

# Planning and Optimization

## D7. Merge-and-Shrink Abstractions: Synchronized Product

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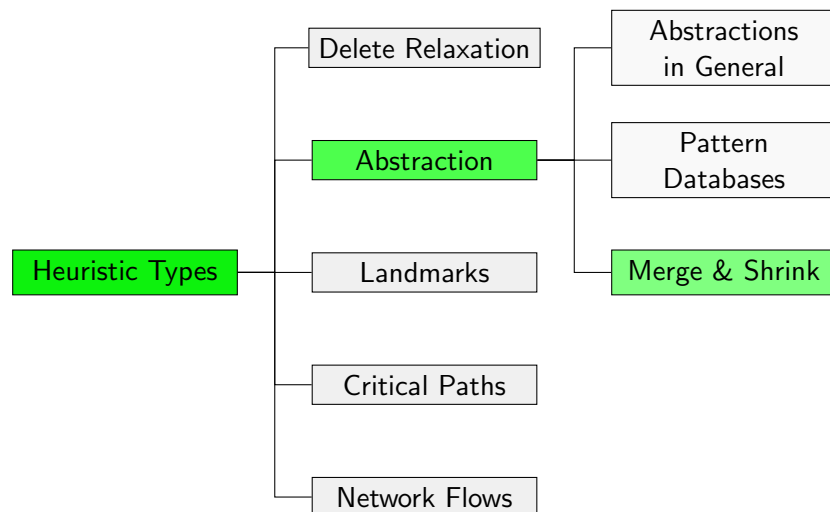
D7.1 Motivation

D7.2 Synchronized Product

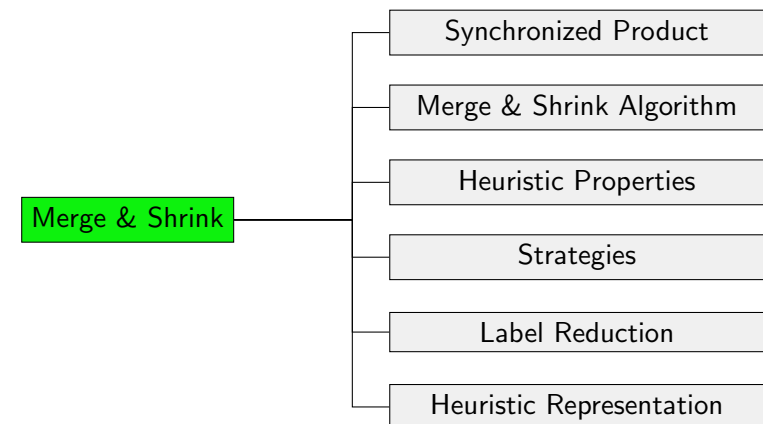
D7.3 Synchronized Products and Abstractions

D7.4 Summary

## Content of this Course: Heuristic Types



## Content of this Course: Merge & Shrink

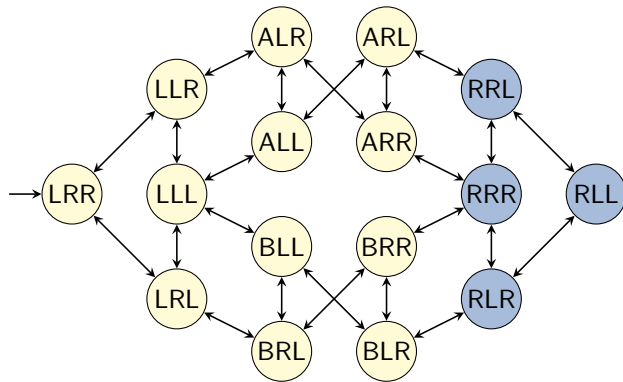


## D7.1 Motivation

## Beyond Pattern Databases

- ▶ Despite their popularity, pattern databases have some **fundamental limitations** ( $\rightsquigarrow$  example on next slides).
- ▶ This week, we study a class of abstractions called **merge-and-shrink abstractions**.
- ▶ Merge-and-shrink abstractions can be seen as a **proper generalization** of pattern databases.
  - ▶ They can do everything that pattern databases can do (modulo polynomial extra effort).
  - ▶ They can do some things that pattern databases cannot.

## Back to the Running Example

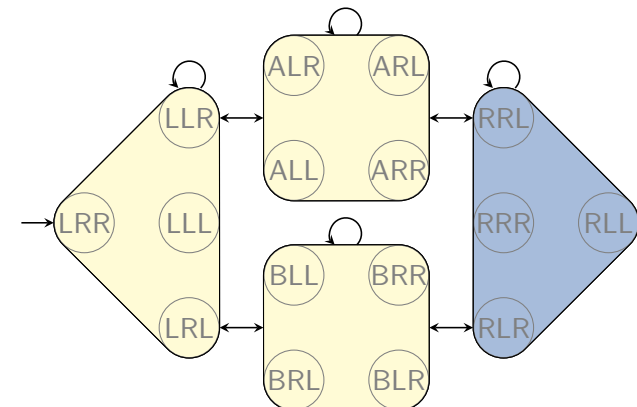


Logistics problem with one package, two trucks, two locations:

