

# Theory of Computer Science

## B2. Propositional Logic II

Malte Helmert

University of Basel

March 1, 2017

# Theory of Computer Science

## March 1, 2017 — B2. Propositional Logic II

B2.1 Equivalences

B2.2 Simplified Notation

B2.3 Normal Forms

B2.4 Logical Consequences

B2.5 Summary

## B2.1 Equivalences

## Equivalent Formulas

Definition (Equivalence of Propositional Formulas)

Two propositional formulas  $\varphi$  and  $\psi$  over  $A$  are (logically) equivalent ( $\varphi \equiv \psi$ ) if for all interpretations  $\mathcal{I}$  for  $A$  it is true that  $\mathcal{I} \models \varphi$  if and only if  $\mathcal{I} \models \psi$ .

German: logisch äquivalent

## Equivalent Formulas: Example

$$((\varphi \vee \psi) \vee \chi) \equiv (\varphi \vee (\psi \vee \chi))$$

$\mathcal{I} \models \varphi$	$\mathcal{I} \models \psi$	$\mathcal{I} \models \chi$	$\mathcal{I} \models (\varphi \vee \psi)$	$\mathcal{I} \models (\psi \vee \chi)$	$\mathcal{I} \models ((\varphi \vee \psi) \vee \chi)$	$\mathcal{I} \models (\varphi \vee (\psi \vee \chi))$
No	No	No	No	No	No	No
No	No	Yes	No	Yes	Yes	Yes
No	Yes	No	Yes	Yes	Yes	Yes
No	Yes	Yes	Yes	Yes	Yes	Yes
Yes	No	No	Yes	No	Yes	Yes
Yes	No	Yes	Yes	Yes	Yes	Yes
Yes	Yes	No	Yes	Yes	Yes	Yes
Yes	Yes	Yes	Yes	Yes	Yes	Yes

## Some Equivalences (1)

$$(\varphi \wedge \varphi) \equiv \varphi$$

$$(\varphi \vee \varphi) \equiv \varphi \quad (\text{idempotence})$$

$$(\varphi \wedge \psi) \equiv (\psi \wedge \varphi)$$

$$(\varphi \vee \psi) \equiv (\psi \vee \varphi) \quad (\text{commutativity})$$

$$((\varphi \wedge \psi) \wedge \chi) \equiv (\varphi \wedge (\psi \wedge \chi))$$

$$((\varphi \vee \psi) \vee \chi) \equiv (\varphi \vee (\psi \vee \chi)) \quad (\text{associativity})$$

German: Idempotenz, Kommutativität, Assoziativität

## Some Equivalences (2)

$$(\varphi \wedge (\varphi \vee \psi)) \equiv \varphi$$

$$(\varphi \vee (\varphi \wedge \psi)) \equiv \varphi \quad (\text{absorption})$$

$$(\varphi \wedge (\psi \vee \chi)) \equiv ((\varphi \wedge \psi) \vee (\varphi \wedge \chi))$$

$$(\varphi \vee (\psi \wedge \chi)) \equiv ((\varphi \vee \psi) \wedge (\varphi \vee \chi)) \quad (\text{distributivity})$$

German: Absorption, Distributivität

## Some Equivalences (3)

$$\neg\neg\varphi \equiv \varphi \quad (\text{Double negation})$$

$$\neg(\varphi \wedge \psi) \equiv (\neg\varphi \vee \neg\psi)$$

$$\neg(\varphi \vee \psi) \equiv (\neg\varphi \wedge \neg\psi) \quad (\text{De Morgan's rules})$$

$$(\varphi \vee \psi) \equiv \varphi \text{ if } \varphi \text{ tautology}$$

$$(\varphi \wedge \psi) \equiv \psi \text{ if } \varphi \text{ tautology} \quad (\text{tautology rules})$$

$$(\varphi \vee \psi) \equiv \psi \text{ if } \varphi \text{ unsatisfiable}$$

$$(\varphi \wedge \psi) \equiv \varphi \text{ if } \varphi \text{ unsatisfiable} \quad (\text{unsatisfiability rules})$$

German: Doppelnegation, De Morgansche Regeln, Tautologieregeln, Unerfüllbarkeitsregeln

## Substitution Theorem

### Theorem (Substitution Theorem)

Let  $\varphi$  and  $\varphi'$  be equivalent propositional formulas over  $A$ .

