# Foundations of Artificial Intelligence

25. Constraint Satisfaction Problems: Arc Consistency

Malte Helmert and Thomas Keller

University of Basel

April 8, 2020

M. Helmert, T. Keller (University of Basel)

Foundations of Artificial Intelligence

April 8, 2020 1 / 27

# Foundations of Artificial Intelligence

April 8, 2020 — 25. Constraint Satisfaction Problems: Arc Consistency

25.1 Inference

25.2 Forward Checking

25.3 Arc Consistency

25.4 Summary

Foundations of Artificial Intelligence

April 8, 2020 2 / 27

#### Constraint Satisfaction Problems: Overview

Chapter overview: constraint satisfaction problems:

- ▶ 22.–23. Introduction
- ▶ 24.–26. Basic Algorithms
  - ▶ 24. Backtracking
  - ▶ 25. Arc Consistency
  - ▶ 26. Path Consistency
- ▶ 27.–28. Problem Structure

25. Constraint Satisfaction Problems: Arc Consistency

25.1 Inference

M. Helmert, T. Keller (University of Basel) Foundations of Artificial Intelligence

April 8, 2020

M. Helmert, T. Keller (University of Basel)

Foundations of Artificial Intelligence

25. Constraint Satisfaction Problems: Arc Consistency

#### Inference

#### Inference

Derive additional constraints (here: unary or binary) that are implied by the given constraints, i.e., that are satisfied in all solutions.

example: constraint network with variables  $v_1, v_2, v_3$ with domain  $\{1, 2, 3\}$  and constraints  $v_1 < v_2$  and  $v_2 < v_3$ .

it follows:

- $\triangleright$   $v_2$  cannot be equal to 3 (new unary constraint = tighter domain of  $v_2$ )
- $ightharpoonup R_{\nu_1\nu_2} = \{\langle 1,2\rangle, \langle 1,3\rangle, \langle 2,3\rangle\}$  can be tightened to  $\{\langle 1,2\rangle\}$ (tighter binary constraint)
- $V_1 < V_3$ ("new" binary constraint = trivial constraint tightened)

M. Helmert, T. Keller (University of Basel)

Foundations of Artificial Intelligence

April 8, 2020 5 / 27

25. Constraint Satisfaction Problems: Arc Consistency

### Trade-Off Search vs. Inference

#### Inference formally

For a given constraint network C, replace Cwith an equivalent, but tighter constraint network.

#### Trade-off:

- ▶ the more complex the inference, and
- ▶ the more often inference is applied,
- ▶ the smaller the resulting state space, but
- ▶ the higher the complexity per search node.

M. Helmert, T. Keller (University of Basel)

Foundations of Artificial Intelligence

April 8, 2020

25. Constraint Satisfaction Problems: Arc Consistency

# When to Apply Inference?

different possibilities to apply inference:

- once as preprocessing before search
- combined with search: before recursive calls. during backtracking procedure
  - ▶ already assigned variable  $v \mapsto d$  corresponds to dom $(v) = \{d\}$ → more inferences possible
  - during backtracking, derived constraints have to be retracted because they were based on the given assignment
  - → powerful, but possibly expensive

25. Constraint Satisfaction Problems: Arc Consistency

# Backtracking with Inference

```
function BacktrackingWithInference(C, \alpha):
if \alpha is inconsistent with \mathcal{C}:
       return inconsistent
if \alpha is a total assignment:
       return \alpha
\mathcal{C}' := \langle V, \mathsf{dom}', (R'_{uv}) \rangle := \mathsf{copy} \ \mathsf{of} \ \mathcal{C}
apply inference to C'
if dom'(v) \neq \emptyset for all variables v:
       select some variable v for which \alpha is not defined
       for each d \in \text{copy of dom}'(v) in some order:
              \alpha' := \alpha \cup \{ v \mapsto d \}
              dom'(v) := \{d\}
              \alpha'' := \mathsf{BacktrackingWithInference}(\mathcal{C}', \alpha')
              if \alpha'' \neq \text{inconsistent}:
                     return \alpha''
 return inconsistent
```

25. Constraint Satisfaction Problems: Arc Consistency

# Backtracking with Inference: Discussion

- ► Inference is a placeholder: different inference methods can be applied.
- Inference methods can recognize unsolvability (given  $\alpha$ ) and indicate this by clearing the domain of a variable.
- ▶ Efficient implementations of inference are often incremental: the previously assigned variable/value pair  $v \mapsto d$  is taken into account to speed up the inference computation.