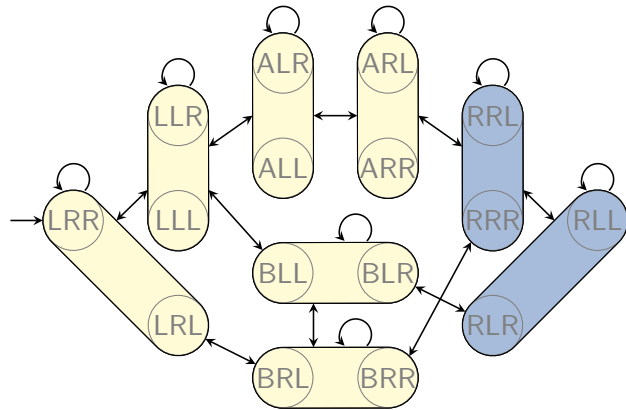
- ▶ state variable **package**:  $\{L, R, A, B\}$
- ▶ state variable **truck A**:  $\{L, R\}$
- ▶ state variable **truck B**:  $\{L, R\}$

## Example: Projection

$\mathcal{T}^\pi_{\{\text{package}\}}$ :



## Example: Projection (2)

 $\mathcal{T}^\pi_{\{\text{package, truck A}\}}:$ 


## Limitations of Projections

How accurate is the PDB heuristic?

- ▶ consider **generalization of the example**:  
 $N$  trucks,  $M$  locations (fully connected), still one package
- ▶ consider **any** pattern that is a proper subset of variable set  $V$ .
- ▶  $h(s_0) \leq 2 \rightsquigarrow$  **no better** than atomic projection to **package**

These values cannot be improved by maximizing over several patterns or using additive patterns.

**Merge-and-shrink abstractions** can represent heuristics with  $h(s_0) \geq 3$  for tasks of this kind of any size.

Time and space requirements are **polynomial in  $N$  and  $M$** .

## Merge-and-Shrink Abstractions: Main Idea

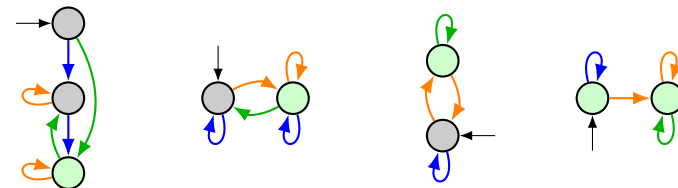
### Main Idea of Merge-and-shrink Abstractions

(due to Dräger, Finkbeiner & Podelski, 2006):

Instead of **perfectly** reflecting **a few** state variables, reflect **all** state variables, but in a **potentially lossy** way.

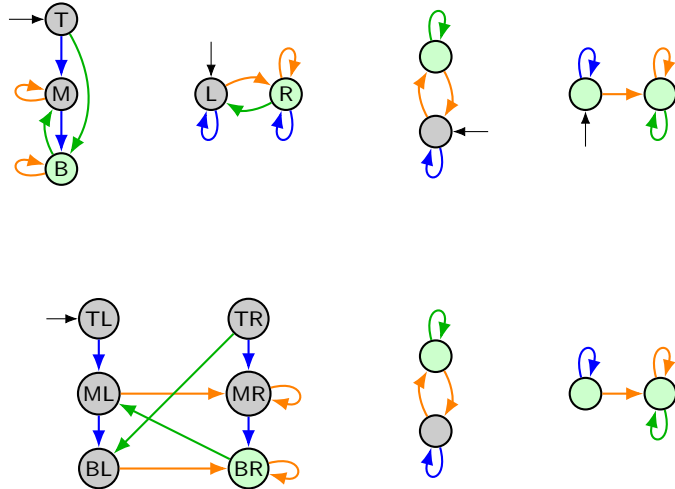
## Merge-and-Shrink Abstractions: Idea

Start from projections to single state variables



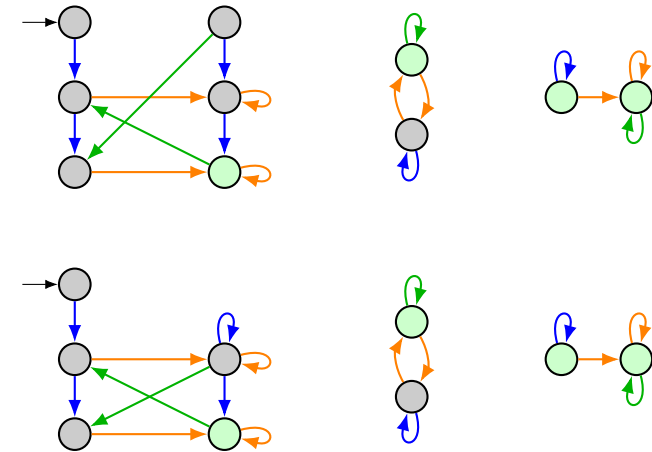
## Merge-and-Shrink Abstractions: Idea

Successively replace two transition systems with their product.



## Merge-and-Shrink Abstractions: Idea

If too large, replace a transition system with an abstract system.