Let  $\psi$  be a propositional formula with (at least) one occurrence of the subformula  $\varphi$ .

Then  $\psi$  is equivalent to  $\psi'$ , where  $\psi'$  is constructed from  $\psi$  by replacing an occurrence of  $\varphi$  in  $\psi$  with  $\varphi'$ .

German: Ersetzbarkeitstheorem

(without proof)

## Application of Equivalences: Example

$$\begin{aligned} (P \wedge (\neg Q \vee P)) &\equiv ((P \wedge \neg Q) \vee (P \wedge P)) && \text{(distributivity)} \\ &\equiv ((P \wedge \neg Q) \vee P) && \text{(idempotence)} \\ &\equiv (P \vee (P \wedge \neg Q)) && \text{(commutativity)} \\ &\equiv P && \text{(absorption)} \end{aligned}$$

## B2.2 Simplified Notation

## Parentheses

Associativity:

$$\begin{aligned} ((\varphi \wedge \psi) \wedge \chi) &\equiv (\varphi \wedge (\psi \wedge \chi)) \\ ((\varphi \vee \psi) \vee \chi) &\equiv (\varphi \vee (\psi \vee \chi)) \end{aligned}$$

- ▶ Placement of parentheses for a conjunction of conjunctions does not influence whether an interpretation is a model.
- ▶ ditto for disjunctions of disjunctions
- ↔ can omit parentheses and treat this as if parentheses placed arbitrarily
- ▶ Example:  $(A_1 \wedge A_2 \wedge A_3 \wedge A_4)$  instead of  $((A_1 \wedge (A_2 \wedge A_3)) \wedge A_4)$
- ▶ Example:  $(\neg A \vee (B \wedge C) \vee D)$  instead of  $((\neg A \vee (B \wedge C)) \vee D)$

## Parentheses

Does this mean we can always omit all parentheses and assume an arbitrary placement? → **No!**

$$((\varphi \wedge \psi) \vee \chi) \not\equiv (\varphi \wedge (\psi \vee \chi))$$

What should  $\varphi \wedge \psi \vee \chi$  mean?

## Placement of Parentheses by Convention

Often parentheses can be dropped in specific cases and an **implicit** placement is assumed:

- ▶  $\neg$  binds more strongly than  $\wedge$
- ▶  $\wedge$  binds more strongly than  $\vee$
- ▶  $\vee$  binds more strongly than  $\rightarrow$  or  $\leftrightarrow$

↔ cf. PEMDAS/“Punkt vor Strich”

### Example

$A \vee \neg C \wedge B \rightarrow A \vee \neg D$  stands for  $((A \vee (\neg C \wedge B)) \rightarrow (A \vee \neg D))$

- ▶ often harder to read
- ▶ error-prone
- ↔ not used in this course

## Short Notations for Conjunctions and Disjunctions

short notation for addition:

$$\sum_{i=1}^n x_i = x_1 + x_2 + \dots + x_n$$

$$\sum_{x \in \{x_1, \dots, x_n\}} x = x_1 + x_2 + \dots + x_n$$

Analogously:

$$\left(\bigwedge_{i=1}^n \varphi_i\right) = (\varphi_1 \wedge \varphi_2 \wedge \dots \wedge \varphi_n)$$

$$\left(\bigvee_{i=1}^n \varphi_i\right) = (\varphi_1 \vee \varphi_2 \vee \dots \vee \varphi_n)$$

