

# Planning and Optimization

## D6. Comparison of Heuristic Families I

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# Comparing Heuristic Classes

# Comparing Heuristic Quality

- We have seen many different heuristics.  
Can we **compare their quality**?
- For inadmissible heuristics, it is very hard to compare their quality theoretically (need a model of the search space).
- For **admissible** heuristics, if  $h(s) \geq h'(s)$  for all states  $s$  then  $h$  is at least as good as  $h'$  in terms of heuristic quality.
- For example, we know that  $h^m \geq h^{m'}$  for  $m \geq m'$ , so the heuristic quality of  $h^m$  cannot get worse with larger  $m$ .
- Only very few heuristics can be compared with this strong notion of dominance.

# Heuristic Classes

- Many “heuristics” we have seen are actually heuristic **classes** of many different specific heuristics.
  - There is no **single** PDB heuristic but one such heuristic for each pattern.
  - Merge-and-shrink heuristics depend on the merge and shrinking strategies (and tie-breaking).
  - Different sets of landmarks lead to different landmark heuristics.
  - ...
- How can we compare such heuristic classes?

# Comparing Heuristic Classes (1)

- Compare **best** cases: Given the best heuristic of class  $\mathcal{H}$ , can we find a heuristic of class  $\mathcal{H}'$  that is at least as good?
- No need to talk about a specific best heuristic (which is hard to identify), we can consider **arbitrary** heuristics instead: Given an arbitrary heuristic of class  $\mathcal{H}$ , can we find a heuristic of class  $\mathcal{H}'$  that is at least as good?
- It is only very rarely the case that there is a single heuristic that works globally for all states (as for example with PDB heuristics and merge-and-shrink heuristics).
- Focus on **individual states** instead: Given an arbitrary heuristic of class  $\mathcal{H}$  and a state  $s$ , can we find a heuristic of class  $\mathcal{H}'$  that is at least as good on state  $s$ ?

## Comparing Heuristic Classes (2)

- **Cost partitioning** allows to derive strong heuristic ensembles even from comparatively weak heuristics.
- We want to consider this in our comparison:  
Given an arbitrary additive set of heuristics of class  $\mathcal{H}$  and a state  $s$ , can we find an additive set of heuristics of class  $\mathcal{H}'$  that is at least as good on state  $s$ ?
- Some classes cover the perfect heuristic. For example, exponential-size abstractions can always represent  $h^*$ .
- To prevent such trivial cases, we concentrate on heuristics that can be computed in polynomial time in the representation size of the task.

# Compatibility

## Definition (Compatibility)

A class of heuristics  $\mathcal{H}$  is **compatible** to a class of heuristics  $\mathcal{H}'$  if for every state  $s$  and every additive set of heuristics  $h_1, \dots, h_n$  of class  $\mathcal{H}$  we can compute an additive set of heuristics  $h'_1, \dots, h'_m$  of class  $\mathcal{H}'$  such that  $\sum_{i=1}^n h_i(s) \leq \sum_{i=1}^m h'_i(s)$ .

It is sufficient to consider  $n = 1$ . [Why?](#)

Analogy to reduction in theoretical computer science.

# What to Compare?

# Delete Relaxation

- $h^{\text{add}}$  and  $h^{\text{FF}}$  are inadmissible.
- $h^+$  is NP-hard to compute.
- This leaves  $h^{\text{max}}$ .
- Reminder:  $h^{\text{max}} \leq h^+$

Throughout this topic, we write

- $o^+$  for the delete-relaxation of operator  $o$ ,
- for sets  $O$  of operators:  $O^+$  for  $\{o^+ \mid o \in O\}$ , and
- $\Pi^+$  for the delete-relaxation of task  $\Pi$ .

# Abstraction

- In this course: PDB and merge-and-shrink heuristics
- Both are admissible.
- Merge-and-shrink heuristics are **at least as powerful** as PDB heuristics because we can compute an equivalent merge-and-shrink heuristic for each PDB heuristic with only polynomial overhead.
- Merge-and-shrink heuristics can represent abstractions that are not projections, so merge-and-shrink heuristics are **strictly more powerful** than PDBs.
- Makes sense to compare other heuristic classes to both of these abstraction heuristic classes.

# Landmarks (1)

- Have seen LM-Cut, LM-count and cost-partitioning for landmarks.
- LM-count is inadmissible.
- All admissible heuristics can be expressed by cost partitioning and heuristics that use the cost of the landmark as estimate.
- Most landmark generation methods only generate landmarks of the delete relaxation, which is a severe limitation.
- We therefore analyse such relaxation-based landmark heuristics.

## Landmarks (2)

### Definition (Elementary Landmark Heuristic)

The **elementary landmark heuristic** for planning task  $\Pi = \langle V, I, O, \gamma \rangle$  and operator subset  $L \subseteq O$  is

$$h_L(s) = \begin{cases} \min_{o \in L} \text{cost}(o) & \text{if } L^+ \text{ is a landmark for } s \text{ in } \Pi^+ \\ 0 & \text{otherwise} \end{cases}$$

Additive sets of such heuristics cover all admissible relaxation-based landmark heuristics we have seen (on a specific state).