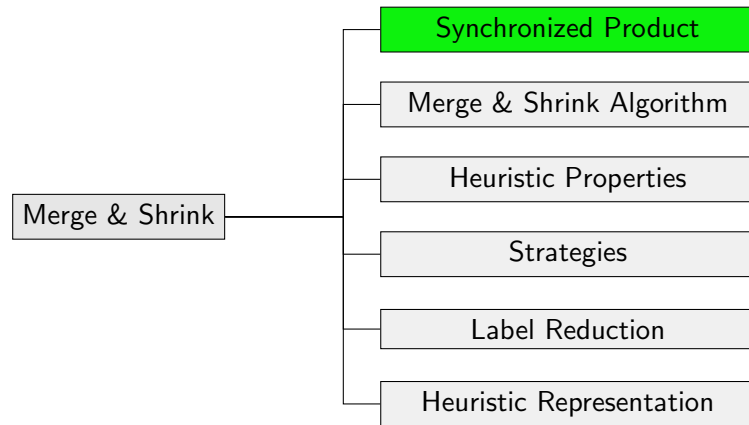


## Merge-and-Shrink Abstractions: Idea

- ▶ Given two abstract transition systems, we can **merge** them into a new abstract **product transition system**.
- ▶ The product transition system **captures all information** of both transition systems and can be **better informed than either**.
- ▶ It can even be better informed than their **sum**.
- ▶ If merging with another abstract transition system exceeded memory limitations, we can **shrink** an intermediate result using **any abstraction** and then **continue the merging process**.

## D7.2 Synchronized Product

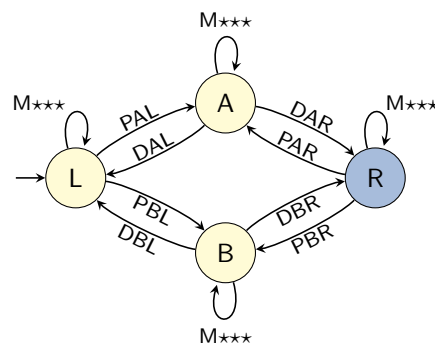
## Synchronized Product



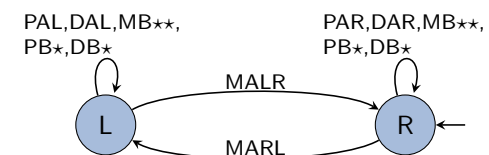
## Running Example: Explanations

- ▶ **Atomic projections** – projections to a single state variable – play an important role for merge-and-shrink abstractions.
- ▶ Unlike previous chapters, **transition labels** are critically important for this topic.
- ▶ Hence we now look at the transition systems for atomic projections of our example task, including transition labels.
- ▶ We abbreviate operator names as in these examples:
  - ▶ **MALR**: move truck **A** from **left** to **right**
  - ▶ **DAR**: drop package from truck **A** at **right** location
  - ▶ **PBL**: pick up package with truck **B** at **left** location
- ▶ We abbreviate parallel arcs with **commas** and **wildcards (\*)** in the labels as in these examples:
  - ▶ **PAL, DAL**: two parallel arcs labeled **PAL** and **DAL**
  - ▶ **MA\*\***: two parallel arcs labeled **MALR** and **MARL**

## Running Example: Atomic Projection for Package

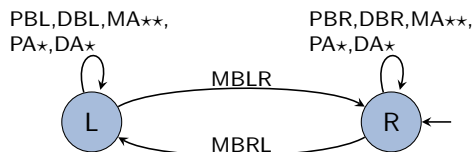
 $\mathcal{T}^{\pi}_{\{\text{package}\}}:$ 


## Running Example: Atomic Projection for Truck A

 $\mathcal{T}^{\pi}_{\{\text{truck A}\}}:$ 


### Running Example: Atomic Projection for Truck B

$\mathcal{T}^\pi\{\text{truck B}\}$ :



### Synchronized Product of Transition Systems

#### Definition (Synchronized Product of Transition Systems)

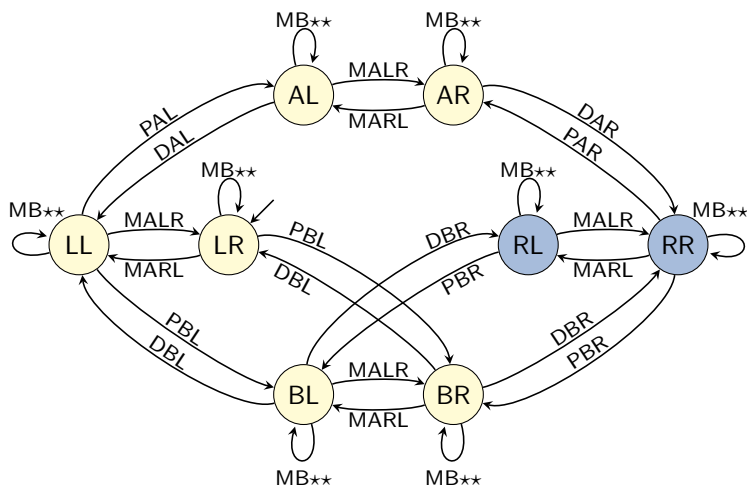
For  $i \in \{1, 2\}$ , let  $\mathcal{T}_i = \langle S_i, L, c, T_i, s_{0_i}, S_{*i} \rangle$  be transition systems with identical label set and identical label cost function.