M. Helmert, T. Keller (University of Basel)

Foundations of Artificial Intelligence

April 8, 2020

25. Constraint Satisfaction Problems: Arc Consistency

# 25.2 Forward Checking

M. Helmert, T. Keller (University of Basel)

Foundations of Artificial Intelligence

April 8, 2020

25. Constraint Satisfaction Problems: Arc Consistency

Forward Checking

# Forward Checking

We start with a simple inference method:

#### Forward Checking

Let  $\alpha$  be a partial assignment.

Inference: For all unassigned variables v in  $\alpha$ , remove all values from the domain of v that are in conflict with already assigned variable/value pairs in  $\alpha$ .

→ definition of conflict as in the previous chapter

#### Incremental computation:

ightharpoonup When adding  $v \mapsto d$  to the assignment, delete all pairs that conflict with  $v \mapsto d$ . 25. Constraint Satisfaction Problems: Arc Consistency

Forward Checking

# Forward Checking: Discussion

### properties of forward checking:

- correct inference method (retains equivalence)
- ▶ affects domains (= unary constraints), but not binary constraints
- consistency check at the beginning of the backtracking procedure no longer needed (Why?)
- cheap, but often still useful inference method
- → apply at least forward checking in the backtracking procedure

In the following, we will consider more powerful inference methods.

M. Helmert, T. Keller (University of Basel) Foundations of Artificial Intelligence

April 8, 2020

M. Helmert, T. Keller (University of Basel) Foundations of Artificial Intelligence

25. Constraint Satisfaction Problems: Arc Consistency

Arc Consistency

# Arc Consistency: Definition

#### Definition (Arc Consistent)

25. Constraint Satisfaction Problems: Arc Consistency

Let  $C = \langle V, \text{dom}, (R_{uv}) \rangle$  be a constraint network.

- ① The variable  $v \in V$  is arc consistent with respect to another variable  $v' \in V$ , if for every value  $d \in dom(v)$ there exists a value  $d' \in dom(v')$  with  $\langle d, d' \rangle \in R_{vv'}$ .
- $\bigcirc$  The constraint network  $\mathcal{C}$  is arc consistent. if every variable  $v \in V$  is arc consistent with respect to every other variable  $v' \in V$ .

German: kantenkonsistent

#### remarks:

- definition for variable pair is not symmetrical
- $\triangleright$  v always arc consistent with respect to v'if the constraint between v and v' is trivial

M. Helmert, T. Keller (University of Basel) Foundations of Artificial Intelligence

April 8, 2020

# 25.3 Arc Consistency

Foundations of Artificial Intelligence

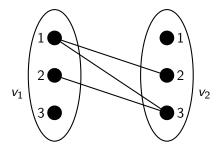
April 8, 2020

Arc Consistency

25. Constraint Satisfaction Problems: Arc Consistency

# Arc Consistency: Example

Consider a constraint network with variables  $v_1$  and  $v_2$ , domains  $dom(v_1) = dom(v_2) = \{1, 2, 3\}$ and the constraint expressed by  $v_1 < v_2$ .



Arc consistency of  $v_1$  with respect to  $v_2$ and of  $v_2$  with respect to  $v_1$  are violated. 25. Constraint Satisfaction Problems: Arc Consistency

Arc Consistency

# Enforcing Arc Consistency

- $\triangleright$  Enforcing arc consistency, i.e., removing values from dom(v) that violate the arc consistency of v with respect to v', is a correct inference method. (Why?)
- more powerful than forward checking (Why?)
  - → Forward checking is a special case: enforcing arc consistency of all variables with respect to the just assigned variable corresponds to forward checking.

We will next consider algorithms that enforce arc consistency.

April 8, 2020

M. Helmert, T. Keller (University of Basel) Foundations of Artificial Intelligence

April 8, 2020

M. Helmert, T. Keller (University of Basel) Foundations of Artificial Intelligence

# Processing Variable Pairs: revise

```
function revise(C, v, v'):
\langle V, \mathsf{dom}, (R_{uv}) \rangle := \mathcal{C}
for each d \in dom(v):
      if there is no d' \in \text{dom}(v') with \langle d, d' \rangle \in R_{vv'}:
             remove d from dom(v)
```

input: constraint network C and two variables v, v' of Ceffect: v arc consistent with respect to v'.