# Critical Paths

- The  $h^m$  heuristic family is **admissible**.
- For  $m \geq m'$ ,  $h^m(s) \geq h^{m'}(s)$  for all states  $s$ .
- For  $m > m'$ , there are tasks and states  $s$  with  $h^m(s) > h^{m'}(s)$ .
- For large enough  $m$  (depending on the task),  $h^m = h^*$ .
- Computation is **exponential in  $m$** .
- **Polynomial-time** compilations can only compile to critical path heuristics for **fixed  $m$** .
- Reminder:  $h^1 = h^{\max}$

# Landmarks vs. Abstractions

# Abstractions to Landmarks

## Theorem

*There is no compilation of PDB heuristics into elementary landmarks.*

## Proof.

The estimate of a PDB heuristic can exceed  $h^+$  while elementary landmark heuristics are bounded by  $h^+$ . □

The result directly carries over to merge-and-shrink heuristics.

# Landmarks to PDBs

## Theorem (Landmarks to PDBs)

*There is no polynomial-time compilation of elementary landmarks into PDB heuristics.*

## Proof.

Consider task family  $(\Pi_n)_{n \in \mathbb{N}_1}$ , where  $\Pi_n = \langle V_n, I_n, O_n, g \rangle$  with  $V_n = \{v_1, \dots, v_n, g\}$ ,  $I_n(v) = \mathbf{F}$  for  $v \in V_n$ , and  $O = \{\langle \top, v_i, 1 \rangle \mid 1 \leq i \leq n\} \cup \{\langle v_i, g, 0 \rangle \mid 1 \leq i \leq n\}$ .

$L = \{\langle \top, v_i, 1 \rangle \mid 1 \leq i \leq n\}$  is a landmark for  $I$ , so  $h_L(I) = 1$ .

However, the initial estimate of every PDB heuristic that projects away at least one variable  $v$  is 0, as the abstract goal can be reached with  $\langle v, g, 0 \rangle$ . For large enough  $n$ , any polynomial-time compilation must project away a variable. □

# Landmarks to Merge-and-Shrink Abstractions (1)

## Theorem

*Elementary landmarks can be compiled into merge-and-shrink abstractions in polynomial time.*

## Proof.

Let  $\Pi = \langle V, I, O, \gamma \rangle$  be a STRIPS planning task and  $L \subseteq O$ . Let  $U$  be the set of variables that cannot be reached from  $s$  in  $\Pi^+$  without using an operator from  $L^+$ .

Consider abstraction

$$\alpha(s') = \begin{cases} s_u & s' \models \bigvee_{v \in U} v \\ s_r & \text{otherwise} \end{cases}$$

## Landmarks to Merge-and-Shrink Abstractions (2)

### Proof (continued).

The abstraction can be computed as merge-and-shrink abstraction in polynomial time by a linear merge strategy with arbitrary variable order. After each merge step, shrink all abstract states where all (already included) variables in  $U$  have value  $\mathbf{F}$  to one state and all other states to a second state.

If  $L^+$  is not a landmark for  $s$  in  $\Pi^+$ , then  $h_L(s) = 0$  and trivially  $h^\alpha(s) \geq h_L(s)$ .

If  $L^+$  is a landmark then  $\gamma \models \bigvee_{v \in U} v$ . So, for all goal states  $s_\star$  it holds that  $\alpha(s_\star) = s_u$ , so  $s_u$  is the only abstract goal state. ...

# Landmarks to Merge-and-Shrink Abstractions (3)

## Proof (continued).

As all true variables in  $s$  are reachable from  $s$  in  $\Pi^+$ ,  
 $s \not\models \bigvee_{v \in U} v$  and  $\alpha(s) = s_r$ .

All abstract plans for  $s$  must contain a transition from  $s_r$  to  $s_u$  and  $h^\alpha(s)$  is the minimal cost of all such transitions.

Assume that there is a transition from a state  $s_1$  with  $\alpha(s_1) = s_r$  to a state  $s_2$  with  $\alpha(s_2) = s_u$  by an operator  $o \notin L$ . Then  $o^+$  is applicable in  $s_1$  and leads to a state where a variable from  $U$  is true, contradicting the definition of  $U$ .

Therefore all abstract transitions from  $s_r$  to  $s_u$  are induced by an operator from  $L$  and have cost at least  $\min_{o \in L} \text{cost}(o)$ .

So  $h^\alpha(s) \geq \min_{o \in L} \text{cost}(o) = h_L(s)$ . □

# Summary

# Summary

- We can use **compatibility** to compare the power of different classes of admissible heuristics.
- So far we have established that **PDB heuristics are incomparable with landmark heuristics**, and
- **Merge-and-shrink heuristics strictly dominate landmark heuristics.**