The **synchronized product** of  $\mathcal{T}_1$  and  $\mathcal{T}_2$ , in symbols  $\mathcal{T}_1 \otimes \mathcal{T}_2$ , is the transition system  $\mathcal{T}_\otimes = \langle S_\otimes, L, c, T_\otimes, s_{0_\otimes}, S_{*\otimes} \rangle$  with

- ▶  $S_\otimes := S_1 \times S_2$
- ▶  $T_\otimes := \{ \langle \langle s_1, s_2 \rangle, l, \langle t_1, t_2 \rangle \rangle \mid \langle s_1, l, t_1 \rangle \in T_1 \text{ and } \langle s_2, l, t_2 \rangle \in T_2 \}$
- ▶  $s_{0_\otimes} := \langle s_{0_1}, s_{0_2} \rangle$
- ▶  $S_{*\otimes} := S_{*1} \times S_{*2}$

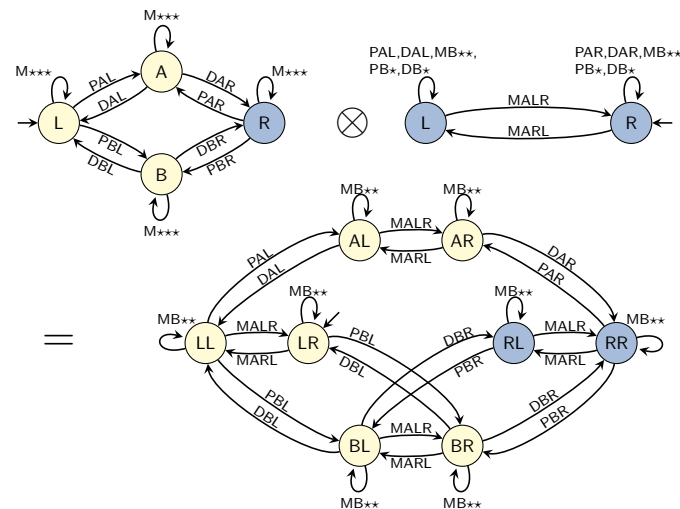
### Example: Synchronized Product

$\mathcal{T}^\pi\{\text{package}\} \otimes \mathcal{T}^\pi\{\text{truck A}\}$ :



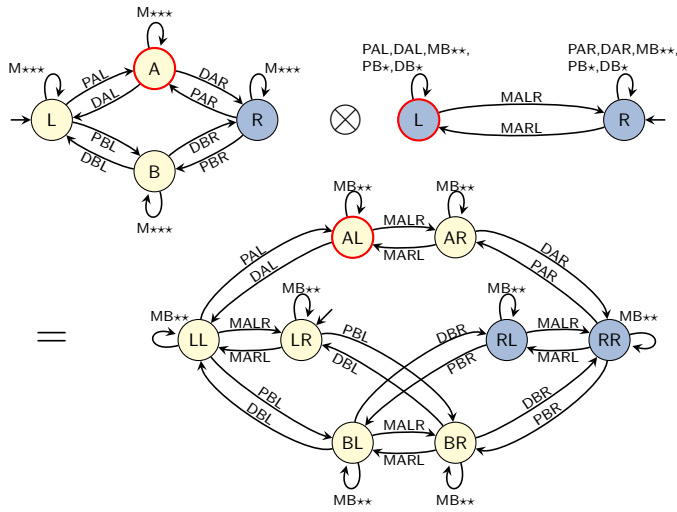
### Example: Computation of Synchronized Product

$\mathcal{T}^\pi\{\text{package}\} \otimes \mathcal{T}^\pi\{\text{truck A}\}$ :



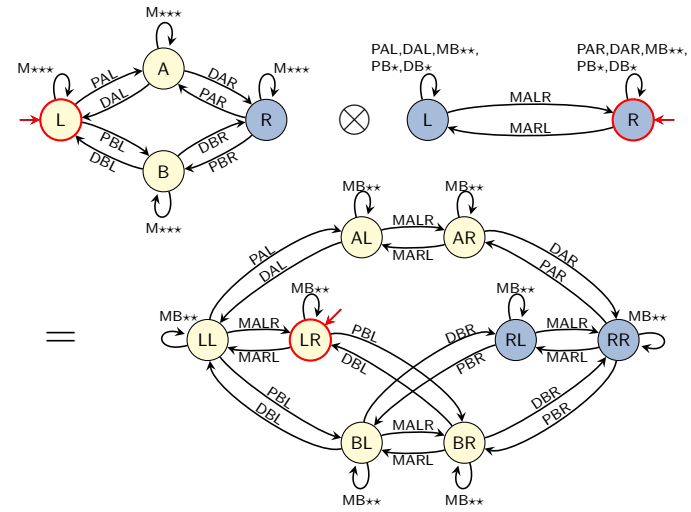
### Example: Computation of Synchronized Product

$$\mathcal{T}^\pi\{\text{package}\} \otimes \mathcal{T}^\pi\{\text{truck A}\}: S_{\otimes} = S_1 \times S_2$$



