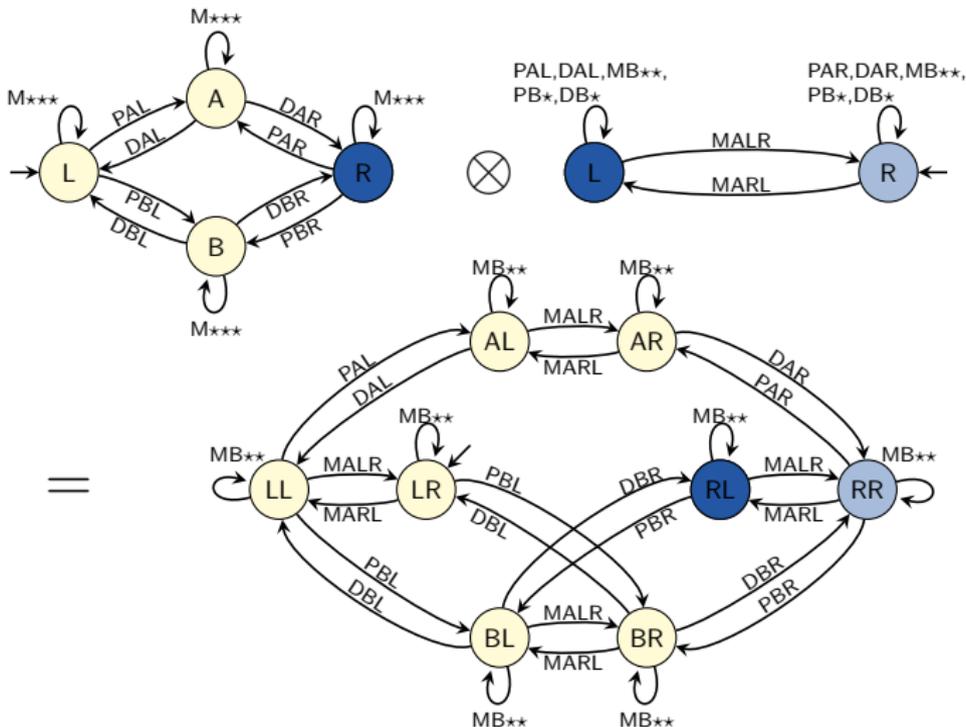
# Example: Computation of Synchronized Product

$$\mathcal{T}^\pi\{\text{package}\} \otimes \mathcal{T}^\pi\{\text{truck A}\}: s_0 \otimes = \langle s_01, s_02 \rangle$$



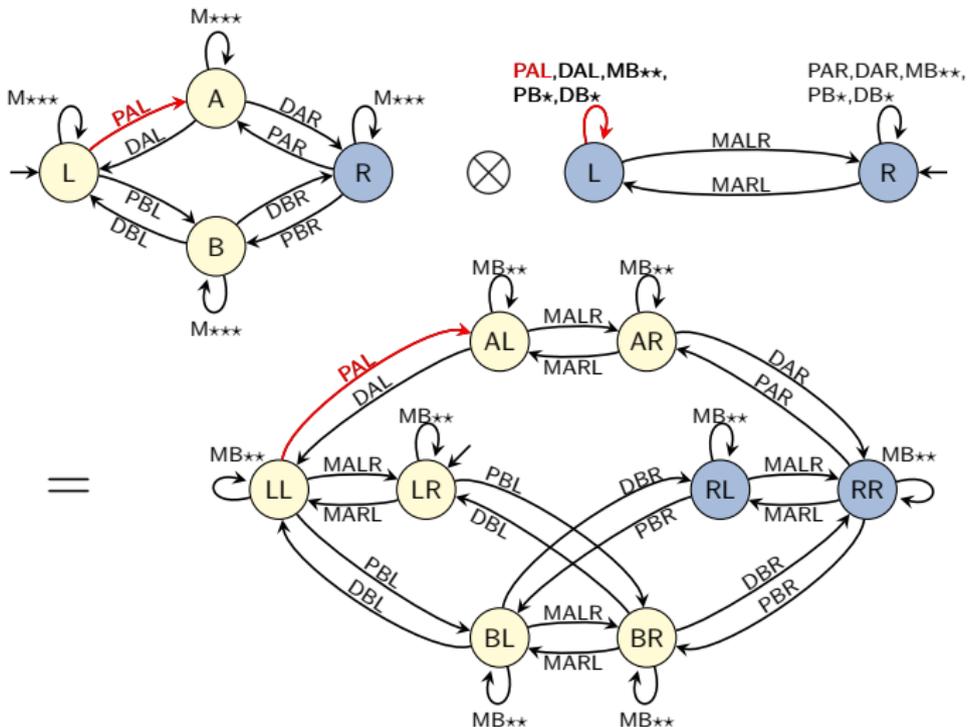
# Example: Computation of Synchronized Product

$$\mathcal{T}^\pi\{\text{package}\} \otimes \mathcal{T}^\pi\{\text{truck A}\}: S_{*\otimes} = S_{*1} \times S_{*2}$$



