

# Theory of Computer Science

## B2. Propositional Logic II

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# The Story So Far

- **propositional logic** based on atomic propositions
- **syntax**: which formulas are well-formed?
- **semantics**: when is a formula true?
- **interpretations**: important basis of semantics
- **satisfiability** and **validity**: important properties of formulas
- **truth tables**: systematically consider all interpretations

# Equivalences

# Equivalent Formulas

## Definition (Equivalence of Propositional Formulas)

Two propositional formulas  $\varphi$  and  $\psi$  over  $A$  are **(logically) equivalent** ( $\varphi \equiv \psi$ ) if for **all interpretations**  $\mathcal{I}$  for  $A$  it is true that  **$\mathcal{I} \models \varphi$  if and only if  $\mathcal{I} \models \psi$** .

**German:** logisch äquivalent



# Some Equivalences (1)

$$(\varphi \wedge \varphi) \equiv \varphi$$

$$(\varphi \vee \varphi) \equiv \varphi$$

(idempotence)

German: Idempotenz

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(commutativity)

German: Idempotenz, Kommutativität

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(commutativity)

$$((\varphi \wedge \psi) \wedge \chi) \equiv (\varphi \wedge (\psi \wedge \chi))$$

$$((\varphi \vee \psi) \vee \chi) \equiv (\varphi \vee (\psi \vee \chi))$$

(associativity)

**German:** Idempotenz, Kommutativität, Assoziativität

## Some Equivalences (2)

$$(\varphi \wedge (\varphi \vee \psi)) \equiv \varphi$$

$$(\varphi \vee (\varphi \wedge \psi)) \equiv \varphi$$

(absorption)

German: Absorption

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(absorption)

$$(\varphi \wedge (\psi \vee \chi)) \equiv ((\varphi \wedge \psi) \vee (\varphi \wedge \chi))$$

$$(\varphi \vee (\psi \wedge \chi)) \equiv ((\varphi \vee \psi) \wedge (\varphi \vee \chi))$$

(distributivity)

**German:** Absorption, Distributivität

# Some Equivalences (3)

$$\neg\neg\varphi \equiv \varphi$$

(Double negation)

German: Doppelnegation

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$$\neg(\varphi \wedge \psi) \equiv (\neg\varphi \vee \neg\psi)$$

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(De Morgan's rules)

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$$\neg(\varphi \vee \psi) \equiv (\neg\varphi \wedge \neg\psi) \quad (\text{De Morgan's rules})$$

$$(\varphi \vee \psi) \equiv \varphi \text{ if } \varphi \text{ tautology}$$

$$(\varphi \wedge \psi) \equiv \psi \text{ if } \varphi \text{ tautology} \quad (\text{tautology rules})$$

$$(\varphi \vee \psi) \equiv \psi \text{ if } \varphi \text{ unsatisfiable}$$

$$(\varphi \wedge \psi) \equiv \varphi \text{ if } \varphi \text{ unsatisfiable} \quad (\text{unsatisfiability rules})$$

**German:** Doppelnegation, De Morgansche Regeln,  
Tautologieregeln, Unerfüllbarkeitsregeln

# Substitution Theorem

## Theorem (Substitution Theorem)

Let  $\varphi$  and  $\varphi'$  be *equivalent* propositional formulas over  $A$ .

Let  $\psi$  be a propositional formula with (at least) one occurrence of the subformula  $\varphi$ .

Then  $\psi$  is *equivalent to*  $\psi'$ , where  $\psi'$  is constructed from  $\psi$  by *replacing* an occurrence of  $\varphi$  in  $\psi$  with  $\varphi'$ .

German: Ersetzbarkeitstheorem

(without proof)

# Application of Equivalences: Example

$$(P \wedge (\neg Q \vee P)) \equiv ((P \wedge \neg Q) \vee (P \wedge P)) \quad (\text{distributivity})$$

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# Questions



Questions?

