

# Foundations of Artificial Intelligence

## 25. Constraint Satisfaction Problems: Arc Consistency

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April 12, 2023

# Constraint Satisfaction Problems: Overview

Chapter overview: constraint satisfaction problems:

- 22.–23. Introduction
- 24.–26. Basic Algorithms
  - 24. Backtracking
  - 25. Arc Consistency
  - 26. Path Consistency
- 27.–28. Problem Structure

# Inference

# Inference

## Inference

Derive additional constraints ([here](#): unary or binary) that are implied by the given constraints, i.e., that are satisfied in all solutions.

# Inference: Example

## Running Example

### binary constraints:

- $R_{wx} = \{\langle 2, 1 \rangle, \langle 4, 2 \rangle\}$
- $R_{wz} = \{\langle 1, 2 \rangle, \langle 1, 3 \rangle, \langle 2, 3 \rangle\}$
- $R_{yz} = \{\langle 2, 1 \rangle, \langle 3, 1 \rangle, \langle 3, 2 \rangle, \langle 4, 1 \rangle, \langle 4, 2 \rangle, \langle 4, 3 \rangle\}$

### domains:

- $\text{dom}(w) = \{1, 2, 3, 4\}$
- $\text{dom}(x) = \{1, 2, 3\}$
- $\text{dom}(y) = \{1, 2, 3, 4\}$
- $\text{dom}(z) = \{1, 2, 3\}$

Can we use the constraint  $R_{wz}$  ( $w < z$ ) to come up with a unary constraint  $R_w$ ?

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domains (unary constraints):

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Can we use the constraint  $R_{wz}$  ( $w < z$ ) to come up with a unary constraint  $R_w$ ?

↪ tighten domain with unary constraint  
(sometimes called node consistency)

# Inference: Example

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How does this affect the binary constraint  $R_{wx}$ ?

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## Running Example

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Can we generate a “new” binary constraint from  $w < z$  and  $z < y$ ?  
(i.e., tighten a trivial constraint)

# Inference: Example

## Running Example

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- $R_{yz} = \{\langle 2, 1 \rangle, \langle 3, 1 \rangle, \langle 3, 2 \rangle, \langle 4, 1 \rangle, \langle 4, 2 \rangle, \langle 4, 3 \rangle\}$
- $R_{wy} = \{\langle 1, 2 \rangle, \langle 1, 3 \rangle, \langle 1, 4 \rangle, \langle 2, 3 \rangle, \langle 2, 4 \rangle\}$

### domains (unary constraints):

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Can we generate a “new” binary constraint from  $w < z$  and  $z < y$ ?  
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# Trade-Off Search vs. Inference

## Inference formally

For a given constraint network  $\mathcal{C}$ , replace  $\mathcal{C}$  with an **equivalent**, but **tighter** constraint network.

### Trade-off:

- the **more complex** the inference, and
- the **more often** inference is applied,
- the **smaller** the resulting state space, but
- the **higher** the complexity **per search node**.

# When to Apply Inference?

different possibilities to apply inference:

- once as **preprocessing** before search
  - **combined with search**: before recursive calls during backtracking procedure
    - already assigned variable  $v \mapsto d$  corresponds to  $\text{dom}(v) = \{d\}$   
 $\rightsquigarrow$  more inferences possible
    - during backtracking, derived constraints have to be **retracted** because they were based on the given assignment
- $\rightsquigarrow$  powerful, but possibly expensive

# Backtracking with Inference

```
function BacktrackingWithInference( $\mathcal{C}, \alpha$ ):
```

```
if  $\alpha$  is inconsistent with  $\mathcal{C}$ :  
    return inconsistent
```

```
if  $\alpha$  is a total assignment:  
    return  $\alpha$ 
```

```
 $\mathcal{C}' := \langle V, \text{dom}', (R'_{uv}) \rangle := \text{copy of } \mathcal{C}$   
apply inference to  $\mathcal{C}'$ 
```

```
if  $\text{dom}'(v) \neq \emptyset$  for all variables  $v$ :
```

```
    select some variable  $v$  for which  $\alpha$  is not defined
```

```
    for each  $d \in \text{copy of } \text{dom}'(v)$  in some order:
```

```
         $\alpha' := \alpha \cup \{v \mapsto d\}$ 
```

```
         $\text{dom}'(v) := \{d\}$ 
```

```
         $\alpha'' := \text{BacktrackingWithInference}(\mathcal{C}', \alpha')$ 
```

```
        if  $\alpha'' \neq \text{inconsistent}$ :
```

```
            return  $\alpha''$ 
```

```
return inconsistent
```

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        if  $\alpha'' \neq \text{inconsistent}$ :
```