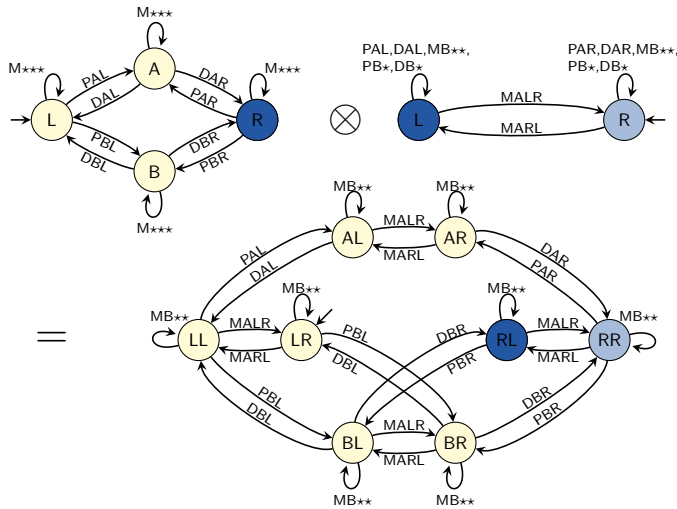
### Example: Computation of Synchronized Product

$$\mathcal{T}^\pi\{\text{package}\} \otimes \mathcal{T}^\pi\{\text{truck A}\}: s_{0\otimes} = \langle s_{01}, s_{02} \rangle$$



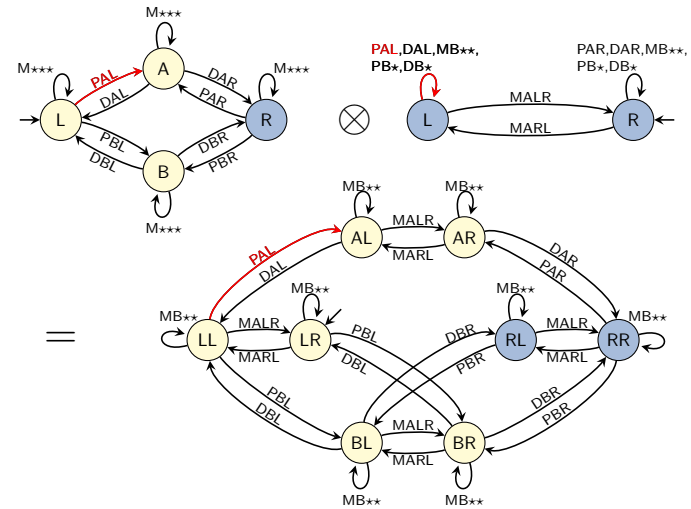
### Example: Computation of Synchronized Product

$$\mathcal{T}^\pi\{\text{package}\} \otimes \mathcal{T}^\pi\{\text{truck A}\}: S_{*\otimes} = S_{*1} \times S_{*2}$$



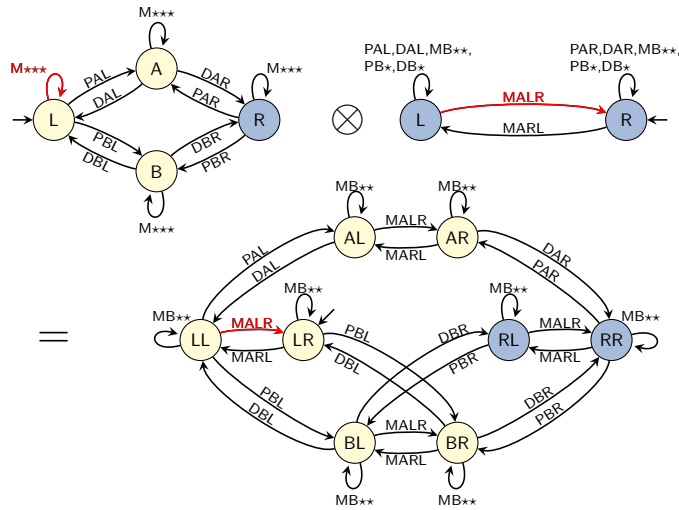
### Example: Computation of Synchronized Product

$$\mathcal{T}^\pi\{\text{package}\} \otimes \mathcal{T}^\pi\{\text{truck A}\}: T_{\otimes} := \{ \langle \langle s_1, s_2 \rangle, l, \langle t_1, t_2 \rangle \rangle \mid \dots \}$$