All violating values in dom(v) are removed.

time complexity:  $O(k^2)$ , where k is maximal domain size

M. Helmert, T. Keller (University of Basel)

Foundations of Artificial Intelligence

April 8, 2020

# 25. Constraint Satisfaction Problems: Arc Consistency Example: revise

25. Constraint Satisfaction Problems: Arc Consistency

Arc Consistency

# Enforcing Arc Consistency: AC-1

```
function AC-1(\mathcal{C}):
\langle V, \mathsf{dom}, (R_{uv}) \rangle := \mathcal{C}
repeat
      for each nontrivial constraint R_{\mu\nu}:
            revise(C, u, v)
            revise(C, v, u)
until no domain has changed in this iteration
```

input: constraint network  $\mathcal C$ 

effect: transforms  $\mathcal C$  into equivalent arc consistent network

time complexity:  $O(n \cdot e \cdot k^3)$ , with *n* variables,

e nontrivial constraints and maximal domain size k

25. Constraint Satisfaction Problems: Arc Consistency

Arc Consistency

April 8, 2020

#### AC-1: Discussion

M. Helmert, T. Keller (University of Basel)

- ► AC-1 does the job, but is rather inefficient.
- Drawback: Variable pairs are often checked again and again although their domains have remained unchanged.

Foundations of Artificial Intelligence

- ► These (redundant) checks can be saved.
- → more efficient algorithm: AC-3

M. Helmert, T. Keller (University of Basel) Foundations of Artificial Intelligence

April 8, 2020

20 / 27

# Enforcing Arc Consistency: AC-3

idea: store potentially inconsistent variable pairs in a queue

```
function AC-3(\mathcal{C}):
\langle V, \mathsf{dom}, (R_{\mu\nu}) \rangle := \mathcal{C}
queue := \emptyset
for each nontrivial constraint R_{\mu\nu}:
      insert \langle u, v \rangle into queue
      insert \langle v, u \rangle into queue
while queue \neq \emptyset:
      remove an arbitrary element \langle u, v \rangle from queue
      revise(C, u, v)
      if dom(u) changed in the call to revise:
             for each w \in V \setminus \{u, v\} where R_{wu} is nontrivial:
                    insert \langle w, u \rangle into queue
```

M. Helmert, T. Keller (University of Basel)

Foundations of Artificial Intelligence

April 8, 2020

25. Constraint Satisfaction Problems: Arc Consistency

AC-3: Discussion

- queue can be an arbitrary data structure that supports insert and remove operations (the order of removal does not affect the result)
- → use data structure with fast insertion and removal, e.g., stack
- ► AC-3 has the same effect as AC-1: it enforces arc consistency
- proof idea: invariant of the while loop: If  $\langle u, v \rangle \notin queue$ , then u is arc consistent with respect to v

M. Helmert, T. Keller (University of Basel)

Foundations of Artificial Intelligence

April 8, 2020

25. Constraint Satisfaction Problems: Arc Consistency

Arc Consistency

# AC-3: Time Complexity

#### Proposition (time complexity of AC-3)

Let C be a constraint network with e nontrivial constraints and maximal domain size k.

The time complexity of AC-3 is  $O(e \cdot k^3)$ .

25. Constraint Satisfaction Problems: Arc Consistency

Arc Consistenc

# AC-3: Time Complexity (Proof)

#### Proof.

Consider a pair  $\langle u, v \rangle$  such that there exists a nontrivial constraint  $R_{\mu\nu}$  or  $R_{\nu\mu}$ . (There are at most 2e of such pairs.)

Every time this pair is inserted to the gueue (except for the first time) the domain of the second variable has just been reduced.

This can happen at most k times.

Hence every pair  $\langle u, v \rangle$  is inserted into the queue at most k+1 times  $\rightsquigarrow$  at most O(ek) insert operations in total.

This bounds the number of **while** iterations by O(ek), giving an overall time complexity of  $O(ek) \cdot O(k^2) = O(ek^3)$ .

M. Helmert, T. Keller (University of Basel) Foundations of Artificial Intelligence

April 8, 2020

M. Helmert, T. Keller (University of Basel) Foundations of Artificial Intelligence

25. Constraint Satisfaction Problems: Arc Consistency Sun

25.4 Summary

M. Helmert, T. Keller (University of Basel)

Foundations of Artificial Intelligence

April 8, 2020

25 / 27

25. Constraint Satisfaction Problems: Arc Consistency

Summar

# Summary: Forward Checking, Arc Consistency

- cheap and easy inference: forward checking
  - remove values that conflict with already assigned values
- more expensive and more powerful: arc consistency
  - ► iteratively remove values without a suitable "partner value" for another variable until fixed-point reached
  - efficient implementation of AC-3:  $O(ek^3)$  with e: #nontrivial constraints, k: size of domain

M. Helmert, T. Keller (University of Basel) Foundations of Artificial Intelligence April 8, 2020 27 / 2

25. Constraint Satisfaction Problems: Arc Consistency

# Summary: Inference

- ► inference: derivation of additional constraints that are implied by the known constraints
- → tighter equivalent constraint network
- trade-off search vs. inference
- ▶ inference as preprocessing or integrated into backtracking

M. Helmert, T. Keller (University of Basel)

Foundations of Artificial Intelligence