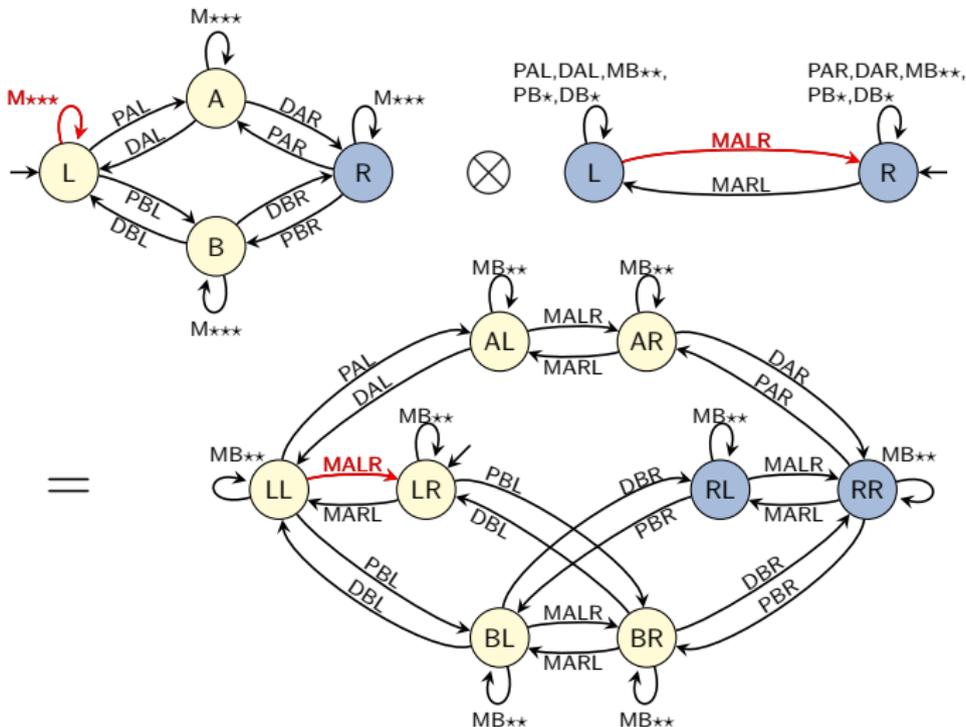
# Example: Computation of Synchronized Product

$$\mathcal{T}^\pi\{\text{package}\} \otimes \mathcal{T}^\pi\{\text{truck A}\}: T_\otimes := \{ \langle \langle s_1, s_2 \rangle, l, \langle t_1, t_2 \rangle \rangle \mid \dots \}$$