```
            return  $\alpha''$ 
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```
return inconsistent
```

# Backtracking with Inference: Discussion

- **Inference** is a placeholder:  
different inference methods can be applied.
- Inference methods can recognize unsolvability (given  $\alpha$ )  
and indicate this by clearing the domain of a variable.
- Efficient implementations of inference are often **incremental**:  
the last assigned variable/value pair  $v \mapsto d$  is taken  
into account to speed up the inference computation.

# Forward Checking



# Forward Checking

We start with a simple inference method:

## Forward Checking

Let  $\alpha$  be a partial assignment.

**Inference:** For all unassigned variables  $v$  in  $\alpha$ , remove all values from the domain of  $v$  that are in conflict with already assigned variable/value pairs in  $\alpha$ .

$\rightsquigarrow$  definition of **conflict** as in the previous chapter

**Incremental computation:**

- When adding  $v \mapsto d$  to the assignment, delete all pairs that conflict with  $v \mapsto d$ .

# Forward Checking: Example

## Running Example

Removing values in conflict with  $\alpha = \{w \mapsto 2\}$ :

binary constraints:

- $R_{wx} = \{\langle 2, 1 \rangle, \langle 4, 2 \rangle\}$
- $R_{wz} = \{\langle 1, 2 \rangle, \langle 1, 3 \rangle, \langle 2, 3 \rangle\}$
- $R_{yz} = \{\langle 2, 1 \rangle, \langle 3, 1 \rangle, \langle 3, 2 \rangle, \langle 4, 1 \rangle, \langle 4, 2 \rangle, \langle 4, 3 \rangle\}$

domains:

- $\text{dom}(w) = \{1, 2, 3, 4\}$
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domains:

- $\text{dom}(w) = \{2\}$
- $\text{dom}(x) = \{1, 2, 3\}$
- $\text{dom}(y) = \{1, 2, 3, 4\}$
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Removing values in conflict with  $\alpha = \{w \mapsto 2\}$ :

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domains:

- $\text{dom}(w) = \{2\}$
- $\text{dom}(x) = \{1\}$
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# Forward Checking: Example

## Running Example

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domains:

- $\text{dom}(w) = \{2\}$
- $\text{dom}(x) = \{1\}$
- $\text{dom}(y) = \{1, 2, 3, 4\}$
- $\text{dom}(z) = \{3\}$

# Forward Checking: Discussion

## properties of forward checking:

- correct inference method (retains equivalence)
- affects domains (= unary constraints),  
but not binary constraints
- consistency check at the beginning of the backtracking  
procedure no longer needed (Why?)
- cheap, but often still useful inference method

~> apply at least forward checking in the backtracking procedure

In the following, we will consider more powerful inference methods.

# Arc Consistency

# Arc Consistency: Definition

## Definition (Arc Consistent)

Let  $\mathcal{C} = \langle V, \text{dom}, (R_{uv}) \rangle$  be a constraint network.

- a) The variable  $v \in V$  is **arc consistent** with respect to another variable  $v' \in V$ , if for every value  $d \in \text{dom}(v)$  there exists a value  $d' \in \text{dom}(v')$  with  $\langle d, d' \rangle \in R_{vv'}$ .
- b) The constraint network  $\mathcal{C}$  is **arc consistent**, if every variable  $v \in V$  is arc consistent with respect to every other variable  $v' \in V$ .

