# Foundations of Artificial Intelligence

23. Constraint Satisfaction Problems: Constraint Networks

Thomas Keller and Florian Pommerening

University of Basel

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### Constraint Satisfaction Problems: Overview

#### Chapter overview: constraint satisfaction problems

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  - 22. Introduction and Examples
  - 23. Constraint Networks
- 24.–26. Basic Algorithms
- 27.–28. Problem Structure

Constraint Networks •000000000

# Constraint Networks

# Constraint Networks: Informally

#### Constraint Networks: Informal Definition

A constraint network is defined by

- a finite set of variables
- a finite domain for each variable
- a set of constraints (here: binary relations)

The objective is to find a solution for the constraint network, i.e., an assignment of the variables that complies with all constraints.

Informally, people often just speak of constraint satisfaction problems (CSP) instead of constraint networks.

More formally, a "CSP" is the algorithmic problem of finding a solution for a constraint network.

# Constraint Networks: Formally

### Definition (binary constraint network)

A (binary) constraint network

is a 3-tuple  $\mathcal{C} = \langle V, \text{dom}, (R_{uv}) \rangle$  such that:

- V is a non-empty and finite set of variables,
- dom is a function that assigns a non-empty and finite domain to each variable  $v \in V$ , and
- $(R_{uv})_{u,v \in V, u \neq v}$  is a family of binary relations (constraints) over V where for all  $u \neq v$ :  $R_{uv} \subseteq \text{dom}(u) \times \text{dom}(v)$

### possible generalizations:

- infinite domains (e.g.,  $dom(v) = \mathbb{Z}$ )
- constraints of higher arity
   (e.g., satisfiability in propositional logic)

### Variables and Domains

### Running Example (informal)

- assign a value from  $\{1, 2, 3, 4\}$  to the variables w and y
- and from  $\{1,2,3\}$  to x and z
- such that ...

## Running Example (formal)

$$C = \langle V, \mathsf{dom}, (R_{uv}) \rangle$$
 with

- $V = \{w, x, y, z\}$
- $dom(w) = dom(y) = \{1, 2, 3, 4\}$
- $dom(x) = dom(z) = \{1, 2, 3\}$
- . . .

# Binary Constraints (1)

### binary constraints:

• For variables u, v, the constraint  $R_{uv}$  expresses which joint assignments to u and v are allowed in a solution.

## Running Example (informal)

- ... such that
  - $\dots, w < z, \dots$

### Running Example (formal)

$$\ldots, R_{wz} = \{\langle 1, 2 \rangle, \langle 1, 3 \rangle, \langle 2, 3 \rangle\}, \ldots$$

# Binary Constraints (2)

### binary constraints:

• If  $R_{uv} = \text{dom}(u) \times \text{dom}(v)$ , the constraint is trivial: there is no restriction, and the constraint is typically not given explicitly in the constraint network description (although it formally always exists!).

### Running Example

$$\dots, R_{xz} = \{\langle 1, 1 \rangle, \langle 1, 2 \rangle, \langle 1, 3 \rangle,$$

$$\langle 2, 1 \rangle, \langle 2, 2 \rangle, \langle 2, 3 \rangle,$$

$$\langle 3, 1 \rangle, \langle 3, 2 \rangle, \langle 3, 3 \rangle\}, \dots$$

# Binary Constraints (3)

#### binary constraints:

• Constraints  $R_{uv}$  and  $R_{vu}$  refer to the same variables. Hence, usually only one of them is given in the description.

### Running Example (informal)

- ... such that
  - $\bullet$  ..., w < z,...

### Running Example (formal)

$$\ldots, R_{wz} = \{\langle 1, 2 \rangle, \langle 1, 3 \rangle, \langle 2, 3 \rangle\}, \ldots$$
$$\ldots, R_{zw} = \{\langle 2, 1 \rangle, \langle 3, 1 \rangle, \langle 3, 2 \rangle\}, \ldots$$

# Unary Constraints

### unary constraints:

- It is often useful to have additional restrictions on single variables as constraints.
- Such constraints are called unary constraints.
- A unary constraint  $R_v$  for  $v \in V$  corresponds to a restriction of dom(v) to the values allowed by  $R_v$ .
- Formally, unary constraints are not necessary, but they
  often allow us to describe constraint networks more clearly.