$$\left(\bigwedge_{\varphi \in X} \varphi\right) = (\varphi_1 \wedge \varphi_2 \wedge \dots \wedge \varphi_n)$$

$$\left(\bigvee_{\varphi \in X} \varphi\right) = (\varphi_1 \vee \varphi_2 \vee \dots \vee \varphi_n)$$

for  $X = \{\varphi_1, \dots, \varphi_n\}$

## Short Notation: Corner Cases

Is  $\mathcal{I} \models \psi$  true for

$$\psi = \left(\bigwedge_{\varphi \in X} \varphi\right) \text{ and } \psi = \left(\bigvee_{\varphi \in X} \varphi\right)$$

if  $X = \emptyset$  or  $X = \{\chi\}$ ?

convention:

- ▶  $\left(\bigwedge_{\varphi \in \emptyset} \varphi\right)$  is tautology.
- ▶  $\left(\bigvee_{\varphi \in \emptyset} \varphi\right)$  is unsatisfiable.
- ▶  $\left(\bigwedge_{\varphi \in \{\chi\}} \varphi\right) = \left(\bigvee_{\varphi \in \{\chi\}} \varphi\right) = \chi$

↔ Why?

## B2.3 Normal Forms

## Why Normal Forms?

- ▶ A **normal form** is a representation with **certain syntactic restrictions**.
- ▶ condition for reasonable normal form: **every formula** must have a logically **equivalent formula in normal form**
- ▶ **advantages**:
  - ▶ can restrict proofs to formulas in normal form
  - ▶ can define algorithms only for formulas in normal form

German: Normalform

## Literals, Clauses and Monomials

- ▶ A **literal** is an atomic proposition or the negation of an atomic proposition (e. g.,  $A$  and  $\neg A$ ).
- ▶ A **clause** is a disjunction of literals (e. g.,  $(Q \vee \neg P \vee \neg S \vee R)$ ).
- ▶ A **monomial** is a conjunction of literals (e. g.,  $(Q \wedge \neg P \wedge \neg S \wedge R)$ ).

The terms **clause** and **monomial** are also used for the corner case with **only one literal**.

German: Literal, Klausel, Monom

## Terminology: Examples

### Examples

- ▶  $(\neg Q \wedge R)$  is a monomial
- ▶  $(P \vee \neg Q)$  is a clause
- ▶  $((P \vee \neg Q) \wedge P)$  is neither literal nor clause nor monomial
- ▶  $\neg P$  is a literal, a clause and a monomial
- ▶  $(P \rightarrow Q)$  is neither literal nor clause nor monomial (but  $(\neg P \vee Q)$  is a clause!)
- ▶  $(P \vee P)$  is a clause, but not a literal or monomial
- ▶  $\neg\neg P$  is neither literal nor clause nor monomial

## Conjunctive Normal Form

### Definition (Conjunctive Normal Form)

A formula is in **conjunctive normal form (CNF)** if it is a conjunction of clauses, i. e., if it has the form

$$\left( \bigwedge_{i=1}^n \left( \bigvee_{j=1}^{m_i} L_{ij} \right) \right)$$

with  $n, m_i > 0$  (for  $1 \leq i \leq n$ ), where the  $L_{ij}$  are literals.

**German:** konjunktive Normalform (KNF)

### Example

$((\neg P \vee Q) \wedge R \wedge (P \vee \neg S))$  is in CNF.

## Disjunctive Normal Form

### Definition (Disjunctive Normal Form)

A formula is in **disjunctive normal form (DNF)** if it is a disjunction of monomials, i. e., if it has the form

$$\left( \bigvee_{i=1}^n \left( \bigwedge_{j=1}^{m_i} L_{ij} \right) \right)$$

with  $n, m_i > 0$  (for  $1 \leq i \leq n$ ), where the  $L_{ij}$  are literals.

**German:** disjunktive Normalform (DNF)

### Example

$((\neg P \wedge Q) \vee R \vee (P \wedge \neg S))$  is in DNF.