### remarks:

- definition for variable pair is not symmetrical
- $v$  always arc consistent with respect to  $v'$  if the constraint between  $v$  and  $v'$  is trivial

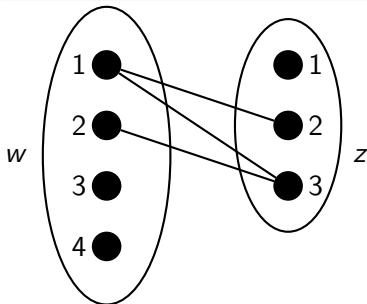


# Arc Consistency: Example

## Running Example

Consider variables  $w$  and  $z$  from our running example:

- $\text{dom}(w) = \{1, 2, 3, 4\}$
- $\text{dom}(z) = \{1, 2, 3\}$
- $R_{wz} = \{\langle 1, 2 \rangle, \langle 1, 3 \rangle, \langle 2, 3 \rangle\}$



Arc consistency  
of  $w$  with respect to  $z$  and  
of  $z$  with respect to  $w$   
is violated.

# Enforcing Arc Consistency

- Enforcing arc consistency, i.e., removing values from  $\text{dom}(v)$  that violate the arc consistency of  $v$  with respect to  $v'$ , is a correct inference method. (Why?)
- more powerful than forward checking (Why?)

# Enforcing Arc Consistency

- Enforcing arc consistency, i.e., removing values from  $\text{dom}(v)$  that violate the arc consistency of  $v$  with respect to  $v'$ , is a correct inference method. (Why?)
- more powerful than forward checking (Why?)
  - ↪ Forward checking is a special case:  
enforcing arc consistency of all variables  
with respect to the just assigned variable  
corresponds to forward checking.

We will next consider algorithms that enforce arc consistency.

# Processing Variable Pairs: revise

**function** revise( $\mathcal{C}, v, v'$ ):

$\langle V, \text{dom}, (R_{uv}) \rangle := \mathcal{C}$

**for each**  $d \in \text{dom}(v)$ :

**if** there is no  $d' \in \text{dom}(v')$  with  $\langle d, d' \rangle \in R_{vv'}$ :

**remove**  $d$  from  $\text{dom}(v)$

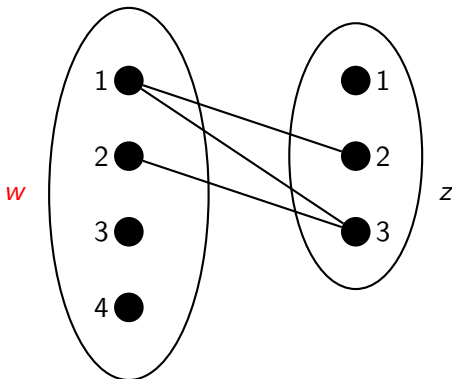
**input:** constraint network  $\mathcal{C}$  and two variables  $v, v'$  of  $\mathcal{C}$

**effect:**  $v$  arc consistent with respect to  $v'$ .

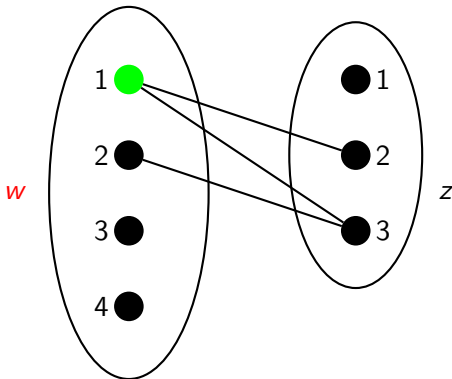
All violating values in  $\text{dom}(v)$  are removed.

**time complexity:**  $O(k^2)$ , where  $k$  is maximal domain size

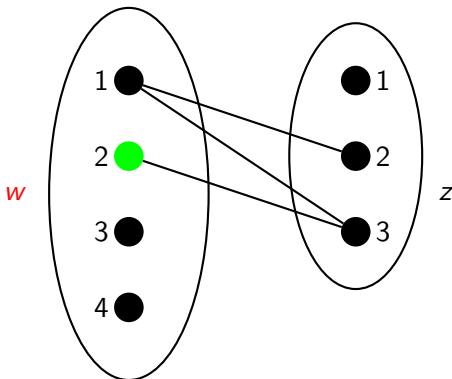
# revise( $\mathcal{C}, w, z$ ) in Running Example



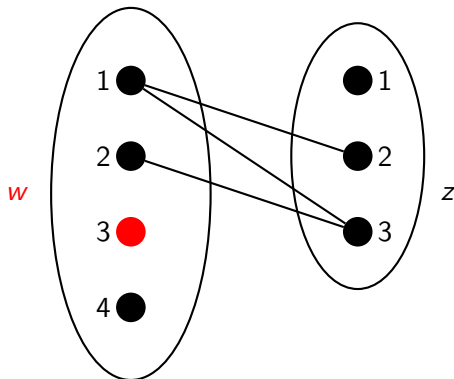
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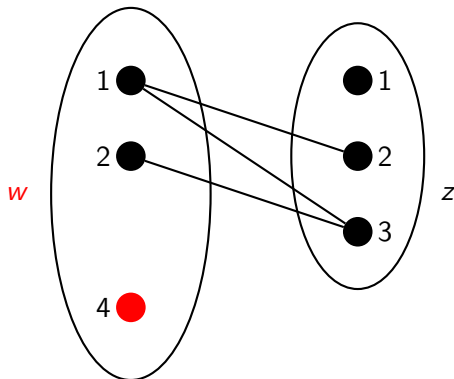


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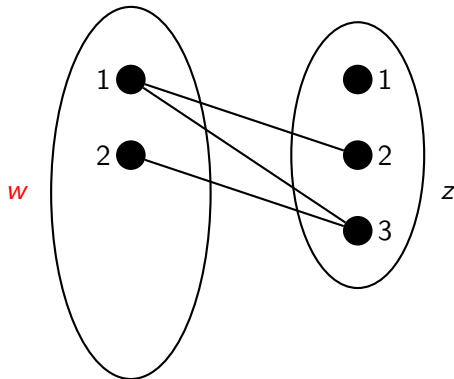




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# Enforcing Arc Consistency: AC-1

**function** AC-1( $\mathcal{C}$ ):

$\langle V, \text{dom}, (R_{uv}) \rangle := \mathcal{C}$

**repeat**

**for each** nontrivial constraint  $R_{uv}$ :

        revise( $\mathcal{C}, u, v$ )

        revise( $\mathcal{C}, v, u$ )

**until** no domain has changed in this iteration

**input:** constraint network  $\mathcal{C}$

**effect:** transforms  $\mathcal{C}$  into equivalent arc consistent network

**time complexity:** ?

# Enforcing Arc Consistency: AC-1

**function** AC-1( $\mathcal{C}$ ):

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**until** no domain has changed in this iteration

**input:** constraint network  $\mathcal{C}$

**effect:** transforms  $\mathcal{C}$  into equivalent arc consistent network

**time complexity:**  $O(n \cdot e \cdot k^3)$ , with  $n$  variables,  
 $e$  nontrivial constraints and maximal domain size  $k$

# AC-1: Discussion

- AC-1 does the job, but is rather inefficient.