# Simplified Notation

# Parentheses

Associativity:

$$((\varphi \wedge \psi) \wedge \chi) \equiv (\varphi \wedge (\psi \wedge \chi))$$

$$((\varphi \vee \psi) \vee \chi) \equiv (\varphi \vee (\psi \vee \chi))$$

- Placement of parentheses for a conjunction of conjunctions does not influence whether an interpretation is a model.
- ditto for disjunctions of disjunctions
- ↪ can omit parentheses and treat this as if parentheses placed arbitrarily
- **Example:**  $(A_1 \wedge A_2 \wedge A_3 \wedge A_4)$  instead of  $((A_1 \wedge (A_2 \wedge A_3)) \wedge A_4)$
- **Example:**  $(\neg A \vee (B \wedge C) \vee D)$  instead of  $((\neg A \vee (B \wedge C)) \vee D)$

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Does this mean we can always omit all parentheses  
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$$((\varphi \wedge \psi) \vee \chi) \neq (\varphi \wedge (\psi \vee \chi))$$

What should  $\varphi \wedge \psi \vee \chi$  mean?

# Placement of Parentheses by Convention

Often parentheses can be dropped in specific cases and an **implicit** placement is assumed:

- $\neg$  binds more strongly than  $\wedge$
- $\wedge$  binds more strongly than  $\vee$
- $\vee$  binds more strongly than  $\rightarrow$  or  $\leftrightarrow$

$\rightsquigarrow$  cf. PEMDAS/“Punkt vor Strich”

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$A \vee \neg C \wedge B \rightarrow A \vee \neg D$  stands for  $A \vee \neg C \wedge B \rightarrow A \vee \neg D$

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- often harder to read
- error-prone

$\rightsquigarrow$  not used in this course

# Short Notations for Conjunctions and Disjunctions

short notation for addition:

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Analogously (possible because of commutativity of  $\wedge$  and  $\vee$ ):

$$\left( \bigwedge_{i=1}^n \varphi_i \right) = (\varphi_1 \wedge \varphi_2 \wedge \cdots \wedge \varphi_n)$$

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$$\left( \bigwedge_{\varphi \in X} \varphi \right) = (\varphi_1 \wedge \varphi_2 \wedge \cdots \wedge \varphi_n)$$

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$$\text{for } X = \{\varphi_1, \dots, \varphi_n\}$$

## Short Notation: Corner Cases

Is  $\mathcal{I} \models \psi$  true for

$$\psi = (\bigwedge_{\varphi \in X} \varphi) \text{ and } \psi = (\bigvee_{\varphi \in X} \varphi)$$

if  $X = \emptyset$  or  $X = \{\chi\}$ ?

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- $(\bigwedge_{\varphi \in \emptyset} \varphi)$  is tautology.
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↪ Why?

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Questions?

# Normal Forms

# Why Normal Forms?

- A **normal form** is a representation with **certain syntactic restrictions**.
- condition for reasonable normal form: **every formula** must have a logically **equivalent formula in normal form**
- **advantages**:
  - can restrict proofs to formulas in normal form
  - can define algorithms only for formulas in normal form

German: Normalform

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**German:** Literal, Klausel, Monom

# Terminology: Examples

## Examples

- $(\neg Q \wedge R)$
- $(P \vee \neg Q)$
- $((P \vee \neg Q) \wedge P)$
- $\neg P$
- $(P \rightarrow Q)$
  
- $(P \vee P)$
- $\neg\neg P$

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- $\neg\neg P$  is neither literal nor clause nor monomial

# Conjunctive Normal Form

## Definition (Conjunctive Normal Form)

A formula is in **conjunctive normal form (CNF)** if it is a conjunction of clauses, i. e., if it has the form

$$\left( \bigwedge_{i=1}^n \left( \bigvee_{j=1}^{m_i} L_{ij} \right) \right)$$

with  $n, m_i > 0$  (for  $1 \leq i \leq n$ ), where the  $L_{ij}$  are literals.