## CNF and DNF: Examples

### Examples

- ▶  $((P \vee \neg Q) \wedge P)$  is in CNF
- ▶  $((R \vee Q) \wedge P \wedge (R \vee S))$  is in CNF
- ▶  $(P \vee (\neg Q \wedge R))$  is in DNF
- ▶  $((P \vee \neg Q) \rightarrow P)$  is neither in CNF nor in DNF
- ▶  $P$  is in CNF and in DNF

## Construction of CNF (and DNF)

### Algorithm to Construct CNF

- 1 Replace abbreviations  $\rightarrow$  and  $\leftrightarrow$  by their definitions ( $(\rightarrow)$ -elimination and  $(\leftrightarrow)$ -elimination).  
 $\rightsquigarrow$  formula structure: only  $\vee, \wedge, \neg$
- 2 Move negations inside using **De Morgan** and **double negation**.  
 $\rightsquigarrow$  formula structure: only  $\vee, \wedge$ , literals
- 3 Distribute  $\vee$  over  $\wedge$  with **distributivity** (strictly speaking also with **commutativity**).  
 $\rightsquigarrow$  formula structure: CNF
- 4 **optionally:** Simplify the formula at the end or at intermediate steps (e. g., with idempotence).

**Note:** For DNF, distribute  $\wedge$  over  $\vee$  instead.

**Question:** runtime complexity?

## Constructing CNF: Example

### Construction of Conjunctive Normal Form

Given:  $\varphi = (((P \wedge \neg Q) \vee R) \rightarrow (P \vee \neg(S \vee T)))$

$$\begin{aligned} \varphi &\equiv (\neg((P \wedge \neg Q) \vee R) \vee P \vee \neg(S \vee T)) && \text{[Step 1]} \\ &\equiv ((\neg(P \wedge \neg Q) \wedge \neg R) \vee P \vee \neg(S \vee T)) && \text{[Step 2]} \\ &\equiv (((\neg P \vee \neg\neg Q) \wedge \neg R) \vee P \vee \neg(S \vee T)) && \text{[Step 2]} \\ &\equiv (((\neg P \vee Q) \wedge \neg R) \vee P \vee \neg(S \vee T)) && \text{[Step 2]} \\ &\equiv (((\neg P \vee Q) \wedge \neg R) \vee P \vee (\neg S \wedge \neg T)) && \text{[Step 2]} \\ &\equiv ((\neg P \vee Q \vee P \vee (\neg S \wedge \neg T)) \wedge \\ &\quad (\neg R \vee P \vee (\neg S \wedge \neg T))) && \text{[Step 3]} \\ &\equiv (\neg R \vee P \vee (\neg S \wedge \neg T)) && \text{[Step 4]} \\ &\equiv ((\neg R \vee P \vee \neg S) \wedge (\neg R \vee P \vee \neg T)) && \text{[Step 3]} \end{aligned}$$

## Construct DNF: Example

### Construction of Disjunctive Normal Form

Given:  $\varphi = (((P \wedge \neg Q) \vee R) \rightarrow (P \vee \neg(S \vee T)))$

$$\begin{aligned} \varphi &\equiv (\neg((P \wedge \neg Q) \vee R) \vee P \vee \neg(S \vee T)) && \text{[Step 1]} \\ &\equiv ((\neg(P \wedge \neg Q) \wedge \neg R) \vee P \vee \neg(S \vee T)) && \text{[Step 2]} \\ &\equiv (((\neg P \vee \neg\neg Q) \wedge \neg R) \vee P \vee \neg(S \vee T)) && \text{[Step 2]} \\ &\equiv (((\neg P \vee Q) \wedge \neg R) \vee P \vee \neg(S \vee T)) && \text{[Step 2]} \\ &\equiv (((\neg P \vee Q) \wedge \neg R) \vee P \vee (\neg S \wedge \neg T)) && \text{[Step 2]} \\ &\equiv ((\neg P \wedge \neg R) \vee (Q \wedge \neg R) \vee P \vee (\neg S \wedge \neg T)) && \text{[Step 3]} \end{aligned}$$

## Existence of an Equivalent Formula in Normal Form

### Theorem

For every formula  $\varphi$  there is a logically equivalent formula in CNF and a logically equivalent formula in DNF.