### Running Example

```
dom(z) = \{1, 2, 3\} could be described as dom(z) = \{1, 2, 3, 4\}, R_z = \{1, 2, 3\}
```

# Example

### Full Formal Model of Running Example

 $\mathcal{C} = \langle V, \mathsf{dom}, (R_{uv}) \rangle$  with

variables:

$$V = \{w, x, y, z\}$$

domains:

$$dom(w) = dom(y) = \{1, 2, 3, 4\}$$
  
 $dom(x) = dom(z) = \{1, 2, 3\}$ 

constraints:

$$R_{wx} = \{\langle 2, 1 \rangle, \langle 4, 2 \rangle\}$$

$$R_{wz} = \{\langle 1, 2 \rangle, \langle 1, 3 \rangle, \langle 2, 3 \rangle\}$$

$$R_{yz} = \{\langle 2, 1 \rangle, \langle 3, 1 \rangle, \langle 3, 2 \rangle,$$

$$\langle 4, 1 \rangle, \langle 4, 2 \rangle, \langle 4, 3 \rangle\}$$

# Compact Encodings and General Constraint Solvers

Constraint networks allow for compact encodings of large sets of assignments:

- Consider a network with n variables with domains of size k.
- $\rightsquigarrow k^n$  assignments

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- $\rightarrow$  encoding size  $O(n^2k^2)$ 
  - We observe: The number of assignments is exponentially larger than the description of the constraint network.

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- $\rightarrow$  encoding size  $O(n^2k^2)$ 
  - We observe: The number of assignments is exponentially larger than the description of the constraint network.
  - As a consequence, such descriptions can be used as inputs of general constraint solvers.

# Examples

# Example: 4 Queens Problem

#### 4 Queens Problem as Constraint Network

- variables:  $V = \{v_1, v_2, v_3, v_4\}$ v<sub>i</sub> encodes the rank of the queen in the i-th file
- domains:  $dom(v_1) = dom(v_2) = dom(v_3) = dom(v_4) = \{1, 2, 3, 4\}$
- constraints: for all  $1 \le i < j \le 4$ , we set:  $R_{v_i,v_i} = \{\langle k,l \rangle \in \mathcal{C} \}$  $\{1,2,3,4\} \times \{1,2,3,4\} \mid k \neq l \land |k-l| \neq |i-j|\}$ e.g.  $R_{v_1,v_3} = \{\langle 1,2\rangle, \langle 1,4\rangle, \langle 2,1\rangle, \langle 2,3\rangle, \langle 3,2\rangle, \langle 3,4\rangle, \langle 4,1\rangle, \langle 4,3\rangle\}$

# Example: Sudoku

#### Sudoku as Constraint Network

- variables:  $V = \{v_{ij} \mid 1 \le i, j \le 9\}$ ;  $v_{ij}$ : Value row i, column j
- domains: dom $(v) = \{1, \dots, 9\}$  for all  $v \in V$
- unary constraints:  $R_{v_{ij}} = \{k\}$ , if  $\langle i, j \rangle$  is a cell with predefined value k
- binary constraints: for all  $v_{ij}, v_{i'j'} \in V$ , we set  $R_{v_{ij}v_{i'j'}} = \{\langle a, b \rangle \in \{1, \dots, 9\} \times \{1, \dots, 9\} \mid a \neq b\}$ , if i = i' (same row), or j = j' (same column), or  $\langle \lceil \frac{i}{3} \rceil, \lceil \frac{j}{3} \rceil \rangle = \langle \lceil \frac{i'}{3} \rceil, \lceil \frac{j'}{3} \rceil \rangle$  (same block)

2	5			3		9		1
	1				4			
4		7				2		8
		5	2					
				9	8	1		
	4				3			
			3	6			7	2
	7							3
9		3				6		4

# Assignments and Consistency

Assignments and Consistency

# Assignments

### Definition (assignment, partial assignment)

Let  $C = \langle V, dom, (R_{uv}) \rangle$  be a constraint network.

A partial assignment of  $\mathcal C$  (or of V) is a function

$$\alpha: V' \to \bigcup_{v \in V} \mathsf{dom}(v)$$

with  $V' \subseteq V$  and  $\alpha(v) \in \text{dom}(v)$  for all  $v \in V'$ .

If V' = V, then  $\alpha$  is also called total assignment (or assignment).

- → partial assignments assign values to some or to all variables

# Example

### Partial Assignments of Running Example

$$\alpha_1 = \{ w \mapsto 1, z \mapsto 2 \}$$

$$\alpha_2 = \{ w \mapsto 3, x \mapsto 1 \}$$

## Total Assignments of Running Example

$$\alpha_3 = \{ w \mapsto 1, x \mapsto 1, y \mapsto 2, z \mapsto 2 \}$$

$$\alpha_4 = \{ w \mapsto 2, x \mapsto 1, y \mapsto 4, z \mapsto 3 \}$$

# Consistency

### Definition (inconsistent, consistent, violated)

A partial assignment  $\alpha$  of a constraint network  $\mathcal{C}$  is called inconsistent if there are variables u, v such that  $\alpha$  is defined for both u and v, and  $\langle \alpha(u), \alpha(v) \rangle \notin R_{uv}$ .