- Drawback: Variable pairs are often checked again and again although their domains have remained unchanged.
- These (redundant) checks can be saved.

→ more efficient algorithm: AC-3

# Enforcing Arc Consistency: AC-3

**idea:** store **potentially inconsistent** variable pairs in a queue

**function** AC-3( $\mathcal{C}$ ):

$\langle V, \text{dom}, (R_{uv}) \rangle := \mathcal{C}$

$queue := \emptyset$

**for each** nontrivial constraint  $R_{uv}$ :

    insert  $\langle u, v \rangle$  into  $queue$

    insert  $\langle v, u \rangle$  into  $queue$

**while**  $queue \neq \emptyset$ :

    remove an arbitrary element  $\langle u, v \rangle$  from  $queue$

    revise( $\mathcal{C}, u, v$ )

**if**  $\text{dom}(u)$  changed in the call to revise:

**for each**  $w \in V \setminus \{u, v\}$  where  $R_{wu}$  is nontrivial:

            insert  $\langle w, u \rangle$  into  $queue$

## AC-3: Discussion

- *queue* can be an arbitrary data structure that supports insert and remove operations (the order of removal does not affect the result)
- ⇒ use data structure with fast insertion and removal, e.g., stack
- AC-3 has the same effect as AC-1:  
it enforces arc consistency
- **proof idea:** invariant of the **while** loop:  
If  $\langle u, v \rangle \notin \text{queue}$ , then  $u$  is arc consistent with respect to  $v$

## AC-3: Time Complexity

### Proposition (time complexity of AC-3)

*Let  $\mathcal{C}$  be a constraint network with  $e$  nontrivial constraints and maximal domain size  $k$ .*

*The time complexity of AC-3 is  $O(e \cdot k^3)$ .*



## AC-3: Time Complexity (Proof)

Proof.

Consider a pair  $\langle u, v \rangle$  such that there exists a nontrivial constraint  $R_{uv}$  or  $R_{vu}$ . (There are at most  $2e$  of such pairs.)

## AC-3: Time Complexity (Proof)

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Every time this pair is inserted to the queue (except for the first time) the domain of the second variable has just been reduced.

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Hence every pair  $\langle u, v \rangle$  is inserted into the queue at most  $k + 1$  times  $\rightsquigarrow$  at most  $O(ek)$  insert operations in total.

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Hence every pair  $\langle u, v \rangle$  is inserted into the queue at most  $k + 1$  times  $\rightsquigarrow$  at most  $O(ek)$  insert operations in total.

This bounds the number of **while** iterations by  $O(ek)$ , giving an overall time complexity of  $O(ek) \cdot O(k^2) = O(ek^3)$ . □

# Summary

# Summary: Inference

- **inference**: derivation of additional constraints that are implied by the known constraints
- ⇒ **tighter equivalent** constraint network
- **trade-off** search vs. inference
- inference as **preprocessing** or **integrated** into backtracking

## Summary: Forward Checking, Arc Consistency

- cheap and easy inference: **forward checking**
  - remove values that conflict with already assigned values
- more expensive and more powerful: **arc consistency**
  - iteratively remove values without a suitable “partner value” for another variable until fixed-point reached
  - efficient implementation of AC-3:  $O(ek^3)$   
with  $e$ : #nontrivial constraints,  $k$ : size of domain