- ▶ “There is a” always means “there is at least one”. Otherwise we would write “there is exactly one”.
- ▶ Intuition: algorithm to construct normal form works with any given formula and only uses equivalence rewriting.
- ▶ actual proof would use induction over structure of formula

## More Theorems

### Theorem

A formula in CNF is a tautology iff every clause is a tautology.

### Theorem

A formula in DNF is satisfiable iff at least one its monomials is satisfiable.

$\rightsquigarrow$  both proved easily with semantics of propositional logic

## B2.4 Logical Consequences

## Knowledge Bases: Example



If not DrinkBeer, then EatFish.  
 If EatFish and DrinkBeer,  
 then not EatIceCream.  
 If EatIceCream or not DrinkBeer,  
 then not EatFish.

$$\text{KB} = \{(\neg\text{DrinkBeer} \rightarrow \text{EatFish}), \\ ((\text{EatFish} \wedge \text{DrinkBeer}) \rightarrow \neg\text{EatIceCream}), \\ ((\text{EatIceCream} \vee \neg\text{DrinkBeer}) \rightarrow \neg\text{EatFish})\}$$

Exercise from U. Schöning: Logik für Informatiker  
 Picture courtesy of graur razvan ionut / FreeDigitalPhotos.net

## Models for Sets of Formulas

### Definition (Model for Knowledge Base)

Let KB be a **knowledge base** over  $A$ ,  
 i. e., a set of propositional formulas over  $A$ .

A truth assignment  $\mathcal{I}$  for  $A$  is a **model for KB** (written:  $\mathcal{I} \models \text{KB}$ )  
 if  $\mathcal{I}$  is a **model for every formula**  $\varphi \in \text{KB}$ .

**German:** Wissensbasis, Modell

## Properties of Sets of Formulas

A knowledge base KB is

- ▶ **satisfiable** if KB has at least one model
- ▶ **unsatisfiable** if KB is not satisfiable
- ▶ **valid** (or a **tautology**) if every interpretation is a model for KB
- ▶ **falsifiable** if KB is no tautology

**German:** erfüllbar, unerfüllbar, gültig, gültig/eine Tautologie,  
 falsifizierbar



## Example I

Which of the properties does  $KB = \{(A \wedge \neg B), \neg(B \vee A)\}$  have?

KB is **unsatisfiable**:

For every model  $\mathcal{I}$  with  $\mathcal{I} \models (A \wedge \neg B)$  we have  $\mathcal{I}(A) = 1$ .

This means  $\mathcal{I} \models (B \vee A)$  and thus  $\mathcal{I} \not\models \neg(B \vee A)$ .

This directly implies that KB is **falsifiable**, **not satisfiable** and **no tautology**.

## Example II

Which of the properties does

$$KB = \{(\neg \text{DrinkBeer} \rightarrow \text{EatFish}), \\ ((\text{EatFish} \wedge \text{DrinkBeer}) \rightarrow \neg \text{EatIceCream}), \\ ((\text{EatIceCream} \vee \neg \text{DrinkBeer}) \rightarrow \neg \text{EatFish})\}$$
 have?

- ▶ **satisfiable**, e. g. with  $\mathcal{I} = \{\text{EatFish} \mapsto 1, \text{DrinkBeer} \mapsto 1, \text{EatIceCream} \mapsto 0\}$
- ▶ thus **not unsatisfiable**
- ▶ **falsifiable**, e. g. with  $\mathcal{I} = \{\text{EatFish} \mapsto 0, \text{DrinkBeer} \mapsto 0, \text{EatIceCream} \mapsto 1\}$
- ▶ thus **not valid**

## Logical Consequences: Motivation

What's the secret of your long life?