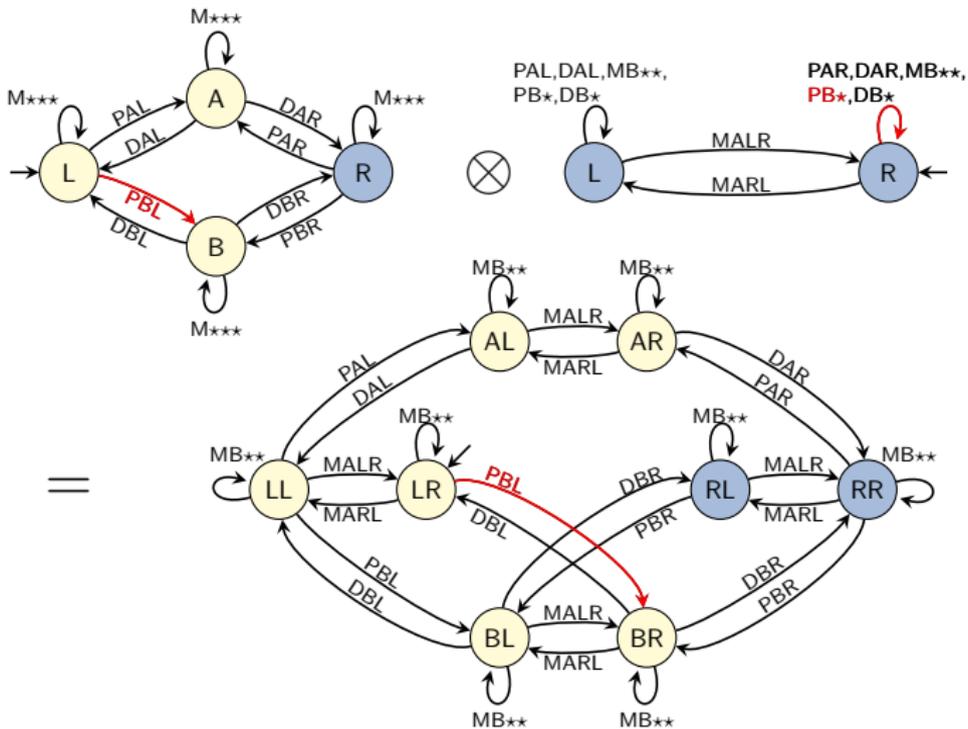
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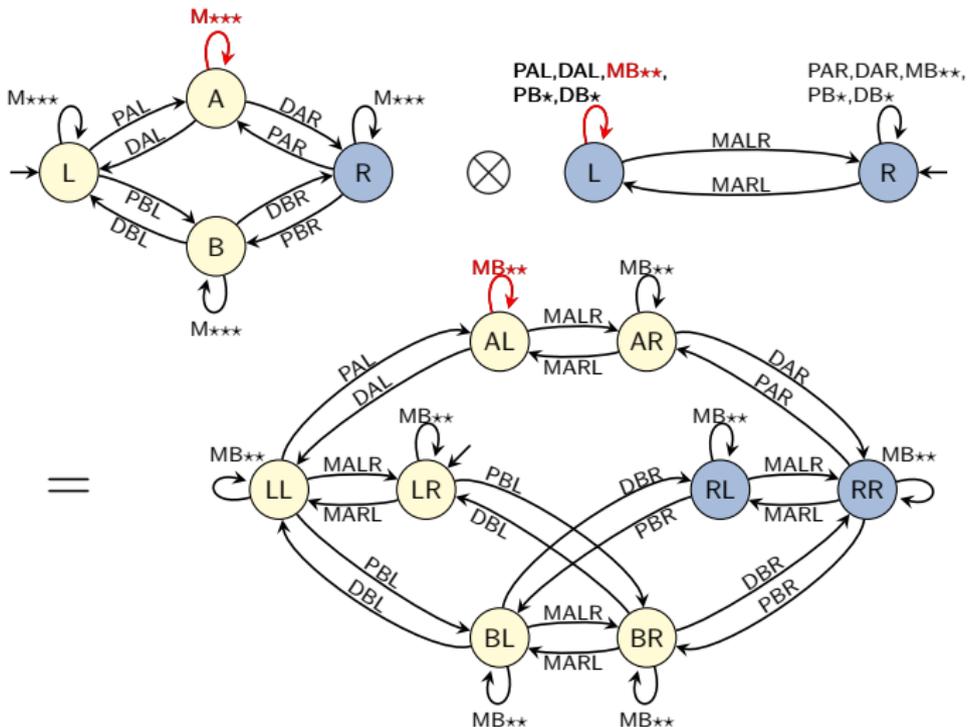
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# Example: Computation of Synchronized Product

$$\mathcal{T}^\pi\{\text{package}\} \otimes \mathcal{T}^\pi\{\text{truck A}\}: T_\otimes := \{ \langle \langle s_1, s_2 \rangle, l, \langle t_1, t_2 \rangle \rangle \mid \dots \}$$



# Synchronized Products and Abstractions

# Synchronized Product of Functions

## Definition (Synchronized Product of Functions)

Let  $\alpha_1 : S \rightarrow S_1$  and  $\alpha_2 : S \rightarrow S_2$  be functions with identical domain.

The **synchronized product** of  $\alpha_1$  and  $\alpha_2$ , in symbols  $\alpha_1 \otimes \alpha_2$ , is the function  $\alpha_{\otimes} : S \rightarrow S_1 \times S_2$  defined as  $\alpha_{\otimes}(s) = \langle \alpha_1(s), \alpha_2(s) \rangle$ .