**German:** konjunktive Normalform (KNF)

## Example

$((\neg P \vee Q) \wedge R \wedge (P \vee \neg S))$  is in CNF.

# Disjunctive Normal Form

## Definition (Disjunctive Normal Form)

A formula is in **disjunctive normal form (DNF)** if it is a disjunction of monomials, i. e., if it has the form

$$\left( \bigvee_{i=1}^n \left( \bigwedge_{j=1}^{m_i} L_{ij} \right) \right)$$

with  $n, m_i > 0$  (for  $1 \leq i \leq n$ ), where the  $L_{ij}$  are literals.

**German:** disjunktive Normalform (DNF)

## Example

$((\neg P \wedge Q) \vee R \vee (P \wedge \neg S))$  is in DNF.

# CNF and DNF: Examples

## Examples

- $((P \vee \neg Q) \wedge P)$
- $((R \vee Q) \wedge P \wedge (R \vee S))$
- $(P \vee (\neg Q \wedge R))$
- $((P \vee \neg Q) \rightarrow P)$
- $P$

# CNF and DNF: Examples

## Examples

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- $((R \vee Q) \wedge P \wedge (R \vee S))$
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## Examples

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- $((R \vee Q) \wedge P \wedge (R \vee S))$  is in CNF
- $(P \vee (\neg Q \wedge R))$  is in DNF
- $((P \vee \neg Q) \rightarrow P)$
- $P$

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- $((P \vee \neg Q) \wedge P)$  is in CNF
- $((R \vee Q) \wedge P \wedge (R \vee S))$  is in CNF
- $(P \vee (\neg Q \wedge R))$  is in DNF
- $((P \vee \neg Q) \rightarrow P)$  is neither in CNF nor in DNF
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- $((R \vee Q) \wedge P \wedge (R \vee S))$  is in CNF
- $(P \vee (\neg Q \wedge R))$  is in DNF
- $((P \vee \neg Q) \rightarrow P)$  is neither in CNF nor in DNF
- $P$  is in CNF and in DNF

# Construction of CNF (and DNF)

## Algorithm to Construct CNF

- 1 Replace abbreviations  $\rightarrow$  and  $\leftrightarrow$  by their definitions (( $\rightarrow$ )-elimination and ( $\leftrightarrow$ )-elimination).  
 $\rightsquigarrow$  formula structure: only  $\vee, \wedge, \neg$
- 2 Move negations inside using De Morgan and double negation.  
 $\rightsquigarrow$  formula structure: only  $\vee, \wedge$ , literals
- 3 Distribute  $\vee$  over  $\wedge$  with distributivity (strictly speaking also with commutativity).  
 $\rightsquigarrow$  formula structure: CNF
- 4 optionally: Simplify the formula at the end or at intermediate steps (e. g., with idempotence).

**Note:** For DNF, distribute  $\wedge$  over  $\vee$  instead.

**Question:** runtime complexity?

# Constructing CNF: Example

## Construction of Conjunctive Normal Form

Given:  $\varphi = (((P \wedge \neg Q) \vee R) \rightarrow (P \vee \neg(S \vee T)))$

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$$\equiv ((\neg P \vee Q \vee P \vee (\neg S \wedge \neg T)) \wedge (\neg R \vee P \vee (\neg S \wedge \neg T))) \quad [\text{Step 3}]$$

# Constructing CNF: Example

## Construction of Conjunctive Normal Form

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# Construct DNF: Example

## Construction of Disjunctive Normal Form

Given:  $\varphi = (((P \wedge \neg Q) \vee R) \rightarrow (P \vee \neg(S \vee T)))$

$$\varphi \equiv (\neg((P \wedge \neg Q) \vee R) \vee P \vee \neg(S \vee T)) \quad [\text{Step 1}]$$

$$\equiv ((\neg(P \wedge \neg Q) \wedge \neg R) \vee P \vee \neg(S \vee T)) \quad [\text{Step 2}]$$

$$\equiv (((\neg P \vee \neg\neg Q) \wedge \neg R) \vee P \vee \neg(S \vee T)) \quad [\text{Step 2}]$$

$$\equiv (((\neg P \vee Q) \wedge \neg R) \vee P \vee \neg(S \vee T)) \quad [\text{Step 2}]$$

$$\equiv (((\neg P \vee Q) \wedge \neg R) \vee P \vee (\neg S \wedge \neg T)) \quad [\text{Step 2}]$$

$$\equiv ((\neg P \wedge \neg R) \vee (Q \wedge \neg R) \vee P \vee (\neg S \wedge \neg T)) \quad [\text{Step 3}]$$