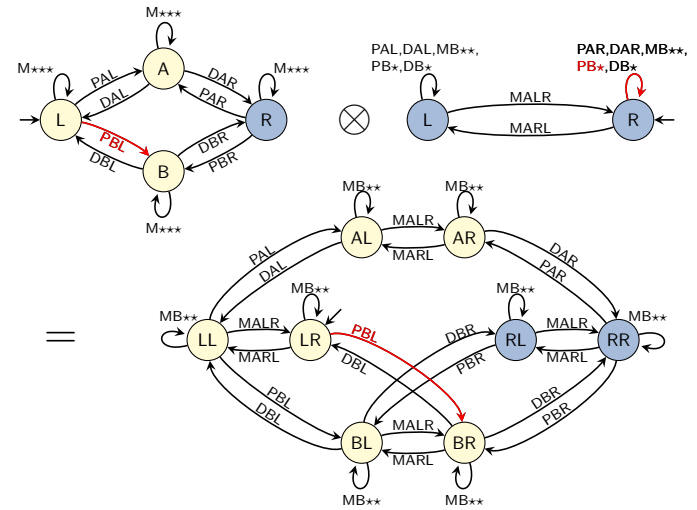
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$$\mathcal{T}^\pi\{\text{package}\} \otimes \mathcal{T}^\pi\{\text{truck A}\}: T_\otimes := \{\langle\langle s_1, s_2 \rangle\rangle, l, \langle t_1, t_2 \rangle\rangle \mid \dots\}$$



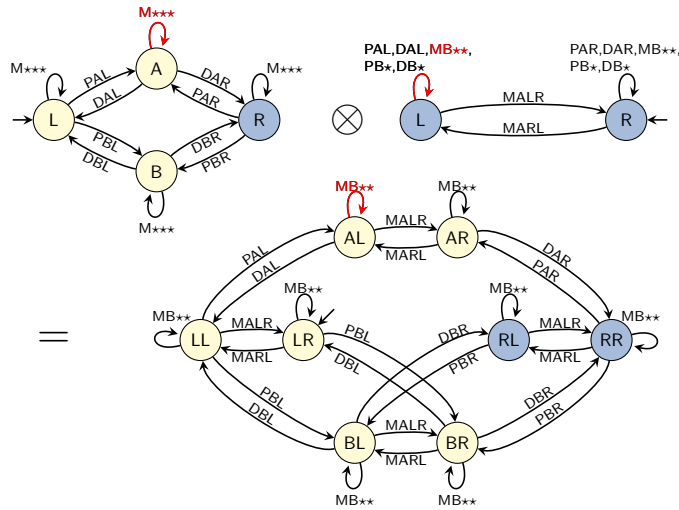
### Example: Computation of Synchronized Product

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### Example: Computation of Synchronized Product

$$\mathcal{T}^\pi\{\text{package}\} \otimes \mathcal{T}^\pi\{\text{truck A}\}: T_\otimes := \{\langle\langle s_1, s_2 \rangle\rangle, l, \langle t_1, t_2 \rangle\rangle \mid \dots\}$$



## D7.3 Synchronized Products and Abstractions



## Synchronized Product of Functions

### Definition (Synchronized Product of Functions)

Let  $\alpha_1 : S \rightarrow S_1$  and  $\alpha_2 : S \rightarrow S_2$  be functions with identical domain.

The **synchronized product** of  $\alpha_1$  and  $\alpha_2$ , in symbols  $\alpha_1 \otimes \alpha_2$ , is the function  $\alpha_\otimes : S \rightarrow S_1 \times S_2$  defined as  $\alpha_\otimes(s) = \langle \alpha_1(s), \alpha_2(s) \rangle$ .

## Synchronized Product of Abstractions

### Theorem

Let  $\alpha_1$  and  $\alpha_2$  be abstractions of transition system  $\mathcal{T}$  such that  $\alpha_\otimes := \alpha_1 \otimes \alpha_2$  is surjective.

Then  $\alpha_\otimes$  is an abstraction of  $\mathcal{T}$  and a refinement of  $\alpha_1$  and  $\alpha_2$ .

### Proof.

Abstraction: suitable domain as  $\alpha_1, \alpha_2$  are abstractions of  $\mathcal{T}$ , surjective by premise

Refinement: For  $i \in \{1, 2\}$ ,  $\alpha_i = \beta_i \circ \alpha_\otimes$  with  $\beta_i(\langle x_1, x_2 \rangle) = x_i$ .  $\square$

## Preserving Abstractions

- ▶ It would be very nice if we could prove that if  $\alpha_1$  and  $\alpha_2$  are abstractions of  $\mathcal{T}$  then there is an abstraction of  $\mathcal{T}$  inducing  $\mathcal{T}^{\alpha_1} \otimes \mathcal{T}^{\alpha_2}$ .
- ▶ However, this is **not true** in general.
- ▶ It is **not even** true for SAS<sup>+</sup> tasks.
- ▶ But there is an important **sufficient condition** for preserving the abstraction property.

## Synchronized Products and Abstractions

### Theorem (Synchronized Products and Abstractions)

Let  $\Pi$  be a SAS<sup>+</sup> planning task with variable set  $V$ , and let  $V_1$  and  $V_2$  be disjoint subsets of  $V$ .

For  $i \in \{1, 2\}$ , let  $\alpha_i$  be an abstraction of  $\mathcal{T}(\Pi)$  such that  $\alpha_i$  is a coarsening of  $\pi_{V_i}$ .

Then  $\alpha_\otimes := \alpha_1 \otimes \alpha_2$  is surjective and  $\mathcal{T}^{\alpha_\otimes} = \mathcal{T}^{\alpha_1} \otimes \mathcal{T}^{\alpha_2}$ .