# Synchronized Product of Abstractions

## Theorem

Let  $\alpha_1$  and  $\alpha_2$  be abstractions of transition system  $\mathcal{T}$  such that  $\alpha_{\otimes} := \alpha_1 \otimes \alpha_2$  is surjective.

Then  $\alpha_{\otimes}$  is an abstraction of  $\mathcal{T}$  and a refinement of  $\alpha_1$  and  $\alpha_2$ .

## Proof.

Abstraction: suitable domain as  $\alpha_1, \alpha_2$  are abstractions of  $\mathcal{T}$ ,  
surjective by premise

Refinement: For  $i \in \{1, 2\}$ ,  $\alpha_i = \beta_i \circ \alpha_{\otimes}$  with  $\beta_i(\langle x_1, x_2 \rangle) = x_i$ .  $\square$

# Preserving Abstractions

- It would be very nice if we could prove that if  $\alpha_1$  and  $\alpha_2$  are abstractions of  $\mathcal{T}$  then there is an abstraction of  $\mathcal{T}$  inducing  $\mathcal{T}^{\alpha_1} \otimes \mathcal{T}^{\alpha_2}$ .
- However, this is **not true** in general.
- It is **not even** true for SAS<sup>+</sup> tasks.
- But there is an important **sufficient condition** for preserving the abstraction property.

# Synchronized Products and Abstractions

## Theorem (Synchronized Products and Abstractions)

Let  $\Pi$  be a **SAS<sup>+</sup> planning task** with variable set  $V$ , and let  $V_1$  and  $V_2$  be **disjoint subsets** of  $V$ .

For  $i \in \{1, 2\}$ , let  $\alpha_i$  be an abstraction of  $\mathcal{T}(\Pi)$  such that  $\alpha_i$  is a **coarsening of  $\pi_{V_i}$** .

Then  $\alpha_{\otimes} := \alpha_1 \otimes \alpha_2$  is surjective and  $\mathcal{T}^{\alpha_1 \otimes \alpha_2} = \mathcal{T}^{\alpha_1} \otimes \mathcal{T}^{\alpha_2}$ .

# Synchronized Products and Abstractions

## Proof.

Let  $\mathcal{T} = \langle S, L, c, T, s_0, S_\star \rangle$  and  
for  $i \in \{1, 2\}$  let  $\mathcal{T}^{\alpha_i} = \langle S_i, L, c, T_i, s_{0i}, S_{\star i} \rangle$  (with  $\alpha_i : S \rightarrow S_i$ ).

$\alpha_1 \otimes \alpha_2$  is surjective:

Since  $\alpha_i$  is a coarsening of  $\pi_{V_i}$ , there is a  $\beta_i$  such that  $\alpha_i = \beta_i \circ \pi_{V_i}$   
with  $\beta_i : S|_{V_i} \rightarrow S_i$ .

Consider an arbitrary  $\langle s_1, s_2 \rangle \in S_1 \times S_2$ .

As  $\alpha_1, \alpha_2$  are surjective (because they are abstractions), there are  
 $s'_1, s'_2 \in S$  such that  $\alpha_i(s'_i) = s_i$ .

As  $S$  consists of all valuations of  $V$ , also state  $s$  with  $s|_{V_1} = s'_1|_{V_1}$   
and  $s|_{V \setminus V_1} = s'_2|_{V \setminus V_1}$  is in  $S$ .

Then  $\alpha_i(s) = \beta_i \circ \pi_{V_i}(s) = \beta_i \circ \pi_{V_i}(s'_i) = \alpha_i(s'_i) = s_i$  and hence  
 $\alpha_1 \otimes \alpha_2(s) = \langle \alpha_1(s), \alpha_2(s) \rangle = \langle s_1, s_2 \rangle$ . ...

# Synchronized Products and Abstractions

Proof (continued).

$$\mathcal{T}^{\alpha_1 \otimes \alpha_2} = \mathcal{T}^{\alpha_1} \otimes \mathcal{T}^{\alpha_2}:$$

$$S_{\alpha_1 \otimes \alpha_2} = S_1 \times S_2 = S_{\otimes}$$

$$s_{0\alpha_1 \otimes \alpha_2} = \alpha_1 \otimes \alpha_2(s_0) = \langle \alpha_1(s_0), \alpha_2(s_0) \rangle = \langle s_{01}, s_{02} \rangle = s_{0\otimes}$$

$$\begin{aligned} S_{\star\alpha_1 \otimes \alpha_2} &= \{ \alpha_1 \otimes \alpha_2(s) \mid s \in S_{\star} \} \\ &= \{ \langle \alpha_1(s), \alpha_2(s) \rangle \mid s \in S_{\star} \} \\ &\subseteq \{ \langle \alpha_1(s), \alpha_2(s') \rangle \mid s, s' \in S_{\star} \} \\ &= \{ \langle s_1, s_2 \rangle \mid s_1 \in S_{\star 1}, s_2 \in S_{\star 2} \} \\ &= S_{\star 1} \times S_{\star 2} \\ &= S_{\star \otimes} \end{aligned}$$