# Existence of an Equivalent Formula in Normal Form

## Theorem

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Otherwise we would write “there is exactly one”.

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- “There is a” always means “there is at least one”.  
Otherwise we would write “there is exactly one”.
- Intuition: algorithm to construct normal form works with any given formula and only uses equivalence rewriting.
- actual proof would use induction over structure of formula

## More Theorems

### Theorem

*A formula in CNF is a tautology iff every clause is a tautology.*

### Theorem

*A formula in DNF is satisfiable iff at least one its monomials is satisfiable.*

↔ both proved easily with semantics of propositional logic

# Questions



Questions?

# Logical Consequences

# Knowledge Bases: Example



If not DrinkBeer, then EatFish.  
If EatFish and DrinkBeer,  
then not EatIceCream.  
If EatIceCream or not DrinkBeer,  
then not EatFish.

$$\text{KB} = \{(\neg\text{DrinkBeer} \rightarrow \text{EatFish}), \\ ((\text{EatFish} \wedge \text{DrinkBeer}) \rightarrow \neg\text{EatIceCream}), \\ ((\text{EatIceCream} \vee \neg\text{DrinkBeer}) \rightarrow \neg\text{EatFish})\}$$

# Models for Sets of Formulas

## Definition (Model for Knowledge Base)

Let KB be a **knowledge base** over  $A$ ,  
i. e., a set of propositional formulas over  $A$ .

A truth assignment  $\mathcal{I}$  for  $A$  is a **model for KB** (written:  $\mathcal{I} \models \text{KB}$ )  
if  $\mathcal{I}$  is a **model for every formula**  $\varphi \in \text{KB}$ .

**German:** Wissensbasis, Modell

# Properties of Sets of Formulas

A knowledge base KB is

- **satisfiable** if KB has at least one model
- **unsatisfiable** if KB is not satisfiable
- **valid** (or a **tautology**) if every interpretation is a model for KB
- **falsifiable** if KB is no tautology

**German:** erfüllbar, unerfüllbar, gültig, gültig/eine Tautologie, falsifizierbar

# Example 1

Which of the properties does  $KB = \{(A \wedge \neg B), \neg(B \vee A)\}$  have?

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For every model  $\mathcal{I}$  with  $\mathcal{I} \models (A \wedge \neg B)$  we have  $\mathcal{I}(A) = 1$ .

This means  $\mathcal{I} \models (B \vee A)$  and thus  $\mathcal{I} \not\models \neg(B \vee A)$ .

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This directly implies that  $KB$  is **falsifiable**, **not satisfiable** and **no tautology**.

## Example II

Which of the properties does

$KB = \{(\neg\text{DrinkBeer} \rightarrow \text{EatFish}),$   
 $((\text{EatFish} \wedge \text{DrinkBeer}) \rightarrow \neg\text{EatIceCream}),$   
 $((\text{EatIceCream} \vee \neg\text{DrinkBeer}) \rightarrow \neg\text{EatFish})\}$  have?

## Example II

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$KB = \{(\neg\text{DrinkBeer} \rightarrow \text{EatFish}),$   
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 $((\text{EatIceCream} \vee \neg\text{DrinkBeer}) \rightarrow \neg\text{EatFish})\}$  have?