I am on a strict diet: If I don't drink beer to a meal, then I always eat fish. Whenever I have fish and beer with the same meal, I abstain from ice cream. When I eat ice cream or don't drink beer, then I never touch fish.

**Claim:** the woman drinks beer to every meal.

**How can we prove this?**

## Logical Consequences

### Definition (Logical Consequence)

Let KB be a set of formulas and  $\varphi$  a formula.

We say that KB **logically implies**  $\varphi$  (written as  $KB \models \varphi$ ) if **all models** of KB are also models of  $\varphi$ .

**also:** KB **logically entails**  $\varphi$ ,  $\varphi$  **logically follows** from KB,  $\varphi$  is a **logical consequence** of KB

**German:** KB impliziert  $\varphi$  logisch,  $\varphi$  folgt logisch aus KB,  $\varphi$  ist logische Konsequenz von KB

**Attention:** the symbol  $\models$  is "overloaded":  $KB \models \varphi$  vs.  $\mathcal{I} \models \varphi$ .

What if KB is unsatisfiable or the empty set?

## Logical Consequences: Example

Let  $\varphi = \text{DrinkBeer}$  and

$$\text{KB} = \{(\neg\text{DrinkBeer} \rightarrow \text{EatFish}), \\ ((\text{EatFish} \wedge \text{DrinkBeer}) \rightarrow \neg\text{EatIceCream}), \\ ((\text{EatIceCream} \vee \neg\text{DrinkBeer}) \rightarrow \neg\text{EatFish})\}.$$

Show:  $\text{KB} \models \varphi$

Proof sketch.

**Proof by contradiction:** assume  $\mathcal{I} \models \text{KB}$ , but  $\mathcal{I} \not\models \text{DrinkBeer}$ .

Then it follows that  $\mathcal{I} \models \neg\text{DrinkBeer}$ .

Because  $\mathcal{I}$  is a model of KB, we also have

$\mathcal{I} \models (\neg\text{DrinkBeer} \rightarrow \text{EatFish})$  and thus  $\mathcal{I} \models \text{EatFish}$ . (Why?)

With an analogous argumentation starting from

$\mathcal{I} \models ((\text{EatIceCream} \vee \neg\text{DrinkBeer}) \rightarrow \neg\text{EatFish})$

we get  $\mathcal{I} \models \neg\text{EatFish}$  and thus  $\mathcal{I} \not\models \text{EatFish}$ .  $\rightsquigarrow$  **Contradiction!**

## Important Theorems about Logical Consequences

Theorem (Deduction Theorem)

$$\text{KB} \cup \{\varphi\} \models \psi \text{ iff } \text{KB} \models (\varphi \rightarrow \psi)$$

German: Deduktionssatz

Theorem (Contraposition Theorem)

$$\text{KB} \cup \{\varphi\} \models \neg\psi \text{ iff } \text{KB} \cup \{\psi\} \models \neg\varphi$$

German: Kontrapositionssatz

Theorem (Contradiction Theorem)

$$\text{KB} \cup \{\varphi\} \text{ is unsatisfiable iff } \text{KB} \models \neg\varphi$$

German: Widerlegungssatz

(without proof)

## B2.5 Summary

## Summary

- ▶ **Logical equivalence** describes when formulas are **semantically indistinguishable**.
- ▶ **Equivalence rewriting** is used to simplify formulas and to bring them in normal forms.
- ▶ **CNF**: formula is a conjunction of clauses
- ▶ **DNF**: formula is a disjunction of monomials
- ▶ every formula has **equivalent formulas in DNF and in CNF**
- ▶ **knowledge base**: set of formulas describing given information; satisfiable, valid etc. used like for individual formulas
- ▶ **logical consequence**  $\text{KB} \models \varphi$  means that  $\varphi$  is true whenever (= in all models where) KB is true