# Synchronized Products and Abstractions

## Proof (continued).

For equality, we also need to establish that

$$\{\langle \alpha_1(s), \alpha_2(s') \rangle \mid s, s' \in S_\star\} \subseteq \{\langle \alpha_1(s), \alpha_2(s) \rangle \mid s \in S_\star\}.$$

Consider arbitrary  $s, s' \in S_\star$ .

Define  $s''$  as  $s''|_{V_1} = s|_{V_1}$  and  $s''|_{V \setminus V_1} = s'|_{V \setminus V_1}$ .

It holds that  $\alpha_1(s'') = \alpha_1(s)$  and  $\alpha_2(s'') = \alpha_2(s')$  because  $\alpha_i$  is a coarsening of  $\pi_{V_i}$ .

Furthermore,  $s'' \in S_\star$ : the goal formula  $\gamma$  of a SAS<sup>+</sup> task is a conjunction of atoms  $v = d$ . If  $v \in V_1$ , then  $s''(v) = d$  because  $s \in S_\star$ , otherwise  $s''(v) = d$  because  $s' \in S_\star$ . Overall,  $s'' \models \gamma$ .

...

# Synchronized Products and Abstractions

## Proof (continued).

We still need to show the equality of the sets of transitions.

$$\begin{aligned} T_{\alpha_1 \otimes \alpha_2} &= \{ \langle \alpha_1 \otimes \alpha_2(s), o, \alpha_1 \otimes \alpha_2(t) \rangle \mid \langle s, o, t \rangle \in T \} \\ &= \{ \langle \langle \alpha_1(s), \alpha_2(s) \rangle, o, \langle \alpha_1(t), \alpha_2(t) \rangle \rangle \mid \langle s, o, t \rangle \in T \} \\ &\subseteq \{ \langle \langle \alpha_1(s), \alpha_2(s') \rangle, o, \langle \alpha_1(t), \alpha_2(t') \rangle \rangle \\ &\quad \mid \langle s, o, t \rangle, \langle s', o, t' \rangle \in T \} \\ &= \{ \langle \langle s_1, s_2 \rangle, o, \langle t_1, t_2 \rangle \rangle \mid \langle s_1, o, t_1 \rangle \in T_1, \langle s_2, o, t_2 \rangle \in T_2 \} \\ &= T_{\otimes} \end{aligned}$$

For equality, we need to show that for  $\langle s, o, t \rangle, \langle s', o, t' \rangle \in T$  there is a transition  $\langle s'', o, t'' \rangle \in T$  with  $\alpha_1(s) = \alpha_1(s''), \alpha_1(t) = \alpha_1(t''), \alpha_2(s') = \alpha_2(s''), \alpha_2(t') = \alpha_2(t'')$ .

# Synchronized Products and Abstractions

## Proof (continued).

Consider  $s'' \in S$  with  $s''|_{V_1} = s|_{V_1}$  and  $s''|_{V \setminus V_1} = s'|_{V \setminus V_1}$   
and  $t'' \in S$  with  $t''|_{V_1} = t|_{V_1}$  and  $t''|_{V \setminus V_1} = t'|_{V \setminus V_1}$ .

Since  $pre(o)$  is a conjunction of atoms and  $consist(eff(o)) \equiv \top$ ,  
 $o$  is applicable in  $s''$  by an analogous argument as for the goal.