In this case, we say  $\alpha$  violates the constraint  $R_{\mu\nu}$ .

A partial assignment is called **consistent** if it is not inconsistent.

trivial example: The empty assignment is always consistent.

Assignments and Consistency

# Example

### Consistent Partial Assignment

$$\alpha_1 = \{ w \mapsto 1, z \mapsto 2 \}$$

### Inconsistent Partial Assignment

$$\alpha_2 = \{ w \mapsto 2, x \mapsto 2 \}$$
  
violates  $R_{wx} = \{ \langle 2, 1 \rangle, \langle 4, 2 \rangle \}$ 

### Inconsistent Assignment

$$\alpha_3 = \{ w \mapsto 2, x \mapsto 1, y \mapsto 2, z \mapsto 2 \}$$
  
violates  $R_{wz} = \{ \langle 1, 2 \rangle, \langle 1, 3 \rangle, \langle 2, 3 \rangle \}$  and  $R_{yz} = \{ \langle 2, 1 \rangle, \langle 3, 1 \rangle, \langle 3, 2 \rangle, \langle 4, 1 \rangle, \langle 4, 2 \rangle, \langle 4, 3 \rangle \}$ 

### Solution

### Definition (solution, solvable)

Let C be a constraint network.

A consistent and total assignment of  $\mathcal{C}$  is called a solution of  $\mathcal{C}$ .

If a solution of C exists, C is called solvable.

If no solution exists, C is called inconsistent.

### Solution of the Running Example

$$\alpha = \{ w \mapsto 2, x \mapsto 1, y \mapsto 4, z \mapsto 3 \}$$

# Consistency vs. Solvability

Note: Consistent partial assignments  $\alpha$  cannot necessarily be extended to a solution.

It only means that so far (i.e., on the variables where  $\alpha$  is defined) no constraint is violated.

Trivial Example: empty assignment on unsolvable problem Example (4 queens problem):  $\alpha = \{v_1 \mapsto 1, v_2 \mapsto 4, v_3 \mapsto 2\}$ 

	$v_1$	<i>V</i> <sub>2</sub>	<i>V</i> 3	<i>V</i> 4
1	q			
2			q	
3				
4		q		

# Complexity of Constraint Satisfaction Problems

### Proposition (CSPs are NP-complete)

It is an NP-complete problem to decide whether a given constraint network is solvable.

#### Proof

#### Membership in NP:

Guess and check: guess a solution and check it for validity. This can be done in polynomial time in the size of the input.

#### NP-hardness:

The graph coloring problem is a special case of CSPs and is already known to be NP-complete.

# Tightness of Constraint Networks

### Definition (tighter, strictly tighter)

Let  $C = \langle V, \text{dom}, R_{uv} \rangle$  and  $C' = \langle V, \text{dom}', R'_{uv} \rangle$  be constraint networks with equal variable sets V.

 $\mathcal{C}$  is called tighter than  $\mathcal{C}'$ , in symbols  $\mathcal{C} \sqsubseteq \mathcal{C}'$ , if

- $dom(v) \subseteq dom'(v)$  for all  $v \in V$
- $R_{uv} \subseteq R'_{uv}$  for all  $u, v \in V$  (including trivial constraints).

If at least one of these subset equations is strict, then C is called strictly tighter than C', in symbols  $C \sqsubset C'$ .

# Equivalence of Constraint Networks

### Definition (equivalent)

Let  $\mathcal{C}$  and  $\mathcal{C}'$  be constraint networks with equal variable sets.

 $\mathcal{C}$  and  $\mathcal{C}'$  are called equivalent, in symbols  $\mathcal{C} \equiv \mathcal{C}'$ , if they have the same solutions.

# Outline and Summary

# CSP Algorithms

In the following chapters, we will consider solution algorithms for constraint networks.

#### basic concepts:

- search: check partial assignments systematically
- backtracking: discard inconsistent partial assignments
- inference: derive equivalent, but tighter constraints to reduce the size of the search space

# Summary

- formal definition of constraint networks: variables, domains, constraints
- compact encodings of exponentially many configurations
- unary and binary constraints
- assignments: partial and total
- consistency of assignments; solutions
- deciding solvability is NP-complete
- tightness of constraints
- equivalence of constraints