## Synchronized Products and Abstractions

## Proof.

Let  $\mathcal{T} = \langle S, L, c, T, s_0, S_\star \rangle$  and  
for  $i \in \{1, 2\}$  let  $\mathcal{T}^{\alpha_i} = \langle S_i, L, c, T_i, s_{0i}, S_{\star i} \rangle$  (with  $\alpha_i : S \rightarrow S_i$ ).

 $\alpha_1 \otimes \alpha_2$  is surjective:

Since  $\alpha_i$  is a coarsening of  $\pi_{V_i}$ , there is a  $\beta_i$  such that  $\alpha_i = \beta_i \circ \pi_{V_i}$   
with  $\beta_i : S|_{V_i} \rightarrow S_i$ .

Consider an arbitrary  $\langle s_1, s_2 \rangle \in S_1 \times S_2$ .

As  $\alpha_1, \alpha_2$  are surjective (because they are abstractions), there are  
 $s'_1, s'_2 \in S$  such that  $\alpha_i(s'_i) = s_i$ .

As  $S$  consists of all valuations of  $V$ , also state  $s$  with  $s|_{V_1} = s'_1|_{V_1}$   
and  $s|_{V \setminus V_1} = s'_2|_{V \setminus V_1}$  is in  $S$ .

Then  $\alpha_i(s) = \beta_i \circ \pi_{V_i}(s) = \beta_i \circ \pi_{V_i}(s'_i) = \alpha_i(s'_i) = s_i$  and hence  
 $\alpha_1 \otimes \alpha_2(s) = \langle \alpha_1(s), \alpha_2(s) \rangle = \langle s_1, s_2 \rangle$ . ...

## Synchronized Products and Abstractions

## Proof (continued).

$$\mathcal{T}^{\alpha_1 \otimes \alpha_2} = \mathcal{T}^{\alpha_1} \otimes \mathcal{T}^{\alpha_2}:$$

$$S_{\alpha_1 \otimes \alpha_2} = S_1 \times S_2 = S_{\otimes}$$

$$s_{0_{\alpha_1 \otimes \alpha_2}} = \alpha_1 \otimes \alpha_2(s_0) = \langle \alpha_1(s_0), \alpha_2(s_0) \rangle = \langle s_{01}, s_{02} \rangle = s_{0_{\otimes}}$$

$$S_{\star_{\alpha_1 \otimes \alpha_2}} = \{\alpha_1 \otimes \alpha_2(s) \mid s \in S_\star\}$$

$$= \{\langle \alpha_1(s), \alpha_2(s) \rangle \mid s \in S_\star\}$$

$$\subseteq \{\langle \alpha_1(s), \alpha_2(s') \rangle \mid s, s' \in S_\star\}$$

$$= \{\langle s_1, s_2 \rangle \mid s_1 \in S_{\star 1}, s_2 \in S_{\star 2}\}$$

$$= S_{\star 1} \times S_{\star 2}$$

$$= S_{\star_{\otimes}}$$

...

## Synchronized Products and Abstractions

## Proof (continued).

For equality, we also need to establish that  
 $\{\langle \alpha_1(s), \alpha_2(s') \rangle \mid s, s' \in S_\star\} \subseteq \{\langle \alpha_1(s), \alpha_2(s) \rangle \mid s \in S_\star\}$ .

Consider arbitrary  $s, s' \in S_\star$ .

Define  $s''$  as  $s''|_{V_1} = s|_{V_1}$  and  $s''|_{V \setminus V_1} = s'|_{V \setminus V_1}$ .

It holds that  $\alpha_1(s'') = \alpha_1(s)$  and  $\alpha_2(s'') = \alpha_2(s')$  because  
 $\alpha_i$  is a coarsening of  $\pi_{V_i}$ .

Furthermore,  $s'' \in S_\star$ : the goal formula  $\gamma$  of a SAS<sup>+</sup> task is a  
conjunction of atoms  $v = d$ . If  $v \in V_1$ , then  $s''(v) = d$  because  
 $s \in S_\star$ , otherwise  $s''(v) = d$  because  $s' \in S_\star$ . Overall,  $s'' \models \gamma$ . ...

## Synchronized Products and Abstractions

## Proof (continued).

We still need to show the equality of the sets of transitions.

$$T_{\alpha_1 \otimes \alpha_2} = \{\langle \alpha_1 \otimes \alpha_2(s), o, \alpha_1 \otimes \alpha_2(t) \rangle \mid \langle s, o, t \rangle \in T\}$$

$$= \{\langle \langle \alpha_1(s), \alpha_2(s) \rangle, o, \langle \alpha_1(t), \alpha_2(t) \rangle \rangle \mid \langle s, o, t \rangle \in T\}$$

$$\subseteq \{\langle \langle \alpha_1(s), \alpha_2(s') \rangle, o, \langle \alpha_1(t), \alpha_2(t') \rangle \rangle$$

$$\mid \langle s, o, t \rangle, \langle s', o, t' \rangle \in T\}$$

$$= \{\langle \langle s_1, s_2 \rangle, o, \langle t_1, t_2 \rangle \rangle \mid \langle s_1, o, t_1 \rangle \in T_1, \langle s_2, o, t_2 \rangle \in T_2\}$$

$$= T_{\otimes}$$

For equality, we need to show that for  $\langle s, o, t \rangle, \langle s', o, t' \rangle \in T$   
there is a transition  $\langle s'', o, t'' \rangle \in T$  with  
 $\alpha_1(s) = \alpha_1(s''), \alpha_1(t) = \alpha_1(t''), \alpha_2(s') = \alpha_2(s''), \alpha_2(t') = \alpha_2(t'')$ . ...