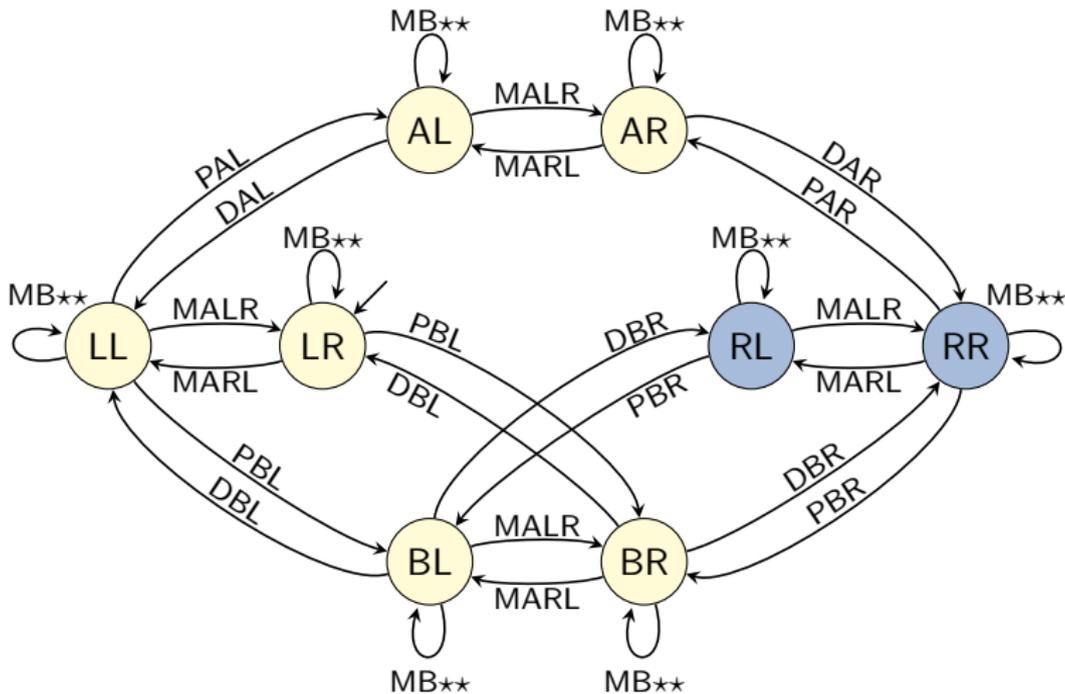
As  $t = s[o]$ , we have  $t|_{V \setminus vars(eff(o))} = s|_{V \setminus vars(eff(o))}$ , analogously  
for  $t'$  and  $s'$ . Hence  $t''|_{V \setminus vars(eff(o))} = s''|_{V \setminus vars(eff(o))}$ .

As  $eff(o)$  contains no conditional effect, it holds for all atomic  
effects  $v := d$  in  $eff(o)$  that  $t(v) = t'(v) = d$  and hence  
 $t''(v) = d$ . Overall,  $t'' = s''[o]$  and  $\langle s'', \ell, t'' \rangle \in T$ .

The requirements on the abstractions are again satisfied by the  
construction of  $s''$  and  $t''$  and  $\alpha_i$  being coarsenings of  $\pi_{V_i}$ . □

# Example: Product for Disjoint Projections

$$\mathcal{T}^\pi\{\text{package}\} \otimes \mathcal{T}^\pi\{\text{truck A}\} \sim \mathcal{T}^\pi\{\text{package, truck A}\}:$$



# Synchronized Products of Projections

## Corollary (Synchronized Products of Projections)

*Let  $\Pi$  be a SAS<sup>+</sup> planning task with variable set  $V$ , and let  $V_1$  and  $V_2$  be disjoint subsets of  $V$ .*

*Then  $\mathcal{T}^{\pi_{V_1}} \otimes \mathcal{T}^{\pi_{V_2}} \sim \mathcal{T}^{\pi_{V_1 \cup V_2}}$ .*

(Proof omitted.)

By repeated application of the corollary, we can recover **all pattern database heuristics** of a SAS<sup>+</sup> planning task from the abstract transition systems induced by atomic projections.

# Recovering $\mathcal{T}(\Pi)$ from the Atomic Projections

Moreover, by computing the product of **all** atomic projections, we can recover the **identity abstraction**  $\text{id} = \pi_V$ .

## Corollary (Recovering $\mathcal{T}(\Pi)$ from the Atomic Projections)

*Let  $\Pi$  be a  $\text{SAS}^+$  planning task with variable set  $V$ .*

*Then  $\mathcal{T}(\Pi) \sim \bigotimes_{v \in V} \mathcal{T}^{\pi_{\{v\}}}$ .*

This is an important result because it shows that the transition systems induced by atomic projections **contain all information** of a  $\text{SAS}^+$  task.

# Summary

# Summary

- The **synchronized product** of two transition systems captures “what we can do” in both systems “in parallel”.
- With suitable abstractions, the synchronized product of the induced transition systems is induced by the synchronized product of the abstractions.
- We can **recover** the original **transition system** from the abstract transition systems induced by the **atomic projections**.