- **satisfiable**, e. g. with  
 $\mathcal{I} = \{\text{EatFish} \mapsto 1, \text{DrinkBeer} \mapsto 1, \text{EatIceCream} \mapsto 0\}$
- thus **not unsatisfiable**
- **falsifiable**, e. g. with  
 $\mathcal{I} = \{\text{EatFish} \mapsto 0, \text{DrinkBeer} \mapsto 0, \text{EatIceCream} \mapsto 1\}$
- thus **not valid**

# Logical Consequences: Motivation

What's the secret of your long life?



I am on a strict diet: If I don't drink beer to a meal, then I always eat fish. Whenever I have fish and beer with the same meal, I abstain from ice cream. When I eat ice cream or don't drink beer, then I never touch fish.

**Claim:** the woman drinks beer to every meal.

How can we prove this?

# Logical Consequences

## Definition (Logical Consequence)

Let KB be a set of formulas and  $\varphi$  a formula.

We say that KB **logically implies**  $\varphi$  (written as  $\text{KB} \models \varphi$ ) if **all models** of KB are also models of  $\varphi$ .

**also:** KB **logically entails**  $\varphi$ ,  $\varphi$  **logically follows** from KB,  $\varphi$  is a **logical consequence** of KB

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**Attention:** the symbol  $\models$  is “overloaded”:  $\text{KB} \models \varphi$  vs.  $\mathcal{I} \models \varphi$ .

What if KB is unsatisfiable or the empty set?

# Logical Consequences: Example

Let  $\varphi = \text{DrinkBeer}$  and

$$\text{KB} = \{(\neg\text{DrinkBeer} \rightarrow \text{EatFish}), \\ ((\text{EatFish} \wedge \text{DrinkBeer}) \rightarrow \neg\text{EatIceCream}), \\ ((\text{EatIceCream} \vee \neg\text{DrinkBeer}) \rightarrow \neg\text{EatFish})\}.$$

Show:  $\text{KB} \models \varphi$

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Show:  $\text{KB} \models \varphi$

## Proof sketch.

**Proof by contradiction:** assume  $\mathcal{I} \models \text{KB}$ , but  $\mathcal{I} \not\models \text{DrinkBeer}$ .

Then it follows that  $\mathcal{I} \models \neg\text{DrinkBeer}$ .

Because  $\mathcal{I}$  is a model of KB, we also have

$\mathcal{I} \models (\neg\text{DrinkBeer} \rightarrow \text{EatFish})$  and thus  $\mathcal{I} \models \text{EatFish}$ . (Why?)

With an analogous argumentation starting from

$\mathcal{I} \models ((\text{EatIceCream} \vee \neg\text{DrinkBeer}) \rightarrow \neg\text{EatFish})$

we get  $\mathcal{I} \models \neg\text{EatFish}$  and thus  $\mathcal{I} \not\models \text{EatFish}$ .  $\rightsquigarrow$  **Contradiction!**

# Important Theorems about Logical Consequences

## Theorem (Deduction Theorem)

$KB \cup \{\varphi\} \models \psi$  *iff*  $KB \models (\varphi \rightarrow \psi)$

German: Deduktionsatz

## Theorem (Contraposition Theorem)

$KB \cup \{\varphi\} \models \neg\psi$  *iff*  $KB \cup \{\psi\} \models \neg\varphi$

German: Kontrapositionssatz

## Theorem (Contradiction Theorem)

$KB \cup \{\varphi\}$  *is unsatisfiable iff*  $KB \models \neg\varphi$

German: Widerlegungssatz

(without proof)

# Questions



Questions?

# Summary

# Summary

- **Logical equivalence** describes when formulas are **semantically indistinguishable**.
- **Equivalence rewriting** is used to simplify formulas and to bring them in normal forms.
- **CNF**: formula is a conjunction of clauses
- **DNF**: formula is a disjunction of monomials
- every formula has **equivalent formulas in DNF and in CNF**
- **knowledge base**: set of formulas describing given information; satisfiable, valid etc. used like for individual formulas
- **logical consequence**  $KB \models \varphi$  means that  $\varphi$  is true whenever (= in all models where) KB is true