## Synchronized Products and Abstractions

### Proof (continued).

Consider  $s'' \in S$  with  $s''|_{V_1} = s|_{V_1}$  and  $s''|_{V \setminus V_1} = s'|_{V \setminus V_1}$  and  $t'' \in S$  with  $t''|_{V_1} = t|_{V_1}$  and  $t''|_{V \setminus V_1} = t'|_{V \setminus V_1}$ .

Since  $pre(o)$  is a conjunction of atoms and  $consist(eff(o)) \equiv T$ ,  $o$  is applicable in  $s''$  by an analogous argument as for the goal.

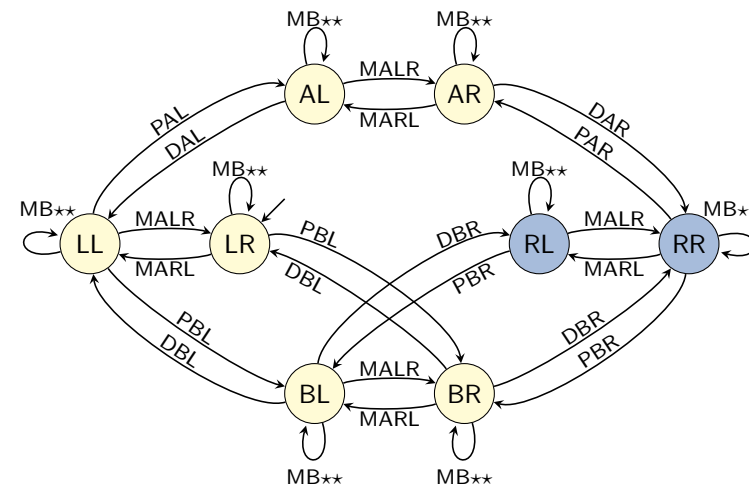
As  $t = s[o]$ , we have  $t|_{V \setminus vars(eff(o))} = s|_{V \setminus vars(eff(o))}$ , analogously for  $t'$  and  $s'$ . Hence  $t''|_{V \setminus vars(eff(o))} = s''|_{V \setminus vars(eff(o))}$ .

As  $eff(o)$  contains no conditional effect, it holds for all atomic effects  $v := d$  in  $eff(o)$  that  $t(v) = t'(v) = d$  and hence  $t''(v) = d$ . Overall,  $t'' = s''[o]$  and  $\langle s'', l, t'' \rangle \in T$ .

The requirements on the abstractions are again satisfied by the construction of  $s''$  and  $t''$  and  $\alpha_i$  being coarsenings of  $\pi_{V_i}$ .  $\square$

## Example: Product for Disjoint Projections

$$\mathcal{T}^\pi\{\text{package}\} \otimes \mathcal{T}^\pi\{\text{truck A}\} \sim \mathcal{T}^\pi\{\text{package, truck A}\}:$$



## Synchronized Products of Projections

### Corollary (Synchronized Products of Projections)

Let  $\Pi$  be a  $SAS^+$  planning task with variable set  $V$ , and let  $V_1$  and  $V_2$  be disjoint subsets of  $V$ .

Then  $\mathcal{T}^{\pi_{V_1}} \otimes \mathcal{T}^{\pi_{V_2}} \sim \mathcal{T}^{\pi_{V_1 \cup V_2}}$ .

(Proof omitted.)

By repeated application of the corollary, we can recover **all pattern database heuristics** of a  $SAS^+$  planning task from the abstract transition systems induced by atomic projections.

## Recovering $\mathcal{T}(\Pi)$ from the Atomic Projections

Moreover, by computing the product of **all** atomic projections, we can recover the **identity abstraction**  $id = \pi_V$ .

### Corollary (Recovering $\mathcal{T}(\Pi)$ from the Atomic Projections)

Let  $\Pi$  be a  $SAS^+$  planning task with variable set  $V$ .

Then  $\mathcal{T}(\Pi) \sim \bigotimes_{v \in V} \mathcal{T}^{\pi_{\{v\}}}$ .

This is an important result because it shows that the transition systems induced by atomic projections **contain all information** of a  $SAS^+$  task.

## D7.4 Summary

## Summary

- ▶ The **synchronized product** of two transition systems captures “what we can do” in both systems “in parallel”.
- ▶ With suitable abstractions, the synchronized product of the induced transition systems is induced by the synchronized product of the abstractions.
- ▶ We can **recover** the original **transition system** from the abstract transition systems induced by the **atomic projections**.